

Classical and adelic Eisenstein series

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Abstract

We carry out “Hecke summation” for the classical Eisenstein series E_k in an adelic setting. The connection between classical and adelic functions is made by explicit calculations of local and global intertwining operators and Whittaker functions. In the process we determine the automorphic representations generated by the E_k , in particular for $k = 2$, where the representation is neither a pure tensor nor has finite length. We also consider Eisenstein series of weight 2 with level, and Eisenstein series with character.

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1 Introduction

Let $F \in S_k(\Gamma_0(N))$ be a cusp form of weight k and level N , assumed to be an eigenform for almost all Hecke operators. By strong approximation, there exists a unique function Φ on $G(\mathbb{A})$, where $G = \mathrm{GL}(2)$ and \mathbb{A} is the ring of adèles of \mathbb{Q} , such that Φ is left invariant under $G(\mathbb{Q})$, right invariant under $G(\mathbb{Z}_p)$ for all primes $p \nmid N$ and under the local congruence subgroups $\Gamma_0(p^{v_p(N)}\mathbb{Z}_p)$ for $p \mid N$, and such that

$$F(z) = y^{-k/2}\Phi\left(\begin{bmatrix} 1 & x \\ & 1 \end{bmatrix} \begin{bmatrix} y & \\ & 1 \end{bmatrix}\right), \quad z = x + iy. \quad (1)$$

We say that Φ is the automorphic form corresponding to F . The group $G(\mathbb{A})$, or more precisely the global Hecke algebra \mathcal{H} , acts on Φ by right translation, generating a representation π . This π turns out to be irreducible, resulting in a factorization $\pi \cong \bigotimes \pi_v$ over the places of \mathbb{Q} , with representations π_v of the local Hecke algebras \mathcal{H}_v . Since F is holomorphic, the archimedean π_∞ is the discrete series representation $\mathcal{D}_{k-1}^{\mathrm{hol}}$ with lowest weight k . For finite primes $p \nmid N$, the π_p are spherical with Satake parameters related to the Hecke eigenvalues of F .

The standard proof that π is irreducible goes as follows (see [6, Thm. 5.19], [1, Thm. 3.6.1]). Since Φ is a cuspidal automorphic form, it lies in $L^2(G(\mathbb{Q})Z(\mathbb{A})\backslash G(\mathbb{A}))$, where Z denotes the center of G . As a consequence, π decomposes into a direct sum $\bigoplus \pi_i$, actually finite, with irreducible π_i . The π_i are all near-equivalent, meaning if we factor $\pi_i \cong \bigotimes \pi_{i,v}$, then for any pair of indices (i, j) we have $\pi_{i,p} \cong \pi_{j,p}$ for almost all p . Now one invokes the strong multiplicity one theorem to conclude that π_i and π_j are identical. In other words, π must be irreducible.

Clearly, this proof does not work for non-cusp forms. For one, the corresponding automorphic form Φ may no longer be square-integrable. Also, the strong multiplicity one theorem is a result for cusp forms only (or for isobaric non-cusp forms [12, § 2], but the representations involved here are not isobaric). Hence, even for the full-level holomorphic Eisenstein series

$$E_k(z) = \frac{1}{2\zeta(k)} \sum_{\substack{c,d \in \mathbb{Z} \\ (c,d) \neq (0,0)}} \frac{1}{(cz + d)^k}, \quad (2)$$

where $k \geq 4$ is an even integer, it is not obvious that the corresponding automorphic form Φ_k generates an irreducible representation.

One strategy to prove irreducibility starts with the global parabolically induced representation $V_s := |\cdot|^s \times |\cdot|^{-s}$, where s is a complex parameter. Assuming that $\mathrm{Re}(s) > 1/2$ to assure absolute convergence, one can construct the adelic Eisenstein series

$$E(g, f) = \sum_{\gamma \in B(\mathbb{Q})\backslash G(\mathbb{Q})} f(\gamma g), \quad g \in G(\mathbb{A}), \quad (3)$$

where B denotes the upper triangular subgroup of G . Evidently, the map $f \mapsto E(\cdot, f)$ is an intertwining operator from V_s to the space of automorphic forms. Now for an appropriately chosen weight- k function $f_k \in V_{(k-1)/2}$ it turns out that $\Phi_k = E(\cdot, f_k)$ is the automorphic form corresponding to E_k ; see Theorem 5.4. Since f_k generates an irreducible representation, which is easily identified, the intertwining property implies that Φ_k generates the same representation. In this manner one has proved irreducibility for $k \geq 4$. See Corollary 5.7 for the precise identification of the global representation generated by Φ_k .

Certainly, this approach via adelic Eisenstein series is well known. A less familiar situation occurs for weight $k = 2$, and indeed it is this case which provided the original motivation for this work. Recall that E_2 is a non-holomorphic modular form of weight 2, given by the conditionally convergent series

$$E_2(z) = -\frac{3}{\pi y} + \frac{1}{2\zeta(2)} \sum_{c \in \mathbb{Z}} \sum_{\substack{d \in \mathbb{Z} \\ (c,d) \neq (0,0)}} \frac{1}{(cz + d)^2}. \quad (4)$$

(See [3, Sect. 1.2].) What representation is generated by the corresponding automorphic form Φ_2 ? Imitating the above approach, we would start with an appropriate weight-2 vector $f_2 \in V_{1/2}$. The first difficulty we run into is that the Eisenstein series (3) is no longer absolutely convergent for $s = 1/2$. This difficulty can be overcome by the familiar process of analytic continuation (also known as ‘‘Hecke summation’’, pioneered in the work [8]): One embeds f_2 into a ‘‘flat section’’, considers the summation (3) in the region of absolute convergence, writes down the Fourier expansion of the Eisenstein series, and observes that each piece admits analytic continuation to a meromorphic function on all of \mathbb{C} . A subtlety here is that, for some $f \in V_{1/2}$, the Eisenstein series has a pole at $s = 1/2$. However, for $f = f_2$ there is no pole, so that $\Phi_2 := E(\cdot, f_2)$ is well-defined via analytic continuation.

The second difficulty we encounter is whether the map $f \mapsto E(\cdot, f)$ is still \mathcal{H} -intertwining. First we have to clarify what this means, since, as mentioned, the map is not defined on all of $V_{1/2}$. In Proposition 3.6 we will identify a 1-codimensional subspace $V'_{1/2}$ for which $E(\cdot, f)$ can be defined. It turns out that the map $f \mapsto E(\cdot, f)$ is not \mathcal{H} -intertwining when restricted to $V'_{1/2}$. We therefore identify an even smaller subspace $V''_{1/2}$ by excluding all weight-0 functions. The map $f \mapsto E(\cdot, f)$, restricted to $V''_{1/2}$, is still not quite \mathcal{H} -intertwining, but almost; see Lemma 5.3.

The third difficulty in imitating the proof of the $k \geq 4$ case is that the space $V''_{1/2}$ is not an irreducible \mathcal{H} -module, but in fact highly reducible. Therefore the injectivity of the map $f \mapsto E(\cdot, f)$ restricted to $V''_{1/2}$ has to be proven in a different way. Our main argument here is contained in Lemma 5.9. Eventually we arrive at the following result on the structure of $\mathcal{H}\Phi_2$.

Theorem 1.1 (Theorem 5.11). *The global representation $\mathcal{H}\Phi_2$ admits a filtration $0 \subset \mathbb{C} \subset \mathcal{H}\Phi_2$, where \mathbb{C} is the space of constant automorphic forms, and*

$$\mathcal{H}\Phi_2/\mathbb{C} \cong \mathcal{D}_1^{\text{hol}} \otimes \bigotimes_{p < \infty} V_{1/2,p}$$

as \mathcal{H} -modules. Here, $\mathcal{D}_1^{\text{hol}}$ is the lowest discrete series representation (lowest weight 2) of $\text{PGL}(2, \mathbb{R})$.

Observe that the quotient $\mathcal{H}\Phi_2/\mathbb{C}$ factors into local representations analogous to the cases $k \geq 4$ (one difference however being that the local representations at finite places are all reducible). This result has been independently obtained by Horinaga, who took the broader point of view of nearly

holomorphic modular forms; see [9, Thm. 3.8]. We stress that our methods remain elementary and do not require the general theory of Eisenstein series or the theory of nearly holomorphic modular forms.

We note that the Maass lowering operator L defined in Sect. 2.1 annihilates f_2 , but not its image $\Phi_2 = E(\cdot, f_2)$. In fact, it sends Φ_2 to a non-zero constant automorphic form. Hence the presence of the invariant subspace \mathbb{C} is a reflection of the fact that the map $f \mapsto E(\cdot, f)$ fails to be \mathcal{H} -intertwining at the archimedean place.

It is tempting to eliminate the $\frac{1}{y}$ -term in (4) by forming the function $\tilde{E}_{2,N}(z) = E_2(z) - NE_2(Nz)$ for a positive integer $N > 1$. Then indeed $\tilde{E}_{2,N} \in M_2(\Gamma_0(N))$. In Sect. 5.3 we consider the adelic origin of these modular forms with level. Since $\tilde{E}_{2,MN}(z) = M\tilde{E}_{2,N}(Mz) + \tilde{E}_{2,M}(z)$, it suffices to consider square-free N . In this case the functions $f_{2,N} \in V_{1/2}$ defined in (118) are the natural candidates for an “ f_2 with level”. It turns out that the adelic Eisenstein series $\Phi_{2,N} := E(\cdot, f_{2,N})$ does not correspond to $\tilde{E}_{2,N}$, but to a different modular form $E_{2,N} \in M_2(\Gamma_0(N))$, which we identify in Theorem 5.5. The $E_{2,N}$ have a somewhat more natural Fourier expansion than the $\tilde{E}_{2,N}$. In Proposition 5.6 we clarify the relationship between these two types of functions. The following result identifies the global representation $\mathcal{H}\Phi_{2,N}$ as a (highly reducible) tensor product of local representations.

Theorem 1.2 (Theorem 5.12). *For a square-free integer $N > 1$,*

$$\mathcal{H}\Phi_{2,N} \cong \mathcal{D}_1^{\text{hol}} \otimes \left(\bigotimes_{p|N} \mathcal{D}_p \right) \otimes \left(\bigotimes_{p \nmid N} V_{1/2,p} \right),$$

where \mathcal{D}_p is the Steinberg representation of $\text{GL}(2, \mathbb{Q}_p)$.

We note that the global representations generated by the automorphic forms corresponding to the $\tilde{E}_{2,N}$ are in general not tensor products.

In the final section we repeat parts of the previous theory in a modified setting involving a primitive Dirichlet character ξ of conductor $u > 1$ and the corresponding character $\chi = \otimes \chi_v$ of $\mathbb{Q}^\times \backslash \mathbb{A}^\times$. The relevant global representations are now $V_{s,\chi} := \chi \cdot |\cdot|^s \times \chi^{-1} \cdot |\cdot|^{-s}$. The point is that for $s = (k-1)/2$ the archimedean component V_{s,χ_∞} still contains the holomorphic discrete series representation $\mathcal{D}_{k-1}^{\text{hol}}$ as a submodule, allowing us to construct from $V_{(k-1)/2,\chi}$ holomorphic modular forms of weight k by choosing appropriate vectors $f_{k,\chi} \in V_{(k-1)/2,\chi}$ and forming an Eisenstein series. This way we obtain certain elements of $M_k(\Gamma_0(u^2))$, which are familiar from the classical theory; see Sect. 6.4. For $k = 2$ we go one step further and consider natural vectors $f_{2,N,\chi} \in V_{1/2,\chi}$, where N is an appropriately chosen squarefree integer. These lead to Eisenstein series in $M_2(\Gamma_0(u^2N))$ whose Fourier expansion is identified in Theorem 6.6. To the best of our knowledge these Eisenstein series have not been previously considered, but in Proposition 6.7 we relate them to certain oldforms that can be found in the literature. Finally we identify the global representations generated by the Eisenstein series with character. This is now easier since the global intertwining operator is zero, implying that the map $f \mapsto E(\cdot, f)$ commutes with the action of the global Hecke algebra.

The Hecke summation can be carried out in a similar manner for Eisenstein series on higher rank symplectic groups. While working on the degree two case we realized that a detailed analysis of the degree one case would be helpful, which provided the motivation for this work.

The structure of this paper is as follows. In Sect. 2 we review some of our notation and basic theory used in various parts of the paper. In Sect. 3, we compute the local and global intertwining

operators for vectors in V_s . In Sect. 4, we compute the local and global Whittaker integrals for vectors in V_s . The calculations from Sects. 3 and 4 are essential components in proving our main results on adelic and classical Eisenstein series without character in Sect. 5. Finally, in Sect. 6, we treat Eisenstein series with character.

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2 Preparations

In this section we review some basic facts about differential operators, Hecke algebras and induced representations which will be used throughout the paper.

2.1 Differential operators

The Lie algebra $\mathfrak{sl}(2, \mathbb{R})$ is spanned by

$$\hat{H} = \begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix}, \quad \hat{R} = \begin{bmatrix} 0 & 1 \\ 0 & 0 \end{bmatrix}, \quad \hat{L} = \begin{bmatrix} 0 & 0 \\ 1 & 0 \end{bmatrix}, \quad (5)$$

with the commutation relations $[\hat{H}, \hat{R}] = 2\hat{R}$, $[\hat{H}, \hat{L}] = -2\hat{L}$ and $[\hat{R}, \hat{L}] = \hat{H}$. Its complexification $\mathfrak{sl}(2, \mathbb{C})$ is spanned by

$$H = -i \begin{bmatrix} 0 & 1 \\ -1 & 0 \end{bmatrix}, \quad R = \frac{1}{2} \begin{bmatrix} 1 & i \\ i & -1 \end{bmatrix}, \quad L = \frac{1}{2} \begin{bmatrix} 1 & -i \\ -i & -1 \end{bmatrix} \quad (6)$$

with the commutation relations $[H, R] = 2R$, $[H, L] = -2L$ and $[R, L] = H$. For $\theta \in \mathbb{R}$, let

$$r(\theta) = \begin{bmatrix} \cos(\theta) & \sin(\theta) \\ -\sin(\theta) & \cos(\theta) \end{bmatrix}. \quad (7)$$

For an integer k , let $W(k)$ be the space of smooth functions Φ on $\mathrm{SL}(2, \mathbb{R})$ with the property

$$\Phi(gr(\theta)) = e^{ik\theta} \Phi(g), \quad \theta \in \mathbb{R}, g \in \mathrm{SL}(2, \mathbb{R}). \quad (8)$$

This condition is equivalent to $H\Phi = k\Phi$. It follows that R induces a map $W(k) \rightarrow W(k+2)$ and L induces a map $W(k) \rightarrow W(k-2)$. Let W be the space of smooth functions on the complex upper half plane \mathbb{H} . For $\Phi \in W(k)$ we define an element $\tilde{\Phi} \in W$ by

$$\tilde{\Phi}(x+iy) = y^{-k/2} \Phi\left(\begin{bmatrix} 1 & x \\ & 1 \end{bmatrix} \begin{bmatrix} y^{1/2} & \\ & y^{-1/2} \end{bmatrix}\right). \quad (9)$$

The map $\Phi \mapsto \tilde{\Phi}$ establishes an isomorphism $W(k) \cong W$.

Proposition 2.1. *Define operators R_k, L_k on the space W of smooth functions on \mathbb{H} by*

$$R_k = \frac{k}{y} + 2i \frac{\partial}{\partial \tau}, \quad L_k = -2iy^2 \frac{\partial}{\partial \bar{\tau}}.$$

Then the diagrams

$$\begin{array}{ccc}
 W(k) & \xrightarrow{\sim} & W \\
 L \downarrow & & \downarrow L_k \\
 W(k-2) & \xrightarrow{\sim} & W
 \end{array}
 \qquad
 \begin{array}{ccc}
 W(k) & \xrightarrow{\sim} & W \\
 R \downarrow & & \downarrow R_k \\
 W(k+2) & \xrightarrow{\sim} & W
 \end{array}$$

are commutative.

Proof. Standard calculations. □

2.2 Hecke algebras

To have a convenient notation, we work with the local and global Hecke algebras. In the global case, we will have no opportunity in this note for base fields other than \mathbb{Q} , so we will make the definitions for this case only. Note that the Eisenstein series of weight two is holomorphic when the base field is totally real but not \mathbb{Q} ; see [5, Final Remark on p. 65]. The symbol \mathbb{A} will always denote the ring of adèles of \mathbb{Q} .

For each prime p , let \mathcal{H}_p be the local Hecke algebra at p , consisting of compactly supported, locally constant functions on $G(\mathbb{Q}_p)$. Note that these algebras are non-unital. The category of smooth $G(\mathbb{Q}_p)$ -representations is equivalent to the category of nondegenerate (in the sense of [2]) \mathcal{H}_p -modules. We understand all \mathcal{H}_p -modules to be non-degenerate without mentioning it. We let $\mathcal{H}_{\text{fin}} = \bigotimes \mathcal{H}_p$, the restricted tensor product taken over all prime numbers. Note that the restricted tensor product requires a choice of distinguished vector at almost every place; we always take the characteristic function of $K_p = \text{GL}(2, \mathbb{Z}_p)$ to be the distinguished vector.

We will use the following notations for archimedean objects:

$$G(\mathbb{R}) = \text{GL}(2, \mathbb{R}), \quad \mathfrak{g} = \mathfrak{gl}(2, \mathbb{R}), \quad K_\infty = \text{O}(2). \tag{10}$$

There is a general notion of archimedean Hecke algebra \mathcal{H}_∞ , introduced in [11], such that the category of (\mathfrak{g}, K_∞) -modules is isomorphic to the category of \mathcal{H}_∞ -modules. Like in the p -adic case \mathcal{H}_∞ is non-unital. However, in our situation we can get by with the simpler version of \mathcal{H}_∞ given in [6, Defn. 4.1]. The point is that if a vector v in a (\mathfrak{g}, K_∞) -module has a weight already, then $\text{SO}(2)$ acting on v stays within the same one-dimensional space. We really only need to act with the universal enveloping algebra $\mathcal{U}(\mathfrak{g})$ and the group element $\begin{bmatrix} 1 & \\ & -1 \end{bmatrix}$. Hence we introduce a formal element ε_- and define $\mathcal{H}_\infty = \mathcal{U}(\mathfrak{g}) \oplus \varepsilon_- \mathcal{U}(\mathfrak{g})$, with the multiplication determined by $\varepsilon_-^2 = 1$ and $\varepsilon_- X \varepsilon_- = \begin{bmatrix} 1 & \\ & -1 \end{bmatrix} X \begin{bmatrix} 1 & \\ & -1 \end{bmatrix}$ for $X \in \mathfrak{g}$. Note that this version of \mathcal{H}_∞ actually is unital.

The global Hecke algebra is $\mathcal{H} = \mathcal{H}_\infty \otimes \mathcal{H}_{\text{fin}}$. It acts on the space \mathcal{A} of automorphic forms on $G(\mathbb{A}) := \text{GL}(2, \mathbb{A})$. Any irreducible subquotient is called an automorphic representation. The $G(\mathbb{A})$ -representation generated by an automorphic form Φ is $\mathcal{H}\Phi$. Here, we use the word “ $G(\mathbb{A})$ -representation” as a synonym for \mathcal{H} -module, even though it is not a representation of $G(\mathbb{A})$ in the strict sense of the word.

If (π, V) is an irreducible \mathcal{H} -module, then there exist irreducible \mathcal{H}_p -modules (π_p, V_p) for all places $p \leq \infty$, and for almost all finite p a non-zero K_p -fixed vector v_p , such that π is the restricted tensor product of the representations π_v with respect to these distinguished vectors. We shall simply write $\pi \cong \bigotimes \pi_p$ or $V \cong \bigotimes V_p$.

2.3 Induced representations

In this paper we will work exclusively with parabolically induced representations of $\mathrm{GL}(2)$ over a p -adic field, or over \mathbb{R} , or over the adèles of \mathbb{Q} . We recall some basic facts.

The non-archimedean case

Let F be a non-archimedean local field of characteristic zero. In this context we will always use the following notations. We let \mathfrak{o} be the ring of integers of F , and \mathfrak{p} the maximal ideal of \mathfrak{o} . The symbol ϖ denotes a generator of \mathfrak{p} . The absolute value $|\cdot|$ on F is normalized such that $|\varpi| = q^{-1}$, where $q = \#\mathfrak{o}/\mathfrak{p}$. Let v be the normalized valuation on F . We normalize the Haar measure on F such that the volume of \mathfrak{o} is 1.

Let η be a character of F^\times . Using a common notation, we denote by $\eta \times \eta^{-1}$ the representation of $G = \mathrm{GL}(2, F)$ parabolically induced by the character $\begin{bmatrix} a & b \\ & d \end{bmatrix} \mapsto \eta(a/d)$ of the upper triangular subgroup B . Hence, the standard model of $\eta \times \eta^{-1}$ consists of smooth functions $f : G \rightarrow \mathbb{C}$ with the transformation property

$$f\left(\begin{bmatrix} a & b \\ & d \end{bmatrix}g\right) = \eta(a/d) |a/d|^{1/2} f(g) \quad (11)$$

for $g \in G$, $a, d \in F^\times$, and $b \in F$. The group G acts on this space by right translations. It is known by [10, Thm. 3.3] or [1, Thm. 4.5.1] that $\eta \times \eta^{-1}$ is irreducible except when $\eta^2 = |\cdot|^{\pm 1}$. If $\eta^2 = |\cdot|$, and hence $\eta = \mu|\cdot|^{1/2}$ with a quadratic character μ , then there is an exact sequence

$$0 \longrightarrow \mu \mathrm{St}_{\mathrm{GL}(2, F)} \longrightarrow \mu|\cdot|^{1/2} \times \mu|\cdot|^{-1/2} \longrightarrow \mu 1_{\mathrm{GL}(2, F)} \longrightarrow 0, \quad (12)$$

where $\mathrm{St}_{\mathrm{GL}(2, F)}$ (resp. $1_{\mathrm{GL}(2, F)}$) denotes the Steinberg (resp. trivial) representation of $\mathrm{GL}(2, F)$. If $\eta = \mu|\cdot|^{-1/2}$ with a quadratic character μ , then there is an exact sequence

$$0 \longrightarrow \mu 1_{\mathrm{GL}(2, F)} \longrightarrow \mu|\cdot|^{-1/2} \times \mu|\cdot|^{1/2} \longrightarrow \mu \mathrm{St}_{\mathrm{GL}(2, F)} \longrightarrow 0. \quad (13)$$

In this latter case, the one-dimensional subspace realizing $\mu 1_{\mathrm{GL}(2, F)}$ is spanned by the function $g \mapsto \mu(\det(g))$.

To have a concise notation, we let $V_s = |\cdot|^s \times |\cdot|^{-s}$ for a complex parameter s . Then

$$f\left(\begin{bmatrix} a & b \\ & d \end{bmatrix}g\right) = \left|\frac{a}{d}\right|^{s+1/2} f(g) \quad (14)$$

for the functions in V_s . For $s = 1/2$ and $s = -1/2$ we have the exact sequences

$$0 \longrightarrow \mathrm{St}_{\mathrm{GL}(2, F)} \longrightarrow V_{1/2} \longrightarrow 1_{\mathrm{GL}(2, F)} \longrightarrow 0, \quad (15)$$

$$0 \longrightarrow 1_{\mathrm{GL}(2, F)} \longrightarrow V_{-1/2} \longrightarrow \mathrm{St}_{\mathrm{GL}(2, F)} \longrightarrow 0. \quad (16)$$

The archimedean case

Now consider the field \mathbb{R} with its usual absolute value $|\cdot|$. For a complex parameter s , there exists a Hilbert space representation \hat{V}_s whose space of smooth vectors consists of smooth functions $f : \mathrm{GL}(2, \mathbb{R}) \rightarrow \mathbb{C}$ with the transformation property (14); see [1, Prop. 2.5.3]. We usually work with the subspace V_s of K_∞ -finite vectors, which is a (\mathfrak{g}, K_∞) -module, or equivalently, an \mathcal{H}_∞ -module.

As a vector space, V_s has a basis consisting of the weight- k functions $f_s^{(k)}$ for $k \in 2\mathbb{Z}$, where we use the Iwasawa decomposition to define

$$f_s^{(k)}\left(\begin{bmatrix} a & b \\ & d \end{bmatrix}r(\theta)\right) = \left|\frac{a}{d}\right|^{s+1/2} e^{ik\theta}, \quad r(\theta) = \begin{bmatrix} \cos(\theta) & \sin(\theta) \\ -\sin(\theta) & \cos(\theta) \end{bmatrix}. \quad (17)$$

The following result uses the Lie algebra elements defined in (6).

Lemma 2.2. *Let $f_s^{(k)} \in V_s$ be the function in (17). Then, for all even integers k ,*

$$Hf_s^{(k)} = kf_s^{(k)}, \quad (18)$$

$$Rf_s^{(k)} = \left(s + \frac{1+k}{2}\right)f_s^{(k+2)}, \quad (19)$$

$$Lf_s^{(k)} = \left(s + \frac{1-k}{2}\right)f_s^{(k-2)}, \quad (20)$$

$$\varepsilon_- f_s^{(k)} = f_s^{(-k)}. \quad (21)$$

Proof. Standard calculations. □

It follows that V_s is irreducible unless $s \in \frac{1}{2} + \mathbb{Z}$. If $s = \frac{k-1}{2}$ with a positive even integer k , then there is an exact sequence

$$0 \longrightarrow \mathcal{D}_{k-1}^{\text{hol}} \longrightarrow V_s \longrightarrow \mathcal{F}_{k-1} \longrightarrow 0, \quad (22)$$

where $\mathcal{D}_{k-1}^{\text{hol}}$ is the discrete series representation of $\text{PGL}(2, \mathbb{R})$ with weight structure $[\dots, -k-2, -k, k, k+2, \dots]$, and \mathcal{F}_{k-1} is the $(k-1)$ -dimensional irreducible representation of $\text{PGL}(2, \mathbb{R})$ with weight structure $[-k+2, -k+4, \dots, k-4, k-2]$. If $s = \frac{1-k}{2}$ with a positive even integer k , then there is an exact sequence

$$0 \longrightarrow \mathcal{F}_{k-1} \longrightarrow V_s \longrightarrow \mathcal{D}_{k-1}^{\text{hol}} \longrightarrow 0. \quad (23)$$

We may also consider the twist of V_s by the sign character of \mathbb{R}^\times , i.e. $\text{sgn}|\cdot|^s \times \text{sgn}|\cdot|^{-s}$. Then we have similar reducibilities and exact sequences as in (22), except \mathcal{F}_{k-1} is replaced by the twist $\text{sgn}\mathcal{F}_{k-1}$. (The discrete series representations are invariant under twisting by sgn .)

For $s = 1/2$ and $s = -1/2$ we have, in analogy with (15) and (16), the exact sequences

$$0 \longrightarrow \mathcal{D}_1^{\text{hol}} \longrightarrow V_{1/2} \longrightarrow \mathcal{F}_1 \longrightarrow 0, \quad (24)$$

$$0 \longrightarrow \mathcal{F}_1 \longrightarrow V_{-1/2} \longrightarrow \mathcal{D}_1^{\text{hol}} \longrightarrow 0, \quad (25)$$

where $\mathcal{D}_1^{\text{hol}}$ is the lowest discrete series representation (lowest weight 2) and $\mathcal{F}_1 = 1_{\text{GL}(2, \mathbb{R})}$ is the trivial representation.

The global case

Let \mathbb{A} be the ring of adèles of \mathbb{Q} . In the global context we denote the absolute value on \mathbb{Q}_p by $|\cdot|_p$, the absolute value on \mathbb{R} by $|\cdot|_\infty$, and let $|\cdot| = \prod_{v \leq \infty} |\cdot|_v$ be the global absolute value (the product being over the places of \mathbb{Q}). For a complex parameter s and a place v we have the local \mathcal{H}_v -modules $V_{s,v}$ defined above. We also have an analogous global \mathcal{H} -module V_s , consisting of smooth K_∞ -finite functions f on $\text{GL}(2, \mathbb{A})$ with the transformation property (11). There is a natural isomorphism of \mathcal{H} -modules $V_s \cong \bigotimes V_{s,v}$, where we mean the restricted tensor product over the places of \mathbb{Q} . We take

the unique K_p -invariant function $f_{s,p}^{\text{sph}} \in V_{s,p}$ with the property $f_{s,p}^{\text{sph}}(1) = 1$ as the distinguished vector to form the restricted tensor product.

The global $V_{1/2}$ is highly reducible, since every $V_{1/2,v}$ is reducible. To have a uniform notation, we let \mathcal{D}_v be the infinite-dimensional invariant subspace of $V_{1/2,v}$, and $\mathcal{F}_v = V_{1/2,v}/\mathcal{D}_v$. Hence

$$\mathcal{D}_v \cong \begin{cases} \mathcal{D}_1^{\text{hol}} & \text{if } v = \infty, \\ \text{St}_{\text{GL}(2, \mathbb{Q}_p)} & \text{if } v = p < \infty, \end{cases} \quad (26)$$

$$\mathcal{F}_v \cong \begin{cases} 1_{\text{GL}(2, \mathbb{R})} & \text{if } v = \infty, \\ 1_{\text{GL}(2, \mathbb{Q}_p)} & \text{if } v = p < \infty. \end{cases} \quad (27)$$

By [13, Lemma 1], the irreducible subquotients of $V_{1/2}$ are $(\bigotimes_{v \in S} \mathcal{D}_v) \otimes (\bigotimes_{v \notin S} \mathcal{F}_v)$, where S is a finite set of places.

Flat sections

In the p -adic case let $G = \text{GL}(2, F)$, $K = \text{GL}(2, \mathfrak{o})$, in the real case let $G = \text{GL}(2, \mathbb{R})$, $K = K_\infty$, and in the global case let $G = \text{GL}(2, \mathbb{A})$, $K = K_\infty \prod_{p < \infty} \text{GL}(2, \mathbb{Z}_p)$. In either context, a family of functions $f_s \in V_s$, where s runs through a complex domain D , is said to be a *flat section* if the restriction of f_s to K is independent of s . Using the Iwasawa decomposition, we define a function $\delta : G \rightarrow \mathbb{C}$ by

$$\delta(g) = \left| \frac{a}{d} \right|, \quad \text{where } g = \begin{bmatrix} a & b \\ 0 & d \end{bmatrix} \kappa, \quad \kappa \in K. \quad (28)$$

If $f \in V_{s_0}$, then the function $f_s := \delta^{s-s_0} f$ lies in V_s , for any $s, s_0 \in \mathbb{C}$. The family $\{f_s\}$ is then the unique flat section containing f .

3 Local and global intertwining operators

In this section we review the standard intertwining operators $A_s : V_s \rightarrow V_{-s}$ in the non-archimedean, archimedean and global case. The global intertwining operator has a simple pole at the critical point $s = 1/2$. We will show in Sect. 3.4 that it can still be evaluated on a large enough invariant subspace. The fact that it does not retain the full intertwining property is responsible for the non-holomorphy of the classical modular form E_2 .

3.1 Non-archimedean case

Let F be a non-archimedean local field of characteristic zero. The symbols \mathfrak{o} , \mathfrak{p} , ϖ , q , $|\cdot|$ and v have the same meanings as in Sect. 2.3. We let $G = \text{GL}(2, F)$ and $K = \text{GL}(2, \mathfrak{o})$. For a non-negative integer n , let $\Gamma_0(\mathfrak{p}^n) = K \cap \begin{bmatrix} \mathfrak{o} & \mathfrak{o} \\ \mathfrak{p}^n & \mathfrak{o} \end{bmatrix}$. We fix the Haar measure on F for which the volume of \mathfrak{o} is 1.

For a complex parameter s let $V_s = |\cdot|^s \times |\cdot|^{-s}$ be as in Sect. 2.3, with the reducibilities (15) and (16). For $f_s \in V_s$ with $q^{2s} \neq 1$, we define

$$(A_s f_s)(g) := \lim_{N \rightarrow \infty} \left(\int_{\substack{F \\ v(b) > -N}} f_s \left(\begin{bmatrix} & -1 \\ 1 & \end{bmatrix} \begin{bmatrix} 1 & b \\ & 1 \end{bmatrix} g \right) db + (1 - q^{-1}) \frac{q^{-2Ns}}{1 - q^{-2s}} f_s(g) \right). \quad (29)$$

Using the identity $\begin{bmatrix} 1 & -1 \\ & 1 \end{bmatrix} \begin{bmatrix} 1 & b \\ & 1 \end{bmatrix} = \begin{bmatrix} b^{-1} & -1 \\ & b \end{bmatrix} \begin{bmatrix} 1 & b \\ & 1 \end{bmatrix}$, it is easily verified that the expression in parentheses stabilizes for large enough N , so that the definition makes sense. Assuming that $\operatorname{Re}(s) > 0$, a standard calculation shows that

$$(A_s f_s)(g) = \int_F f_s \left(\begin{bmatrix} 1 & -1 \\ & 1 \end{bmatrix} \begin{bmatrix} 1 & b \\ & 1 \end{bmatrix} g \right) db. \quad (30)$$

It is straightforward to verify from (30) that $A_s f_s \in V_{-s}$, so that we obtain an intertwining operator $A_s : V_s \rightarrow V_{-s}$ for $\operatorname{Re}(s) > 0$. In fact, the intertwining property can also be verified from (29), so that it holds for any $s \in \mathbb{C}$ such that $q^{2s} \neq 1$.

Now assume that f_s varies in a flat section. Then it follows from (29) that $(A_s f_s)(g)$ is a meromorphic function of s , for any fixed g , with possible poles at the points where $q^{2s} = 1$.

Remark 3.1. *A different proof of the intertwining property*

$$(A_s f^h)(g) = (A_s f)(gh), \quad (31)$$

where $f \in V_s$ and $f^h(g) = f(gh)$, goes as follows. First, it holds for $\operatorname{Re}(s) > 0$ by (30). For other values of s , let f_s be the flat section containing f . Then $(A_s (f_s)^h)(g) = (A_s f_s)(gh)$ holds for $\operatorname{Re}(s) > 0$, and one argues that both sides are meromorphic functions of s . However, it is not entirely obvious that the left hand side is a meromorphic function of s , since in general $(f_s)^h \neq (f^h)_s$.

For a compact-open subgroup Γ of G , let V_s^Γ be the finite-dimensional subspace of V_s consisting of Γ -invariant vectors. The intertwining operator A_s induces a map $V_s^\Gamma \rightarrow V_{-s}^\Gamma$. We consider in particular $\Gamma = \Gamma_0(\mathfrak{p})$. Since $G = B\Gamma_0(\mathfrak{p}) \sqcup B \begin{bmatrix} 1 & -1 \\ & 1 \end{bmatrix} \Gamma_0(\mathfrak{p})$, where B is the upper triangular subgroup, the space $V_s^{\Gamma_0(\mathfrak{p})}$ is 2-dimensional. We define two distinguished vectors in this space. The first is the normalized spherical vector f_s^{sph} , characterized by $f_s^{\text{sph}}(1) = 1$ and being K -invariant. The second is the *Steinberg vector*

$$f_s^{\text{St}} = \frac{1}{1 - q^{2s+1}} \left((1 + q^{2s}) f_s^{\text{sph}} - q^{s-1/2} (q+1) \begin{bmatrix} 1 & \varpi \\ & 1 \end{bmatrix} f_s^{\text{sph}} \right), \quad (32)$$

which satisfies $f_s^{\text{St}}(1) = 1$ and $f_s^{\text{St}} \left(\begin{bmatrix} 1 & -1 \\ & 1 \end{bmatrix} \right) = -q^{-1}$. The two vectors f_s^{sph} and f_s^{St} form a basis of $V_s^{\Gamma_0(\mathfrak{p})}$. Calculations show that

$$A_s f_s^{\text{sph}} = \frac{1 - q^{-2s-1}}{1 - q^{-2s}} f_{-s}^{\text{sph}}, \quad (33)$$

$$A_s f_s^{\text{St}} = -q^{-1} \frac{1 - q^{-2s+1}}{1 - q^{-2s}} f_{-s}^{\text{St}}. \quad (34)$$

In particular, for $s = 1/2$,

$$f_{1/2}^{\text{St}} = \frac{1}{1 - q} \left(f_{1/2}^{\text{sph}} - \begin{bmatrix} 1 & \varpi \\ & 1 \end{bmatrix} f_{1/2}^{\text{sph}} \right) \quad (35)$$

lies in the kernel of $A_{1/2}$. It is the newform in the Steinberg representation, explaining the name. The kernel of $A_{1/2}$ is the subrepresentation $\operatorname{St}_{\operatorname{GL}(2,F)}$ of $V_{1/2}$. The vector $f_{-1/2}^{\text{sph}}$, which is a constant function, spans the kernel of $A_{-1/2}$.

Remark 3.2. If $f \in V_{1/2}$ lies in $\text{St}_{\text{GL}(2,F)}$, and if f_s is the flat section containing f , then the function $(A_s f_s)(g)$ has a zero at $s = 1/2$. Therefore the definition

$$(B_{1/2} f)(g) := \lim_{s \rightarrow 1/2} \frac{(A_s f_s)(g)}{s - 1/2} \quad (36)$$

makes sense. It is easy to see that $B_{1/2} f \in V_{-1/2}$. It follows from (34) that $B_{1/2}$ is non-zero. As a consequence, $B_{1/2}$ cannot be an intertwining operator, since $V_{-1/2}$ does not contain an invariant subspace isomorphic to $\text{St}_{\text{GL}(2,F)}$.

3.2 Archimedean case

In the archimedean case we recall that V_s is the subspace of K_∞ -finite vectors of the Hilbert space representation $\hat{V}_s = |\cdot|^s \times |\cdot|^{-s}$ of $G(\mathbb{R}) = \text{GL}(2, \mathbb{R})$. It is spanned by the functions $f_s^{(k)}$ defined in (17), for $k \in 2\mathbb{Z}$. We consider the usual Lebesgue measure on \mathbb{R} . For $f \in V_s$ we define

$$(A_s f)(g) = \int_{\mathbb{R}} f\left(\begin{bmatrix} & -1 \\ 1 & \end{bmatrix} \begin{bmatrix} 1 & b \\ & 1 \end{bmatrix} g\right) db. \quad (37)$$

The calculation in [1, Prop. 2.6.2] shows that the integral in (37) is convergent for $\text{Re}(s) > 0$ (just like in the p -adic case) and defines a vector in V_{-s} .

Lemma 3.3. Assume that $\text{Re}(s) > 0$ and $k \in 2\mathbb{Z}$. Then

$$A_s f_s^{(k)} = (-1)^{k/2} \sqrt{\pi} \frac{\Gamma(s)\Gamma(s+1/2)}{\Gamma(s+(1+k)/2)\Gamma(s+(1-k)/2)} f_{-s}^{(k)}. \quad (38)$$

Proof. See [1, Prop. 2.6.3]. □

In particular,

$$A_s f_s^{(0)} = \sqrt{\pi} \frac{\Gamma(s)}{\Gamma(s+1/2)} f_{-s}^{(0)}, \quad (39)$$

$$A_s f_s^{(2)} = -\sqrt{\pi} \frac{2s-1}{2s+1} \cdot \frac{\Gamma(s)}{\Gamma(s+1/2)} f_{-s}^{(2)}. \quad (40)$$

We can use (38) to define $A_s f_s^{(k)}$ for any $s \notin \{0, -1, -2, \dots\}$. (The numerator has poles, some of which are canceled by poles of the denominator.) Using Lemma 2.2 one can show that the map $A_s : V_s \rightarrow V_{-s}$ thus defined is a map of \mathcal{H}_∞ -modules.

Remark 3.4. Assume that \hat{V}_s and \hat{V}_{-s} are irreducible. Then the existence of the intertwining operator A_s shows that \hat{V}_s and \hat{V}_{-s} are infinitesimally equivalent, i.e., their underlying \mathcal{H}_∞ -modules are equivalent. However, they are not isomorphic as Hilbert space representations; see [1, Exercise 2.6.1].

Let ℓ be an odd positive integer. It follows from (38) that

$$A_{\ell/2} f_{\ell/2}^{(k)} = 0 \iff k \in \pm\{\ell+1, \ell+3, \dots\}, \quad (41)$$

showing that the kernel of $A_{\ell/2}$ is precisely the subrepresentation $\mathcal{D}_\ell^{\text{hol}}$ of $V_{\ell/2}$. In particular, for $s = 1/2$, the discrete series representation $\mathcal{D}_1^{\text{hol}}$ is the kernel of $A_{1/2}$.

Let $V_{1/2}^{\neq 0}$ be the subspace of $V_{1/2}$ spanned by the $f_s^{(k)}$ with $k \neq 0$. For $f \in V_{1/2}^{\neq 0}$, let f_s be the unique flat section containing f , and define

$$(\tilde{A}_{1/2}f)(g) := \lim_{s \rightarrow 1/2} \zeta(2s)(A_s f_s)(g), \quad g \in G(\mathbb{R}). \quad (42)$$

This is well-defined, because $\lim_{s \rightarrow 1/2} (A_s f_s)(g) = 0$ by (38). It is easy to see that $\tilde{A}_{1/2}f \in V_{-1/2}$.

Lemma 3.5. *Let $\tilde{A}_{1/2} : V_{1/2}^{\neq 0} \rightarrow V_{-1/2}$ be the operator defined in (42). Then the following holds for all even, non-zero integers k .*

i)

$$\tilde{A}_{1/2}f_{1/2}^{(k)} = -\frac{\pi}{|k|}f_{-1/2}^{(k)}. \quad (43)$$

ii)

$$\tilde{A}_{1/2}(Hf_{1/2}^{(k)}) = H(\tilde{A}_{1/2}f_{1/2}^{(k)}). \quad (44)$$

iii)

$$\tilde{A}_{1/2}(Rf_{1/2}^{(k)}) = \begin{cases} R(\tilde{A}_{1/2}f_{1/2}^{(k)}) & \text{if } k \neq -2, \\ 0 & \text{if } k = -2. \end{cases} \quad (45)$$

iv)

$$\tilde{A}_{1/2}(Lf_{1/2}^{(k)}) = \begin{cases} L(\tilde{A}_{1/2}f_{1/2}^{(k)}) & \text{if } k \neq 2, \\ 0 & \text{if } k = 2. \end{cases} \quad (46)$$

v)

$$\tilde{A}_{1/2}(\varepsilon_- f_{1/2}^{(k)}) = \varepsilon_-(\tilde{A}_{1/2}f_{1/2}^{(k)}). \quad (47)$$

Proof. Property (43) follows from (38), observing that the Γ -function has residue $(-1)^n/(n!)$ at $s = -n$ for a positive integer n . Property (44) is immediate from (43) and (18). Property (47) is immediate from (43) and (21). Equation (45) holds for $k = -2$, because $Rf_{1/2}^{(-2)} = 0$. For $k \geq 2$ or $k \leq -4$ it follows from (43) and (19). Equation (46) holds for $k = 2$, because $Lf_{1/2}^{(2)} = 0$. For $k \geq 4$ or $k \leq -2$ it follows from (43) and (20). \square

It follows from Lemma 3.5 that if we compose $\tilde{A}_{1/2} : V_{1/2}^{\neq 0} \rightarrow V_{-1/2}$ with the projection $V_{-1/2} \rightarrow V_{-1/2}/\mathbb{C} \cong \mathcal{D}_1^{\text{hol}}$, then we obtain an \mathcal{H}_∞ -isomorphism $V_{1/2}^{\neq 0} \rightarrow \mathcal{D}_1^{\text{hol}}$.

3.3 Global case

We now consider the global \mathcal{H} -module $V_s \cong \bigotimes V_{s,v}$. Let the Haar measure on \mathbb{A} be the product of the local measures chosen above. We choose the Haar measure on $\mathbb{Q} \backslash \mathbb{A}$ such that the volume of $\mathbb{Q} \backslash \mathbb{A}$ is 1. We would like to define a global intertwining operator $A_s : V_s \rightarrow V_{-s}$ by the integral

$$(A_s f)(g) = \int_{\mathbb{A}} f\left(\begin{bmatrix} & \\ & -1 \end{bmatrix} \begin{bmatrix} 1 & b \\ & 1 \end{bmatrix} g\right) db. \quad (48)$$

To investigate convergence, assume that f corresponds to a pure tensor $\otimes f_v$, and that $g = (g_v)_v$. Then

$$(A_s f)(g) = \prod_v \int_{\mathbb{Q}_v} f_v\left(\begin{bmatrix} & \\ & -1 \end{bmatrix} \begin{bmatrix} 1 & b \\ & 1 \end{bmatrix} g_v\right) db. \quad (49)$$

Let T be a finite set of finite places such that $f_p = f_p^{\text{sph}}$ for $p \notin T$; such a set T exists by definition of the restricted tensor product. Then

$$\begin{aligned} (A_s f)(g) &= \left(\prod_{v \in T \cup \{\infty\}} \int_{\mathbb{Q}_v} f_v\left(\begin{bmatrix} & \\ & -1 \end{bmatrix} \begin{bmatrix} 1 & b \\ & 1 \end{bmatrix} g_v\right) db \right) \left(\prod_{p \notin T} \int_{\mathbb{Q}_p} f_{s,p}^{\text{sph}}\left(\begin{bmatrix} & \\ & -1 \end{bmatrix} \begin{bmatrix} 1 & b \\ & 1 \end{bmatrix} g_p\right) db \right) \\ &\stackrel{(33)}{=} \left(\prod_{v \in T \cup \{\infty\}} (A_{s,v} f_v)(g_v) \right) \left(\prod_{p \notin T} \frac{1 - p^{-2s-1}}{1 - p^{-2s}} f_{-s,p}^{\text{sph}}(g_p) \right). \end{aligned} \quad (50)$$

We see from properties of the Riemann zeta function that the infinite product converges if $\text{Re}(s) > 1/2$. Since every element of V_s is a sum of pure tensors, we conclude that the integral in (48) converges provided that $\text{Re}(s) > 1/2$, and that in this region it defines an intertwining operator $A_s : V_s \rightarrow V_{-s}$.

We may rewrite (50) as

$$(A_s f)(g) = \frac{\zeta(2s)}{\zeta(2s+1)} ((A_{s,\infty} f_\infty)(g_\infty)) \left(\prod_{p \in T} \frac{1 - p^{-2s}}{1 - p^{-2s-1}} (A_{s,p} f_p)(g_p) \right) \left(\prod_{p \notin T} f_{-s,p}^{\text{sph}}(g_p) \right). \quad (51)$$

If $f = f_s = \otimes f_{s,v}$ varies in a flat section, then the only pole in the region $\text{Re}(s) > 0$ of the right hand side of (51) is at $s = 1/2$, coming from the factor $\zeta(2s)$. Thus $(A_s f_s)(g)$ admits an analytic continuation to this region, with at most one simple pole at $s = 1/2$. The other possible poles on \mathbb{C} can also be determined from (51), but we will have no need for this discussion. (Among these, the most intractable ones come from the zeros of $\zeta(2s+1)$, which is why it is tempting to normalize the intertwining operator by multiplying by this factor.)

3.4 The spaces $V'_{1/2}$ and $V''_{1/2}$

Recall from the previous section that in $\text{Re}(s) > 0$ the global intertwining operator has only one possible pole at $s = 1/2$. The next result shows that for most f there is actually no pole. For $f \in V_{1/2}$, let f_s be the flat section containing f , and define

$$(A_{1/2} f)(g) := \lim_{s \rightarrow 1/2} (A_s f_s)(g), \quad g \in G(\mathbb{A}), \quad (52)$$

provided this limit exists. Let $V'_{1/2}$ be the subspace of $f \in V_{1/2}$ for which the limit (52) exists for all $g \in G(\mathbb{A})$. In the following proof we will utilize the subspaces U_k of $V_{1/2}$ defined by

$$U_k := \mathbb{C}f_{1/2,\infty}^{(k)} \otimes \left(\bigotimes_{p<\infty} V_{1/2,p} \right) \quad (53)$$

for $k \in 2\mathbb{Z}$. Here $f_{1/2,\infty}^{(k)}$ is the normalized weight- k function in $V_{1/2,\infty}$; see (17). Note that $V_{1/2} = \bigoplus_{k \in 2\mathbb{Z}} U_k$.

Proposition 3.6. *The space $V'_{1/2}$ is invariant under the action of $G(\mathbb{A}_{\text{fin}})$. The space $V_{1/2}/V'_{1/2}$ is one-dimensional and carries the trivial representation of $G(\mathbb{A}_{\text{fin}})$.*

Proof. It follows from (51) and Lemma 3.3 that $U_k \subset V'_{1/2}$ if $k \neq 0$. For a square-free, positive integer N , let

$$U_{0,N} = \mathbb{C}f_{1/2,\infty}^{(0)} \otimes \left(\bigotimes_{p|N} \mathcal{D}_p \right) \otimes \left(\bigotimes_{p \nmid N} V_{1/2,p} \right). \quad (54)$$

where we recall \mathcal{D}_p is the infinite-dimensional invariant subspace of $V_{1/2,p}$. Since \mathcal{D}_p is the kernel of $A_{1/2,p}$, it follows from (51) that $U_{0,N} \subset V'_{1/2}$ if $N > 1$. As vector spaces (but not as \mathcal{H}_p -modules) we have $V_{1/2,p} = \mathbb{C}f_{1/2,p}^{\text{sph}} \oplus \mathcal{D}_p$. It follows that any element of U_0 can be written as a multiple of $f_{1/2}^{\text{sph}} := f_{1/2,\infty}^{(0)} \otimes \left(\bigotimes_{p<\infty} f_{1/2,p}^{\text{sph}} \right)$ plus elements of $U_{0,N}$ for various $N > 1$. In other words, if U'_0 is the sum of all spaces $U_{0,N}$ for all $N > 1$, then $U_0 = \mathbb{C}f_{1/2}^{\text{sph}} \oplus U'_0$. (The sum is direct because $U'_0 \subset V'_{1/2}$ and $f_{1/2}^{\text{sph}} \notin V'_{1/2}$.) Altogether it follows that

$$V_{1/2} = \mathbb{C}f_{1/2}^{\text{sph}} \oplus U'_0 \oplus \bigoplus_{\substack{k \in 2\mathbb{Z} \\ k \neq 0}} U_k. \quad (55)$$

It is now clear that

$$V'_{1/2} = U'_0 \oplus \bigoplus_{\substack{k \in 2\mathbb{Z} \\ k \neq 0}} U_k. \quad (56)$$

Since every U_k and every $U_{0,N}$ for $N > 1$ is $G(\mathbb{A}_{\text{fin}})$ -invariant, so is $V'_{1/2}$. Since $G(\mathbb{Q}_p)$ acts trivially on $V_{1/2,p}/\mathcal{D}_p$, it follows that $G(\mathbb{Q}_p)$ acts trivially on

$$V_{1/2} / \left(V_{1/2,\infty} \otimes \mathcal{D}_p \otimes \left(\bigotimes_{p' \neq p} V_{1/2,p'} \right) \right), \quad (57)$$

and hence on $V_{1/2}/V'_{1/2}$. □

More important for us than $V'_{1/2}$ will be its subspace

$$V''_{1/2} := \bigoplus_{\substack{k \in 2\mathbb{Z} \\ k \neq 0}} U_k = \mathcal{D}_1^{\text{hol}} \otimes \left(\bigotimes_{p<\infty} V_{1/2,p} \right). \quad (58)$$

For this space we can prove that $A_{1/2}$ has the following intertwining properties.

Proposition 3.7. *Let $f \in V''_{1/2}$.*

i) For $h \in G(\mathbb{A}_{\text{fin}})$, let $f^h(g) = f(gh)$. Then

$$(A_{1/2}f^h)(g) = (A_{1/2}f)(gh) \quad \text{for } h \in G(\mathbb{A}_{\text{fin}}). \quad (59)$$

ii) If $f \in U_k$ with $k \neq 0$, then

$$A_{1/2}(Hf) = H(A_{1/2}f), \quad (60)$$

$$A_{1/2}(Rf) = \begin{cases} R(A_{1/2}f) & \text{if } k \neq -2, \\ 0 & \text{if } k = -2, \end{cases} \quad (61)$$

$$A_{1/2}(Lf) = \begin{cases} L(A_{1/2}f) & \text{if } k \neq 2, \\ 0 & \text{if } k = 2, \end{cases} \quad (62)$$

$$A_{1/2}(\varepsilon_- f) = \varepsilon_-(A_{1/2}f). \quad (63)$$

Proof. It is obvious from (58) that $f^h \in V''_{1/2}$. We may assume that $f = \otimes f_v$ is a pure tensor. Let $g = (g_p)$ and $h = (h_p)$. We may also assume that $f_\infty = f_{1/2,\infty}^{(k)}$ with $k \neq 0$, i.e., $f \in U_k$. Letting s go to $1/2$ in (51), we see that for every large enough set T of finite places,

$$(A_{1/2}f)(g) = \frac{6}{\pi^2} (\tilde{A}_{1/2,\infty} f_{1/2,\infty}^{(k)})(g_\infty) \left(\prod_{p \in T} \frac{1-p^{-1}}{1-p^{-2}} (A_{1/2,p} f_p)(g_p) \right), \quad (64)$$

where $\tilde{A}_{1/2,\infty} f_{1/2,\infty}^{(k)}$ is the function defined in (42). In this form the intertwining property (59) follows because each $A_{1/2,p}$ is an intertwining operator; we just have to choose a set T large enough so that it works for both f and f^h . Properties (60)–(63) follow from (44)–(47). \square

For later use, we note that (64), in conjunction with (43), gives the formula

$$(A_{1/2}f)(g) = -\frac{6}{\pi|k|} f_{-1/2,\infty}^{(k)}(g_\infty) \left(\prod_{p \in T} \frac{1}{1+p^{-1}} (A_{1/2,p} f_p)(g_p) \right), \quad (65)$$

whenever $f = \otimes f_v \in V_{1/2}$ with $f_\infty = f_{1/2,\infty}^{(k)}$ and T is such that $f_p = f_{1/2,p}^{\text{sph}}$ and $g_p \in K_p$ for $p \notin T$.

4 Whittaker integrals

In this section we study local and global Whittaker integrals, proceeding analogously to the study of the intertwining operators in the previous section.

4.1 Non-archimedean case

Let F be a non-archimedean local field of characteristic zero. We use the same notations as in Sect. 3.1. We fix a character ψ of F of conductor \mathfrak{o} . For $\alpha \in F^\times$, we let $\psi^\alpha(x) = \psi(\alpha x)$. For $f \in V_s$, we define the ψ^α -Whittaker function associated to f by

$$(W_s^\alpha f)(g) := \lim_{n \rightarrow \infty} \int_{\mathfrak{p}^{-n}} f \left(\begin{bmatrix} & -1 \\ 1 & \end{bmatrix} \begin{bmatrix} 1 & b \\ & 1 \end{bmatrix} g \right) \psi(-\alpha b) db. \quad (66)$$

The sequence given by the integrals stabilizes, and hence the limit exists for all s . Provided that $\operatorname{Re}(s) > 0$, it is easy to see that

$$(W_s^\alpha f)(g) = \int_F f\left(\begin{bmatrix} & -1 \\ 1 & \end{bmatrix} \begin{bmatrix} 1 & b \\ & 1 \end{bmatrix} g\right) \psi(-\alpha b) db. \quad (67)$$

It follows from (66) that, for any $s \in \mathbb{C}$,

$$(W_s^\alpha f)\left(\begin{bmatrix} y & \\ & 1 \end{bmatrix} g\right) = |y|^{1/2-s} (W_s^{\alpha y} f)(g) \quad (68)$$

for all $g \in G$ and $y, \alpha \in F^\times$.

Lemma 4.1. *Suppose that $q^{2s} \neq 1$. Let $\alpha, y \in F^\times$.*

i) *If $f_s^{\text{sph}} \in V_s$ is the normalized spherical vector, then*

$$(W_s^\alpha f_s^{\text{sph}})\left(\begin{bmatrix} y & \\ & 1 \end{bmatrix}\right) = \begin{cases} \frac{(|\alpha|^{2s}|y|^{1/2+s} - q^{2s}|y|^{1/2-s})(1 - q^{-2s-1})}{1 - q^{2s}} & \text{if } v(\alpha y) \geq 0, \\ 0 & \text{if } v(\alpha y) < 0. \end{cases} \quad (69)$$

ii) *If $f_s^{\text{St}} \in V_s$ is the Steinberg vector defined in (32), then*

$$(W_s^\alpha f_s^{\text{St}})\left(\begin{bmatrix} y & \\ & 1 \end{bmatrix}\right) = \begin{cases} \frac{(1 - q^{-2s-1})|\alpha|^{2s}|y|^{1/2+s} - (1 - q^{2s-1})|y|^{1/2-s}}{1 - q^{2s}} & \text{if } v(\alpha y) \geq 0, \\ 0 & \text{if } v(\alpha y) < 0. \end{cases} \quad (70)$$

Proof. In view of (68), we may assume that $y = 1$. Assume that $f \in V_s$ is $\Gamma_0(\mathfrak{p})$ -invariant. Assuming $v(\alpha) \geq 0$, a straightforward calculation shows that

$$(W_s^\alpha f)(1) = f\left(\begin{bmatrix} & -1 \\ 1 & \end{bmatrix}\right) + f(1) \frac{|\alpha|^{2s}(1 - q^{-2s-1}) + q^{-1} - 1}{1 - q^{2s}}. \quad (71)$$

Equation (69) follows by setting $f(1) = f\left(\begin{bmatrix} & -1 \\ 1 & \end{bmatrix}\right) = 1$ in (71). Equation (70) follows by setting $f(1) = 1$ and $f\left(\begin{bmatrix} & -1 \\ 1 & \end{bmatrix}\right) = -q^{-1}$ in (71). \square

For $s = 1/2$ and $v(\alpha y) \geq 0$, the expressions in (69) and (70) simplify as follows,

$$(W_{1/2}^\alpha f_{1/2}^{\text{sph}})\left(\begin{bmatrix} y & \\ & 1 \end{bmatrix}\right) = (1 - q^{-1}|\alpha y|)(1 + q^{-1}), \quad (72)$$

$$(W_{1/2}^\alpha f_{1/2}^{\text{St}})\left(\begin{bmatrix} y & \\ & 1 \end{bmatrix}\right) = -q^{-1}|\alpha y|(1 + q^{-1}). \quad (73)$$

4.2 Archimedean case

For $s \in \mathbb{C}$, let V_s be the \mathcal{H}_∞ -module considered in Sect. 3.2. For $f \in V_s$ and $\alpha \in \mathbb{R}^\times$, we consider the Whittaker function

$$(W_s^\alpha f)(g) = \int_{\mathbb{R}} f\left(\begin{bmatrix} & -1 \\ 1 & \end{bmatrix} \begin{bmatrix} 1 & b \\ & 1 \end{bmatrix} g\right) e^{2\pi i \alpha b} db. \quad (74)$$

We would like to evaluate these integrals if $f = f_s^{(k)}$ is the weight- k function given in (17). As in the proof of [1, Thm. 3.7.1] we find

$$(W_s^\alpha f_s^{(k)}) \left(\begin{bmatrix} 1 & x \\ & 1 \end{bmatrix} \begin{bmatrix} y & \\ & 1 \end{bmatrix} \right) = e^{-2\pi i \alpha x} |y|^{1/2-s} \int_{\mathbb{R}} \left(\frac{1}{b^2+1} \right)^{s+1/2} \left(\frac{b-i}{\sqrt{b^2+1}} \right)^k e^{2\pi i \alpha b y} db \quad (75)$$

for $\operatorname{Re}(s) > 0$. Using [7, 3.384.9], we get

$$(W_s^\alpha f_s^{(k)}) \left(\begin{bmatrix} 1 & x \\ & 1 \end{bmatrix} \begin{bmatrix} y & \\ & 1 \end{bmatrix} \right) = e^{-2\pi i \alpha x} \frac{(-1)^{k/2} |\alpha|^{s-1/2} \pi^{s+1/2}}{\Gamma(s+1/2 - \operatorname{sgn}(\alpha y) k/2)} W_{-\operatorname{sgn}(\alpha y) k/2, -s}(4\pi |\alpha y|), \quad (76)$$

where $W_{*,*}$ is the Whittaker function defined in [7, 9.220]. For fixed non-zero z , the functions $W_{\kappa, \mu}(z)$ are entire functions of κ and μ . It follows that $(W_s^\alpha f_s^{(k)})(g)$ admits analytic continuation to an entire function of s .

For $k \geq 2$ and $s = \frac{k-1}{2}$, by (76) and [4, 13.18.2],

$$(W_{(k-1)/2}^\alpha f_{(k-1)/2}^{(k)}) \left(\begin{bmatrix} 1 & x \\ & 1 \end{bmatrix} \begin{bmatrix} y & \\ & 1 \end{bmatrix} \right) = \begin{cases} 0 & \text{if } \alpha y > 0, \\ \frac{(2\pi i)^k}{(k-1)!} |y|^{k/2} |\alpha|^{k-1} e^{-2\pi i \alpha (x+iy)} & \text{if } \alpha y < 0. \end{cases} \quad (77)$$

4.3 Global case

We now work over \mathbb{Q} , using the same global setup as in Sect. 3.3. Let ψ be the character of $\mathbb{Q} \backslash \mathbb{A}$ defined in Tate's thesis; it has the property that $\psi(x) = \prod \psi_v(x_v)$ with $\psi_\infty(x_\infty) = e^{-2\pi i x_\infty}$. For a finite prime p , the character ψ_p of \mathbb{Q}_p is trivial on \mathbb{Z}_p but not on $p^{-1}\mathbb{Z}_p$.

For $f \in V_s$ and $\alpha \in \mathbb{Q}^\times$ we consider the global Whittaker function

$$(W_s^\alpha f)(g) = \int_{\mathbb{A}} f \left(\begin{bmatrix} & -1 \\ 1 & \end{bmatrix} \begin{bmatrix} 1 & b \\ & 1 \end{bmatrix} g \right) \psi(-\alpha b) db. \quad (78)$$

To investigate convergence, assume that f corresponds to a pure tensor $\otimes f_v$, and that $g = (g_v)_v$. Then

$$(W_s^\alpha f)(g) = \prod_v \int_{\mathbb{Q}_v} f_v \left(\begin{bmatrix} & -1 \\ 1 & \end{bmatrix} \begin{bmatrix} 1 & b \\ & 1 \end{bmatrix} g_v \right) \psi_v(-\alpha b) db = \prod_v (W_{s,v}^\alpha f_v)(g_v), \quad (79)$$

with the local Whittaker functions $W_{s,v}^\alpha f_v$ defined in (67) and (74). To ease notation, we will sometimes write W^α instead of $W_{s,v}^\alpha$, if the context is clear.

Let T be a finite set of finite places such that for primes $p \notin T$ the function f_p is the normalized spherical vector, $g_p \in K_p$, and $v_p(\alpha) = 0$. Then

$$\begin{aligned} (W_s^\alpha f)(g) &= \left(\prod_{v \in T \cup \{\infty\}} (W_{s,v}^\alpha f_v)(g_v) \right) \left(\prod_{p \notin T} (W_{s,p}^\alpha f_p)(1) \right) \\ &\stackrel{(69)}{=} \left(\prod_{v \in T \cup \{\infty\}} (W_{s,v}^\alpha f_v)(g_v) \right) \left(\prod_{p \notin T} (1 - p^{-2s-1}) \right) \end{aligned}$$

$$= \frac{1}{\zeta(2s+1)} (W_{s,\infty}^\alpha f_\infty)(g_\infty) \left(\prod_{p \in T} \frac{1}{1-p^{-2s-1}} (W_{s,p}^\alpha f_p)(g_p) \right). \quad (80)$$

It follows that (78) converges for $\operatorname{Re}(s) > 0$.

Lemma 4.2. *Let $\alpha \in \mathbb{Q}^\times$ and $f \in V_s$. For fixed $g = (g_p) \in G(\mathbb{A})$, there exists an integer $M > 0$ such that*

$$(W_s^\alpha f)(g) = 0 \quad \text{if } \alpha \notin M^{-1}\mathbb{Z}. \quad (81)$$

The integer M depends only on the right-invariance properties of f under the groups $G(\mathbb{Z}_p)$, and on the g_p for $p < \infty$. We may choose M such that it is divisible only by those primes p for which f is not $G(\mathbb{Z}_p)$ -invariant or $g_p \notin G(\mathbb{Z}_p)$.

Proof. We may assume that $f = \otimes f_v$ is a pure tensor. Recall from (79) that $(W_s^\alpha f)(g) = \prod_v (W^\alpha f_v)(g_v)$. For a prime p , there exists a positive integer m_p such that for $x \in p^{m_p}\mathbb{Z}_p$

$$(W^\alpha f_p)(g_p) = (W^\alpha f_p)(\begin{bmatrix} 1 & x \\ & 1 \end{bmatrix} g_p) = \psi_p(\alpha x) (W^\alpha f_p)(g_p). \quad (82)$$

It follows that $(W^\alpha f_p)(g_p) = 0$ if $\alpha \notin p^{-m_p}\mathbb{Z}_p$. If f_p is spherical and $g_p \in G(\mathbb{Z}_p)$, we may choose $m_p = 0$. Then (81) holds with $M = \prod_p p^{m_p}$. This concludes the proof. \square

Using a common notation, we set, for a complex number t and a positive integer n ,

$$\sigma_t(n) = \sum_{d|n} d^t. \quad (83)$$

The sum is understood to be over the positive divisors of n . We write σ for σ_1 .

Lemma 4.3. *Let α be a non-zero integer.*

i) For $\operatorname{Re}(s) > 0$,

$$\prod_{p < \infty} (W^\alpha f_{s,p}^{\text{sph}})(1) = \frac{\sigma_{2s}(|\alpha|)}{\zeta(2s+1)|\alpha|^{2s}}. \quad (84)$$

ii) For $s = 1/2$ and a positive, square-free integer N ,

$$\left(\prod_{p|N} (W^\alpha f_{1/2,p}^{\text{St}})(1) \right) \left(\prod_{p \nmid N} (W^\alpha f_{1/2,p}^{\text{sph}})(1) \right) = \frac{\sigma(n')\mu(N)}{\zeta(2)|\alpha|\varphi(N)}, \quad (85)$$

where μ is the Möbius function, φ is Euler's function, $\sigma = \sigma_1$, and $n' = \prod_{p \nmid N} p^{v_p(\alpha)}$ is the part of $|\alpha|$ that is relatively prime to N .

Proof. By Lemma 4.1,

$$\begin{aligned} & \left(\prod_{p|N} (W^\alpha f_{s,p}^{\text{St}})(1) \right) \left(\prod_{p \nmid N} (W^\alpha f_{s,p}^{\text{sph}})(1) \right) \\ &= \left(\prod_{p|N} \frac{(1-p^{-2s-1})|\alpha|_p^{2s} - (1-p^{2s-1})}{1-p^{2s}} \right) \left(\prod_{p \nmid N} \frac{(|\alpha|_p^{2s} - p^{2s})(1-p^{-2s-1})}{1-p^{2s}} \right). \end{aligned} \quad (86)$$

Setting $N = 1$ gives

$$\begin{aligned}
\prod_p (W^\alpha f_{s,p}^{\text{sph}})(1) &= \frac{1}{\zeta(2s+1)} \prod_p \frac{|\alpha|_p^{2s} - p^{2s}}{1 - p^{2s}} \\
&= \frac{1}{\zeta(2s+1)|\alpha|_\infty^{2s}} \prod_p \frac{1 - p^{2s(1+v_p(\alpha))}}{1 - p^{2s}} \\
&= \frac{1}{\zeta(2s+1)|\alpha|_\infty^{2s}} \prod_p \left(1 + p^{2s} + \dots + (p^{2s})^{v_p(\alpha)}\right). \tag{87}
\end{aligned}$$

This proves (84). Setting $s = 1/2$ in (86) gives

$$\begin{aligned}
\left(\prod_{p|N} (W^\alpha f_{1/2,p}^{\text{St}})(1)\right) \left(\prod_{p \nmid N} (W^\alpha f_{1/2,p}^{\text{sph}})(1)\right) &= \left(\prod_{p|N} \frac{(1-p^{-2})|\alpha|_p}{1-p}\right) \left(\prod_{p \nmid N} \frac{(|\alpha|_p - p)(1-p^{-2})}{1-p}\right) \\
&= \frac{1}{\zeta(2)|\alpha|_\infty} \left(\prod_{p|N} \frac{1}{1-p}\right) \left(\prod_{p \nmid N} \frac{1-p^{1+v_p(\alpha)}}{1-p}\right) \\
&= \frac{\mu(N)}{\zeta(2)|\alpha|_\infty} \left(\prod_{p|N} \frac{1}{p-1}\right) \sigma(n'). \tag{88}
\end{aligned}$$

This proves (85), because $\varphi(N) = \prod_{p|N} (p-1)$. □

5 Eisenstein series

In this section we prove our main results for Eisenstein series without character. The preparations from Sects. 3 and 4 allow us to make the connection between adelic and classical Eisenstein series. Theorem 5.11 identifies the global representation generated by the classical E_2 .

5.1 Fourier expansion

As in Sect. 3.3, we consider the global \mathcal{H} -module V_s . For any $f \in V_s$, define the Eisenstein series

$$E(g, f) = \sum_{\gamma \in B(\mathbb{Q}) \backslash G(\mathbb{Q})} f(\gamma g), \quad g \in G(\mathbb{A}). \tag{89}$$

By [1, Prop. 3.7.2], the sum converges absolutely if $\text{Re}(s) > 1/2$. Under this assumption, $E(\cdot, f)$ is an automorphic form on $G(\mathbb{A})$. In order to analytically continue the Eisenstein series to other values of s , the key is to consider the Fourier expansion and analytically continue each piece. Even though this is part of a general theory, we briefly recall the main steps for our rather simple situation. For $\alpha \in \mathbb{Q}$, the α -th Fourier coefficient of $E(g, f)$ is defined by

$$c_\alpha(g, f) = \int_{\mathbb{Q} \backslash \mathbb{A}} E\left(\begin{bmatrix} 1 & b \\ & 1 \end{bmatrix} g, f\right) \psi(-\alpha b) db. \tag{90}$$

The Fourier expansion of the Eisenstein series is

$$E(g, f) = \sum_{\alpha \in \mathbb{Q}} c_\alpha(g, f). \tag{91}$$

To calculate the $c_\alpha(g, f)$, we use that, by the Bruhat decomposition, a set of representatives for $B(\mathbb{Q}) \backslash G(\mathbb{Q})$ is given by 1 and $\begin{bmatrix} & -1 \\ 1 & \end{bmatrix} \begin{bmatrix} 1 & x \\ & 1 \end{bmatrix}$, $x \in \mathbb{Q}$. Substituting

$$E(g, f) = f(g) + \sum_{x \in \mathbb{Q}} f\left(\begin{bmatrix} & -1 \\ 1 & \end{bmatrix} \begin{bmatrix} 1 & x \\ & 1 \end{bmatrix} g\right) \quad (92)$$

into (90) gives

$$c_\alpha(g, f) = \int_{\mathbb{A}} f\left(\begin{bmatrix} & -1 \\ 1 & \end{bmatrix} \begin{bmatrix} 1 & b \\ & 1 \end{bmatrix} g\right) \psi(-\alpha b) db \quad (93)$$

for $\alpha \neq 0$, and

$$c_0(g, f) = f(g) + \int_{\mathbb{A}} f\left(\begin{bmatrix} & -1 \\ 1 & \end{bmatrix} \begin{bmatrix} 1 & b \\ & 1 \end{bmatrix} g\right) db. \quad (94)$$

The integrals in (93) were analyzed in Sect. 4.3, where we called them $(W_s^\alpha f)(g)$ (see (78)), and found to be convergent for $\text{Re}(s) > 0$. The integrals in (94) were analyzed in Sect. 3.3, where we called them $(A_s f)(g)$ (see (48)), and found to be convergent for $\text{Re}(s) > 1/2$.

Lemma 5.1. *Assume that $\text{Re}(s) > 1/2$ and $f \in V_s$. For fixed $g = (g_p) \in G(\mathbb{A})$, there exists an integer $M > 0$ such that*

$$E(g, f) = f(g) + (A_s f)(g) + \sum_{\substack{\alpha \in M^{-1}\mathbb{Z} \\ \alpha \neq 0}} (W_s^\alpha f)(g). \quad (95)$$

The integer M depends only on right-invariance properties of f under the groups $G(\mathbb{Z}_p)$, and on the g_p for $p < \infty$. We may choose M such that it is divisible only by those primes p for which f is not $G(\mathbb{Z}_p)$ -invariant or $g_p \notin G(\mathbb{Z}_p)$.

Proof. We may assume that $f = \otimes f_v$ is a pure tensor. By (91), (93), (94) we have the expansion (95) with the summation being over $\alpha \in \mathbb{Q}^\times$. By Lemma 4.2 the summation can be restricted to $\alpha \in M^{-1}\mathbb{Z}$ as asserted. \square

Lemma 5.2. *Assume that $f_s \in V_s$ is a flat section. For $\text{Re}(s) > 1/2$ and fixed $g = (g_p) \in G(\mathbb{A})$, let M be as in Lemma 5.1, so that*

$$E(g, f_s) = f_s(g) + (A_s f_s)(g) + \sum_{\substack{\alpha \in M^{-1}\mathbb{Z} \\ \alpha \neq 0}} (W_s^\alpha f_s)(g). \quad (96)$$

The term $\sum_\alpha (W_s^\alpha f_s)(g)$ admits an analytic continuation to $\text{Re}(s) > 0$. The term $(A_s f_s)(g)$ admits an analytic continuation to the same region, except possibly for $s = 1/2$. Hence $E(g, f_s)$ admits an analytic continuation to $\text{Re}(s) > 0$, $s \neq 1/2$.

Proof. By the considerations in Sect. 3.3 and Sect. 3.4, we need only prove the statement about $\sum_\alpha (W_s^\alpha f_s)(g)$. For this we only give a rough sketch, since in the cases of interest for us everything will follow from explicit calculations. In general, one may assume that $f_s = \otimes f_{s,v}$ is a pure tensor, and that the archimedean section is the function $f_s^{(k)}$ of weight k defined in (17), for some even integer k . We saw in Sect. 4.3 that each individual $(W_s^\alpha f_s)(g)$ continues analytically to $\text{Re}(s) > 0$.

Using the Iwasawa decomposition, we may assume that $g = \begin{bmatrix} y & \\ & 1 \end{bmatrix}$ with $y \in \mathbb{A}^\times$. We then have to show that the series of functions $\sum_{\alpha \in M^{-1}\mathbb{Z}, \alpha \neq 0} F_\alpha(s)$, where $F_\alpha(s) := (W_s^\alpha f_s)(\begin{bmatrix} y & \\ & 1 \end{bmatrix})$, is uniformly convergent on a bounded domain D in $\operatorname{Re}(s) > 0$. The key is that there exists a polynomial $P \in \mathbb{Q}[X]$, independent of α and $s \in D$, such that

$$\left| (W_s^\alpha f_s)(\begin{bmatrix} y & \\ & 1 \end{bmatrix}) \right| \leq P(|\alpha|_\infty) e^{-2\pi|\alpha y|_\infty}. \quad (97)$$

The exponential comes from the archimedean place, more precisely from an estimate on the classical Whittaker function appearing in (76) (see [15, 16.3]). To prove that the contribution from the non-archimedean places grows at most polynomially in α , one can use the description of the Kirillov model in [1, Thms. 4.7.2, 4.7.3]. \square

The possible pole at $s = 1/2$ in Lemma 5.2 comes from the term $(A_s f_s)(g)$. Let $V'_{1/2}$ and $V''_{1/2}$ be the subspaces of $V_{1/2}$ defined in Sect. 3.4. Then

$$E(g, f) := \lim_{s \rightarrow 1/2} E(g, f_s) \quad (98)$$

exists for $f \in V'_{1/2}$ and all $g \in G(\mathbb{A})$; here, f_s is the unique flat section containing f . Evidently,

$$E(g, f) = f(g) + (A_{1/2} f)(g) + \sum_{\substack{\alpha \in M^{-1}\mathbb{Z} \\ \alpha \neq 0}} (W_{1/2}^\alpha f)(g), \quad (99)$$

with $A_{1/2} f$ as in (52).

It follows directly from the definition (89) that the map $f \mapsto E(\cdot, f)$ from V_s to the space of automorphic forms is intertwining (i.e., a homomorphism of \mathcal{H} -modules) if $\operatorname{Re}(s) > 1/2$. This is less obvious for the analytically continued Eisenstein series, and in fact it is not true for $s = 1/2$. The following result clarifies which intertwining properties are retained. Recall the definition of the space U_k in (53).

Lemma 5.3. *For $f \in V''_{1/2}$, let $E(g, f)$ be as defined in (98).*

i) For $h \in G(\mathbb{A}_{\text{fin}})$, let $f^h(g) = f(gh)$. Then

$$E(g, f^h) = E(gh, f) \quad \text{for } h \in G(\mathbb{A}_{\text{fin}}). \quad (100)$$

ii) If $f \in U_k$ with $k \neq 0$, then

$$E(\cdot, Hf) = H E(\cdot, f), \quad (101)$$

$$E(\cdot, Rf) = \begin{cases} R E(\cdot, f) & \text{if } k \neq -2, \\ 0 & \text{if } k = -2, \end{cases} \quad (102)$$

$$E(\cdot, Lf) = \begin{cases} L E(\cdot, f) & \text{if } k \neq 2, \\ 0 & \text{if } k = 2, \end{cases} \quad (103)$$

$$E(\cdot, \varepsilon_- f) = \varepsilon_- E(\cdot, f). \quad (104)$$

Proof. This follows from (99). The intertwining properties for the first and the third term on the right hand side are clear. (Observe that $Rf = 0$ for $f \in U_{-2}$ and $Lf = 0$ for $f \in U_2$.) The properties for the second term follow from Proposition 3.7. \square

5.2 The Eisenstein series E_k

Recall that the classical Eisenstein series E_k , defined in (2) for an even integer $k \geq 4$, are modular forms of weight k with a Fourier expansion

$$E_k(z) = 1 + \frac{(2\pi i)^k}{\zeta(k)(k-1)!} \sum_{n=1}^{\infty} \sigma_{k-1}(n) e^{2\pi i n z}. \quad (105)$$

(See [3, Sect. 1.1].) In addition, there is the Eisenstein series E_2 , defined by the conditionally convergent series (4). It is a non-holomorphic modular form of weight 2 with Fourier expansion

$$E_2(z) = 1 - \frac{3}{\pi y} - 24 \sum_{n=1}^{\infty} \sigma(n) e^{2\pi i n z}. \quad (106)$$

(See [3, Sect. 1.2].) Theorem 5.4 below will explain the adelic origin of these Eisenstein series.

First, we make some comments about the correspondence between automorphic forms on $G(\mathbb{A})$ and functions on the upper half plane \mathbb{H} . Suppose an automorphic form Φ on $G(\mathbb{A})$ is invariant under the adelic center. Assume also that it is right-invariant under $\prod_{p<\infty} K'_p$, where K'_p is an open-compact subgroup of K_p , with $K'_p = K_p$ for almost all p , and the determinant map $K'_p \rightarrow \mathbb{Z}_p^\times$ is surjective for all p . Then, by strong approximation, Φ is determined by its values on $\mathrm{GL}(2, \mathbb{R})^+$. Assume also that Φ has weight k for some integer k , i.e., $\Phi(g r(\theta)) = e^{ik\theta} \Phi(g)$ for all $g \in G(\mathbb{A})$ and $\theta \in \mathbb{R}$. Then Φ is determined by its values on elements of the form $\begin{bmatrix} 1 & x \\ & 1 \end{bmatrix} \begin{bmatrix} y & \\ & 1 \end{bmatrix}$ with $x, y \in \mathbb{R}$ and $y > 0$. Now, whenever we have a weight- k function Φ on $\mathrm{GL}(2, \mathbb{R})^+$ invariant under the archimedean center, we can define a function F on the upper half plane by

$$F(z) = \det(g)^{-k/2} j(g, i)^k \Phi(g), \quad \text{where } g \in \mathrm{GL}(2, \mathbb{R})^+ \text{ is such that } gi = z. \quad (107)$$

Here, $j(g, z) = cz + d$ for $g = \begin{bmatrix} a & b \\ c & d \end{bmatrix}$, as usual. We can take a specific g , namely,

$$F(z) = y^{-k/2} \Phi\left(\begin{bmatrix} 1 & x \\ & 1 \end{bmatrix} \begin{bmatrix} y & \\ & 1 \end{bmatrix}\right), \quad z = x + iy. \quad (108)$$

The F thus defined transforms like a modular form of weight k under $\Gamma = \mathrm{SL}(2, \mathbb{Q}) \cap \prod_{p<\infty} K'_p$, i.e., $F|_k \gamma = F$ for $\gamma \in \Gamma$.

Conversely, starting with a function F on \mathbb{H} with this transformation property, we can define a weight- k function Φ on $G(\mathbb{A})$ such that (107) holds. If F is sufficiently regular (e.g., a modular form), then Φ is an automorphic form. We call it the automorphic form corresponding to F .

Theorem 5.4. *Let $k \geq 2$ be an even integer. Let $f_k \in V_{(k-1)/2}$ be the following pure tensor,*

$$f_k = f_{(k-1)/2, \infty}^{(k)} \otimes \left(\otimes_{p<\infty} f_{(k-1)/2, p}^{\mathrm{sph}} \right). \quad (109)$$

Then $E(\cdot, f_k)$ is the automorphic form corresponding to E_k .

Proof. We first assume that $k \geq 4$. By Lemma 5.1

$$E(g, f_k) = f_k(g) + (A_{(k-1)/2} f_k)(g) + \sum_{\substack{\alpha \in \mathbb{Z} \\ \alpha \neq 0}} (W_{(k-1)/2}^\alpha f_k)(g). \quad (110)$$

It follows from (41) and (51) that $A_{(k-1)/2}f_k = 0$. Applying (108) to our function (110), we see that the corresponding function on the upper half plane is given by

$$F(z) = 1 + y^{-k/2} \sum_{\substack{\alpha \in \mathbb{Z} \\ \alpha \neq 0}} (W_{(k-1)/2}^\alpha f_k)(\begin{bmatrix} 1 & x \\ & 1 \end{bmatrix} \begin{bmatrix} y \\ 1 \end{bmatrix}). \quad (111)$$

By (77) and (84), for $\alpha \neq 0$,

$$\begin{aligned} (W_{(k-1)/2}^\alpha f_k)(\begin{bmatrix} 1 & x \\ & 1 \end{bmatrix} \begin{bmatrix} y \\ 1 \end{bmatrix}) &= (W^\alpha f_{(k-1)/2, \infty}^{(k)})(\begin{bmatrix} 1 & x \\ & 1 \end{bmatrix} \begin{bmatrix} y \\ 1 \end{bmatrix}) \prod_{p < \infty} (W^\alpha f_{(k-1)/2, p}^{\text{sph}})(1) \\ &= \begin{cases} 0 & \text{if } \alpha > 0, \\ y^{k/2} \frac{(2\pi i)^k}{\zeta(k)(k-1)!} \sigma_{k-1}(|\alpha|) e^{-2\pi i \alpha(x+iy)} & \text{if } \alpha < 0, \end{cases} \end{aligned} \quad (112)$$

Hence, writing $n = -\alpha$, we see that F equals the function E_k in (105).

Now assume that $k = 2$. In this case the argument is very similar, but instead of Lemma 5.1 we use the analytically continued version (99). The main difference for $k = 2$ is that the intertwining operator is non-zero; using (65) with $T = \emptyset$, we get

$$(A_{1/2}f_2)(\begin{bmatrix} 1 & x \\ & 1 \end{bmatrix} \begin{bmatrix} y \\ 1 \end{bmatrix}) = -\frac{3}{\pi}. \quad (113)$$

Equation (112) simplifies for $\alpha < 0$ to $-24y\sigma(|\alpha|)e^{-2\pi i \alpha(x+iy)}$. We see that the corresponding function on the upper half plane is precisely the classical E_2 given in (106). \square

5.3 Eisenstein series of weight 2 with level

Let N be a positive integer. Starting from the non-holomorphic Eisenstein series E_2 in (106), one forms the function

$$\tilde{E}_{2,N}(z) = E_2(z) - NE_2(Nz). \quad (114)$$

The $\frac{1}{y}$ -terms cancel out, so that one obtains a holomorphic modular form of weight 2 with respect to $\Gamma_0(N)$. (See [3, Sect. 1.2].) The Fourier expansion of $\tilde{E}_{2,N}$ is

$$\tilde{E}_{2,N}(z) = 1 - N - 24 \sum_{n=1}^{\infty} a_n e^{2\pi i n z}, \quad (115)$$

where

$$a_n = \begin{cases} \sigma(n) & \text{if } N \nmid n, \\ \sigma(n) - N\sigma(n/N) & \text{if } N \mid n. \end{cases} \quad (116)$$

The adelic origin of this function is not difficult to determine. Let $f_2 \in V_{1/2}$ be the function from Theorem 5.4, so that $E(\cdot, f_2)$ is the automorphic form corresponding to E_2 . A straightforward calculation shows that

$$g \mapsto E(g \begin{bmatrix} 1 & \\ & N_{\text{fin}} \end{bmatrix}, f_2), \quad \text{where } N_{\text{fin}} = (N, N, N, \dots) \in \mathbb{A}_{\text{fin}}^\times, \quad (117)$$

is the automorphic form corresponding to $NE_2(Nz)$. Since the Eisenstein series is \mathcal{H}_{fin} -intertwining by Lemma 5.3 i), it follows that $E(\cdot, \tilde{f}_{2,N})$, where $\tilde{f}_{2,N} = f_2 - \begin{bmatrix} 1 & \\ & N_{\text{fin}} \end{bmatrix} f_2$, is the automorphic form corresponding to $\tilde{E}_{2,N}$.

Now assume that N is square-free. From an adelic point of view, instead of the functions $\tilde{f}_{2,N}$, it is more natural to consider

$$f_{2,N} := f_{1/2,\infty}^{(2)} \otimes \left(\otimes_{p|N} f_{1/2,p}^{\text{St}} \right) \otimes \left(\otimes_{p \nmid N} f_{1/2,p}^{\text{sph}} \right). \quad (118)$$

In the following theorem μ denotes the Möbius function and φ denotes Euler's function.

Theorem 5.5. *Let $N > 1$ be a squarefree integer and $f_{2,N} \in V_{1/2}$ be as in (118). Then the function on the upper half plane corresponding to $E(\cdot, f_{2,N})$ is given by*

$$E_{2,N}(z) = 1 - 24 \frac{\mu(N)}{\varphi(N)} \sum_{n=1}^{\infty} \sigma(n') e^{2\pi i n z}, \quad (119)$$

where $n' = \prod_{p \nmid N} p^{v_p(n)}$ is the part of n relatively prime to N . It is a holomorphic modular form of weight 2 with respect to $\Gamma_0(N)$.

Proof. Proceeding as in Theorem 5.4, we have

$$E(g, f_{2,N}) = f_{2,N}(g) + (A_{1/2} f_{2,N})(g) + \sum_{\substack{\alpha \in \mathbb{Z} \\ \alpha \neq 0}} (W_{1/2}^\alpha f_{2,N})(g), \quad (120)$$

and $E_{2,N}(z) = y^{-1} E\left(\begin{bmatrix} 1 & x \\ & 1 \end{bmatrix} \begin{bmatrix} y \\ 1 \end{bmatrix}, f_{2,N}\right)$ for $z = x + iy$. Looking at (51), we see that $(A_{1/2} f_{2,N})(g) = 0$, because there is at least one finite place p for which $f_{2,N,p}$ lies in the kernel of $A_{1/2,p}$ (observe the hypothesis $N > 1$). The Whittaker functions are calculated as in (112), using (77) and (85). The assertion follows. \square

To understand the relationship between $E_{2,N}$ and $\tilde{E}_{2,N}$, we consider elements of the Hecke algebra \mathcal{H}_{fin} . We define three elements of the local Hecke algebra \mathcal{H}_p as follows,

$$\alpha_p = \text{char}(K_p), \quad \beta_p = \text{char}\left(\begin{bmatrix} 1 & \\ & p \end{bmatrix} K_p\right), \quad \gamma_p = \frac{1}{1-p} (\alpha_p - \beta_p), \quad (121)$$

where “char” means “characteristic function of”. For a square-free, positive integer N , let $B_N, \tilde{B}_N \in \mathcal{H}_{\text{fin}}$ be defined by

$$\tilde{B}_N = \left(\otimes_{p < \infty} \alpha_p \right) - \left(\otimes_{p|N} \beta_p \right) \otimes \left(\otimes_{p \nmid N} \alpha_p \right), \quad (122)$$

$$B_N = \left(\otimes_{p|N} \gamma_p \right) \otimes \left(\otimes_{p \nmid N} \alpha_p \right). \quad (123)$$

It follows from the definitions of $\tilde{f}_{2,N}$ and $f_{2,N}$ above that

$$\tilde{B}_N f_2 = \tilde{f}_{2,N}, \quad B_N f_2 = f_{2,N}, \quad (124)$$

where as before $f_2 \in V_{1/2}$ is the function from Theorem 5.4. For the second equality, note that $\gamma_p(f_{1/2,p}^{\text{sph}}) = f_{1/2,p}^{\text{St}}$ by (35).

Proposition 5.6. For all square-free integers $N > 1$,

$$E_{2,N} = -\frac{1}{\varphi(N)} \sum_{\substack{M|N \\ M \neq 1}} \mu(N/M) \tilde{E}_{2,M}, \quad \tilde{E}_{2,N} = -\sum_{\substack{M|N \\ M \neq 1}} \varphi(M) E_{2,M}. \quad (125)$$

Proof. We calculate

$$B_N = \left(\prod_{p|N} \frac{1}{1-p} \right) \left(\bigotimes_{p|N} (\alpha_p - \beta_p) \right) \otimes \left(\bigotimes_{p \nmid N} \alpha_p \right) = \frac{\mu(N)}{\varphi(N)} \sum_{M|N} \mu(M) X_M, \quad (126)$$

where

$$X_M = \left(\bigotimes_{p|M} \beta_p \right) \otimes \left(\bigotimes_{p \nmid M} \alpha_p \right). \quad (127)$$

Note that $X_M = X_1 - \tilde{B}_M$ by (122). Since $\sum_{M|N} \mu(M) = 0$ for $N > 1$, it follows that

$$B_N = -\frac{1}{\varphi(N)} \sum_{M|N} \mu(N/M) \tilde{B}_M \quad (128)$$

for $N > 1$. Let us temporarily redefine B_1 to be zero, so that (128) also holds for $N = 1$. Then, by Möbius inversion,

$$\tilde{B}_N = -\sum_{M|N} \varphi(M) B_M. \quad (129)$$

We apply both sides of (128) and (129) to f_2 , and get from (124) that

$$f_{2,N} = -\frac{1}{\varphi(N)} \sum_{\substack{M|N \\ M \neq 1}} \mu(N/M) \tilde{f}_{2,M}, \quad \tilde{f}_{2,N} = -\sum_{\substack{M|N \\ M \neq 1}} \varphi(M) f_{2,M}, \quad (130)$$

for $N > 1$. Now all we have to do is build the adelic Eisenstein series on both sides of these equations. The equality of the adelic Eisenstein series implies the equality of the classical Eisenstein series in (125). \square

5.4 Global representations generated by Eisenstein series

Some of the results of this section are also contained in [9]. The following, which is more or less well known, is a consequence of Theorem 5.4.

Corollary 5.7. If $k \geq 4$ is an even integer, then the \mathcal{H} -module π generated by the automorphic form corresponding to E_k is irreducible. We have $\pi \cong \bigotimes \pi_v$, with $\pi_\infty = \mathcal{D}_{k-1}^{\text{hol}}$, the discrete series representation of lowest weight k , and $\pi_p = |\cdot|_p^{(k-1)/2} \times |\cdot|_p^{(1-k)/2}$, an irreducible principal series representation, for all $p < \infty$.

Proof. Let f_k be as in Theorem 5.4. Recall from (22) that the archimedean component $f_{(k-1)/2,\infty}^{(k)}$ is the lowest weight vector in the \mathcal{H}_∞ -submodule $\mathcal{D}_{k-1}^{\text{hol}}$ of $V_{(k-1)/2,\infty}$. All $V_{(k-1)/2,p}$ for $p < \infty$ are irreducible. Hence

$$W := \mathcal{D}_{k-1}^{\text{hol}} \otimes \left(\bigotimes_{p < \infty} V_{(k-1)/2,p} \right) \quad (131)$$

is an irreducible \mathcal{H} -module containing f_k . The map $f \mapsto E(\cdot, f)$ from W to the space of automorphic forms is intertwining, since the summation (89) is absolutely convergent. As we saw, it is a non-zero map, because $E(\cdot, f_k)$ is the automorphic form corresponding to E_k . Hence the map is an isomorphism onto its image, proving the result. \square

To prove a similar result for $k = 2$ is more difficult, since the map $f \mapsto E(\cdot, f)$ is not quite an intertwining operator for $s = 1/2$; see Lemma 5.3. We require the following preparations.

Lemma 5.8. *For $i \in \{1, \dots, n\}$ let G_i be a group acting on a vector space V_i . Then $G = G_1 \times \dots \times G_n$ acts on $V := V_1 \otimes \dots \otimes V_n$. Assume that each V_i is a G_i -module of length 2, with a unique simple submodule W_i , and with $V_i/W_i \not\cong W_i$. Then $W := W_1 \otimes \dots \otimes W_n$ is the unique simple submodule of V .*

Proof. The constituents of V are the modules $U_1 \otimes \dots \otimes U_n$, where each U_i is isomorphic to either W_i or V_i/W_i . In particular, the constituents are pairwise non-isomorphic. It is therefore enough to show that any simple G -submodule X of V is *isomorphic* to W . (Then automatically $X = W$, since otherwise we can construct a composition series $0 \subset W \subset X \oplus W \subset \dots \subset V$, in which the isomorphism class of W would occur twice.)

Hence assume that $X = U_1 \otimes \dots \otimes U_n$ with U_i isomorphic to either W_i or V_i/W_i , and suppose we have an injection $\varphi : X \rightarrow V$. For $i \in \{1, \dots, n-1\}$, choose any non-zero $u_i \in U_i$. Then we have an injection of vector spaces

$$\alpha : U_n \longrightarrow U_1 \otimes \dots \otimes U_{n-1} \otimes U_n, \quad \alpha(u) = u_1 \otimes \dots \otimes u_{n-1} \otimes u. \quad (132)$$

The map (132) commutes with the action of G_n . Pick some non-zero $u_0 \in U_n$, and write

$$\varphi(\alpha(u_0)) = \sum_{j=1}^m w_j \otimes v_j, \quad w_j \in V_1 \otimes \dots \otimes V_{n-1}, \quad v_j \in V_n. \quad (133)$$

We may assume m to be minimal, in which case w_1, \dots, w_m (and also v_1, \dots, v_m) are linearly independent. Choose a linear form f on $V_1 \otimes \dots \otimes V_{n-1}$ such that $f(w_1) = 1$ and $f(w_j) = 0$ for $j \in \{2, \dots, m\}$. Define

$$\beta : (V_1 \otimes \dots \otimes V_{n-1}) \otimes V_n \longrightarrow V_n, \quad \beta(w \otimes v) = f(w)v. \quad (134)$$

Then $\beta(\varphi(\alpha(u_0))) = v_1$. In particular, the linear map $\beta \circ \varphi \circ \alpha : U_n \rightarrow V_n$ is not zero. It is easy to check that this map commutes with the action of G_n on both sides. Since W_n is the unique irreducible subspace of V_n , it follows that $U_n \cong W_n$.

In the same manner one proves $U_i \cong W_i$ as G_i -representations for all i . \square

Let f_2 be as in Theorem 5.4, i.e.,

$$f_2 = f_{1/2, \infty}^{(2)} \otimes \left(\otimes_{p < \infty} f_{1/2, p}^{\text{sph}} \right). \quad (135)$$

Then $\Phi_2 := E(\cdot, f_2)$ is the automorphic form corresponding to E_2 . For a prime p we let $\mathcal{D}_p \cong \text{St}_{\text{GL}(2, \mathbb{Q}_p)}$ be the infinite-dimensional irreducible, invariant subspace of $V_{1/2, p}$.

Lemma 5.9. Any \mathcal{H}_{fin} -map

$$\bigotimes_{p < \infty} V_{1/2,p} \longrightarrow \mathcal{H}\Phi_2 \quad (136)$$

whose image contains $R^\ell\Phi_2$ or $\varepsilon_-R^\ell\Phi_2$ for some $\ell \geq 0$ is injective.

Proof. Let $\phi : \bigotimes_{p < \infty} V_{1/2,p} \longrightarrow \mathcal{H}_{\text{fin}}\Phi_2$ be an \mathcal{H}_{fin} -map whose image contains $R^\ell\Phi_2$ or $\varepsilon_-R^\ell\Phi_2$ for some $\ell \geq 0$. By composing with ε_- if necessary, we may assume that the image of ϕ contains $R^\ell\Phi_2$. Let $\rho = \otimes \rho_p$ be the representation of \mathcal{H}_{fin} on $\bigotimes_{p < \infty} V_{1/2,p}$. Let $f \in \bigotimes_{p < \infty} V_{1/2,p}$ be a vector with $\phi(f) = R^\ell\Phi_2$. Then

$$\int_{G(\mathbb{Z}_p)} \rho_p(g) f dg \quad (137)$$

also maps to $R^\ell\Phi_2$. We may thus assume that $f = \bigotimes_{p < \infty} f_{1/2,p}^{\text{sph}}$.

Assume that ϕ has a non-trivial kernel K ; we will obtain a contradiction. Considering $\mathcal{H}_{\text{fin}}v$ for any non-zero vector $v \in K$, we see that K contains an \mathcal{H}_{fin} -invariant subspace of the form $W \otimes \left(\bigotimes_{p \nmid N} V_{1/2,p} \right)$, where $N > 1$ is a square-free positive integer, and $W \subset \bigotimes_{p \mid N} V_{1/2,p}$ is invariant under $\bigotimes_{p \mid N} \mathcal{H}_p$. By Lemma 5.8, W contains $\bigotimes_{p \mid N} \mathcal{D}_p$. Hence

$$\left(\bigotimes_{p \mid N} \mathcal{D}_p \right) \otimes \left(\bigotimes_{p \nmid N} V_{1/2,p} \right) \subset K. \quad (138)$$

Let B_N be as in (123). We have

$$B_N f = \left(\bigotimes_{p \mid N} f_{1/2,p}^{\text{St}} \right) \otimes \left(\bigotimes_{p \nmid N} f_{1/2,p}^{\text{sph}} \right) \quad (139)$$

by definition of B_N . By (138), $B_N f \in K$, so that $\phi(B_N f) = 0$. On the other hand, $\phi(B_N f) = B_N \phi(f) = B_N R^\ell\Phi_2 = R^\ell B_N \Phi_2$. By (124), $B_N \Phi_2$ is the automorphic form corresponding to $E_{2,N}$. Using Proposition 2.1 and (119), it is easy to see that $R^\ell B_N \Phi_2 \neq 0$. This is the desired contradiction. \square

For the next result, recall the definitions (53) of U_k and (58) of $V''_{1/2}$.

Proposition 5.10. Consider the map \mathbf{E} from $V''_{1/2}$ to the space of automorphic forms given by $f \mapsto E(\cdot, f)$. Let Φ_2 be the image of f_2 .

i) The image of \mathbf{E} is contained in $\mathcal{H}\Phi_2$, and is 1-codimensional in this space. An element of $\mathcal{H}\Phi_2$ which is not in the image is the constant function 1.

ii) The map \mathbf{E} is injective.

Proof. i) It follows from Proposition 2.1 that $L\Phi_2$ is a constant automorphic form. Hence, if we denote the space of constant automorphic forms simply by \mathbb{C} , then $\mathbb{C} \subset \mathcal{H}\Phi_2$.

By the PBW theorem, $\mathcal{U}(\mathfrak{g})\Phi_2$ has a basis $L\Phi_2, \Phi_2, R\Phi_2, R^2\Phi_2, \dots$. Hence

$$\mathcal{H}_\infty\Phi_2 = \mathbb{C} \oplus \bigoplus_{\ell=0}^{\infty} \mathbb{C}(R^\ell\Phi_2) \oplus \bigoplus_{\ell=0}^{\infty} \mathbb{C}(\varepsilon_-R^\ell\Phi_2). \quad (140)$$

It follows that

$$\mathcal{H}\Phi_2 = \mathbb{C} \oplus \bigoplus_{\ell=0}^{\infty} R^\ell \mathcal{H}_{\text{fin}} \Phi_2 \oplus \bigoplus_{\ell=0}^{\infty} \varepsilon_- R^\ell \mathcal{H}_{\text{fin}} \Phi_2. \quad (141)$$

For $k \geq 2$, set $\ell = (k - 2)/2$. Then, using Lemma 5.3,

$$\mathbf{E}(U_k) = \mathbf{E}(R^\ell U_2) = \mathbf{E}(R^\ell \mathcal{H}_{\text{fin}} f_2) = R^\ell \mathcal{H}_{\text{fin}} \Phi_2. \quad (142)$$

Similarly, for $k \leq -2$, we see $\mathbf{E}(U_k) = \varepsilon_- R^\ell \mathcal{H}_{\text{fin}} \Phi_2$, where $\ell = (-k - 2)/2$. In view of (141), this proves our assertions.

ii) By weight considerations, it is enough to prove that the restriction of \mathbf{E} to U_k is injective. By Lemma 5.3 i), this restriction is an \mathcal{H}_{fin} -map. Clearly, $U_k \cong \bigotimes_{p < \infty} V_{1/2,p}$ as \mathcal{H}_{fin} -modules. Hence the injectivity follows from Lemma 5.9. \square

Theorem 5.11. *Let $\Phi_2 = E(\cdot, f_2)$ be the automorphic form corresponding to E_2 . Then the global representation $\mathcal{H}\Phi_2$ generated by Φ_2 admits a filtration*

$$0 \subset \mathbb{C} \subset \mathcal{H}\Phi_2, \quad (143)$$

where \mathbb{C} is the space of constant automorphic forms, and

$$\mathcal{H}\Phi_2/\mathbb{C} \cong \mathcal{D}_1^{\text{hol}} \otimes \bigotimes_{p < \infty} V_{1/2,p} \quad (144)$$

as \mathcal{H} -modules.

Proof. The map \mathbf{E} from Proposition 5.10 induces a vector space isomorphism $V_{1/2}'' \rightarrow \mathcal{H}\Phi_2/\mathbb{C}$. Since $L(\mathbf{E}(f_2)) = L\Phi_2$ is a constant automorphic form and $U_2 = \mathcal{H}_{\text{fin}} f_2$, it follows that $L(\mathbf{E}(f)) \in \mathbb{C}$ for $f \in U_2$. Applying ε_- , it follows that $R(\mathbf{E}(f)) \in \mathbb{C}$ for $f \in U_{-2}$. Combined with the intertwining properties of Lemma 5.3, it follows that we constructed an isomorphism of \mathcal{H} -modules $V_{1/2}'' \rightarrow \mathcal{H}\Phi_2/\mathbb{C}$. Now all we need to do is observe (58). \square

Next we consider the global representations generated by the Eisenstein series of weight 2 with level. For a positive, square-free integer N , we let

$$f_{k,N} = f_{1/2,\infty}^{(k)} \otimes \left(\bigotimes_{p|N} f_{1/2,p}^{\text{St}} \right) \otimes \left(\bigotimes_{p \nmid N} f_{1/2,p}^{\text{sph}} \right), \quad (145)$$

$$U_{k,N} = \mathcal{H}_{\text{fin}} f_{k,N} = \mathbb{C} f_{1/2,\infty}^{(k)} \otimes \left(\bigotimes_{p|N} \mathcal{D}_p \right) \otimes \left(\bigotimes_{p \nmid N} V_{1/2,p} \right), \quad (146)$$

$$V_{1/2,N}'' = \bigoplus_{\substack{k \in 2\mathbb{Z} \\ k \neq 0}} U_{k,N} = \mathcal{D}_1^{\text{hol}} \otimes \left(\bigotimes_{p|N} \mathcal{D}_p \right) \otimes \left(\bigotimes_{p \nmid N} V_{1/2,p} \right). \quad (147)$$

Observe that $\mathcal{H}f_{2,N} = V_{1/2,N}''$.

Theorem 5.12. *For a square-free integer $N > 1$ let $\Phi_{2,N} = E(\cdot, f_{2,N})$ be the automorphic form corresponding to $E_{2,N}$ (see Theorem 5.5). Then*

$$\mathcal{H}\Phi_{2,N} \cong \mathcal{D}_1^{\text{hol}} \otimes \left(\bigotimes_{p|N} \mathcal{D}_p \right) \otimes \left(\bigotimes_{p \nmid N} V_{1/2,p} \right). \quad (148)$$

Proof. We consider the restriction of the injective map $\mathbf{E} : V''_{1/2} \rightarrow \mathcal{H}\Phi_2$ to $V''_{1/2,N}$. Let $\gamma_p \in \mathcal{H}_p$ be the operator defined in (121) and $B_N \in \mathcal{H}_{\text{fin}}$ be as in (123). Since $L\Phi_2$ is a constant automorphic form, $\gamma_p(L\Phi_2) = 0$. Hence $B_N(L\Phi_2) = 0$, and it follows that

$$\begin{aligned} L(\mathbf{E}(U_{2,N})) &= L(\mathbf{E}(\mathcal{H}_{\text{fin}}f_{2,N})) \\ &= \mathcal{H}_{\text{fin}}L(\mathbf{E}(f_{2,N})) \\ &= \mathcal{H}_{\text{fin}}L(\mathbf{E}(B_N f_2)) \\ &= \mathcal{H}_{\text{fin}}B_N L(\mathbf{E}(f_2)) \\ &= \mathcal{H}_{\text{fin}}B_N L\Phi_2 \\ &= 0. \end{aligned} \tag{149}$$

By applying ε_- it follows that $R(\mathbf{E}(U_{-2,N})) = 0$. Lemma 5.3 now implies that the map $\mathbf{E} : V''_{1/2,N} \rightarrow \mathcal{H}\Phi_2$ is \mathcal{H} -intertwining. Hence $V''_{1/2,N} = \mathcal{H}f_{2,N} \cong \mathcal{H}\mathbf{E}(f_{2,N}) = \mathcal{H}\Phi_{2,N}$ as \mathcal{H} -modules. \square

6 Eisenstein series with character

In the previous sections we have explained the adelic origin of the Eisenstein series E_k , including the case $k = 2$. The literature also contains Eisenstein series $E_{k,\xi}$, whose definition involves a Dirichlet character ξ . In this section we apply the necessary modifications to our previous theory in order to account for the presence of a non-trivial ξ . The arguments will be slightly more complicated in some places, but easier in others, due to the fact that the global intertwining operator vanishes.

Instead of the V_s , the relevant induced representations will now be the $V_{s,\chi} := \chi \cdot |\cdot|^s \times \chi^{-1} \cdot |^{-s}$, where χ is a character of F^\times in the context of a p -adic field F , or a character of \mathbb{R}^\times in the archimedean case, or a character of $\mathbb{Q}^\times \backslash \mathbb{A}^\times$ in the global context. In the p -adic case, this representation is reducible if and only if $\chi^2 = |\cdot|^{-2s \pm 1}$, i.e., if $\chi = \mu \cdot |^{-s \pm 1/2}$ with a quadratic character μ . In this case $V_{s,\chi} = \mu \cdot |\cdot|^{\pm 1/2} \times \mu \cdot |^{\mp 1/2} = \mu V_{\pm 1/2}$, and we have the exact sequences (12) and (13).

6.1 Dirichlet characters

In the following we fix a primitive Dirichlet character ξ of conductor $u > 1$. Then there exists a unique character $\chi = \otimes_{v \leq \infty} \chi_v$ of $\mathbb{Q}^\times \backslash \mathbb{A}^\times$ with the property

$$\prod_{p|u} \chi_p(a) = \xi(a)^{-1} \quad \text{for } a \in \mathbb{Z} \text{ with } (a, u) = 1. \tag{150}$$

For a prime $p \nmid u$ the local component χ_p is unramified with Satake parameter $\chi_p(p) = \xi(p)$. For a prime $p \mid u$ the local component χ_p is ramified with conductor exponent $a(\chi_p) = v_p(u)$. The archimedean component is given by

$$\chi_\infty = \begin{cases} \text{triv} & \text{if } \xi(-1) = 1, \\ \text{sgn} & \text{if } \xi(-1) = -1. \end{cases} \tag{151}$$

Since $\chi(a) = 1$, it follows from (150) that

$$\prod_{p|a} \chi_p(a) = \xi(|a|) \quad \text{for } a \in \mathbb{Z} \text{ with } (a, u) = 1. \tag{152}$$

The classical Gauss sum of ξ is defined by

$$G(\xi) = \sum_{a=1}^u \xi(a) e^{\frac{2\pi ia}{u}}. \quad (153)$$

As in Sect. 4.3, we fix the global additive character $\psi = \prod \psi_v$ as in Tate's thesis. Attached to χ is a global ε -factor

$$\varepsilon(s, \chi) = \prod_{v \leq \infty} \varepsilon(s, \chi_v, \psi_v), \quad (154)$$

defined as a product of local factors. The archimedean ε -factor is independent of the complex variable s , and is given by

$$\varepsilon(s, \chi_\infty, \psi_\infty) = \begin{cases} 1 & \text{if } \chi_\infty = \text{triv}, \\ -i & \text{if } \chi_\infty = \text{sgn}. \end{cases} \quad (155)$$

Lemma 6.1. *With the above notations and conventions,*

$$\prod_{p < \infty} \varepsilon(0, \chi_p, \psi_p) = G(\xi). \quad (156)$$

Proof. This is an exercise, using the standard formula

$$\varepsilon(0, \chi_p, \psi_p) = \int_{p^{-a(\chi_p)} \mathbb{Z}_p^\times} \chi_p^{-1}(x) \psi_p(x) dx \quad (157)$$

as a starting point. □

Since ξ is primitive, we have an equality of L -functions $L(s, \xi) = L(s, \chi)$, where $L(s, \xi) = \sum_{n=1}^{\infty} \xi(n) n^{-s}$ is the classical Dirichlet L -series. In the following the Dirichlet character ξ^2 will be relevant, by which we mean the function $\xi^2(n) = \xi(n)^2$ for $n \in \mathbb{Z}$ (as opposed to the primitive Dirichlet character associated to this function). We have $L(s, \xi^2) = \prod_{p \nmid u} L(s, \chi_p^2)$, but not in general $L(s, \xi^2) = L(s, \chi^2)$.

6.2 Intertwining operators

Non-archimedean case

Let $F, \varpi, q, |\cdot|, v, G$ be as in Sect. 2.3. Let χ be a character of F^\times . Recall that $V_{s, \chi}$ is the standard space of the parabolically induced representation $\chi|\cdot|^s \times \chi^{-1}|\cdot|^{-s}$, consisting of locally constant functions $f : G \rightarrow \mathbb{C}$ with the transformation property

$$f\left(\begin{bmatrix} a & b \\ & d \end{bmatrix} g\right) = \left|\frac{a}{d}\right|^{s+1/2} \chi\left(\frac{a}{d}\right) f(g). \quad (158)$$

Set

$$\beta := \begin{cases} \chi^2(\varpi) & \text{if } \chi^2 \text{ is unramified,} \\ 0 & \text{if } \chi^2 \text{ is ramified.} \end{cases} \quad (159)$$

Generalizing (29), we define for $f_s \in V_{s,\chi}$

$$(A_{s,\chi}f_s)(g) := \lim_{N \rightarrow \infty} \left(\int_{\substack{F \\ v(b) > -N}} f_s \left(\begin{bmatrix} & -1 \\ 1 & \end{bmatrix} \begin{bmatrix} 1 & b \\ & 1 \end{bmatrix} g \right) db + (1 - q^{-1}) \frac{\beta^N q^{-2Ns}}{1 - \beta q^{-2s}} f_s(g) \right), \quad (160)$$

assuming $q^{2s} \neq \beta$. By a similar argument as in Sect. 3.1, we see that $A_{s,\chi}$ is an intertwining operator $V_{s,\chi} \rightarrow V_{-s,\chi^{-1}}$, for any $s \in \mathbb{C}$ with $q^{2s} \neq \beta$.

Assume that χ itself is unramified. Then we have a normalized spherical vector $f_{s,\chi}^{\text{sph}}$. We also define the *Steinberg vector* $f_{s,\chi}^{\text{St}}$ by

$$f_{s,\chi}^{\text{St}} = \frac{1}{1 - \beta^{-1} q^{2s+1}} \left((1 + \beta^{-1} q^{2s}) f_{s,\chi}^{\text{sph}} - \chi(\varpi)^{-1} q^{s-1/2} (q+1) \begin{bmatrix} 1 & \\ & \varpi \end{bmatrix} f_{s,\chi}^{\text{sph}} \right), \quad (161)$$

which satisfies $f_{s,\chi}^{\text{St}}(1) = 1$ and $f_{s,\chi}^{\text{St}} \left(\begin{bmatrix} & -1 \\ 1 & \end{bmatrix} \right) = -q^{-1}$. The two vectors $f_{s,\chi}^{\text{sph}}$ and $f_{s,\chi}^{\text{St}}$ form a basis of $V_{s,\chi}^{\Gamma_0(\mathfrak{p})}$. Calculations show that

$$A_{s,\chi} f_{s,\chi}^{\text{sph}} = \frac{1 - \beta q^{-2s-1}}{1 - \beta q^{-2s}} f_{-s,\chi^{-1}}^{\text{sph}}, \quad (162)$$

$$A_{s,\chi} f_{s,\chi}^{\text{St}} = -q^{-1} \frac{1 - \beta q^{-2s+1}}{1 - \beta q^{-2s}} f_{-s,\chi^{-1}}^{\text{St}}. \quad (163)$$

In particular, if $\chi = \mu |\cdot|^{-s+1/2}$ with an unramified quadratic character μ , then $V_{s,\chi} = V_{1/2,\mu}$, and

$$f_{1/2,\mu}^{\text{St}} = \frac{1}{1 - q} \left(f_{1/2,\mu}^{\text{sph}} - \mu(\varpi) \begin{bmatrix} 1 & \\ & \varpi \end{bmatrix} f_{1/2,\mu}^{\text{sph}} \right) \quad (164)$$

lies in the kernel of $A_{1/2,\mu}$.

Archimedean case

Let χ be either the trivial character or the sign character of \mathbb{R}^\times . Then $V_{s,\chi}$ is the \mathcal{H}_∞ -module spanned by the functions $f_{s,\chi}^{(k)}$ for $k \in 2\mathbb{Z}$, where

$$f_{s,\chi}^{(k)} \left(\begin{bmatrix} a & b \\ & d \end{bmatrix} r(\theta) \right) = \chi(ad^{-1}) \left| \frac{a}{d} \right|^{s+1/2} e^{ik\theta}, \quad (165)$$

for $a, d \in \mathbb{R}^\times$, $b \in \mathbb{R}$, and $r(\theta)$ as in (7). Lemma 2.2 still holds with $f_{s,\chi}^{(k)}$ instead of $f_s^{(k)}$, except that (21) has to be replaced by $\varepsilon_- f_{s,\chi}^{(k)} = \chi(-1) f_{s,\chi}^{(-k)}$. We still have the sequences (22) and (23), with $V_{s,\chi}$ instead of V_s and $\chi \mathcal{F}_{k-1}$ instead of \mathcal{F}_{k-1} ; there is no need to twist $\mathcal{D}_{k-1}^{\text{hol}}$, since it is invariant under twisting by the sign character.

For the archimedean intertwining operator $A_{s,\chi} : V_{s,\chi} \rightarrow V_{-s,\chi}$, defined just as in (37) and convergent for $\text{Re}(s) > 0$, we still have the statement of Lemma 3.3.

Global case

Now let $\chi = \otimes_{v \leq \infty} \chi_v$ be the character of $\mathbb{Q}^\times \backslash \mathbb{A}^\times$ corresponding to our primitive Dirichlet character ξ , and consider the global \mathcal{H} -module

$$V_{s,\chi} = \bigotimes_v V_{s,\chi_v} \quad (166)$$

with the local \mathcal{H}_v -modules V_{s,χ_v} defined above. We would like to define a global intertwining operator $A_{s,\chi} : V_{s,\chi} \rightarrow V_{-s,\chi^{-1}}$ by an integral as in (48). To investigate convergence, let $f = \otimes f_v$ be a pure tensor in $V_{s,\chi}$. Let T be a finite set of finite places such that χ_p is unramified and $f_p = f_{s,\chi_p}^{\text{sph}}$ for $p \notin T$; such a set T exists by definition of the restricted tensor product. Similar to (50), we get

$$(A_{s,\chi} f)(g) = \left(\prod_{v \in T \cup \{\infty\}} (A_{s,\chi_v} f_v)(g_v) \right) \left(\prod_{p \notin T} \frac{1 - \chi_p^2(p) p^{-2s-1}}{1 - \chi_p^2(p) p^{-2s}} f_{-s,\chi_p^{-1}}^{\text{sph}}(g_p) \right). \quad (167)$$

Again we see that the product converges for $\text{Re}(s) > 1/2$. We may rewrite (167) as

$$(A_{s,\chi} f)(g) = \frac{L(2s, \chi^2)}{L(2s+1, \chi^2)} ((A_{s,\chi_\infty} f_\infty)(g_\infty)) \left(\prod_{p \in T} \frac{1 - \chi_p^2(p) p^{-2s}}{1 - \chi_p^2(p) p^{-2s-1}} (A_{s,\chi_p} f_p)(g_p) \right) \left(\prod_{p \notin T} f_{-s,\chi_p^{-1}}^{\text{sph}}(g_p) \right). \quad (168)$$

Now assume that $f = f_s = \otimes f_{s,v}$ varies in a flat section. Assume further that $f_{s,\infty} = f_{s,\chi_\infty}^{(k)}$ for some even $k \geq 2$. Then, by (41), the limit $\lim_{s \rightarrow 1/2} (A_{s,\chi_\infty} f_{s,\infty})(g_\infty)$ is zero. It follows that the right hand side of (168) is analytic in the region $\text{Re}(s) > 0$. In fact:

- If $\chi^2 \neq 1$, then $L(2s, \chi^2)$ is holomorphic in $\text{Re}(s) > 0$, so that $(A_{s,\chi} f)(g)$ vanishes at $s = 1/2$.
- If $\chi^2 = 1$, then $L(2s, \chi^2)$ has a simple pole at $s = 1/2$. However, in this case we will let $f_{s,p}$ be the flat section containing an element of the subrepresentation $\chi_p \text{St}_{\text{GL}(2, \mathbb{Q}_p)}$ of $V_{1/2, \chi_p}$. Note that by our assumptions on ξ the set T is non-empty, and that $f_{1/2, \chi_p}$ is in the kernel of $A_{1/2, \chi_p}$. Hence we still obtain that $(A_{s,\chi} f)(g)$ vanishes at $s = 1/2$.

6.3 Whittaker integrals

Non-archimedean case

We use the same p -adic setup as in the previous section. For $f \in V_{s,\chi}$ and $\alpha \in F^\times$ we define $W_{s,\chi}^\alpha$ by the same formula as in (66). Instead of (68), we have

$$(W_{s,\chi}^\alpha f)(\begin{bmatrix} y & \\ & 1 \end{bmatrix} g) = \chi(y)^{-1} |y|^{1/2-s} (W_{s,\chi}^{\alpha y} f)(g) \quad (169)$$

for all $g \in G$ and $y, \alpha \in F^\times$.

Assume that χ is ramified with conductor exponent $a(\chi)$. Then $V_{s,\chi}^{\Gamma_0(\mathfrak{p}^n)} = 0$ for $0 \leq n < 2a(\chi)$, and $\dim V_{s,\chi}^{\Gamma_0(\mathfrak{p}^n)} = 1$ for $n = 2a(\chi)$. A non-zero $\Gamma_0(\mathfrak{p}^{2a(\chi)})$ -invariant vector is given in [14, Prop. 2.1.2]. It is supported on the double coset $B \begin{bmatrix} 1 & \\ \varpi^{a(\chi)} & 1 \end{bmatrix} \Gamma_0(\mathfrak{p}^{2a(\chi)})$, where B is the upper triangular subgroup of $\text{GL}(2, F)$. We call such a vector $f_{s,\chi}^{\text{new}}$, and normalize it so that

$$f_{s,\chi}^{\text{new}} \left(\begin{bmatrix} 1 & \\ \varpi^{a(\chi)} & 1 \end{bmatrix} \right) = \chi(\varpi)^{-a(\chi)}. \quad (170)$$

Note that the definition is independent of the choice of uniformizer. If $\chi^2 \neq |\cdot|^{-2s \pm 1}$, then $f_{s,\chi}^{\text{new}}$ is the newform in the irreducible principal series representation $V_{s,\chi}$. If $\chi = \mu \cdot |\cdot|^{-s+1/2}$ with a quadratic character μ , then $f_{s,\chi}^{\text{new}}$ is the newform in the subrepresentation $\mu \text{St}_{\text{GL}(2)}$ of $V_{s,\chi}$.

Lemma 6.2. *The following holds for any $\alpha, y \in F^\times$.*

i) *Suppose that χ is unramified. Let $\beta = \chi^2(\varpi)$ and assume that $q^{2s} \neq \beta$. If $f_{s,\chi}^{\text{sph}} \in V_{s,\chi}$ is the normalized spherical vector, then*

$$\begin{aligned} & (W_{s,\chi}^\alpha f_{s,\chi}^{\text{sph}})(\begin{bmatrix} y & \\ & 1 \end{bmatrix}) \\ &= \begin{cases} \frac{(\chi^2(\alpha)|\alpha|^{2s}\chi(y)|y|^{1/2+s} - \beta^{-1}q^{2s}\chi(y)^{-1}|y|^{1/2-s})(1 - \beta q^{-2s-1})}{1 - \beta^{-1}q^{2s}} & \text{if } v(\alpha y) \geq 0, \\ 0 & \text{if } v(\alpha y) < 0. \end{cases} \end{aligned} \quad (171)$$

ii) *Suppose that χ is unramified. Let $\beta = \chi^2(\varpi)$ and assume that $q^{2s} \neq \beta$. If $f_{s,\chi}^{\text{St}} \in V_{s,\chi}$ is the Steinberg vector defined in (161), then*

$$\begin{aligned} & (W_{s,\chi}^\alpha f_{s,\chi}^{\text{St}})(\begin{bmatrix} y & \\ & 1 \end{bmatrix}) \\ &= \begin{cases} \frac{(1 - \beta q^{-2s-1})\chi^2(\alpha)|\alpha|^{2s}\chi(y)|y|^{1/2+s} - (1 - \beta^{-1}q^{2s-1})\chi(y)^{-1}|y|^{1/2-s}}{1 - \beta^{-1}q^{2s}} & \text{if } v(\alpha y) \geq 0, \\ 0 & \text{if } v(\alpha y) < 0. \end{cases} \end{aligned} \quad (172)$$

iii) *Suppose $a(\chi) > 0$. Then, with $f_{s,\chi}^{\text{new}}$ as in (170),*

$$(W_{s,\chi}^\alpha f_{s,\chi}^{\text{new}})(\begin{bmatrix} y & \\ & 1 \end{bmatrix}) = \begin{cases} 0 & \text{if } v(\alpha y) \neq 0, \\ q^{-a(\chi)(2s+1)}|y|^{1/2-s}\chi(-\alpha)\varepsilon(0, \chi, \psi) & \text{if } v(\alpha y) = 0. \end{cases} \quad (173)$$

Proof. i) and ii) follow by setting $\chi = |\cdot|^t$ and replacing s by $s+t$ in Lemma 4.1. For iii) see [14, Lemma 2.2.1]. \square

Archimedean case

We consider the archimedean $V_{s,\chi}$, where χ is either trivial or the sign character. Recall that it is spanned by the functions in (165). Generalizing (77), we have

$$(W_{(k-1)/2,\chi}^\alpha f_{(k-1)/2,\chi}^{(k)})(\begin{bmatrix} 1 & x \\ & 1 \end{bmatrix} \begin{bmatrix} y & \\ & 1 \end{bmatrix}) = \begin{cases} 0 & \text{if } \alpha y > 0, \\ \frac{(2\pi i)^k}{(k-1)!} \chi(y)|y|^{k/2}|\alpha|^{k-1}e^{-2\pi i\alpha(x+iy)} & \text{if } \alpha y < 0. \end{cases} \quad (174)$$

In our application we will have $y > 0$, in which case there is no difference to the previous formula.

Global case

Let ξ be our primitive Dirichlet character of conductor $u > 1$, and χ the corresponding character of $\mathbb{Q}^\times \backslash \mathbb{A}^\times$. We consider the global Whittaker functional $W_{s,\chi}^\alpha$ defined as in (78) with $f \in V_{s,\chi}$, with the same global additive character $\psi = \prod \psi_v$. Then Lemma 4.2 is still true.

For a positive integer n , we define the generalized power sum as follows,

$$\sigma_t^\xi(n) = \xi(n) \sum_{m|n} \xi^{-2}(m) m^t, \quad (175)$$

the sum being taken over positive divisors of n . We write σ^ξ for σ_1^ξ . The following lemma is analogous to Lemma 4.3.

Lemma 6.3. *Let α be a non-zero integer. If $(\alpha, u) = 1$, then the following formulas hold.*

i) For $\text{Re}(s) > 0$,

$$\begin{aligned} & \left(\prod_{p|u} (W_{s,\chi_p}^\alpha f_{s,\chi_p}^{\text{new}})(1) \right) \left(\prod_{p \nmid u} (W_{s,\chi_p}^\alpha f_{s,\chi_p}^{\text{sph}})(1) \right) \\ &= \frac{\chi_\infty(-\alpha) |\alpha|^{-2s}}{u^{2s+1} L(2s+1, \xi^2)} \left(\prod_{p < \infty} \varepsilon(0, \chi_p, \psi_p) \right) \sigma_{2s}^\xi(|\alpha|). \end{aligned} \quad (176)$$

ii) For $s = 1/2$ and a positive, square-free integer N with $(N, u) = 1$ and $\chi_p^2 = 1$ for $p | N$,

$$\begin{aligned} & \left(\prod_{p|u} (W_{1/2,\chi_p}^\alpha f_{1/2,\chi_p}^{\text{new}})(1) \right) \left(\prod_{p|N} (W_{1/2,\chi_p}^\alpha f_{1/2,\chi_p}^{\text{St}})(1) \right) \left(\prod_{p \nmid uN} (W_{1/2,\chi_p}^\alpha f_{1/2,\chi_p}^{\text{sph}})(1) \right) \\ &= \frac{\chi_\infty(-\alpha) |\alpha|^{-1} \mu(N)}{u^2 L(2, \xi^2) \varphi(N)} \left(\prod_{p < \infty} \varepsilon(0, \chi_p, \psi_p) \right) \xi(|\alpha/\alpha'|) \sigma^\xi(|\alpha'|), \end{aligned} \quad (177)$$

where α' is the part of α relatively prime to N .

If $(\alpha, u) \neq 1$, then the left hand sides of (176) and (177) are zero.

Proof. The last statement follows from (173). We will therefore assume that $(\alpha, u) = 1$.

i) From (171) and (173) we get

$$\begin{aligned} & \left(\prod_{p|u} (W_{s,\chi_p}^\alpha f_{s,\chi_p}^{\text{new}})(1) \right) \left(\prod_{p \nmid u} (W_{s,\chi_p}^\alpha f_{s,\chi_p}^{\text{sph}})(1) \right) \\ &= \left(\prod_{p|u} p^{-a(\chi_p)(2s+1)} \chi_p(-\alpha) \varepsilon(0, \chi_p, \psi_p) \right) \left(\prod_{p \nmid u} \frac{(\chi_p^2(\alpha) |\alpha|_p^{2s} - \beta_p^{-1} p^{2s})(1 - \beta_p p^{-2s-1})}{1 - \beta_p^{-1} p^{2s}} \right) \\ &= \frac{1}{\prod_{p \nmid u} L(2s+1, \chi_p^2)} \left(\prod_{p|u} p^{-v_p(u)(2s+1)} \chi_p(-\alpha) \varepsilon(0, \chi_p, \psi_p) \right) \left(\prod_{p \nmid u} \frac{\chi_p^2(\alpha) |\alpha|_p^{2s} - \beta_p^{-1} p^{2s}}{1 - \beta_p^{-1} p^{2s}} \right) \\ &= \frac{1}{u^{2s+1} L(2s+1, \xi^2)} \left(\prod_{p|u} \chi_p(-\alpha) \varepsilon(0, \chi_p, \psi_p) \right) \left(\prod_{p \nmid u} \chi_p^2(\alpha) |\alpha|_p^{2s} \right) \left(\prod_{p \nmid u} \frac{1 - (\beta_p^{-1} p^{2s})^{v_p(\alpha)+1}}{1 - \beta_p^{-1} p^{2s}} \right) \end{aligned}$$

$$\begin{aligned}
&= \frac{\chi_\infty(-\alpha)|\alpha|_\infty^{-2s}}{u^{2s+1}L(2s+1,\xi^2)} \left(\prod_{p|u} \varepsilon(0, \chi_p, \psi_p) \right) \left(\prod_{p \nmid u} \chi_p(-\alpha) \right) \left(\prod_{p \nmid u} (1 + \beta_p^{-1} p^{2s} + \cdots + (\beta_p^{-1} p^{2s})^{v_p(\alpha)}) \right) \\
&\stackrel{(152)}{=} \frac{\chi_\infty(-\alpha)|\alpha|_\infty^{-2s} \xi(|\alpha|)}{u^{2s+1}L(2s+1,\xi^2)} \left(\prod_{p < \infty} \varepsilon(0, \chi_p, \psi_p) \right) \left(\prod_{p \nmid u} (1 + \xi(p)^{-2} p^{2s} + \cdots + (\xi(p)^{-2} p^{2s})^{v_p(\alpha)}) \right) \\
&= \frac{\chi_\infty(-\alpha)|\alpha|_\infty^{-2s} \xi(|\alpha|)}{u^{2s+1}L(2s+1,\xi^2)} \left(\prod_{p < \infty} \varepsilon(0, \chi_p, \psi_p) \right) \left(\sum_{m|\alpha} \xi(m)^{-2} m^{2s} \right).
\end{aligned}$$

In view of (175), this proves i).

ii) follows from a similar calculation, using (171), (172) and (173). \square

6.4 The classical Eisenstein series with character

We continue to let ξ be our non-trivial primitive Dirichlet character of conductor $u > 1$. For an even integer $k \geq 2$, let

$$E_{k,\xi}(z) = C(k, \xi) \sum_{n=1}^{\infty} \sigma_{k-1}^\xi(n) e^{2\pi i n z}, \quad (178)$$

with σ_{k-1}^ξ defined in (175), and

$$C(k, \xi) = \frac{(2\pi i)^k G(\xi)}{(k-1)! u^k L(k, \xi^2)}. \quad (179)$$

We note that this normalization of the Eisenstein series differs from the one in [3, Sects. 4.5, 4.6]. We choose the form in (178) since the following result works out nicely coming from the adelic Eisenstein series.

Theorem 6.4. *Let ξ be a primitive Dirichlet character modulo $u > 1$, and let χ be the corresponding character of $\mathbb{Q}^\times \backslash \mathbb{A}^\times$. Let $k \geq 2$ be an even integer and $f_{k,\chi} \in V_{(k-1)/2,\chi}$ be the following pure tensor,*

$$f_{k,\chi} = f_{(k-1)/2,\chi_\infty}^{(k)} \otimes \left(\otimes_{p|u} f_{(k-1)/2,\chi_p}^{\text{new}} \right) \otimes \left(\otimes_{p \nmid u} f_{(k-1)/2,\chi_p}^{\text{sph}} \right). \quad (180)$$

Then $E(\cdot, f_{k,\chi})$ is the automorphic form corresponding to $E_{k,\xi}$. It is a holomorphic modular form of weight k with respect to $\Gamma_0(u^2)$.

Proof. If $k \geq 4$, then we have, similar to Lemma 5.1,

$$E(g, f_{k,\chi}) = f_{k,\chi}(g) + (A_{(k-1)/2,\chi} f_{k,\chi})(g) + \sum_{\substack{\alpha \in \mathbb{Z} \\ \alpha \neq 0}} (W_{(k-1)/2,\chi}^\alpha f_{k,\chi})(g). \quad (181)$$

It follows from (168) that $A_{(k-1)/2,\chi} f_{k,\chi} = 0$. Since there is at least one prime $p \mid u$, it follows from the definition of $f_{s,\chi_p}^{\text{new}}$ that $f_{k,\chi}(g) = 0$ for archimedean g . Hence

$$E(g, f_{k,\chi}) = \sum_{\substack{\alpha \in \mathbb{Z} \\ \alpha \neq 0}} (W_{(k-1)/2,\chi}^\alpha f_{k,\chi})(g) \quad \text{for } g \in G(\mathbb{R}). \quad (182)$$

If $k = 2$, we obtain the same identity by analytic continuation; see the comments after (168).

Applying (108) to our function (182), we see from Lemma 6.3 i) and (174) that the corresponding function on the upper half plane is given by

$$\begin{aligned}
F(z) &= y^{-k/2} \sum_{\substack{\alpha \in \mathbb{Z} \\ \alpha \neq 0}} (W_{(k-1)/2, \chi}^\alpha f_{k, \chi}) \left(\begin{bmatrix} 1 & x \\ & 1 \end{bmatrix} \begin{bmatrix} y \\ & 1 \end{bmatrix} \right) \\
&= y^{-k/2} \sum_{\substack{\alpha \in \mathbb{Z} < 0 \\ (\alpha, u) = 1}} \frac{(2\pi i)^k}{(k-1)!} |y|^{k/2} |\alpha|^{k-1} e^{-2\pi i \alpha(x+iy)} \frac{\chi_\infty(-\alpha) |\alpha|^{-(k-1)}}{u^k L(k, \xi^2)} \left(\prod_{p < \infty} \varepsilon(0, \chi_p, \psi_p) \right) \sigma_{k-1}^\xi(|\alpha|) \\
&= \frac{(2\pi i)^k}{(k-1)!} \frac{1}{u^k L(k, \xi^2)} \left(\prod_{p < \infty} \varepsilon(0, \chi_p, \psi_p) \right) \sum_{n=1}^{\infty} \sigma_{k-1}^\xi(n) e^{2\pi i n z}. \tag{183}
\end{aligned}$$

Now we use Lemma 6.1 to complete the proof. \square

Remark 6.5. *The constant term in (178) is zero, i.e., the Eisenstein series $E_{k, \xi}$ vanishes at the cusp at infinity. This stems from the fact that both $f_{k, \chi}(g)$ and $(A_{(k-1)/2, \chi} f_{k, \chi})(g)$ in (181) are zero for archimedean g . It follows from (168) that in fact $(A_{(k-1)/2, \chi} f_{k, \chi})(g) = 0$ for any $g \in G(\mathbb{A})$. Hence, for any $h \in \mathrm{SL}(2, \mathbb{Q})$,*

$$\begin{aligned}
(E_{k, \xi}|h)(z) &= y^{-k/2} E\left(\begin{bmatrix} 1 & x \\ & 1 \end{bmatrix} \begin{bmatrix} y \\ & 1 \end{bmatrix} h_{\mathrm{fin}}^{-1}, f_{k, \chi}\right) \\
&= f_{k, \chi}(h_{\mathrm{fin}}^{-1}) + y^{-k/2} \sum_{\substack{\alpha \in \mathbb{Z} \\ \alpha \neq 0}} (W_{(k-1)/2, \chi}^\alpha f_{k, \chi}) \left(\begin{bmatrix} 1 & x \\ & 1 \end{bmatrix} \begin{bmatrix} y \\ & 1 \end{bmatrix} h_{\mathrm{fin}}^{-1} \right). \tag{184}
\end{aligned}$$

The cusps are in bijection with $B(\mathbb{Q}) \backslash \mathrm{SL}(2, \mathbb{Q}) / \Gamma_0(u^2)$. Looking at the support of the local newforms in (170), we see from (184) that $E_{k, \xi}$ vanishes at all cusps except the one represented by $\begin{bmatrix} 1 & \\ & -u \end{bmatrix}$.

6.5 Eisenstein series of weight 2 with level $u^2 N$

We continue to assume that ξ is a primitive Dirichlet character modulo $u > 1$ and χ is the corresponding character of $\mathbb{Q}^\times \backslash \mathbb{A}^\times$. Let N be a square-free positive integer with $(u, N) = 1$ and such that $\chi_p^2 = 1$ for $p \mid N$. (Note that, for $p \nmid u$, we have $\chi_p^2 = 1$ if and only if $\xi(p)^2 = 1$; see (152).) Consider the element of $V_{1/2, \chi}$ defined by

$$f_{2, N, \chi} := f_{1/2, \chi_\infty}^{(2)} \otimes \left(\otimes_{p|u} f_{1/2, \chi_p}^{\mathrm{new}} \right) \otimes \left(\otimes_{p|N} f_{1/2, \chi_p}^{\mathrm{St}} \right) \otimes \left(\otimes_{p \nmid uN} f_{1/2, \chi_p}^{\mathrm{sph}} \right). \tag{185}$$

See (161) for the definition of $f_{1/2, \chi_p}^{\mathrm{St}}$. In the following result μ is the Möbius function and φ is Euler's function.

Theorem 6.6. *Let ξ, χ, u, N be as above and $f_{2, N, \chi} \in V_{1/2, \chi}$ be as in (185). Let $C(2, \xi)$ be as in (179) for $k = 2$. Then the function on the upper half plane corresponding to $E(\cdot, f_{2, N, \chi})$ is given by*

$$E_{2, N, \xi}(z) = C(2, \xi) \frac{\mu(N)}{\varphi(N)} \sum_{n=1}^{\infty} \xi\left(\frac{n}{n'}\right) \sigma^\xi(n') e^{2\pi i n z}, \tag{186}$$

where n' is the part of n relatively prime to N . It is a holomorphic modular form of weight 2 with respect to $\Gamma_0(u^2 N)$.

Proof. The proof is similar to that of Theorem 6.4, making use of Lemma 6.3 ii) and (174). \square

Up to normalization, the following Eisenstein series is defined in [3, Sect. 4.6],

$$\tilde{E}_{2,N,\xi}(z) = N \cdot C(2, \xi) \sum_{n=1}^{\infty} \sigma^{\xi}(n) e^{2\pi i n N z} = N \cdot E_{2,\xi}(Nz). \quad (187)$$

It is a holomorphic modular form of weight 2 with respect to $\Gamma_0(u^2N)$. It is easily verified that $E(\cdot, \tilde{f}_{2,N,\chi})$, where $\tilde{f}_{2,N,\chi} = \begin{bmatrix} 1 & \\ & N_{\text{fin}} \end{bmatrix} f_{2,\chi}$, is the automorphic form corresponding to $\tilde{E}_{2,N,\xi}$. Here N_{fin} is defined in (117) and $f_{2,\chi} \in V_{1/2,\chi}$ is the function from Theorem 6.4.

There is a result analogous to Proposition 5.6 which relates $\tilde{E}_{2,N,\xi}$ to $E_{2,N,\xi}$. To derive it, we define the following elements of the local Hecke algebra \mathcal{H}_p ,

$$\alpha_p = \text{char}(K_p), \quad \beta_p = \text{char}\left(\begin{bmatrix} 1 & \\ & p \end{bmatrix} K_p\right), \quad \gamma_p = \frac{1}{1-p}(\alpha_p - \chi_p(p)\beta_p), \quad \delta_p = \frac{\text{char}(\Gamma_0(p^{v_p(u)}))}{\text{vol}(\Gamma_0(p^{v_p(u)}))}. \quad (188)$$

Here we assume $p \nmid u$ for β_p, γ_p , and $p \mid u$ for δ_p . For a square-free, positive integer N , let $B_{N,\chi}, \tilde{B}_{N,\chi} \in \mathcal{H}_{\text{fin}}$ be defined by

$$\tilde{B}_{N,\chi} = \left(\bigotimes_{p \mid u} \delta_p \right) \otimes \left(\bigotimes_{p \mid N} \beta_p \right) \otimes \left(\bigotimes_{p \nmid uN} \alpha_p \right), \quad (189)$$

$$B_{N,\chi} = \left(\bigotimes_{p \mid u} \delta_p \right) \otimes \left(\bigotimes_{p \mid N} \gamma_p \right) \otimes \left(\bigotimes_{p \nmid uN} \alpha_p \right). \quad (190)$$

It follows that

$$\tilde{B}_{N,\chi} f_{2,\chi} = \tilde{f}_{2,N,\chi}, \quad B_{N,\chi} f_{2,\chi} = f_{2,N,\chi}, \quad (191)$$

where as before $f_{2,\chi} \in V_{1/2,\chi}$ is the function from Theorem 6.4. For the second equality, note that $\gamma_p(f_{1/2,\chi_p}^{\text{sph}}) = f_{1/2,\chi_p}^{\text{St}}$ by (164).

Proposition 6.7. *For all square-free, positive integers N ,*

$$E_{2,N,\xi} = \frac{1}{\varphi(N)} \sum_{M \mid N} \xi(M) \mu(N/M) \tilde{E}_{2,M,\xi}, \quad \tilde{E}_{2,N,\xi} = \xi(N) \sum_{M \mid N} \varphi(M) E_{2,M,\xi}. \quad (192)$$

Proof. We calculate

$$\begin{aligned} B_{N,\chi} &= \left(\prod_{p \mid N} \frac{1}{1-p} \right) \left(\bigotimes_{p \mid u} \delta_p \right) \otimes \left(\bigotimes_{p \mid N} (\alpha_p - \chi_p(p)\beta_p) \right) \otimes \left(\bigotimes_{p \nmid uN} \alpha_p \right) \\ &= \frac{\mu(N)}{\varphi(N)} \sum_{M \mid N} \left(\prod_{p \mid M} \chi_p(p) \right) \mu(M) \tilde{B}_{M,\chi} \\ &\stackrel{(152)}{=} \frac{\mu(N)}{\varphi(N)} \sum_{M \mid N} \xi(M) \mu(M) \tilde{B}_{M,\chi} \\ &= \frac{1}{\varphi(N)} \sum_{M \mid N} \xi(N/M) \mu(M) \tilde{B}_{N/M,\chi}. \end{aligned} \quad (193)$$

Using Möbius inversion, it follows that

$$\tilde{B}_{N,\chi} = \xi(N) \sum_{M|N} \varphi(M) B_{M,\chi}. \quad (194)$$

Note here that $\xi(N) = \xi(N)^{-1}$. We apply both sides of (193) and (194) to $f_{2,\chi}$, and get from (191) that

$$f_{2,N,\chi} = \frac{1}{\varphi(N)} \sum_{M|N} \xi(N/M) \mu(M) \tilde{f}_{2,N/M,\chi}, \quad \tilde{f}_{2,N,\chi} = \xi(N) \sum_{M|N} \varphi(M) f_{2,M,\chi}. \quad (195)$$

Next we take the adelic Eisenstein series on both sides of these equations. Then the equality of the adelic Eisenstein series implies the equality of the classical Eisenstein series in (192). \square

6.6 Global representations generated by Eisenstein series with character

In this section we continue to let ξ be a primitive Dirichlet character with conductor $u > 1$ and χ the corresponding character of $\mathbb{Q}^\times \backslash \mathbb{A}^\times$. Recall the classical Eisenstein series with character (178). The following is a version of Corollary 5.7 that takes the presence of the characters into account.

Corollary 6.8. *If $k \geq 4$ is an even integer, then the \mathcal{H} -module π generated by the automorphic form corresponding to $E_{k,\xi}$ is irreducible. We have $\pi \cong \bigotimes \pi_v$, with $\pi_\infty = \mathcal{D}_{k-1}^{\text{hol}}$, the discrete series representation of lowest weight k , and $\pi_p = \chi_p | \cdot |_p^{(k-1)/2} \times \chi_p^{-1} | \cdot |_p^{(1-k)/2}$, an irreducible principal series representation, for all $p < \infty$.*

For weight 2 we have to be more careful because the summation (89) is no longer absolutely convergent. However the arguments of Section 5.4, in particular Lemma 5.9 and Proposition 5.10 ii), remain valid. The situation is actually easier, because $E_{2,\xi}$ is holomorphic, hence the one-dimensional space of constant functions in Theorem 5.11 is no longer present. The upshot is that the automorphic form $\Phi_{2,\chi} = E(\cdot, f_{2,\chi})$ corresponding to $E_{2,\xi}$ generates the same global representation as the function $f_{2,\chi}$. Thus we obtain the following results, where we recall that $\mathcal{D}_p = \text{St}_{\text{GL}(2, \mathbb{Q}_p)}$.

Theorem 6.9. *Let $\Phi_{2,\chi} = E(\cdot, f_{2,\chi})$ be the automorphic form corresponding to $E_{2,\xi}$. Then the global representation $\mathcal{H}\Phi_{2,\chi}$ generated by $\Phi_{2,\chi}$ is*

$$\mathcal{H}\Phi_{2,\chi} \cong \mathcal{D}_1^{\text{hol}} \otimes \bigotimes_{\substack{p|u \\ \chi_p^2 \neq 1}} V_{1/2, \chi_p} \otimes \bigotimes_{\substack{p|u \\ \chi_p^2 = 1}} \chi_p \mathcal{D}_p \otimes \bigotimes_{p \nmid u} V_{1/2, \chi_p} \quad (196)$$

as \mathcal{H} -modules.

Theorem 6.10. *For a square-free positive integer N with $(u, N) = 1$ and such that $\chi_p^2 = 1$ for $p \mid N$, let $\Phi_{2,N,\chi} = E(\cdot, f_{2,N,\chi})$ be the automorphic form corresponding to $E_{2,N,\xi}$. Then*

$$\mathcal{H}\Phi_{2,N,\chi} \cong \mathcal{D}_1^{\text{hol}} \otimes \bigotimes_{\substack{p|u \\ \chi_p^2 \neq 1}} V_{1/2, \chi_p} \otimes \bigotimes_{\substack{p|u \\ \chi_p^2 = 1}} \chi_p \mathcal{D}_p \otimes \bigotimes_{p|N} \chi_p \mathcal{D}_p \otimes \bigotimes_{p \nmid uN} V_{1/2, \chi_p}. \quad (197)$$

In terms of Dirichlet characters, the condition $\chi_p^2 = 1$ for $p \mid u$ can be detected as follows. Let η be the primitive Dirichlet character corresponding to ξ^2 , and let $u_1 \mid u$ be the conductor of η . Then χ^2 is the character of $\mathbb{Q}^\times \backslash \mathbb{A}^\times$ corresponding to η . Hence $\chi_p^2 = 1$ if and only if $p \nmid u_1$ and $\eta(p) = 1$.

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