

On commuting d -tuples of m -expansive operators

B.P. Duggal

Abstract

Given a commuting d -tuple \mathbb{A} in $B(\mathcal{H})^d$, if \mathbb{A} is $2m$ -expansive for some positive integer m , then \mathbb{A} is $(2m - 1)$ -expansive; \mathbb{A} is $2m$ -expansive and n -expansive for some integer $n > 2m$ implies \mathbb{A} is t -expansive for all $2m - 1 \leq t \leq n$. Commuting products of commuting d -tuples of expansive operators are considered.

1. Introduction

Let $B(\mathcal{H})$ denote the algebra of operators, i.e. bounded linear transformations, on an infinite dimensional complex Hilbert space \mathcal{H} (with inner product $\langle \cdot, \cdot \rangle$) into itself, and let $B(\mathcal{H})^d$ denote the product of d copies of $B(\mathcal{H})$ for some integer $d \geq 1$. For operators $A, B \in B(\mathcal{H})$, let L_A and $R_B \in B(B(\mathcal{H}))$ denote, respectively, the operators $L_A(X) = AX$ and $R_B(X) = XB$ of left multiplication by A and right multiplication by B . An operator $A \in B(\mathcal{H})$ is m -expansive for some positive integer m , A is m -expansive, if

$$\begin{aligned} \Delta_{A^*, A}^m(I) &= (I - L_{A^*} R_A)^m(I) \\ &= \left(\sum_{j=0}^m (-1)^j \binom{m}{j} L_{A^*}^j R_A^j \right) (I) \\ &= \sum_{j=0}^m (-1)^j \binom{m}{j} A^{*j} A^j \\ &\leq 0 \end{aligned}$$

[8, 9, 4, 10]. Considered as a generalisation of m -isometric operators A

$$\Delta_{A^*, A}^m(I) = \sum_{j=0}^m (-1)^j \binom{m}{j} A^{*j} A^j = 0$$

[1, 5], m -expansive operators share some (but by no means all) of the structural properties of m -isometric operators [4]. Following [6], see also [2, 10], a generalisation of m -expansive operators to commuting d -tuples $\mathbb{A} \in B(\mathcal{H})^d$, i.e. d -tuples $\mathbb{A} = (A_1, \dots, A_d)$ such that $[A_i, A_j] = A_i A_j - A_j A_i = 0$ for all $1 \leq i, j \leq d$, is obtained as follows: a

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commuting d -tuple $\mathbb{A} = (A_1, \dots, A_d)$ is m -expansive if

$$\begin{aligned} \Delta_{\mathbb{A}^*, \mathbb{A}}^m(I) &= (I - \mathbb{L}_{\mathbb{A}^*} * \mathbb{R}_{\mathbb{A}})^m(I) \\ &= \left(\sum_{j=0}^m (-1)^j \binom{m}{j} (\mathbb{L}_{\mathbb{A}^*} * \mathbb{R}_{\mathbb{A}})^j \right) (I) \\ &\leq 0, \end{aligned}$$

where

$$(\mathbb{L}_{\mathbb{A}^*} * \mathbb{R}_{\mathbb{A}})^j(X) = \left(\sum_{|\alpha|=j} \frac{j!}{\alpha!} \mathbb{L}_{\mathbb{A}^*}^\alpha \mathbb{R}_{\mathbb{A}}^\alpha \right) (X) = \left(\sum_{i=1}^d L_{A_i^*} R_{A_i} \right)^j (X),$$

for all integers $j \geq 0$ and operators $X \in B(\mathcal{X})$, and

$$\alpha = (\alpha_1, \alpha_2, \dots, \alpha_d), \quad \alpha_i \geq 0 \text{ for all } 1 \leq i \leq d, \quad |\alpha| = \sum_{i=1}^d \alpha_i, \text{ and } \alpha! = \prod_{i=1}^d \alpha_i!.$$

Commuting d -tuples \mathbb{A} fail to satisfy many an m -isometric property satisfied by single linear operators [6]. Furthermore, even if a commuting m -tuple satisfies an m -isometric property, the property may fail the m -expansive test. For example, $\mathbb{A} \in m$ -isometric implies $\mathbb{A} \in t$ -isometric for all integers $t \geq m$. This fails for m -expansive \mathbb{A} :

$$\begin{aligned} \Delta_{\mathbb{A}^*, \mathbb{A}}^{m+1}(I) &= \Delta_{\mathbb{A}^*, \mathbb{A}}^m(I) - (\mathbb{L}_{\mathbb{A}^*} * \mathbb{R}_{\mathbb{A}}) \Delta_{\mathbb{A}^*, \mathbb{A}}^m(I) \\ &= \Delta_{\mathbb{A}^*, \mathbb{A}}^m(I) - \sum_{i=1}^d \left(A_i^* (\Delta_{\mathbb{A}^*, \mathbb{A}}^m(I)) A_i \right), \end{aligned}$$

and the hypothesis $\Delta_{\mathbb{A}^*, \mathbb{A}}^m(I) \leq 0$ fails in general to guarantee $\Delta_{\mathbb{A}^*, \mathbb{A}}^{m+1}(I) \leq 0$, even for the case in which $d = 1$ and $A \in B(\mathcal{H})$. For example, if $\mathcal{H} = \ell^2(\mathbb{N}_0)$ with an orthonormal basis $\{e_n\}_{n=0}^\infty$ and A_α is the weighted shift $A_\alpha e_n = \alpha e_{n+1}$ for some real $\alpha > 1$, then $\Delta_{A_\alpha^*, A_\alpha}^m(I) = (1 - \alpha^2)^m$ and A_α is m -expansive for $m = 2n + 1$, but not m -expansive for $m = 2n$, for all positive integers n .

Recall that $\mathbb{A} \in B(\mathcal{H})^d$ is m -hyperexpansive if it is t -expansive for all $1 \leq t \leq m$ [7, 9]. It is well known that 2-expansive operators are 2-hyperexpansive [10]; again, if an operator $A \in B(\mathcal{H})$ is both 2-expansive and m -expansive for an integer $m > 2$, then A is m -hyperexpansive [4]. This paper proves that commuting d -tuples share this property. It is seen that, just as for single linear operators, \mathbb{A} is $2m$ -expansive implies \mathbb{A} is $(2m - 1)$ -expansive. Commuting products property A is m_1 -isometric and B is m_2 -isometric, where A and B commute, implies AB is $(m_1 + m_2 - 1)$ -isometric [3, 5] does not extend to products of commuting expansive operators [6]: we prove a sufficient condition, in the spirit of results from [4], for the (suitably defined) product $\mathbb{A}\mathbb{B} = \mathbb{B}\mathbb{A}$, \mathbb{A} is m_1 -expansive and \mathbb{B} is m_2 -expansive, to be $(m_1 + m_2 - 1)$ -expansive. The arguments we use to prove these results have their roots in the arguments used in papers of the ilk of [4, 5, 6], and depend upon a judicious use of the algebraic properties of the left/right multiplication operators.

2. Results

Throughout the following, the d -tuple $\mathbb{A} \in B(\mathcal{H})^d$ will be defined by $\mathbb{A} = (A_1, \dots, A_d)$; the d -tuple \mathbb{A} is said to be a commuting d -tuple if $[A_i, A_j] = A_i A_j - A_j A_i = 0$ for all $1 \leq i, j \leq d$. The d -tuples $\mathbb{A}, \mathbb{B} = (B_1, \dots, B_d)$ are said to commute, $[\mathbb{A}, \mathbb{B}] = 0$, if $[A_i, B_j] = 0$ for all $1 \leq i, j \leq d$. Observe that if $X \in B(\mathcal{H})$ is a positive operator, $X \geq 0$, then, for all $x \in \mathcal{H}$,

$$\begin{aligned} \langle (\mathbb{L}_{\mathbb{A}^*} * \mathbb{R}_{\mathbb{A}})(X)x, x \rangle &= \left\langle \left(\sum_{i=1}^d L_{A_i^*} R_{A_i} \right) (X)x, x \right\rangle \\ &= \sum_{i=1}^d \langle A_i^* X A_i x, x \rangle \\ &= \sum_{i=1}^d \langle X A_i x, A_i x \rangle \\ &\geq 0, \end{aligned}$$

i.e., if $X \in B(\mathcal{H})$ is a positive operator, then $(\mathbb{L}_{\mathbb{A}^*} * \mathbb{R}_{\mathbb{A}})(X)$ is a positive operator. In particular:

Lemma 2.1 *Given operators $B, C \in B(\mathcal{H})$ and an operator $\mathbb{A} \in B(\mathcal{H})^d$, if $B \leq C$, then $(\mathbb{L}_{\mathbb{A}^*} * \mathbb{R}_{\mathbb{A}})(B) \leq (\mathbb{L}_{\mathbb{A}^*} * \mathbb{R}_{\mathbb{A}})(C)$.*

We say in the following that an operator $\mathbb{A} \in B(\mathcal{H})^d$ is (m, X) -expansive for some operator $X \in B(\mathcal{H})$ if $\Delta_{\mathbb{A}^*, \mathbb{A}}^m(X) \leq 0$. Let $\nabla_{\mathbb{A}^*, \mathbb{A}}$ be the operator

$$\nabla_{\mathbb{A}^*, \mathbb{A}}(X) = (\mathbb{L}_{\mathbb{A}^*} R_{\mathbb{A}} - I)(X) = -\Delta_{\mathbb{A}^*, \mathbb{A}}(X), \quad X \in B(\mathcal{H}).$$

The following theorem says that if an $\mathbb{A} \in B(\mathcal{H})^d$ is both 2-expansive and m -expansive for an integer $m > 2$, then it is t -expansive for all $1 \leq t \leq m$.

Theorem 2.2 *If $\mathbb{A} \in B(\mathcal{H})^d$ is both $(2, X)$ -expansive and (m, X) -expansive for some operator $X \in B(\mathcal{H})$ and an integer $m > 2$, then \mathbb{A} is (m, X) -hyperexpansive.*

Proof. The proof proceeds in three steps, stated below as claims.

Claim I: $\Delta_{\mathbb{A}^*, \mathbb{A}}^2(X) \leq 0$ implies $\Delta_{\mathbb{A}^*, \mathbb{A}}(X) \leq 0$.

If \mathbb{A} is $(2, X)$ -expansive, then

$$\begin{aligned} \nabla_{\mathbb{A}^*, \mathbb{A}}^2(X) &= \Delta_{\mathbb{A}^*, \mathbb{A}}^2(X) = \left(\sum_{j=0}^2 (-1)^j \binom{2}{j} (\mathbb{L}_{\mathbb{A}^*} * \mathbb{R}_{\mathbb{A}})^j \right) (X) \\ &= \left(\sum_{j=0}^2 (-1)^j \binom{2}{j} \left(\sum_{i=1}^d L_{A_i^*} R_{A_i} \right)^j \right) (X) \leq 0 \\ \iff X - 2 \left(\sum_{i=1}^d L_{A_i^*} R_{A_i} \right) (X) + \left(\sum_{i=1}^d L_{A_i^*} R_{A_i} \right)^2 (X) &\leq 0 \\ \iff \left(\sum_{i=1}^d L_{A_i^*} R_{A_i} \right)^2 (X) - 2 \nabla_{\mathbb{A}^*, \mathbb{A}}(X) - X &\leq 0 \end{aligned}$$

$$\begin{aligned}
&\iff \left(\sum_{i=1}^d L_{A_i^*} R_{A_i}\right)^2(X) \leq 2\nabla_{\mathbb{A}^*,\mathbb{A}}(X) + X \\
&\iff (\mathbb{L}_{\mathbb{A}^*} * \mathbb{R}_{\mathbb{A}})^2(X) \leq 2\nabla_{\mathbb{A}^*,\mathbb{A}}(X) + X \\
&\implies (\mathbb{L}_{\mathbb{A}^*} * \mathbb{R}_{\mathbb{A}})^3(X) \leq 2(\mathbb{L}_{\mathbb{A}^*} * \mathbb{R}_{\mathbb{A}})\nabla_{\mathbb{A}^*,\mathbb{A}}(X) + (\mathbb{L}_{\mathbb{A}^*} * \mathbb{R}_{\mathbb{A}})(X) = 3\nabla_{\mathbb{A}^*,\mathbb{A}}(X) + X
\end{aligned}$$

(see Lemma 2.1). Repeating the argument, we have

$$(\mathbb{L}_{\mathbb{A}^*} * \mathbb{R}_{\mathbb{A}})^n(X) \leq n\nabla_{\mathbb{A}^*,\mathbb{A}}(X) + X,$$

equivalently,

$$\nabla_{\mathbb{A}^*,\mathbb{A}}(X) \geq \frac{1}{n}(\mathbb{L}_{\mathbb{A}^*} * \mathbb{R}_{\mathbb{A}})^n(X) - \frac{1}{n}X.$$

Letting $n \rightarrow \infty$, this implies

$$\nabla_{\mathbb{A}^*,\mathbb{A}}(X) \geq 0, \text{ equivalently } \Delta_{\mathbb{A}^*,\mathbb{A}}(X) \leq 0.$$

(Thus, \mathbb{A} is $(2, X)$ -expansive if and only if it is $(2, X)$ -hyperexpansive.)

Claim II: the sequence $\{(\mathbb{L}_{\mathbb{A}^*} * \mathbb{R}_{\mathbb{A}})^n \nabla_{\mathbb{A}^*,\mathbb{A}}(X)\}$ converges to an operator $Q \geq 0$.

The hypothesis \mathbb{A} is $(2, X)$ -expansive implies also that

$$\begin{aligned}
0 \geq \nabla_{\mathbb{A}^*,\mathbb{A}}^2(X) &= (\mathbb{L}_{\mathbb{A}^*} * \mathbb{R}_{\mathbb{A}} - I)^2(X) = (\mathbb{L}_{\mathbb{A}^*} * \mathbb{R}_{\mathbb{A}})(\nabla_{\mathbb{A}^*,\mathbb{A}}(X)) - \nabla_{\mathbb{A}^*,\mathbb{A}}(X) \\
&\iff (\mathbb{L}_{\mathbb{A}^*} * \mathbb{R}_{\mathbb{A}})(\nabla_{\mathbb{A}^*,\mathbb{A}}(X)) \leq \nabla_{\mathbb{A}^*,\mathbb{A}}(X) \\
&\implies (\mathbb{L}_{\mathbb{A}^*} * \mathbb{R}_{\mathbb{A}})^2(\nabla_{\mathbb{A}^*,\mathbb{A}}(X)) \leq (\mathbb{L}_{\mathbb{A}^*} * \mathbb{R}_{\mathbb{A}})(\nabla_{\mathbb{A}^*,\mathbb{A}}(X)) \leq \nabla_{\mathbb{A}^*,\mathbb{A}}(X) \\
&\dots \\
&\implies (\mathbb{L}_{\mathbb{A}^*} * \mathbb{R}_{\mathbb{A}})^n(\nabla_{\mathbb{A}^*,\mathbb{A}}(X)) \leq (\mathbb{L}_{\mathbb{A}^*} * \mathbb{R}_{\mathbb{A}})^{n-1}(\nabla_{\mathbb{A}^*,\mathbb{A}}(X)) \leq \dots \leq \nabla_{\mathbb{A}^*,\mathbb{A}}(X)
\end{aligned}$$

for all positive integers n . Thus $\{(\mathbb{L}_{\mathbb{A}^*} * \mathbb{R}_{\mathbb{A}})^n(\nabla_{\mathbb{A}^*,\mathbb{A}}(X))\}$ is a bounded below decreasing sequence of non-negative operators. (Recall from the proof of **Claim I** that $\nabla_{\mathbb{A}^*,\mathbb{A}}(X) \geq 0$.) Consequently, the sequence converges to a positive operator $Q \geq 0$.

Claim III: $\Delta_{\mathbb{A}^*,\mathbb{A}}^2(X) \leq 0$ and $\Delta_{\mathbb{A}^*,\mathbb{A}}^m(X) \leq 0$ for some integer $m > 2$ implies $\Delta_{\mathbb{A}^*,\mathbb{A}}^{m-1}(X) \leq 0$.

If $\Delta_{\mathbb{A}^*,\mathbb{A}}^m(X) \leq 0$ for some integer $m > 2$, then

$$\begin{aligned}
&\Delta_{\mathbb{A}^*,\mathbb{A}}^m(X) \leq 0 \iff \Delta_{\mathbb{A}^*,\mathbb{A}}^{m-1}(X) \leq (\mathbb{L}_{\mathbb{A}^*} * \mathbb{R}_{\mathbb{A}})\Delta_{\mathbb{A}^*,\mathbb{A}}^{m-1}(X) \\
&\implies \Delta_{\mathbb{A}^*,\mathbb{A}}^{m-1}(X) \leq (\mathbb{L}_{\mathbb{A}^*} * \mathbb{R}_{\mathbb{A}})\Delta_{\mathbb{A}^*,\mathbb{A}}^{m-1}(X) \leq (\mathbb{L}_{\mathbb{A}^*} * \mathbb{R}_{\mathbb{A}})^2\Delta_{\mathbb{A}^*,\mathbb{A}}^{m-1}(X) \\
&\dots \\
&\implies \Delta_{\mathbb{A}^*,\mathbb{A}}^{m-1}(X) \leq (\mathbb{L}_{\mathbb{A}^*} * \mathbb{R}_{\mathbb{A}})\Delta_{\mathbb{A}^*,\mathbb{A}}^{m-1}(X) \leq \dots \leq (\mathbb{L}_{\mathbb{A}^*} * \mathbb{R}_{\mathbb{A}})^n\Delta_{\mathbb{A}^*,\mathbb{A}}^{m-1}(X)
\end{aligned}$$

for all positive integers n . Since

$$\begin{aligned}
(\mathbb{L}_{\mathbb{A}^*} * \mathbb{R}_{\mathbb{A}})^n \Delta_{\mathbb{A}^*,\mathbb{A}}^{m-1}(X) &= \Delta_{\mathbb{A}^*,\mathbb{A}}^{m-2}((\mathbb{L}_{\mathbb{A}^*} * \mathbb{R}_{\mathbb{A}})^n \Delta_{\mathbb{A}^*,\mathbb{A}}(X)) \\
&= -\Delta_{\mathbb{A}^*,\mathbb{A}}^{m-2}((\mathbb{L}_{\mathbb{A}^*} * \mathbb{R}_{\mathbb{A}})^n \nabla_{\mathbb{A}^*,\mathbb{A}}(X)) \\
&= -\sum_{j=0}^{m-2} (-1)^j \binom{m-2}{j} ((\mathbb{L}_{\mathbb{A}^*} * \mathbb{R}_{\mathbb{A}})^{n+j} \nabla_{\mathbb{A}^*,\mathbb{A}}(X))
\end{aligned}$$

implies

$$\Delta_{\mathbb{A}^*, \mathbb{A}}^{m-1}(X) \leq - \sum_{j=0}^{m-2} (-1)^j \binom{m-2}{j} ((\mathbb{L}_{\mathbb{A}^*} * \mathbb{R}_{\mathbb{A}})^{n+j} \nabla_{\mathbb{A}^*, \mathbb{A}}(X)),$$

we have

$$\begin{aligned} \Delta_{\mathbb{A}^*, \mathbb{A}}^{m-1}(X) &\leq \lim_{n \rightarrow \infty} \left(- \sum_{j=0}^{m-2} (-1)^j \binom{m-2}{j} ((\mathbb{L}_{\mathbb{A}^*} * \mathbb{R}_{\mathbb{A}})^{n+j} \nabla_{\mathbb{A}^*, \mathbb{A}}(X)) \right) \\ &= - \sum_{j=0}^{m-2} (-1)^j \binom{m-2}{j} \lim_{n \rightarrow \infty} ((\mathbb{L}_{\mathbb{A}^*} * \mathbb{R}_{\mathbb{A}})^{n+j} \nabla_{\mathbb{A}^*, \mathbb{A}}(X)) \\ &= \sum_{j=0}^{m-2} (-1)^{j+1} \binom{m-2}{j} Q = 0. \end{aligned}$$

Thus

$$\Delta_{\mathbb{A}^*, \mathbb{A}}^{m-1}(X) \leq 0,$$

Repeating the argument we eventually have that $\Delta_{\mathbb{A}^*, \mathbb{A}}^t(X) \leq 0$ for all $2 \leq t \leq m$. Hence \mathbb{A} is (t, X) -expansive for all $1 \leq t \leq m$. \square

It is known, see [6], that if an operator $A \in B(\mathcal{H})$ is m -expansive for an even positive integer m , then it is $(m - 1)$ -expansive. This extends to commuting operator tuples \mathbb{A} . (Observe that the argument of the proof of Theorem 2.2, **Claim III**, which says that \mathbb{A} is (m, X) -expansive implies \mathbb{A} is $(m - 1, X)$ -expansive for all positive integers m depends in an essential way upon our hypothesis that \mathbb{A} is $(2, X)$ -expansive.)

Theorem 2.3 (i) If $\Delta_{\mathbb{A}^*, \mathbb{A}}^m(X) \leq 0$ for some operator $X \in B(\mathcal{H})$ and an even positive integer m , then $\Delta_{\mathbb{A}^*, \mathbb{A}}^{m-1}(X) \leq 0$.

(ii) If $\Delta_{\mathbb{A}^*, \mathbb{A}}^m(X) \geq 0$ for some operator $X \in B(\mathcal{H})$ and an odd positive integer m , then $\Delta_{\mathbb{A}^*, \mathbb{A}}^{m-1}(X) \geq 0$.

Proof. The identity

$$(a - 1)^m = a^m - \sum_{j=0}^m \binom{m}{j} (a - 1)^j$$

implies

$$\begin{aligned} \nabla_{\mathbb{A}^*, \mathbb{A}}^m(X) &= (\mathbb{L}_{\mathbb{A}^*} * \mathbb{R}_{\mathbb{A}} - I)^m(X) = (\mathbb{L}_{\mathbb{A}^*} * \mathbb{R}_{\mathbb{A}})^m(X) - \left(\sum_{j=0}^m \binom{m}{j} \nabla_{\mathbb{A}^*, \mathbb{A}}^j(X) \right) \\ &= (-1)^m \Delta_{\mathbb{A}^*, \mathbb{A}}^m(X). \end{aligned}$$

Let $\nabla_{\mathbb{A}^*, \mathbb{A}}^m(X) \leq 0$. Since

$$\nabla_{\mathbb{A}^*, \mathbb{A}}^j(Z) = (\mathbb{L}_{\mathbb{A}^*} * \mathbb{R}_{\mathbb{A}})(\nabla_{\mathbb{A}^*, \mathbb{A}}^{j-1}(Z)) - \nabla_{\mathbb{A}^*, \mathbb{A}}^{j-1}(Z),$$

for all $Z \in B(\mathcal{H})$ and integers $j \geq 1$,

$$(\mathbb{L}_{\mathbb{A}^*} * \mathbb{R}_{\mathbb{A}}) \sum_{j=0}^{m-1} \binom{m}{j} \nabla_{\mathbb{A}^*, \mathbb{A}}^j$$

$$\begin{aligned}
&= \sum_{j=0}^{m-1} \binom{m}{j} \nabla_{\mathbb{A}^*, \mathbb{A}}^{j+1} + \sum_{j=0}^{m-1} \binom{m}{j} \nabla_{\mathbb{A}^*, \mathbb{A}}^j \\
&= \binom{m}{m-1} \nabla_{\mathbb{A}^*, \mathbb{A}}^m + \left(\sum_{j=0}^{m-2} \binom{m}{j} \nabla_{\mathbb{A}^*, \mathbb{A}}^{j+1} + \sum_{j=0}^{m-1} \binom{m}{j} \nabla_{\mathbb{A}^*, \mathbb{A}}^j \right) \\
&= \binom{m}{m-1} \nabla_{\mathbb{A}^*, \mathbb{A}}^m + \sum_{j=0}^{m-1} \binom{m+1}{j} \nabla_{\mathbb{A}^*, \mathbb{A}}^j,
\end{aligned}$$

and hence

$$\begin{aligned}
(\mathbb{L}_{\mathbb{A}^*} * \mathbb{R}_{\mathbb{A}})^{m+1}(X) &\leq \binom{m}{m-1} \nabla_{\mathbb{A}^*, \mathbb{A}}^m(X) + \sum_{j=0}^{m-1} \binom{m+1}{j} \nabla_{\mathbb{A}^*, \mathbb{A}}^j(X) \\
&\leq \sum_{j=0}^{m-1} \binom{m+1}{j} \nabla_{\mathbb{A}^*, \mathbb{A}}^j(X) \\
&= \binom{m+1}{m-1} \nabla_{\mathbb{A}^*, \mathbb{A}}^{m-1}(X) + \sum_{j=0}^{m-2} \binom{m+1}{j} \nabla_{\mathbb{A}^*, \mathbb{A}}^j(X).
\end{aligned}$$

An induction argument now proves that

$$(1) \quad (\mathbb{L}_{\mathbb{A}^*} * \mathbb{R}_{\mathbb{A}})^n(X) \leq \binom{n}{m-1} \nabla_{\mathbb{A}^*, \mathbb{A}}^{m-1}(X) + \sum_{j=0}^{m-2} \binom{n}{j} \nabla_{\mathbb{A}^*, \mathbb{A}}^j(X)$$

for all $n \geq m$.

(i). If m is even, then $\Delta_{\mathbb{A}^*, \mathbb{A}}^m(X) = \nabla_{\mathbb{A}^*, \mathbb{A}}^m(X)$ and inequality (1) implies

$$\frac{1}{\binom{n}{m-1}} \left[(\mathbb{L}_{\mathbb{A}^*} * \mathbb{R}_{\mathbb{A}})^n(X) - \sum_{j=0}^{m-2} \binom{n}{j} \nabla_{\mathbb{A}^*, \mathbb{A}}^j(X) \right] \leq \nabla_{\mathbb{A}^*, \mathbb{A}}^{m-1}(X).$$

Letting $n \rightarrow \infty$, and observing that $\lim_{n \rightarrow \infty} \frac{\binom{n}{j}}{\binom{n}{m-1}} = 0$ for all $0 \leq j \leq m-2$,

we have

$$\nabla_{\mathbb{A}^*, \mathbb{A}}^{m-1}(X) \geq 0.$$

This implies $\Delta_{\mathbb{A}^*, \mathbb{A}}^{m-1}(X) \leq 0$.

(ii). If m is odd, then $\Delta_{\mathbb{A}^*, \mathbb{A}}^m(X) \geq 0$ is equivalent to $\nabla_{\mathbb{A}^*, \mathbb{A}}^m(X) \leq 0$, the argument above applies and we conclude that $\nabla_{\mathbb{A}^*, \mathbb{A}}^{m-1}(X) \geq 0$. Since $m-1$ is even, the proof is complete. \square

Products of commuting d -tuples. The product $\mathbb{A}\mathbb{B}$ of d -tuples $\mathbb{A} = (A_1, \dots, A_d)$ and $\mathbb{B} = (B_1, \dots, B_d)$ is the d^2 -tuple

$$\mathbb{A}\mathbb{B} = (A_1B_1, \dots, A_1B_d, A_2B_1, \dots, A_2B_d, \dots, A_dB_1, \dots, A_dB_d)$$

Given commuting operators $S, T \in B(\mathcal{H})$, $\Delta_{S^*,S}^m(I) = \Delta_{T^*,T}^n(I) = 0$ implies $\Delta_{S^*T^*,ST}^{m+n-1}(I) = 0$ [3, 5]. This does not extend to expansive operators $S, T \in B(\mathcal{H})$ (i.e., $[S, T] = 0$, $\Delta_{S^*,S}^m(I) \leq 0$ and $\Delta_{T^*,T}^n(I) \leq 0$ does not imply $\Delta_{S^*T^*,ST}^{m+n-1}(I) \leq 0$ - see for example [4, Example 2.5(ii)]). Additional hypotheses are required. Taking a cue from [4, Page 164], we say in the following that:

a sequence $\{X_j\}_{j=r_1}^{r_2}$ is a partial expansive sequence for $\mathbb{B} \in B(\mathcal{H})^d$ if $\Delta_{\mathbb{B}^*,\mathbb{B}}^{r_2-j}(X_j) \leq 0$ for all $r_1 \leq j \leq r_2$.

We are, in the following, interested in sequences of type $X_j = X_j(X, \mathbb{A}^*, \mathbb{A}) = \Delta_{\mathbb{A}^*,\mathbb{A}}^j(X) \leq 0$. Such partial expansive sequences occur naturally, especially for expansive operators \mathbb{A} for which $\Delta_{\mathbb{A}^*,\mathbb{A}}^m(X) = 0$ (such operators have been called (m, X) -isometric in the literature); see [4, Page 164] for examples involving operators $A \in B(\mathcal{H})$, and, also, Remark 4.6(II) *infra*.

Theorem 2.4 *Given commuting d -tuples $\mathbb{A}, \mathbb{B} \in B(\mathcal{H})^d$ such that*

$$[\mathbb{A}, \mathbb{B}] = 0, \Delta_{\mathbb{A}^*,\mathbb{A}}^m(X) \leq 0 \text{ and } \Delta_{\mathbb{B}^*,\mathbb{B}}^n(X) \leq 0$$

for some operator $X \in B(\mathcal{H})$, if the sequence $\{\Delta_{\mathbb{A}^,\mathbb{A}}^k(X)\}_{k=m}^{m+n-1}$ is a partial expansive sequence for \mathbb{B} and the sequence $\{\Delta_{\mathbb{B}^*,\mathbb{B}}^k(X)\}_{k=0}^{m-1}$ is a partial expansive sequence for \mathbb{A} , then $\Delta_{\mathbb{A}^*\mathbb{B}^*,\mathbb{A}\mathbb{B}}^{m+n-1}(X) \leq 0$.*

Proof. By definition

$$\begin{aligned} \Delta_{\mathbb{A}^*\mathbb{B}^*,\mathbb{A}\mathbb{B}}^t(X) &= (I - \mathbb{L}_{\mathbb{A}^*\mathbb{B}^*} * \mathbb{R}_{\mathbb{A}\mathbb{B}})^t(X) = (I - \mathbb{L}_{\mathbb{A}^*}\mathbb{L}_{\mathbb{B}^*} * \mathbb{R}_{\mathbb{A}}\mathbb{R}_{\mathbb{B}})^t(X) \\ &= [I - (\mathbb{L}_{\mathbb{A}^*} * \mathbb{R}_{\mathbb{A}})(\mathbb{L}_{\mathbb{B}^*} * \mathbb{R}_{\mathbb{B}})]^t(X), \text{ since } [\mathbb{A}, \mathbb{B}] = 0 \\ &= [(\mathbb{L}_{\mathbb{A}^*} * \mathbb{R}_{\mathbb{A}})(I - \mathbb{L}_{\mathbb{B}^*} * \mathbb{R}_{\mathbb{B}}) + (I - \mathbb{L}_{\mathbb{A}^*} * \mathbb{R}_{\mathbb{A}})]^t(X) \\ &= \sum_{j=0}^t \binom{t}{j} (\mathbb{L}_{\mathbb{A}^*} * \mathbb{R}_{\mathbb{A}})^{t-j} \Delta_{\mathbb{B}^*,\mathbb{B}}^{t-j} \left(\Delta_{\mathbb{A}^*,\mathbb{A}}^j(X) \right) \\ &= \sum_{j=0}^t \binom{t}{j} (\mathbb{L}_{\mathbb{A}^*} * \mathbb{R}_{\mathbb{A}})^{t-j} \Delta_{\mathbb{A}^*,\mathbb{A}}^j \left(\Delta_{\mathbb{B}^*,\mathbb{B}}^{t-j}(X) \right). \end{aligned}$$

By Lemma 2.1, if $Z \leq 0$ for an operator $Z \in B(\mathcal{H})$, then

$$(\mathbb{L}_{\mathbb{A}^*} * \mathbb{R}_{\mathbb{A}})^j(Z) = \left(\sum_{i=1}^d L_{A_i^*} R_{A_i} \right)^j(Z) \leq 0$$

for all integers $j \geq 0$. Let $t = m + n - 1$. The hypothesis $\{\Delta_{\mathbb{A}^*,\mathbb{A}}^j(X)\}_{j=m}^{m+n-1}$ is a partial expansive sequence for \mathbb{B} then implies

$$\Delta_{\mathbb{B}^*,\mathbb{B}}^{m+n-1-j} \left(\Delta_{\mathbb{A}^*,\mathbb{A}}^j(X) \right) \leq 0, \quad m \leq j \leq m + n - 1.$$

Hence

$$\begin{aligned} \Delta_{\mathbb{A}^*\mathbb{B}^*,\mathbb{A}\mathbb{B}}^{m+n-1}(X) &= \sum_{j=0}^{m+n-1} \binom{m+n-1}{j} (\mathbb{L}_{\mathbb{A}^*} * \mathbb{R}_{\mathbb{A}})^{m+n-1-j} \Delta_{\mathbb{B}^*,\mathbb{B}}^{m+n-1-j} \left(\Delta_{\mathbb{A}^*,\mathbb{A}}^j(X) \right) \\ &\leq \sum_{j=0}^{m-1} \binom{m+n-1}{j} (\mathbb{L}_{\mathbb{A}^*} * \mathbb{R}_{\mathbb{A}})^{m+n-1-j} \Delta_{\mathbb{A}^*,\mathbb{A}}^j \left(\Delta_{\mathbb{B}^*,\mathbb{B}}^{m+n-1-j}(X) \right). \end{aligned}$$

Considering now the hypothesis that the sequence $\{\Delta_{\mathbb{B}^*, \mathbb{B}}^j(X)\}_{j=0}^{m-1}$ is a partial expansive sequence for \mathbb{A} , we have

$$\Delta_{\mathbb{A}^*, \mathbb{A}}^j \left(\Delta_{\mathbb{B}^*, \mathbb{B}}^{m+n-1-j}(X) \right) \leq 0, \quad 0 \leq j \leq m-1,$$

and hence

$$\Delta_{\mathbb{A}^* \mathbb{B}^*, \mathbb{A} \mathbb{B}}^{m+n-1}(X) \leq 0.$$

□

The hypotheses $\Delta_{\mathbb{A}^*, \mathbb{A}}^{m+n-1}(X) \leq 0$ and $\Delta_{\mathbb{A}^*, \mathbb{A}}^m(X) \leq 0$, as also the hypotheses $\Delta_{\mathbb{B}^*, \mathbb{B}}^{m+n-1}(X) \leq 0$ and $\Delta_{\mathbb{B}^*, \mathbb{B}}^n(X) \leq 0$, are an integral part of the argument of the proof of Theorem 2.4. We remark that the hypotheses \mathbb{A} is both m and $m+n-1$ expansive does not in general imply \mathbb{A} is r -expansive for all $m \leq r \leq m+n-1$. (Similarly, the hypothesis that \mathbb{B} is both $m+n-1$ and n expansive does not imply \mathbb{B} is r -expansive for all $n \leq r \leq m+n-1$.) Thus, if m is odd, $\mathbb{A} = (aI, \dots, aI)$ for some positive real number a such that $da^2 > 1$, then

$$\Delta_{\mathbb{A}^*, \mathbb{A}}^m(I) = \sum_{j=0}^m \binom{m}{j} d^j a^{2j} = (1 - da^2)^m \leq 0.$$

However, \mathbb{A} is not r -expansive for any positive even integer r . The situation for even m , as one might suspect, is very different.

Theorem 2.5 *If $\mathbb{A} \in B(\mathcal{H})^d$ is (r, X) -expansive for $r = m$ and $r = m+n-1$ for an operator $X \in B(\mathcal{H})$, even positive integer m and an integer $n > 1$, then \mathbb{A} is (r, X) -expansive for all $m-1 \leq r \leq m+n-1$.*

Proof. A proof of the theorem may be obtained from an argument similar to that used to prove Theorem 2.2: in the following we prove the theorem using a slightly different argument (which makes clear that the essence of the argument of the proof of Theorem 2.2 lies in proving the hyperexpansivity of $(2, X)$ -expansive operators).

Define $Y \in B(\mathcal{H})$ by $\Delta_{\mathbb{A}^*, \mathbb{A}}^{m-2}(X) = Y$. Then $\Delta_{\mathbb{A}^*, \mathbb{A}}^2(Y) = \nabla_{\mathbb{A}^*, \mathbb{A}}^2(Y) \leq 0$, and an argument similar to that used to prove inequality (1) (of the proof of Theorem 2.3) shows that

$$(\mathbb{L}_{\mathbb{A}^*} * \mathbb{R}_{\mathbb{A}})^t(Y) - t\nabla_{\mathbb{A}^*, \mathbb{A}}(Y) - Y \leq 0$$

for all integers $t \geq 2$. Hence $\nabla_{\mathbb{A}^*, \mathbb{A}}(Y) \geq 0$ (equivalently, $\Delta_{\mathbb{A}^*, \mathbb{A}}(Y) = \Delta_{\mathbb{A}^*, \mathbb{A}}^{m-1}(X) \leq 0$). Now if n is even then set $\Delta^{m-1}(X) = Z$ and if n is odd then set $\Delta^m(X) = Z$. We have $\Delta_{\mathbb{A}^*, \mathbb{A}}^{n-1}(Z) \leq 0$ if n is even and $\Delta_{\mathbb{A}^*, \mathbb{A}}^{n-2}(Z) \leq 0$ if n is odd. In either case $\Delta_{\mathbb{A}^*, \mathbb{A}}^{m+n-2}(X) \leq 0$. Repeating the argument a finite number of times, the result follows □

Remark 2.6 (I) In closing, we start with a remark on commuting d -tuples \mathbb{A} such that $\Delta_{\mathbb{A}^*, \mathbb{A}}^m(X) \geq 0$ for some odd positive integer m . (Operators $A \in B(\mathcal{H})$ such that $\Delta_{A^*, A}^m(I) \geq 0$ have been called m -contractive in the literature [9].) If we let $\nabla_{\mathbb{A}^*, \mathbb{A}}^{m-2}(X) = Y$, then $\Delta_{\mathbb{A}^*, \mathbb{A}}^m(X) \geq 0$ if and only if $\nabla_{\mathbb{A}^*, \mathbb{A}}^m(X) = \nabla_{\mathbb{A}^*, \mathbb{A}}^2(Y) \leq 0$. Arguing as in the proof above, this implies $\Delta_{\mathbb{A}^*, \mathbb{A}}(Y) = \Delta_{\mathbb{A}^*, \mathbb{A}}^{m-1}(X) \geq 0$. Assume now that $\Delta_{\mathbb{A}^*, \mathbb{A}}^n(X) \geq 0$ for an integer $n > m$. Set $\Delta_{\mathbb{A}^*, \mathbb{A}}^{m-1}(X) = Z$ if n is odd and $\Delta_{\mathbb{A}^*, \mathbb{A}}^m(X) = Z$ if n is even. Then the preceding argument implies that $\Delta_{\mathbb{A}^*, \mathbb{A}}^{n-1}(X) \geq 0$. Repeating the argument, we have $\Delta_{\mathbb{A}^*, \mathbb{A}}^t(X) \geq 0$ for all $m-1 \leq t \leq n$.

(II) If \mathbb{A} is both m -expansive and $(m+n-1)$ -expansive for some even positive integer m and integer $n > 1$, then the conclusion of Theorem 2.5 implies (trivially) that

$\{X_j\}_{j=m-1}^{m+n-1} = \{\Delta_{\mathbb{A}^*, \mathbb{A}}^j(X)\}_{j=m-1}^{m+n-1}$ is a partial expansive sequence for \mathbb{A} . Again, if we let \mathbb{I} denote the identity of $B(\mathcal{H})^d$, then $\Delta_{\frac{1}{d}\mathbb{I}^*, \frac{1}{d}\mathbb{I}}^t(X_j) = (1 - \frac{1}{d})^t X_j \leq 0$ for all $m_1 \leq j \leq m+n-1$ and positive integers t ; hence $\{X_j\}_{j=m-1}^{m+n-1}$ is a partial expansive sequence for $\frac{1}{d}\mathbb{I}$.

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B.P. Duggal, University of Niš, Faculty of Sciences and Mathematics, P.O. Box 224, 18000 Niš, Serbia.

e-mail: bpduggal@yahoo.co.uk