

EXISTENCE OF INFINITELY MANY HIGH ENERGY SOLUTIONS FOR A FOURTH-ORDER KIRCHHOFF TYPE EQUATION

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ABSTRACT. In this paper, we study the following fourth-order elliptic equations of Kirchhoff type:

$$\Delta^2 u - \left(a + b \int_{\mathbb{R}^N} |\nabla u|^2 dx \right) \Delta u + V(x)u = f(x, u) + \lambda h(x, u) \quad x \in \mathbb{R}^N,$$

where $a > 0$, $b \geq 0$ are constants, we have the potential $V(x) : \mathbb{R}^N \rightarrow \mathbb{R}$, $V \in C(\mathbb{R}^N, \mathbb{R})$. The nonlinearity $\lambda h(x, u) + f(x, u)$ may involve a combination of concave and convex terms. Under some suitable conditions on $h, f \in C(\mathbb{R}^N \times \mathbb{R}, \mathbb{R})$ and $\lambda \in \mathbb{R}$, we show the existence of nontrivial solutions by combining the mountain pass theorem and variational methods. Moreover, we also prove the existence of infinitely many high-energy solutions using the Fountain theorem.

1. Introduction

In this article, we are interested in the existence of solution for the following Kirchhoff-type problem:

$$(1.1) \quad \begin{cases} \Delta^2 u - \left(a + b \int_{\mathbb{R}^N} |\nabla u|^2 dx \right) \Delta u + V(x)u = f(x, u) + \lambda h(x, u), & x \in \mathbb{R}^N, \\ u \in H^2(\mathbb{R}^N), \end{cases}$$

where a, b are positive constants, $\Delta^2 := \Delta(\Delta)$ is the biharmonic operator. Problem 1.1 arises in the study of travelling waves in suspension bridge and the study of the static deflection of an elastic plate in a fluid, see [1] Problem 1.1 is a nonlocal problem because of the so-called nonlocal term $b \int_{\mathbb{R}^N} |\nabla u|^2 dx$ involved in equation (1.1). There are some mathematical difficulties since the presence of a nonlocal term in the equation indicates that (1.1) is not a pointwise identity. Indeed, in general, we do not know $\int_{\mathbb{R}^N} |\nabla u_n|^2 \rightarrow \int_{\mathbb{R}^N} |\nabla u|^2$ from $u_n \rightarrow u$ in $H^2(\mathbb{R}^N)$. Compared with previous results where the study was based on the case of bounded domain, the case of unbounded domain seems to be more complicated. In this case, the principal difficulty is the lack of compactness of the embedding. In order to recover the compactness, some classical assumptions on $V(x)$ are introduced, such as the condition denoted as (V) below.

If we set $V(x) = 0$, $\lambda = 0$, replace \mathbb{R}^N by a bounded smooth domain $\Omega \in \mathbb{R}^N$ and set $u = \Delta u = 0$ on $\partial\Omega$, then problem 1.1 is reduced to the following equation

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$$(1.2) \quad \begin{cases} \Delta^2 u - \left(a + b \int_{\Omega} |\nabla u|^2 dx \right) \Delta u = f(x, u), & x \in \Omega, \\ u = 0, \quad \nabla u = 0 & \text{on } \Omega, \end{cases}$$

which is related to the stationary analogue of the Kirchhoff equation

$$(1.3) \quad \Delta^2 u + u_{tt} - \left(a + b \int_{\Omega} |\nabla u|^2 dx \right) \Delta u = f(x, u), \quad x \in \Omega.$$

Equation (1.3) was proposed by Burgreen [2] as a model for the transverse deflection $u(x, t)$ of an extensible beam of natural length l (Ω) whose ends are held a fixed distance apart. The nonlinear term represents the change in the tension of the beam due to its extensibility. The model has also been discussed by Easley [3], while Woinowsky-Krieger and Ball had given related experimental results [4, 5].

In recent years, many authors have paid attention to Kirchhoff-type problems. For instance, see [6, 7, 9, 10, 13, 16, 17, 18, 19, 20] and the references therein. Meanwhile, little has been done for the existence of infinitely many solutions for fourth-order Kirchhoff-type problems in \mathbb{R}^N . It is the first purpose of our paper to investigate the existence of infinitely many solutions for fourth-order Kirchhoff-type problems in \mathbb{R}^N .

In [8], Xu and Chen considered the following nonhomogeneous fourth-order Kirchhoff-type

$$(1.4) \quad \Delta^2 u - \left(a + b \int_{\mathbb{R}^N} |\nabla u|^2 dx \right) \Delta u + V(x)u = f(x, u) + h(x), \quad x \in \mathbb{R}^N,$$

using the Mountain Pass Theorem and Ekeland's variational principle, they obtained a multiplicity result to the above problem provided $|h|_2$ is small enough. Later, Zuo et al. [15] studied the existence of nontrivial solution to problem 1.4 using the Mountain Pass Theorem. In addition, they obtained infinitely many high-energy solutions for the homogeneous problem by two kinds of methods: Symmetry Mountain Pass Theorem and Fountain Theorem, when the nonlinearity f satisfies the following condition:

(V) $\inf_{x \in \mathbb{R}^N} V(x) \geq V_0 > 0$ and for any $M > 0$, $\text{meas}\{x \in \mathbb{R}^N : V(x) \leq M\} < +\infty$, where V_0 is a constant, "meas" denotes the Lebesgue measure in \mathbb{R}^N .

(f₁) $\lim_{|t| \rightarrow 0} \frac{f(x, t)}{|t|} = 0$ uniformly for any $x \in \mathbb{R}^N$.

(F₂) There are constants $2 < p < 2^{**}$, and $C > 0$ such that

$$|f(x, t)| \leq C(|t|^{p-1} + 1), \quad \forall (x, t) \in \mathbb{R}^N \times \mathbb{R},$$

where if $N \leq 2$, let $2^{**} = +\infty$; if $N \geq 2$, let $2^{**} = \frac{2N}{N-2}$.

(f₃) $\lim_{|t| \rightarrow +\infty} \frac{F(x, t)}{t^4} \rightarrow +\infty$ uniformly in $x \in \mathbb{R}^N$.

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1 (F₄) There exists $r > 0$ such that

$$2 \quad tf(x, t) \geq 4F(x, t), \quad \forall x \in \mathbb{R}^N, |t| \geq r.$$

3 Observe that condition (F₄) plays an important role for proving that any Palais–Smale sequence is
4 bounded in the work.

5 Motivated by the above works, the purpose of this paper is to study the existence of nontrivial solution
6 of problem 1.1 by combining the Mountain pass theorem and variational methods and the existence of
7 infinitely many high-energy solutions using Fountain theorem. To the best of our knowledge, there
8 are no papers about the existence of infinitely many high-energy solutions for problem 1.1. In what
9 follows, we make the following assumption:

10 (h₁) $h \in C(\mathbb{R}^N \times \mathbb{R}, \mathbb{R})$, there exist constants $1 < \delta_1 < \delta_2 < \dots < \delta_m < 2$ and functions $\xi_i \in$
11 $L^{\frac{2}{2-\delta_i}}(\mathbb{R}^N, \mathbb{R}^+)$ ($i = 1, \dots, m$) such that

$$12 \quad |h(x, t)| \leq \sum_{i=1}^m \xi_i(x) |t|^{\delta_i-1}, \quad \forall (x, t) \in \Omega \times \mathbb{R}.$$

13 (f₂) There are constants $4 < p < 2^*$, and $c_1 > 0$ such that

$$14 \quad |f(x, t)| \leq c_1 (1 + |t|^{p-1}),$$

15 where if $1 < N \leq 4$, let $2^* = +\infty$; if $4 < N < 8$, let $2^* = \frac{2N}{N-4}$.

16 (f₄) There exist $L > 0$ and $\rho \in [0, \frac{V_0}{2}]$ such that

$$17 \quad 4F(x, t) - f(x, t)t \leq \rho |t|^2, \quad \text{for a.e. } x \in \mathbb{R}^N \text{ and } \forall t \geq L.$$

18 **Theorem 1.1.** Assume that (V), (h₁) and (f₁) – (f₄) hold. Then there exists $\bar{\lambda} > 0$ such that for
19 $\lambda \in [-\bar{\lambda}, \bar{\lambda}]$, problem 1.1 has at least one nontrivial solution.

20 **Theorem 1.2.** Assume that (V), (h₁) and (f₁) – (f₄) hold and

21 (f₅) $f(x, -t) = -f(x, t)$ for all $(x, t) \in \mathbb{R}^N \times \mathbb{R}$.

22 (h₂) $h(x, -t) = -h(x, t)$ for all $(x, t) \in \mathbb{R}^N \times \mathbb{R}$.

23 Then there exists $\bar{\lambda} > 0$ such that for $\lambda \in [-\bar{\lambda}, \bar{\lambda}]$, problem 1.1 has a sequence of solutions (u_n) with

$$24 \quad \frac{1}{2} \|u_n\|^2 + \frac{b}{4} \left(\int_{\mathbb{R}^N} |\nabla u_n|^2 dx \right)^2 - \lambda \int_{\mathbb{R}^N} h(x, u_n) u_n dx - \int_{\mathbb{R}^N} f(x, u_n) u_n dx \rightarrow \infty, \quad \text{as } n \rightarrow \infty.$$

25 **Corollary 1.3.** The conclusion of Theorem 1.2 holds if we replace (f₃) and (f₄) by the following
26 condition:

27 (f'₃) There exist $r > 0$ and $\psi_0 > 0$ such that

$$28 \quad l = \inf_{x \in \mathbb{R}^N, |t|=r} F(x, t) > \psi_0.$$

29 (f'₄) There exist $\mu > 4$, and $\psi \in C(\mathbb{R}^N, \mathbb{R}_+^*)$ such that $\sup_{x \in \mathbb{R}^N} \psi(x) \leq \psi_0$, and

$$30 \quad \mu F(x, t) - f(x, t)t \leq d|t|^2 + \mu \psi(x), \quad \text{for a.e. } x \in \mathbb{R}^N \text{ and } \forall |t| \geq r,$$

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$$\text{where } d \in \left[0, \frac{(l - \psi_0)(\mu - 2)}{r^2}\right).$$

Corollary 1.4. *The conclusion of Theorem 1.2 holds if we replace (f_3) and (f_4) by the following conditions:*

(f_3'') *There exists $r_1 > 0$ such that*

$$l' = \inf_{x \in \mathbb{R}^N, |t|=r_1} F(x, t) > 0.$$

(f_4'') *There exists $\mu' > 4$ such that*

$$\mu' F(x, t) - f(x, t)t \leq d'|t|^2, \quad \text{for a.e. } x \in \mathbb{R}^N \text{ and } \forall |t| \geq r_1,$$

$$\text{where } d' \in \left[0, \frac{l'(\mu - 2)}{r_1^2}\right).$$

Remark 1.5. *Since problem 1.1 is defined on the whole space \mathbb{R}^N , it is well known that the main difficulty is the lack of compactness of the Sobolev embedding. To overcome this difficulty, we always assume that the potential $V(x)$ satisfies the condition (V) , which was introduced by Bartsch et al. [11].*

Remark 1.6. *Obviously, condition (f_4) is much weaker than condition (F_4) . It is worth pointing out that from (F_2) , one sees that $2 < p < 2^{**} = \frac{2N}{N-2}$ implies that $2^{**} \searrow 2$ as $N \rightarrow \infty$. On the other hand, the combination of (f_1) , (f_3) and (F_4) implies that*

$$\frac{f(x, t)}{t^3} \geq \frac{4F(x, t)}{t^4} \rightarrow \infty, \quad \text{as } |t| \rightarrow \infty.$$

In particular, $f(x, t) \geq O(t^3)$. This is consistent with (F_2) only when $N \leq 6$. We were able to improve upon this restriction by considering $4 < N < 8$ in (f_2) .

The rest of this article is organized as follows. In Section 2, we establish the variational framework associated with problem 1.1. In Section 3, we give the proof of Theorem 1.1. In Section 4, we give the proof of Theorem 1.2.

2. Preliminaries

Hereafter, we shall use $c_i, C_i, i = 1, 2, \dots$ to denote various positive constants which may change from line to line, and by \rightarrow (resp. \rightharpoonup) the strong (resp. weak) convergence. We denote $L^p(\mathbb{R}^N)$ as a Lebesgue space with the norm $\|u\|_p := \left(\int_{\mathbb{R}^N} |u(x)|^p dx\right)^{\frac{1}{p}}, 1 \leq p < \infty$. Denote $H^2(\mathbb{R}^N)$ as the usual Sobolev space equipped with the inner product and norm,

$$\langle u, v \rangle_{H^2} = \int_{\mathbb{R}^N} (\Delta u \Delta v + \nabla u \cdot \nabla v + uv) dx, \quad \|u\|_{H^2} = \langle u, u \rangle_{H^2}^{\frac{1}{2}}.$$

Define our working space

$$E = \{u \in H^2 : \int_{\mathbb{R}^N} (\Delta u^2 + |\nabla u|^2 + V(x)u^2) dx < \infty\}.$$

1 with the inner product and norm

$$2 \quad \langle u, v \rangle = \int_{\mathbb{R}^N} (\Delta u \Delta v + a \nabla u \cdot \nabla v + V(x)uv) \, dx, \quad \|u\| = \langle u, u \rangle^{\frac{1}{2}}.$$

5 Since $V(x)$ satisfies (V), it is easy to see that $\|\cdot\|_{H^2}$ is equivalent to $\|\cdot\|$. Then, E is a Hilbert space.
6 Furthermore, E is continuously embedded in $L^s(\mathbb{R}^N)$ for $2 \leq s \leq 2^*$ under the condition (V), that is,
7 there exists $\eta_s > 0$ such that

$$10 \quad (2.1) \quad \|u\|_s \leq \eta_s \|u\| \quad \forall u \in E.$$

12 **Lemma 2.1** ([12], Lemma 3.1). *Under the assumption (V), the embedding $E \hookrightarrow L^s$ is compact for any*
13 *$s \in [2, 2^*)$.*

14 **Lemma 2.2.** *We say that $u \in E$ is a weak solution of problem 1.1 if*

$$17 \quad (2.2) \quad \langle u, \varphi \rangle + b \int_{\mathbb{R}^N} |\nabla u|^2 \, dx \int_{\mathbb{R}^N} \nabla u \cdot \nabla \varphi \, dx = \int_{\mathbb{R}^N} f(x, u) \varphi \, dx + \lambda \int_{\mathbb{R}^N} h(x, u) \varphi \, dx, \quad \forall \varphi \in E,$$

20 *the energy associated with problem 1.1, is functional $J_\lambda : E \rightarrow \mathbb{R}$ defined by*

$$23 \quad (2.3) \quad J_\lambda(u) = \frac{1}{2} \|u\|^2 + \frac{b}{4} \left(\int_{\mathbb{R}^N} |\nabla u|^2 \, dx \right)^2 - \int_{\mathbb{R}^N} F(x, u) \, dx - \lambda \int_{\mathbb{R}^N} H(x, u) \, dx.$$

26 *Consequently, seeking a weak solution of problem 1.1 is equivalent to finding a critical point of the*
27 *functional J_λ . Moreover, $J_\lambda \in C^1(E, \mathbb{R})$ with*

$$30 \quad \langle J'_\lambda(u), v \rangle = \int_{\mathbb{R}^N} (\Delta u \Delta v + a \nabla u \cdot \nabla v + V(x)uv) \, dx$$

$$33 \quad (2.4) \quad + b \int_{\mathbb{R}^N} |\nabla u|^2 \, dx \int_{\mathbb{R}^N} \nabla u \cdot \nabla v \, dx$$

$$36 \quad - \int_{\mathbb{R}^N} f(x, u)v \, dx - \lambda \int_{\mathbb{R}^N} h(x, u)v \, dx, \quad \forall u, v \in E.$$

38 *Proof.* It follows from (h_1) that

$$41 \quad (2.5) \quad |H(x, u)| \leq \sum_{i=1}^m \frac{1}{\delta_i} \xi_i(x) |u|^{\delta_i}.$$

1 By (V),(2.5) and the Hölder inequality, for any $u \in E$, we have

$$\begin{aligned}
 & \int_{\mathbb{R}^N} |H(x, u)| \, dx \leq \int_{\mathbb{R}^N} \sum_{i=1}^m \frac{1}{\delta_i} \xi_i(x) |u|^{\delta_i} \, dx \\
 & \leq \sum_{i=1}^m \int_{\mathbb{R}^N} \left(\frac{V(x)}{V_0} \right)^{\frac{\delta_i}{2}} \frac{1}{\delta_i} \xi_i(x) |u|^{\delta_i} \, dx \\
 & \leq \sum_{i=1}^m \frac{V_0^{-\frac{\delta_i}{2}}}{\delta_i} |\xi_i(x)|_{\frac{2}{2-\delta_i}} \left(\int_{\mathbb{R}^N} V(x) |u|^2 \, dx \right)^{\frac{\delta_i}{2}} \\
 & \leq C_2 \sum_{i=1}^m \|u\|^{\delta_i}.
 \end{aligned}
 \tag{2.6}$$

20 By (2.3) and (2.6), J_λ is well defined on E . Now, we show that (2.4) holds. By (h_1) , for any

21 $u, v \in E, t \in (0, 1), \theta(x) : \mathbb{R}^N \rightarrow (0, 1)$ and the Hölder inequality we can obtain

$$\begin{aligned}
 & \int_{\mathbb{R}^N} \max_{t \in (0, 1)} |h(x, u(x) + t\theta(x)v(x))v(x)| \, dx \\
 & = \int_{\mathbb{R}^N} \max_{t \in (0, 1)} |h(x, u(x) + t\theta(x)v(x))| |v(x)| \, dx \\
 & \leq \sum_{i=1}^m \int_{\mathbb{R}^N} \xi_i(x) (|u(x)|^{\delta_i-1} + |\theta(x)v(x)|^{\delta_i-1}) |v(x)| \, dx \\
 & \leq V_0^{-\frac{\delta_i}{2}} \sum_{i=1}^m \left(\int_{\mathbb{R}^N} |\xi_i|^{\frac{2}{2-\delta_i}} \, dx \right)^{\frac{2-\delta_i}{2}} \left(\int_{\mathbb{R}^N} V(x) |u(x)|^2 \, dx \right)^{\frac{\delta_i-1}{2}} \left(\int_{\mathbb{R}^N} V(x) |v(x)|^2 \, dx \right)^{\frac{1}{2}} \\
 & + V_0^{-\frac{\delta_i}{2}} \sum_{i=1}^m \left(\int_{\mathbb{R}^N} |\xi_i|^{\frac{2}{2-\delta_i}} \, dx \right)^{\frac{2-\delta_i}{2}} \left(\int_{\mathbb{R}^N} V(x) |v(x)|^2 \, dx \right)^{\frac{\delta_i}{2}} \\
 & \leq V_0^{-\frac{\delta_i}{2}} \sum_{i=1}^m |\xi_i|_{\frac{2}{2-\delta_i}} \left(\|u\|^{\delta_i-1} + \|v\|^{\delta_i-1} \right) \|v\| < +\infty.
 \end{aligned}
 \tag{2.7}$$

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1 Then by (2.3), (2.7) and Lebesgue’s Dominated Convergence Theorem, we have

$$\begin{aligned}
 2 \quad \langle J'_\lambda(u), v \rangle &= \lim_{t \rightarrow 0^+} \frac{J_\lambda(u+tv) - J_\lambda(u)}{t} \\
 3 &= \lim_{t \rightarrow 0^+} \left\{ \langle u, v \rangle + \frac{t}{2} \|v\|^2 + \frac{b}{4} \left[t^3 \int_{\mathbb{R}^N} |\nabla v|^2 \, dx + 4 \int_{\mathbb{R}^N} |\nabla u|^2 \, dx \int_{\mathbb{R}^N} \nabla u \cdot \nabla v \, dx \right. \right. \\
 4 &\quad \left. \left. + 2t \int_{\mathbb{R}^N} |\nabla u|^2 \, dx \int_{\mathbb{R}^N} |\nabla v|^2 \, dx + 4t^2 \int_{\mathbb{R}^N} |\nabla v|^2 \, dx \int_{\mathbb{R}^N} \nabla u \cdot \nabla v \, dx + 4t \left(\int_{\mathbb{R}^N} \nabla u \cdot \nabla v \, dx \right)^2 \right] \right. \\
 5 &\quad \left. - \frac{1}{t} \int_{\mathbb{R}^N} [F(x, u(x) + tv(x)) - F(x, u(x))] \, dx - \frac{\lambda}{t} \int_{\mathbb{R}^N} [H(x, u(x) + tv(x)) - H(x, u(x))] \, dx \right\} \\
 6 &= \langle u, v \rangle + b \int_{\mathbb{R}^N} |\nabla u|^2 \, dx \int_{\mathbb{R}^N} \nabla u \cdot \nabla v \, dx - \int_{\mathbb{R}^N} f(x, u)v \, dx - \lambda \int_{\mathbb{R}^N} h(x, u)v \, dx.
 \end{aligned}$$

16 which implies that (2.4) holds. Moreover, by a standard argument, it is easy to show that $J_\lambda \in C^1(E, \mathbb{R})$. □

18 **Definition 2.3.** We say that J_λ satisfies the Palais-Smale condition at level c $(PS)_c$, i.e., any sequence $\{u_n\}$ has a convergent subsequence in E whenever

$$22 \quad (2.8) \quad J_\lambda(u_n) \rightarrow c \quad \text{and} \quad J'_\lambda(u_n) \rightarrow 0, \quad \text{as } n \rightarrow \infty.$$

24 Let X be a Banach space with the norm $\|\cdot\|$ and $X = \overline{\bigoplus_{i=1}^\infty X_i}$ with $\dim X_i < +\infty$ for each $i \in \mathbb{N}$. Further, we set

$$26 \quad Y_k = \bigoplus_{i=1}^k X_i, \quad Z_k = \overline{\bigoplus_{i=k}^\infty X_i}.$$

28 To prove Theorem 1.1 we state the following mountain pass theorem (see [[14] Theorem 1.17]).

30 **Theorem 2.4** (Mountain Pass Theorem). Let X be a Banach space, $I \in C^1(X, \mathbb{R})$, $I(0) = 0$, and assume that

32 (S_1) there exist two positive real numbers α and ρ such that $I(u) \geq \alpha$ for all $\|u\| = \rho$,

33 (S_2) there exists $e \in X$ with $\|e\| > \rho$ such that $I(e) \leq 0$,

34 If I satisfies the $(PS)_c$ -condition for

$$36 \quad c = \inf_{\gamma \in \Gamma} \sup_{t \in [0,1]} I(\gamma(t)),$$

38 with

$$39 \quad \Gamma = \{\gamma \in C([0, 1], X) : \gamma(0) = 0, \gamma(1) = e\},$$

40 then c is critical value of I and $c \geq \alpha$.

42 In order to deduce our results, the following Fountain theorem is a very useful tool.

1 **Theorem 2.5** (Fountain theorem, Bartsch [14]). Let $I \in C^1(X, \mathbb{R})$ satisfy $I(-u) = I(u)$. Assume that,
 2 for every $k \in \mathbb{N}$, there exists $\rho_k > \gamma_k > 0$ such that

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6 $(A_1) \ a_k := \max_{u \in Y_k, \|u\| = \rho_k} I(u) \leq 0,$
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 8 $(A_2) \ b_k := \inf_{u \in Z_k, \|u\| = \gamma_k} I(u) \rightarrow +\infty \text{ as } k \rightarrow +\infty.$
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12 If I satisfies the $(PS)_c$ condition for every $c > 0$, then I has an unbounded sequence of critical values.
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18 3. Proof of Theorems 1.1

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20 We begin verifying the follow compactness lemma which shows that the functional J_λ satisfies (PS)-
 21 condition

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26 **Lemma 3.1.** Let assumptions (V) , (h_1) and $(f_1) - (f_4)$ hold. Then for every $\lambda \in \mathbb{R}$, any Palais-Smale
 27 sequence of J_λ is bounded.
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33 *Proof.* Let $\{u_n\} \subset E$ be any Palais-Smale sequence of J_λ . Then, up to a subsequence, there exists
 34 $c \in \mathbb{R}$ such that

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$$J_\lambda(u_n) \rightarrow c, \text{ and } J'_\lambda(u_n) \rightarrow 0.$$

1 For n large enough, by (f_3) we have

$$\begin{aligned}
2 \quad c + 1 + \|u\| &\geq J_\lambda(u_n) - \frac{1}{4} \langle J'_\lambda(u_n), u_n \rangle \\
3 \quad &= \frac{1}{4} \int_{\mathbb{R}^N} (|\Delta u_n|^2 + a|\nabla u_n|^2) \, dx + \frac{1}{4} \int_{\mathbb{R}^N} V(x)u_n^2 \, dx + \int_{\mathbb{R}^N} \tilde{F}(x, u_n) \, dx \\
4 \quad &\quad - \lambda \int_{\mathbb{R}^N} \tilde{H}(x, u_n) \, dx \\
5 \quad &\geq \frac{1}{4} \int_{\mathbb{R}^N} (|\Delta u_n|^2 + a|\nabla u_n|^2) \, dx + \frac{1}{4} \int_{\mathbb{R}^N} V(x)u_n^2 \, dx - \frac{\rho}{4} \int_{\mathbb{R}^N} u_n^2 \, dx \\
6 \quad &\quad + \int_{\mathbb{A}_n} \tilde{F}(x, u_n) \, dx - |\lambda| \int_{\mathbb{R}^N} \tilde{H}(x, u_n) \, dx \\
7 \quad &\geq \frac{1}{4} \int_{\mathbb{R}^N} (|\Delta u_n|^2 + a|\nabla u_n|^2) \, dx + \frac{1}{4} \int_{\mathbb{R}^N} V(x)u_n^2 \, dx - \frac{1}{8} \int_{\mathbb{R}^N} V_0 u_n^2 \, dx \\
8 \quad &\quad + \int_{\mathbb{A}_n} \tilde{F}(x, u_n) \, dx - |\lambda| \int_{\mathbb{R}^N} \tilde{H}(x, u_n) \, dx \\
9 \quad &\geq \frac{1}{4} \int_{\mathbb{R}^N} (|\Delta u_n|^2 + a|\nabla u_n|^2) \, dx + \frac{1}{4} \int_{\mathbb{R}^N} V(x)u_n^2 \, dx - \frac{1}{8} \int_{\mathbb{R}^N} V_0 u_n^2 \, dx \\
10 \quad &\quad + \int_{\mathbb{A}_n} \tilde{F}(x, u_n) \, dx - |\lambda| \int_{\mathbb{R}^N} \tilde{H}(x, u_n) \, dx \\
11 \quad &\geq \frac{1}{16} \|u_n\|^2 + \frac{1}{16} \int_{\mathbb{R}^N} V(x)u_n^2 \, dx + \int_{\mathbb{A}_n} \tilde{F}(x, u_n) \, dx - |\lambda| \int_{\mathbb{R}^N} \tilde{H}(x, u_n) \, dx, \\
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\end{aligned}$$

29 where $\tilde{F}(x, u_n) = \frac{1}{4}f(x, u_n)u_n - F(x, u_n)$, $\tilde{H}(x, u_n) = H(x, u_n) - \frac{1}{4}h(x, u_n)u_n$ and $\mathbb{A}_n = \{x \in \mathbb{R}^N : |u_n| \leq$
30 $L\}$.

31 By (h_1) , (2.1) and Hölder's inequality we can obtain

$$\begin{aligned}
32 \quad & \\
33 \quad & \\
34 \quad & \left| \int_{\mathbb{R}^N} \tilde{H}(x, u_n) \, dx \right| = \left| \int_{\mathbb{R}^N} \left(H(x, u_n) - \frac{1}{4}h(x, u_n)u_n \right) \, dx \right| \\
35 \quad & \\
36 \quad & \\
37 \quad (3.1) \quad & \leq \int_{\mathbb{R}^N} |H(x, u_n)| + \frac{1}{4}|h(x, u_n)u_n| \, dx \\
38 \quad & \\
39 \quad & \leq \sum_{i=1}^m \left(\frac{1}{\delta_i} + \frac{1}{4} \right) |\xi_i(x)|_{\frac{2}{2-\delta_i}} \eta_2^{\delta_i} \|u_n\|^{\delta_i}, \\
40 \quad & \\
41 \quad & \\
42 \quad &
\end{aligned}$$

Hence

1
2 (3.2)
3
4 $c + 1 + \|u_n\| + |\lambda| \sum_{i=1}^m \left(\frac{1}{\delta_i} + \frac{1}{4} \right) |\xi_i(x)|_{\frac{2}{2-\delta_i}} \eta_2^{\delta_i} \|u_n\|^{\delta_i} \geq \frac{1}{16} \|u_n\|^2 + \frac{1}{16} \int_{\mathbb{R}^N} V(x) u_n^2 \, dx + \int_{\mathbb{A}^N} \tilde{F}(x, u_n) \, dx.$

5 For any $\varepsilon > 0$, by (f_1) , (f_2) , there exists $C(\varepsilon) > 0$ such that

6
7
8 (3.3) $|f(x, t)| \leq 2\varepsilon|t| + pC(\varepsilon)|t|^{p-1}, \quad \forall (x, t) \in \mathbb{R}^N \times \mathbb{R},$
9 $|F(x, t)| \leq \int_0^1 |f(x, st)t| \, ds \leq \varepsilon|t|^2 + C(\varepsilon)|t|^p, \quad \forall (x, t) \in \mathbb{R}^N \times \mathbb{R}.$

10
11 For $x \in \mathbb{R}^N$ and $|u_n| \leq L$, by (3.3), we have

12
13 $|\tilde{F}(x, u_n)| \leq \frac{1}{4} |f(x, u_n)| |u_n| + |F(x, u_n)|$
14 $\leq \frac{5}{4} \varepsilon |u_n|^2 + \frac{5}{4} C(\varepsilon) |u_n|^p$
15 $= \frac{5}{4} [\varepsilon + C(\varepsilon) |u_n|^{p-2}] |u_n|^2$
16
17 $\leq \frac{5}{4} [\varepsilon + C(\varepsilon) L^{p-2}] |u_n|^2$
18
19 $\leq C_3 |u_n|^2,$
20
21

22 Take $M > \max \{16C_3, V_0\}$, then

23
24 (3.4) $\tilde{F}(x, u_n) \geq -\frac{M}{16} |u_n|^2, \quad \forall x \in \mathbb{R}^N, |u_n| \leq L.$

25
26 Let $\tilde{\mathbb{A}} = \{x \in \mathbb{R}^N : V(x) \leq M\}$. By (V) we know that $\text{meas}(\tilde{\mathbb{A}}) < +\infty$. On the other hand, it follows
27 from (3.4) that

28
29
30 (3.5) $\frac{1}{16} \int_{\mathbb{R}^N} V(x) u_n^2 \, dx + \int_{\mathbb{A}_n} \tilde{F}(x, u_n) \, dx \geq \frac{1}{16} \int_{|u_n| \leq L} (V(x) - M) |u_n|^2 \, dx$
31 $\geq \frac{1}{16} \int_{\tilde{\mathbb{A}} \cap \mathbb{A}_n} (V(x) - M) L^2 \, dx$
32 $\geq \frac{1}{16} (V_0 - M) L^2 \text{meas}(\tilde{\mathbb{A}} \cap \mathbb{A}_n)$
33 $\geq \frac{1}{16} (V_0 - M) L^2 \text{meas}(\tilde{\mathbb{A}}).$
34
35
36
37
38

39 Combining (3.2) and (3.5), we get that

40
41 $c + 1 + \|u_n\| + |\lambda| \sum_{i=1}^m \left(\frac{1}{\delta_i} + \frac{1}{4} \right) |\xi_i(x)|_{\frac{2}{2-\delta_i}} \eta_2^{\delta_i} \|u_n\|^{\delta_i} \geq \frac{1}{16} \|u_n\|^2 + \frac{1}{16} (V_0 - M) L^2 \text{meas}(\tilde{\mathbb{A}}),$
42

1 which implies that $\{u_n\}$ is bounded in E . Thus, this completes the proof. \square

2 **Lemma 3.2.** *Let assumptions (V), (h_1) and $(f_1) - (f_4)$ hold and $\{u_n\}$ is a bounded Palais-Smale*
 3 *sequence of J_λ , then for every $\lambda \in \mathbb{R}$, $\{u_n\}$ has a strongly convergent subsequence in E .*

4 *Proof.* Since $\{u_n\}$ is bounded in E , then there exists a constant $M > 0$ such that

$$5 \quad (3.6) \quad \|u_n\| \leq M, \quad \forall n \in \mathbb{N}.$$

6
 7 Going if necessary to a subsequence, we may assume that there is a $u \in E$ such that

$$8 \quad (3.7) \quad \begin{aligned} &u_n \rightharpoonup u \quad \text{in } E, \\ &u_n \rightarrow u \quad \text{in } L^s(\mathbb{R}^N) \quad (2 \leq s < 2^*), \\ &u_n \rightarrow u \quad \text{a.e. on } \mathbb{R}^N. \end{aligned}$$

9
 10
 11 By (2.4), we have

$$12 \quad \begin{aligned} &\langle J'_\lambda(u_n) - J'_\lambda(u), u_n - u \rangle \\ &= \int_{\mathbb{R}^N} (\Delta u_n - \Delta u)^2 + V(x)|u_n - u|^2 \, dx + \left(a + b \int_{\mathbb{R}^N} |\nabla u_n|^2 \, dx \right) \int_{\mathbb{R}^N} \nabla u_n \cdot \nabla(u_n - u) \, dx \\ &\quad - \left(a + b \int_{\mathbb{R}^N} |\nabla u|^2 \, dx \right) \int_{\mathbb{R}^N} \nabla u \cdot \nabla(u_n - u) \, dx - \int_{\mathbb{R}^N} (f(x, u_n) - f(x, u))(u_n - u) \, dx \\ &\quad - \lambda \int_{\mathbb{R}^N} (h(x, u_n) - h(x, u))(u_n - u) \, dx \\ &= \int_{\mathbb{R}^N} (\Delta u_n - \Delta u)^2 + V(x)|u_n - u|^2 \, dx + \left(a + b \int_{\mathbb{R}^N} |\nabla u_n|^2 \, dx \right) \int_{\mathbb{R}^N} |\nabla(u_n - u)|^2 \, dx \\ &\quad - \left(\int_{\mathbb{R}^N} |\nabla u|^2 \, dx - \int_{\mathbb{R}^N} |\nabla u_n|^2 \, dx \right) \int_{\mathbb{R}^N} \nabla u \cdot \nabla(u_n - u) \, dx - \int_{\mathbb{R}^N} (f(x, u_n) - f(x, u))(u_n - u) \, dx \\ &\quad - \lambda \int_{\mathbb{R}^N} (h(x, u_n) - h(x, u))(u_n - u) \, dx \\ &\geq \|u_n - u\|^2 - b \left(\int_{\mathbb{R}^N} |\nabla u|^2 \, dx - \int_{\mathbb{R}^N} |\nabla u_n|^2 \, dx \right) - \int_{\mathbb{R}^N} \nabla u \cdot \nabla(u_n - u) \, dx \\ &\quad - \int_{\mathbb{R}^N} (f(x, u_n) - f(x, u))(u_n - u) \, dx - \lambda \int_{\mathbb{R}^N} (h(x, u_n) - h(x, u))(u_n - u) \, dx. \end{aligned}$$

1 Therefore, one has

$$\begin{aligned}
 2 \\
 3 \quad \|u_n - u\|^2 &\leq \langle J'_\lambda(u_n) - J'_\lambda(u), u_n - u \rangle + b \left(\int_{\mathbb{R}^N} |\nabla u|^2 \, dx - \int_{\mathbb{R}^N} |\nabla u_n|^2 \, dx \right) \int_{\mathbb{R}^N} \nabla u \nabla (u_n - u) \, dx \\
 4 \\
 5 &+ \int_{\mathbb{R}^N} (f(x, u_n) - f(x, u)) (u_n - u) \, dx + \lambda \int_{\mathbb{R}^N} (h(x, u_n) - h(x, u)) (u_n - u) \, dx. \\
 6 \\
 7 \\
 8
 \end{aligned}$$

9 Then, it follows from (3.3), (3.6), and the Hölder inequality that

$$\begin{aligned}
 10 \\
 11 \quad &\int_{\mathbb{R}^N} |f(x, u_n) - f(x, u)| |u_n - u| \, dx \\
 12 \\
 13 &\leq \int_{\mathbb{R}^N} (|f(x, u_n)| + |f(x, u)|) |u_n - u| \, dx \\
 14 \\
 15 &\leq \int_{\mathbb{R}^N} 2\varepsilon (|u_n| + |u|) |u_n - u| \, dx + pC(\varepsilon) \int_{\mathbb{R}^N} (|u_n|^{p-1} + |u|^{p-1}) |u_n - u| \, dx \\
 16 \\
 17 &\leq 2\varepsilon \left[\left(\int_{\mathbb{R}^N} |u_n|^2 \, dx \right)^{\frac{1}{2}} + \left(\int_{\mathbb{R}^N} |u|^2 \, dx \right)^{\frac{1}{2}} \right] \left(\int_{\mathbb{R}^N} |u_n - u|^2 \, dx \right)^{\frac{1}{2}} \\
 18 \\
 19 \quad (3.8) &+ pC(\varepsilon) \left[\left(\int_{\mathbb{R}^N} |u_n|^p \, dx \right)^{\frac{p-1}{p}} + \left(\int_{\mathbb{R}^N} |u|^p \, dx \right)^{\frac{p-1}{p}} \right] \left(\int_{\mathbb{R}^N} |u_n - u|^p \, dx \right)^{\frac{1}{p}} \\
 20 \\
 21 &\leq 2\varepsilon (\eta_2 M + |u|_2) \|u_n - u\|_2 + pC(\varepsilon) (\eta_p^{p-1} M^{p-1} + |u|_p^{p-1}) \|u_n - u\|_p \rightarrow 0, n \rightarrow +\infty. \\
 22 \\
 23 \\
 24 \\
 25 \\
 26 \\
 27
 \end{aligned}$$

28 Let $\phi_u : E \rightarrow \mathbb{R}$ such that $\phi_u(v) = \int_{\mathbb{R}^3} \nabla u \cdot \nabla v \, dx$. Since $\phi_u(v) \leq \|u\| \|v\|$, we can deduce that ϕ_u is
 29 continuous (linear and bounded) on E , using (3.7), then we have

$$\begin{aligned}
 30 \\
 31 \\
 32 \\
 33 \quad (3.9) \quad &\int_{\mathbb{R}^N} \nabla u \cdot \nabla u_n \, dx = \phi_u(u_n) \rightarrow \phi_u(u) = \int_{\mathbb{R}^N} \nabla u \cdot \nabla u \, dx, \quad \text{as } n \rightarrow \infty. \\
 34 \\
 35
 \end{aligned}$$

36 Thus, we get from $u_n \rightharpoonup u$ in H , (3.9), and the boundedness of $\{u_n\}$ that

$$\begin{aligned}
 37 \\
 38 \\
 39 \quad (3.10) \quad &b \left(\int_{\mathbb{R}^N} |\nabla u|^2 \, dx - \int_{\mathbb{R}^N} |\nabla u_n|^2 \, dx \right) \int_{\mathbb{R}^N} \nabla u \nabla (u_n - u) \, dx \rightarrow 0, \text{ as } n \rightarrow \infty. \\
 40 \\
 41
 \end{aligned}$$

42 By (h_1) , (2.1), and (3.6), using the Hölder inequality, we can conclude

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$$\begin{aligned}
 & \int_{\mathbb{R}^N} |h(x, u_n) - h(x, u)| |u_n - u| dx \\
 & \leq \int_{\mathbb{R}^N} |h(x, u_n)| |u_n - u| dx + \int_{\mathbb{R}^N} |h(x, u)| |u_n - u| dx \\
 & \leq \int_{\mathbb{R}^N} \sum_{i=1}^m \xi_i(x) |u_n|^{\delta_i - 1} |u_n - u| dx + \int_{\mathbb{R}^N} \sum_{i=1}^m \xi_i(x) |u|^{\delta_i - 1} |u_n - u| dx \\
 & \leq \sum_{i=1}^m |\xi_i|_{\frac{2}{2-\delta_i}} (|u_n|_2^{\delta_i - 1} + |u|_2^{\delta_i - 1}) |u_n - u|_2 \\
 & \leq \sum_{i=1}^m |\xi_i|_{\frac{2}{2-\delta_i}} (\eta_2^{\delta_i - 1} M^{\delta_i - 1} + |u|_2^{\delta_i - 1}) |u_n - u|_2.
 \end{aligned}$$

Therefore, it follows from (3.7) that

$$(3.11) \quad \int_{\mathbb{R}^N} h(x, u_n) - h(x, u)(u_n - u) dx \rightarrow 0, \quad \text{as } n \rightarrow \infty.$$

Clearly,

$$(3.12) \quad \langle J'_\lambda(u_n) - J'_\lambda(u), u_n - u \rangle \rightarrow 0, \quad \text{as } n \rightarrow \infty.$$

It follows from (3.8), (3.10), (3.11) and (3.12) that $\|u_n - u\| \rightarrow 0$. □

Lemma 3.3. *If (V), (h₁), (f₁) – (f₃) hold, then there exist α , ρ and $\bar{\lambda} > 0$ such that $J_\lambda(u) \geq \alpha$ whenever $\|u\| = \rho$ and $\lambda \in [-\bar{\lambda}, \bar{\lambda}]$.*

Proof. (h₁) together with (2.1) and Hölder’s inequality imply that

$$\begin{aligned}
 (3.13) \quad \int_{\mathbb{R}^N} |H(x, u)| & \leq \sum_{i=1}^m \int_{\mathbb{R}^N} \sum_{i=1}^m \frac{1}{\delta_i} |\xi_i(x)| |u|^{\delta_i} \\
 & = \sum_{i=1}^m \frac{V_0^{-\frac{\delta_i}{2}}}{\delta_i} |\xi_i(x)|_{\frac{2}{2-\delta_i}} \|u\|^{\delta_i} \\
 & \leq C_4 \|u\|^{\delta_m},
 \end{aligned}$$

1 for $\|u\|$ large enough. It follows from (3.3) and (3.13) that

$$\begin{aligned}
 2 \quad J_\lambda(u) &= \frac{1}{2}\|u\|^2 + \frac{b}{4} \left(\int_{\mathbb{R}^N} |\nabla u|^2 \right)^2 - \int_{\mathbb{R}^N} F(x, u) - \lambda \int_{\mathbb{R}^N} H(x, u) \\
 3 \quad &\geq \frac{1}{2}\|u\|^2 - \int_{\mathbb{R}^N} F(x, u) - |\lambda| \int_{\mathbb{R}^N} H(x, u) \\
 4 \quad &\geq \frac{1}{2}\|u\|^2 - \int_{\mathbb{R}^N} (\varepsilon|u|^2 + C(\varepsilon)|u|^p) - |\lambda|C_4\|u\|^{\delta_m} \\
 5 \quad &\geq \frac{1}{2}\|u\|^2 - \varepsilon\eta_2^2\|u\|^2 - C(\varepsilon)\eta_p^p\|u\|^p - |\lambda|C_4\|u\|^{\delta_m} \\
 6 \quad &= \|u\|^2 \left(\frac{1}{2} - \varepsilon\eta_2^2 - C(\varepsilon)\eta_p^p\|u\|^{p-2} - |\lambda|C_4\|u\|^{\delta_m-2} \right).
 \end{aligned}$$

7 For $\varepsilon \leq \frac{1}{4\eta_2^2}$, we have

$$8 \quad J_\lambda(u) \geq \|u\|^2 \left(\frac{1}{4} - C(\varepsilon)\eta_p^p\|u\|^{p-2} - |\lambda|C_4\|u\|^{\delta_m-2} \right).$$

9 Let

$$10 \quad (3.14) \quad g(t) = C_5 t^{p-2} + |\lambda|C_4 t^{\delta_m-2}, \quad t \geq 0,$$

11 and then we get $\lim_{t \rightarrow +\infty} g(t) = \lim_{t \rightarrow 0^+} g(t) = +\infty$, which implies that $g(t)$ is bounded below, thus $g(t)$ admits a minimizer t_0 :

$$12 \quad t_0 = \left(\frac{|\lambda|C_4(\delta_m-2)}{C_5(2-p)} \right)^{\frac{1}{p-\delta_m}}.$$

13 It follows from (3.14) that

$$\begin{aligned}
 14 \quad \inf_{t \in [0, +\infty)} g(t) &= g(t_0) \\
 15 \quad &= C_5 \left(\frac{|\lambda|C_4(\delta_m-2)}{C_5(2-p)} \right)^{\frac{p-2}{p-\delta_m}} + |\lambda|C_4 \left(\frac{|\lambda|C_4(\delta_m-2)}{C_5(2-p)} \right)^{\frac{\delta_m-2}{p-\delta_m}} \\
 16 \quad &= |\lambda|^{\frac{p-2}{p-\delta_m}} G,
 \end{aligned}$$

17 with $G = C_5 \left(\frac{C_4(\delta_m-2)}{C_5(2-p)} \right)^{\frac{p-2}{p-\delta_m}} + C_4 \left(\frac{C_4(\delta_m-2)}{C_5(2-p)} \right)^{\frac{\delta_m-2}{p-\delta_m}}$.

18 Then for $|\lambda| \leq \left(\frac{1}{4G} \right)^{\frac{p-\delta_m}{p-2}} := \bar{\lambda}$, we have

$$19 \quad g(t_0) < \frac{1}{4},$$

20 thus, whenever $\lambda \in [-\bar{\lambda}, \bar{\lambda}]$, there exists $\rho = t_0 > 0$ such that $\|u\| = \rho$ and $J_\lambda(u) \geq \alpha > 0 = J_\lambda(0)$. \square

1 **Lemma 3.4.** Assume that (h_1) , $(f_1) - (f_3)$ hold, then there exists $e \in E$, such that $J_\lambda(e) < 0$ with
 2 $\|e\| > \rho$.

3 *Proof.* For every $M > 0$, by $(f_1) - (f_3)$, there exists $C(M) > 0$ such that
 4

5
 6 (3.15)
$$F(x, t) \geq M|t|^4 - C(M)|t|^2, \quad \forall (x, t) \in \mathbb{R}^N \times \mathbb{R}.$$

 7

8 Choose $\phi \in E$ with $|\phi|_4 = 1$, then for $t > 0$, we have
 9

10
 11
$$J_\lambda(t\phi) = \frac{t^2}{2} \|\phi\|^2 + \frac{t^4 b}{4} \left(\int_{\mathbb{R}^N} |\nabla \phi|^2 dx \right)^2 - \int_{\mathbb{R}^N} F(x, t\phi) - \lambda \int_{\mathbb{R}^N} H(x, t\phi)$$

 12
 13
$$\leq \frac{t^2}{2} \|\phi\|^2 + \frac{t^4 b}{4} \|\phi\|^4 - Mt^4 |\phi|_4^4 + t^2 C(M) |\phi|_2^2 + t^{\delta_m} |\lambda| C_4 \|\phi\|^{\delta_m}.$$

 14
 15

16 which implies $J_\lambda(t\phi) \rightarrow -\infty$ as $t \rightarrow +\infty$ by taking $M > \frac{b}{4} \|\phi\|^4$. So, there exists $e = t_0\phi$ such that
 17 $\|e\| > \rho$ and $J_\lambda(e) < 0 = J_\lambda(0)$. □

18
 19 *Proof of Theorems 1.1.* We have $J_\lambda \in C^1(E, \mathbb{R})$ and $J_\lambda(0) = 0$. On the other hand, condition (S_1) is
 20 satisfied whenever $|\lambda| \leq \bar{\lambda}$ due to Lemma 3.3, and the functional J_λ satisfies the conditions (S_2) due to
 21 Lemma 3.4. Moreover, by Lemmas 3.1, 3.2 J_λ satisfies the $(PS)_c$ condition. Therefore, the functional
 22 J_λ has at least one nontrivial solution $u \in E$, whenever $|\lambda| \leq \bar{\lambda}$. □

4. Proof of Theorems 1.2

25 In this section, we prove the existence of sequence of solutions with high energy to problem 1.1.

26 Since $E \hookrightarrow L^2$, and L^2 is a separable Hilbert space, E has a countable orthogonal basis $\{e_i\}_{i=1}^\infty$. Set

27
 28
 29
$$E_i = \text{span}\{e_i\}, \quad Y_k = \bigoplus_{i=1}^k E_i, \quad Z_k = \overline{\bigoplus_{i=k+1}^\infty E_i}, \quad k \in \mathbb{N}^*.$$

 30
 31

32 Then, $E = \overline{\bigoplus_{i=1}^\infty E_i}$ and Y_k is finite dimensional.

33 **Lemma 4.1** ([14], Lemma 3.8). *If $2 \leq s < 2^*$ then we have that*

34
 35
$$\beta_k := \sup_{u \in Z_k, \|u\|=1} |u|_s \rightarrow 0, \quad \text{as } k \rightarrow \infty.$$

 36
 37

38 *Proof.* It is clear that $0 < \beta_{k+1} \leq \beta_k$, so $\beta_k \rightarrow \beta \geq 0 (k \rightarrow \infty)$. For every $k \in \mathbb{N}$ (by the definition of β_k
 39), there exists $u_k \in Z_k$ such that $\|u_k\| = 1$ and

40
 41 (4.1)
$$|u_k|_s > \frac{\beta}{2} > 0.$$

 42

1 For any $v = \sum_{i=1}^{\infty} v_i e_i$, we have, by the Cauchy-Schwartz inequality,
 2

$$\begin{aligned} 3 \quad |\langle u_k, v \rangle| &= \left| \left\langle u_k, \sum_{i=1}^{\infty} v_i e_i \right\rangle \right| = \left| \left\langle u_k, \sum_{i=k+1}^{\infty} v_i e_i \right\rangle \right| \\ 4 & \\ 5 & \\ 6 & \leq \|u_k\| \left\| \sum_{i=k+1}^{\infty} v_i e_i \right\| \\ 7 & \\ 8 & = \left(\sum_{i=k+1}^{\infty} v_i^2 \right)^{1/2} \rightarrow 0, \end{aligned}$$

9 as $k \rightarrow \infty$, which implies that $u_k \rightarrow 0$ in E . The compact embedding of $E \hookrightarrow L^s(\mathbb{R}^N)$ ($2 \leq s < 2^*$)
 10 implies that
 11

$$12 \quad u_k \rightarrow 0 \quad \text{in } L^s(\mathbb{R}^N) \quad (2 \leq s < 2^*).$$

13 Hence, letting $k \rightarrow \infty$ in (4.1), we get $\beta = 0$, which completes the proof. \square

14 **Lemma 4.2.** Assume that (V), (h_1) , (f_1) and (f_2) hold, then there exist $\bar{\lambda} > 0$ and $\gamma_k > 0$ such that

$$15 \quad \inf_{u \in Z_k, \|u\| = \gamma_k} J_{\lambda}(u) \rightarrow +\infty \text{ as } k \rightarrow \infty,$$

16 whenever $\lambda \in [-\bar{\lambda}, \bar{\lambda}]$.

17 *Proof.* Lemma 4.1 implies that

$$18 \quad (4.2) \quad \|u\|_s \leq \beta_k \|u\|, \quad 1 \leq s < 2^*.$$

19 Thus, by (3.13), (3.3), Lemma 3.1 and (4.2) we have,

$$\begin{aligned} 20 \quad J_{\lambda}(u) &= \frac{1}{2} \|u\|^2 + \frac{b}{4} \left(\int_{\mathbb{R}^N} |\nabla u|^2 \right)^2 - \int_{\mathbb{R}^N} F(x, u) - \lambda \int_{\mathbb{R}^N} H(x, u) \\ 21 & \\ 22 & \geq \frac{1}{2} \|u\|^2 - \int_{\mathbb{R}^N} F(x, u) - \lambda \int_{\mathbb{R}^N} H(x, u) \\ 23 & \\ 24 & \geq \frac{1}{2} \|u\|^2 - \int_{\mathbb{R}^N} (\varepsilon |u|^2 + C(\varepsilon) |u|^p) - |\lambda| C_4 \|u\|^{\delta_m} \\ 25 & \\ 26 & \geq \frac{1}{2} \|u\|^2 - \varepsilon \eta_2^2 \|u\|^2 - C(\varepsilon) \beta_k^p \|u\|^p - |\lambda| C_4 \|u\|^{\delta_m} \\ 27 & \\ 28 & = \|u\|^2 \left(\frac{1}{2} - \varepsilon \eta_2^2 - C(\varepsilon) \beta_k^p \|u\|^{p-2} - |\lambda| C_4 \|u\|^{\delta_m-2} \right). \end{aligned}$$

29 Taking $\varepsilon \leq \frac{1}{4\eta_2^2}$, we have

$$30 \quad J_{\lambda}(u) \geq \|u\|^2 \left(\frac{1}{4} - C(\varepsilon) \beta_k^p \|u\|^{p-2} - |\lambda| C_4 \|u\|^{\delta_m-2} \right).$$

EXISTENCE OF INFINITELY MANY HIGH ENERGY SOLUTIONS FOR A FOURTH-ORDER KIRCHHOFF TYPE EQUATION

1 Choose

2

3

$$\gamma_k = \left(\frac{|\lambda|C_4(\delta_m - 2)}{C(\varepsilon)\beta_k^p(2-p)} \right)^{\frac{1}{p-\delta_m}}.$$

4 such that

5

6

$$b_k := \inf_{u \in Z_k, \|u\|=\gamma_k} J_\lambda(u) \geq \frac{1}{8}\gamma_k^2.$$

7

8

Since $\beta_k \rightarrow 0$, $1 < \delta_m < 2$ and $4 < p < 2^*$ thus

9

$$b_k \rightarrow +\infty, \quad \text{as } k \rightarrow +\infty.$$

10

□

11

12 **Lemma 4.3.** Assume that (V), (h₁), and (f₁) – (f₃) hold, then for any finite dimensional subspace
13 $Y_k \subset E$, there holds

14

$$\max_{u \in Y_k, \|u\|=\rho_k} J_\lambda(u) \leq 0.$$

15

16 *Proof.* Let Y_k be any finite dimensional subspace of E , by (3.13) and (3.15) we have

17

18

19

$$\begin{aligned} J_\lambda(u) &= \frac{1}{2}\|u\|^2 + \frac{b}{4} \left(\int_{\mathbb{R}^N} |\nabla u|^2 \right)^2 - \int_{\mathbb{R}^N} F(x, u) - \lambda \int_{\mathbb{R}^N} H(x, u) \\ &\leq \frac{1}{2}\|u\|^2 + \frac{b}{4}\|u\|^4 - M \int_{\mathbb{R}^N} |u|^4 + C(M) \int_{\mathbb{R}^N} |u|^2 + |\lambda| \int_{\mathbb{R}^N} H(x, u) \\ &\leq \frac{1}{2}\|u\|^2 + \frac{b}{4}\|u\|^4 - M|u|_4^4 + C(M)\eta_2^2\|u\|^2 + |\lambda|C_4\|u\|^{\delta_m}. \end{aligned}$$

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24

25

26

Since on the finite dimensional space Y_k all norms are equivalent, so we can choose a constant $c_2 > 0$
27 such that

28

$$|u|_4 \geq c_2\|u\|, \quad \forall u \in Y_k.$$

29

Therefore, one has

30

31

32

$$J_\lambda(u) \leq \frac{1}{2}\|u\|^2 + \frac{b}{4}\|u\|^4 - Mc_2^4\|u\|^4 + C(M)\eta_2^2\|u\|^2 + |\lambda|C_4\|u\|^{\delta_m}.$$

33

Hence, choosing $M > \frac{b}{4c_2^4}$, we conclude that there exists $\rho_k > \gamma_k > 0$ such that

34

35

$$\max_{u \in Y_k, \|u\|=\rho_k} J_\lambda(u) \leq 0.$$

36

□

37

38 *Proof of Theorems 1.2.* Evidently, the functional J_λ defined in (2.3) is an even functional in view of
39 (f₅) and (h₂) with $J_\lambda(0) = 0$. Besides, Lemma 2.2 shows that $J_\lambda \in C^1(E, \mathbb{R})$ and satisfies conditions
40 (A₁) and (A₂) in Theorem 2.5. Thus, by Theorem 2.5, we get a sequence of nontrivial critical points
41 $\{u_k\} \subset E$ of J_λ satisfying $J_\lambda(u_k) \rightarrow 0$ as $k \rightarrow \infty$ and $u_k \rightarrow 0$ in E as $k \rightarrow \infty$. whenever $|\lambda| \leq \bar{\lambda}$, that is,
42 problem 1.1 possesses infinitely many solutions. This ends the proof. □

EXISTENCE OF INFINITELY MANY HIGH ENERGY SOLUTIONS FOR A FOURTH-ORDER KIRCHHOFF TYPE EQUATION

1 *Proof of Corollary 1.3.* It is sufficient to show that $(f'_3), (f'_4)$ imply $(f_3), (f_4)$. Indeed, For any
 2 $(x, z) \in \mathbb{R}^N \times \mathbb{R}$, set

$$3 \tau(t) := F(x, t^{-1}z) t^\mu, \quad \forall t \in \left[1, \frac{|z|}{r}\right].$$

4 By (f'_4) , for $|z| \geq r$, one has

$$5 \tau'(t) = f\left(x, \frac{z}{t}\right) \left(-\frac{z}{t^2}\right) t^\mu + \mu F\left(x, \frac{z}{t}\right) t^{\mu-1},$$

$$6 t^{\mu-1} \left[\mu F\left(x, \frac{z}{t}\right) - f\left(x, \frac{z}{t}\right) \frac{z}{t} \right] \leq dt^{\mu-3} |z|^2 + \mu |\psi(x)| t^{\mu-1}.$$

7 Thus,

$$8 \tau\left(\frac{|z|}{r}\right) - \tau(1) = \int_1^{\frac{|z|}{r}} \tau'(t) dt \leq \frac{d|z|^\mu}{(\mu-2)r^{\mu-2}} - \frac{d|z|^2}{\mu-2} + \frac{|z|^\mu |\psi(x)|}{r^\mu} - |\psi(x)|.$$

9 Hence, for any $x \in \mathbb{R}^N$ and $|z| \geq r$, by (f'_3) , one has

$$10 F(x, z) = \tau(1) \geq \tau\left(\frac{|z|}{r}\right) + \frac{d|z|^2}{\mu-2} - \frac{d|z|^\mu}{(\mu-2)r^{\mu-2}} - \frac{|z|^\mu |\psi(x)|}{r^\mu} + |\psi(x)|$$

$$11 (4.3) \geq \inf_{x \in \mathbb{R}^N, |t|=r} F(x, t) \left(\frac{|z|}{r}\right)^\mu - \frac{d|z|^\mu}{(\mu-2)r^{\mu-2}} - \frac{|z|^\mu |\psi(x)|}{r^\mu}$$

$$12 \geq C_7 |z|^\mu,$$

13 where $C_7 = \frac{l}{r^\mu} - \frac{d}{(\mu-2)r^{\mu-2}} - \frac{\psi_0}{r^\mu}$, $C_7 > 0$ in view of $d \in \left[0, \frac{(l-\psi_0)(\mu-2)}{r^2}\right)$.

14 We obtain from (4.3) that

$$15 (4.4) \frac{F(x, z)}{z^4} \geq C_7 |z|^{\mu-4}, \quad \forall x \in \mathbb{R}^N \text{ and } |z| \geq r.$$

16 Noticing that $\mu > 4$, then (4.4) implies (f_3) . Furthermore, it follows from (4.4) and (f'_4) that

$$17 4F(x, z) - f(x, z)z = \mu F(x, z) - f(x, z)z + (4 - \mu)F(x, z) \leq d|z|^2 + \mu \psi_0 - (\mu - 4)C_4 |z|^\mu$$

18 for all $x \in \mathbb{R}^N$ and $|z| \geq r$. This, together with $\mu > 4$, shows there exists $L > 0$ such that

$$19 4F(x, z) - f(x, z)z < 0 \quad \forall x \in \mathbb{R}^N \text{ and } |z| \geq L,$$

20 which implies (f_4) . □

21 *Proof of Corollary 1.4.* The proof of this Corollary is almost the same to the one of Corollary 1.3. So
 22 we omit it here. □

23 **Corollary 4.4.** *The conclusion of Corollary 1.4 holds if we replace (f'_4) by the following condition:*

24 (f''_4) $t \rightarrow f(x, t)/|t|^{\mu-1}$ is increasing on $(-\infty, 0)$ and $(0, +\infty)$.

25 *Proof.* In fact, if $t > 0$, from (f''_4) we have

$$26 (4.5) F(x, t) = \int_0^1 f(x, st) t \, ds = \int_0^1 \frac{f(x, st)}{(st)^{\mu-1}} t^\mu s^{\mu-1} \, ds \leq \int_0^1 \frac{f(x, t)}{t^{\mu-1}} t^\mu s^{\mu-1} \, ds \leq \frac{1}{\mu} f(x, t) t + \frac{d'}{\mu} |t|^2.$$

1 Otherwise, if $t < 0$, then

$$\begin{aligned}
 2 \\
 3 \quad F(x, t) &= \int_0^1 f(x, st)t \, ds = - \int_0^1 \frac{f(x, st)}{(-st)^{\mu-1}} (-t)^\mu s^{\mu-1} \, ds \\
 4 \\
 5 &= - \int_0^1 \frac{f(x, st)}{|st|^{\mu-1}} |t|^\mu s^{\mu-1} \, ds \\
 6 \\
 7 \quad (4.6) \quad &\leq - \int_0^1 \frac{f(x, t)}{|t|^{\mu-1}} |t|^\mu s^{\mu-1} \, ds \\
 8 \\
 9 &\leq \frac{1}{\mu} f(x, t)t + \frac{d'}{\mu} |t|^2. \\
 10 \\
 11
 \end{aligned}$$

12 Therefore, (4.5) and (4.6) show that (f_4'') holds. □

13 **Corollary 4.5.** *If the following condition (f_3''') is used in place of (f_3'') of Corollary 1.4.*

14 (f_3''') *There exist $4 < \alpha < 2^*$ such that*

$$\liminf_{|u| \rightarrow \infty} \frac{F(x, u)}{|u|^\alpha} > 0, \text{ uniformly for } x \in \mathbb{R}^N,$$

18 then Corollary 1.4 remains true.

19 *Proof.* We only need to prove (f_3'') . Indeed, by (f_3''') , we can take a $\omega \in \left(0, \liminf_{|u| \rightarrow \infty} \frac{F(x, u)}{|u|^\alpha}\right)$ small enough such that

$$F(x, u) \geq \omega |u|^\alpha, \quad \text{for } |u| \text{ large enough.}$$

23 then though the above inequality, we know that (f_3''') implies (f_3'') . It means that Corollary 1.4 generalizes Corollary 4.5. This proof ends. □

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