

Bounding the A_α -spectral radius of k -connected irregular graphs*

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Abstract: Let G be a simple graph of order n . For $\alpha \in [0, 1]$, the A_α -matrix of G is defined as $A_\alpha = \alpha D(G) + (1 - \alpha)A(G)$, where $A(G)$ and $D(G)$ are the adjacency matrix and the diagonal matrix of vertex degrees of G , respectively. The largest eigenvalues of $A_\alpha(G)$, denoted by $\rho_\alpha(G)$, is called the A_α spectral radius of G . In this paper, we give an upper bound on $\rho_\alpha(G)$ for k -connected irregular graphs. Moreover, we also derive an upper bound on $\rho_\alpha(G)$ when G is a subgraph of a k -connected regular graph. Our results improve or extend the existing results, respectively.

Keywords: A_α spectral radius, irregular graph, k -connected.

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1 Introduction

All graphs considered in this paper are finite, undirected and simple. Let G be a graph of order n with vertex set $V(G) = \{v_1, v_2, \dots, v_n\}$ and edge set $E(G)$. For $v_i \in V(G)$, let $d_G(v_i)$ and $N_G(v_i)$ (or d_i and $N(v_i)$ for short) be the degree and the set of neighbors of v_i , respectively. The minimum and maximum degree of G are denoted by $\delta(G)$ and $\Delta(G)$ (or δ and Δ for short). We say G is *regular* if $\delta = \Delta$, and also call G a Δ -regular graph. The vertex-connectivity and edge-connectivity of G are denoted by $\kappa(G)$ and $\kappa'(G)$, respectively. A graph G is *k -connected* if $\kappa(G) \geq k$ and G is *h -edge-connected* if $\kappa'(G) \geq h$. Other undefined notation are found in [1].

Let $A(G)$ and $D(G) = \{d_1, \dots, d_n\}$ be the adjacency matrix and the diagonal matrix of vertex degrees of G , respectively. The signless Laplacian matrix of G is $Q(G) = D(G) + A(G)$. For every $\alpha \in [0, 1]$, the matrix $A_\alpha(G)$ of G is defined by Nikiforov in [7] as

$$A_\alpha(G) = \alpha D(G) + (1 - \alpha)A(G).$$

In particular,

$$A_0(G) = A(G), \quad A_{\frac{1}{2}}(G) = \frac{1}{2}Q(G), \quad A_1(G) = D(G).$$

Note that $A_\alpha(G)$ is a real symmetric matrix. Then the eigenvalues of $A_\alpha(G)$ (also called the A_α -eigenvalues of G) are real. The largest eigenvalue of $A_\alpha(G)$, denoted by $\rho_\alpha(G)$ is called the

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A_α -spectral radius of G . Moreover, note that $A_\alpha(G)$ is irreducible if and only if G is connected for $\alpha \in [0, 1)$. Therefore when G is a connected graph, the Perron-Frobenius theorem implies that the multiplicity of $\rho_\alpha(G)$ is one and there exists a positive unit eigenvector $\mathbf{x} = (x_1, x_2, \dots, x_n)^T$, which is called the *Perron vector* of $A_\alpha(G)$. The Perron vector satisfies $\|\mathbf{x}\| = \sqrt{\sum_{i=1}^n x_i^2} = 1$ and the *eigenvalue equation* $A_\alpha(G)\mathbf{x} = \rho_\alpha(G)\mathbf{x}$, which is

$$\rho_\alpha(G)x_i = \alpha d_i x_i + (1 - \alpha) \sum_{v_j \in N(v_i)} x_j. \quad (1)$$

In addition, we have

$$\rho_\alpha(G) = \mathbf{x}^T A_\alpha(G) \mathbf{x} = \sum_{v_i v_j \in E(G)} (\alpha x_i^2 + 2(1 - \alpha)x_i x_j + \alpha x_j^2). \quad (2)$$

The A_α -spectral radius of a graph contains lots of information about the graph. Many studies on this topic have been conducted, see [3, 5, 7, 8]. In particular, establishing upper and lower bounds for $\rho_\alpha(G)$ is of great interest. For any graph G of order n with m edges, it is known that $\frac{2m}{n} \leq \rho_\alpha(G) \leq \Delta$ and the equality holds if and only if G is regular [7]. It is natural to ask how small $\rho_\alpha(G) - \frac{2m}{n}$ and $\Delta - \rho_\alpha(G)$ can be when G is irregular. In [4], Ji *et al.* presented the upper and lower bounds for $\rho_\alpha(G) - \frac{2m}{n}$, which extends Nikiforov's bounds on $\rho_0(G) - \frac{2m}{n}$ [6]. Recently, motivated by the results in [2, 9, 10], Guo and Zhou [3] presented several lower bounds of $\Delta - \rho_\alpha(G)$ for an irregular graph G with fixed diameter. They also derived an upper bound for $\rho_\alpha(G)$ in terms of its maximum degree when G is a k -connected irregular graph. In this paper, we further study the relationships between $\rho_\alpha(G)$ and $\Delta(G)$ for k -connected irregular graphs, and present an upper bound for $\rho_\alpha(G)$, which improves the Guo and Zhou's bound. Moreover, we also derive an upper bound on $\rho_\alpha(G)$ when G is a subgraph of a k -connected regular graph, which extends the result of Huang, Shiu and Sun [11] on the signless Laplacian spectral radius.

2 Lemmas and main results

In order to prove our results, the following lemmas are needed.

Lemma 2.1 ([10]) *If $a, b > 0$, then $a(x - y)^2 + by^2 \geq \frac{abx^2}{a+b}$ with equality if and only if $y = \frac{ax}{a+b}$.*

Lemma 2.2 ([7]) *If G is connected and H is a proper subgraph of G , then for $\alpha \in [0, 1)$, $\rho_\alpha(H) < \rho_\alpha(G)$.*

For k -connected irregular graphs, Guo and Zhou [3] presented the following upper bound for $\rho_\alpha(G)$ in terms of its maximum degree.

Theorem 2.3 ([3]) *Let G be a k -connected irregular graph of order n with m edges and maximum degree Δ . Then for $\alpha \in [0, 1)$,*

$$\rho_\alpha(G) < \Delta - \frac{(1 - \alpha)(n\Delta - 2m)k^2}{(n\Delta - 2m)[n^2 - (\Delta - k + 2)(n - k)] + (1 - \alpha)nk^2}. \quad (3)$$

We slightly improve this upper bound by proving the following.

Theorem 2.4 *Let G be a k -connected irregular graph of order n with m edges, maximum degree Δ and minimum degree δ . Then for $\alpha \in [0, 1)$, we have*

$$\rho_\alpha(G) < \Delta - \frac{(1 - \alpha)(n\Delta - 2m)k^2}{(n\Delta - 2m)[(n - 2 + 2k - \Delta)(n - \delta - 1) + k^2] + (1 - \alpha)nk^2}. \quad (4)$$

Proof. Let $\mathbf{x} = (x_1, x_2, \dots, x_n)^T$ be the Perron vector of $A_\alpha(G)$ corresponding to $\rho_\alpha(G)$. Let w and v be the two vertices of G with $x_w = \max\{x_i | 1 \leq i \leq n\}$ and $x_v = \min\{x_i | 1 \leq i \leq n\}$. Then $x_w > \frac{1}{\sqrt{n}} > x_v > 0$ as $\sum_{i=1}^n x_i^2 = 1$ and G is irregular.

If $d_w \leq \Delta - 1$, then we have

$$\begin{aligned} \rho_\alpha(G)x_w &= \alpha d_w x_w + (1 - \alpha) \sum_{j \in N(w)} x_j \\ &\leq \alpha d_w x_w + (1 - \alpha) d_w x_w = d_w x_w \leq (\Delta - 1)x_w, \end{aligned}$$

which leads to

$$\Delta - \rho_\alpha(G) \geq 1 > \frac{(1 - \alpha)(n\Delta - 2m)k^2}{(n\Delta - 2m)[(n - 2 + 2k - \Delta)(n - \delta - 1) + k^2] + (1 - \alpha)nk^2},$$

as desired. In what follows, we consider the case $d_w = \Delta$. From (2) we can get

$$\begin{aligned} \Delta - \rho_\alpha(G) &= \Delta \sum_{i=1}^n x_i^2 - \mathbf{x}^T A_\alpha \mathbf{x} \\ &= \sum_{i=1}^n (\Delta - d_i) x_i^2 + (1 - \alpha) \sum_{v_i v_j \in E(G)} (x_i - x_j)^2 \\ &> (n\Delta - 2m) x_v^2 + (1 - \alpha) \sum_{v_i v_j \in E(G)} (x_i - x_j)^2. \end{aligned} \quad (5)$$

Recall that G is k -connected. Then by Menger's Theorem, we may choose k vertex-disjoint paths between w and v such that the sum of the lengths of these k paths is as small as possible, denoted by P_1, P_2, \dots, P_k . Obviously, each of these paths contains only one vertex in $N(w)$, *i.e.* $\bigcup_{t=1}^k V(P_t)$ contains exactly k vertices in $N(w)$. Otherwise, we can find another k paths that have a smaller sum of lengths. Then there exists at least $\Delta - k$ vertices v_j , where $j \in \{1, 2, \dots, \Delta - k\}$, such that $v_j \in N(w)$, but $v_j \notin \bigcup_{t=1}^k V(P_t)$. Thus $\sum_{t=1}^k (|V(P_t)| - 2) + 2 + \Delta - k \leq n$, which is

$$\sum_{t=1}^k (|V(P_t)| - 1) \leq n - \Delta + 2k - 2.$$

Moreover, by Cauchy-Schwarz inequality and Arithmetic-Harmonic Mean inequality, we have

$$\begin{aligned} \sum_{v_i v_j \in E(G)} (x_i - x_j)^2 &\geq \sum_{t=1}^k \sum_{v_i v_j \in E(P_t)} (x_i - x_j)^2 \\ &\geq \sum_{t=1}^k \frac{1}{|V(P_t)| - 1} \left(\sum_{v_i v_j \in E(P_t)} (x_i - x_j) \right)^2 \\ &= (x_w - x_v)^2 \sum_{t=1}^k \frac{1}{|V(P_t)| - 1} \\ &\geq (x_w - x_v)^2 \frac{k^2}{\sum_{t=1}^k (|V(P_t)| - 1)} \\ &\geq \frac{k^2}{n - \Delta + 2k - 2} (x_w - x_v)^2. \end{aligned} \quad (6)$$

Therefore, combining (5) and (6), and using Lemma 2.1, we have

$$\begin{aligned}
\Delta - \rho_\alpha(G) &> (n\Delta - 2m)x_v^2 + (1 - \alpha) \sum_{v_i v_j \in E(G)} (x_i - x_j)^2 \\
&\geq (n\Delta - 2m)x_v^2 + (1 - \alpha) \frac{k^2}{n - \Delta + 2k - 2} (x_w - x_v)^2 \\
&\geq \frac{(1 - \alpha)(n\Delta - 2m)k^2}{(n\Delta - 2m)(n - \Delta + 2k - 2) + (1 - \alpha)k^2} x_w^2.
\end{aligned} \tag{7}$$

For convenience, let

$$\beta = \frac{(1 - \alpha)(n\Delta - 2m)k^2}{(n\Delta - 2m)[(n - 2 + 2k - \Delta)(n - \delta - 1) + k^2] + (1 - \alpha)nk^2}.$$

If $x_v^2 \geq \frac{\beta}{n\Delta - 2m}$, then by (7), we have $\Delta - \rho_\alpha(G) > (n\Delta - 2m)x_v^2 \geq \beta$, as desired. So we may assume that $x_v^2 < \frac{\beta}{n\Delta - 2m}$. Note that there are at least δ vertices in $N(v)$ since $d_v \geq \delta$. We now choose δ vertices in $N(v)$, labelled by $v_1, v_2, \dots, v_\delta$. If $\sum_{t=1}^\delta x_{v_t}^2 > \frac{n\Delta - 2m + (1 - \alpha)\delta}{(1 - \alpha)(n\Delta - 2m)} \beta$, then by (5) and Lemma 2.1, we obtain

$$\begin{aligned}
\Delta - \rho_\alpha(G) &> (n\Delta - 2m)x_v^2 + (1 - \alpha) \sum_{t=1}^\delta (x_{v_t} - x_v)^2 \\
&= \sum_{t=1}^\delta \left(\frac{n\Delta - 2m}{\delta} x_v^2 + (1 - \alpha)(x_{v_t} - x_v)^2 \right) \\
&\geq \sum_{t=1}^\delta \frac{(1 - \alpha)(n\Delta - 2m)}{n\Delta - 2m + (1 - \alpha)\delta} x_{v_t}^2 \\
&= \frac{(1 - \alpha)(n\Delta - 2m)}{n\Delta - 2m + (1 - \alpha)\delta} \sum_{t=1}^\delta x_{v_t}^2 > \beta.
\end{aligned}$$

We now assume that $\sum_{t=1}^\delta x_{v_t}^2 \leq \frac{n\Delta - 2m + (1 - \alpha)\delta}{(1 - \alpha)(n\Delta - 2m)} \beta$. Recall that $x_v^2 < \frac{\beta}{n\Delta - 2m}$, $\sum_{i=1}^n x_i^2 = 1$ and $\delta \leq n - 2$ since G is irregular. Then we have

$$\begin{aligned}
x_w^2 &\geq \frac{1 - x_v^2 - \sum_{i=1}^\delta x_{v_i}^2}{n - \delta - 1} \\
&> \frac{1}{n - \delta - 1} \left[1 - \frac{\beta}{n\Delta - 2m} - \frac{n\Delta - 2m + (1 - \alpha)\delta}{(1 - \alpha)(n\Delta - 2m)} \beta \right] \\
&= \frac{1}{n - \delta - 1} \left[1 - \frac{n\Delta - 2m + (1 - \alpha)(\delta + 1)}{(1 - \alpha)(n\Delta - 2m)} \beta \right].
\end{aligned} \tag{8}$$

Combining (7) and (8), we then have

$$\begin{aligned}
\Delta - \rho_\alpha(G) &> \frac{(1 - \alpha)(n\Delta - 2m)k^2}{(n\Delta - 2m)(n - \Delta + 2k - 2) + (1 - \alpha)k^2} \\
&\quad \times \frac{1}{n - \delta - 1} \left[1 - \frac{n\Delta - 2m + (1 - \alpha)(\delta + 1)}{(1 - \alpha)(n\Delta - 2m)} \beta \right] \\
&= \frac{(1 - \alpha)(n\Delta - 2m)k^2}{(n\Delta - 2m)[(n - 2 + 2k - \Delta)(n - \delta - 1) + k^2] + (1 - \alpha)nk^2} = \beta.
\end{aligned}$$

This completes the proof. ■

Remark 2.1 The upper bound in Theorem 2.4 is always better than that in Theorem 2.3. This is shown by the following fact

$$\begin{aligned} & [n^2 - (\Delta - k + 2)(n - k)] - [(n - 2 + 2k - \Delta)(n - \delta - 1) + k^2] \\ &= \Delta k - kn - 2k^2 + n + 4k + n\delta - 2\delta - 2 + 2k\delta - \Delta\delta - \Delta \\ &= (\delta - k)(n + 2k - 2 - \Delta) + n + 2k - 2 - \Delta \\ &= (\delta - k + 1)(n + 2k - 2 - \Delta) > 0. \end{aligned}$$

Remark 2.2 The result in Theorem 2.4 can be extended to the case of h -edge-connected irregular graphs. Note that there are at least h edge-disjoint paths in an h -edge-connected graph. Applying an similar argument as that of Theorem 2.4, we can obtain the following edge-connected version of Theorem 2.4:

$$\rho_\alpha < \Delta - \frac{(1 - \alpha)(n\Delta - 2m)h^2}{(n\Delta - 2m)[(m + h - \Delta)(n - \delta - 1) + h^2] + (1 - \alpha)nh^2}. \quad (9)$$

However, in some cases, (9) is not better than (4). For example, if G satisfies $k = h$ and $n - 2 + k < m$, where $\kappa(G) = k$ and $\kappa'(G) = h$, then (4) is always better than (9).

Moreover, note that $2m \leq (n - 1)\Delta + \delta$ when G is a k -connected irregular graph. Then we have the following result immediately.

Corollary 2.5 Let G be a k -connected irregular graph of order n with m edges, maximum degree Δ and minimum degree δ . For $\alpha \in [0, 1)$, then

$$\rho_\alpha(G) < \Delta - \frac{(1 - \alpha)(\Delta - \delta)k^2}{(\Delta - \delta)[(n - 2 + 2k - \Delta)(n - \delta - 1) + k^2] + (1 - \alpha)nk^2}.$$

Huang, Shiu and Sun [11] shown that if H is a proper subgraph of a k -connected Δ -regular graph G , then

$$\rho_{1/2}(H) < \Delta - \frac{(k - 1)^2}{2(n - \Delta)(n - \Delta + 2k - 4) + (n + 1)(k - 1)^2}. \quad (10)$$

We now extend this result to A_α -spectral radius by proving the following.

Theorem 2.6 Let H be a proper subgraph of a k -connected Δ -regular graph G of order n . If $k \geq 2$, $n \geq 3$ and $G \neq K_n$, then for $\alpha \in [0, 1)$,

$$\rho_\alpha(H) < \Delta - \frac{(1 - \alpha)(k - 1)^2}{(n - \Delta - 1)(n - \Delta + 2k - 4) + ((1 - \alpha)n + \alpha)(k - 1)^2}. \quad (11)$$

Proof. By Lemma 2.2, we known that the A_α -spectral radius will not increase when deleting an edge. So we may assume that $H = G - e$ for some $e = uv \in E(G)$. Clearly, $d_H(u) = d_H(v) = \Delta - 1$. Obviously, H is connected when $k \geq 2$. Let $\mathbf{x} = (x_1, x_2, \dots, x_n)^T$ be the Perron vector of $A_\alpha(H)$ corresponding to $\rho_\alpha(H)$.

Let w be the vertex of G such that $x_w = \max \{x_i | 1 \leq i \leq n\}$. We claim that $u \neq w$ and $v \neq w$. Indeed, if $u = w$, then by the eigenvalue equation (1), we have

$$\begin{aligned} \rho_\alpha(H)x_w &= \alpha d_H(w)x_w + (1 - \alpha) \sum_{j \in N_H(w)} x_j \\ &\leq \alpha d_H(w)x_w + (1 - \alpha)d_H(w)x_w \\ &= d_H(w)x_w = (\Delta - 1)x_w. \end{aligned}$$

As a result, $\Delta - \rho_\alpha(H) \geq 1$. Moreover, using the fact that

$$\rho_\alpha(H) > \frac{2m}{n} = \frac{n\Delta - 2}{n} = \Delta - \frac{2}{n} \geq \Delta - \frac{2}{3} > \Delta - 1,$$

a contradiction. Therefore, $u \neq w$ and $v \neq w$.

Note that, by (2),

$$\begin{aligned} \Delta - \rho_\alpha(H) &= \Delta \sum_{i=1}^n x_i^2 - \mathbf{x}^T A_\alpha(H) \mathbf{x} \\ &= \sum_{i=1}^n (\Delta - d_i) x_i^2 + (1 - \alpha) \sum_{v_i v_j \in E(H)} (x_i - x_j)^2 \\ &= x_u^2 + x_v^2 + (1 - \alpha) \sum_{v_i v_j \in E(H)} (x_i - x_j)^2. \end{aligned} \quad (12)$$

Since G is k -connected, then $\kappa(H) \geq k - 1$. Thus by Menger's Theorem, there are at least $k - 1$ vertex-disjoint paths between w and v . Similar to the proof of (6), we can get

$$\sum_{t=1}^{k-1} (|V(P_t)| - 1) \leq n - \Delta + 2k - 4$$

and

$$\sum_{ij \in E(H)} (x_i - x_j)^2 \geq \frac{(k-1)^2}{n - \Delta + 2k - 4} (x_w - x_u)^2. \quad (13)$$

Therefore, from (12), (13) and Lemma 2.1, we have

$$\begin{aligned} \Delta - \rho_\alpha(H) &> x_u^2 + (1 - \alpha) \sum_{v_i v_j \in E(H)} (x_i - x_j)^2 \\ &\geq x_u^2 + (1 - \alpha) \frac{(k-1)^2}{n - \Delta + 2k - 4} (x_w - x_u)^2 \\ &\geq \frac{(1 - \alpha)(k-1)^2}{n - \Delta + 2k - 4 + (1 - \alpha)(k-1)^2} x_w^2. \end{aligned} \quad (14)$$

Let

$$\mu = \frac{(1 - \alpha)(k-1)^2}{(n - \Delta - 1)(n - \Delta + 2k - 4) + ((1 - \alpha)n + \alpha)(k-1)^2}.$$

If $x_u^2 + x_v^2 \geq \mu$, then by (12), $\Delta - \rho_\alpha(H) > x_u^2 + x_v^2 \geq \mu$, as desired.

Let $N_H(u) = \{u_1, u_2, \dots, u_{\Delta-1}\}$ as $d_H(u) = \Delta - 1$. If $\sum_{t=1}^{\Delta-1} x_{u_t}^2 \geq \frac{(1-\alpha)(\Delta-1)+1}{1-\alpha} \mu$, then

$$\begin{aligned} \Delta - \rho_\alpha(G) &> x_u^2 + (1 - \alpha) \sum_{t=1}^{\Delta-1} (x_{u_t} - x_u)^2 \\ &= \sum_{t=1}^{\Delta-1} \left(\frac{1}{\Delta-1} x_u^2 + (1 - \alpha)(x_{u_t} - x_u)^2 \right) \\ &\geq \sum_{t=1}^{\Delta-1} \frac{(1 - \alpha)}{(1 - \alpha)(\Delta - 1) + 1} x_{u_t}^2 \geq \mu, \end{aligned}$$

as desired. It remains to consider the case that $x_u^2 + x_v^2 < \mu$ and $\sum_{t=1}^{\Delta-1} x_{u_t}^2 < \frac{(1-\alpha)(\Delta-1)+1}{1-\alpha}\mu$. Recall that $\sum_{i=1}^n x_i^2 = 1$. Then we have

$$\begin{aligned} x_w^2 &\geq \frac{1 - x_u^2 - x_v^2 - \sum_{i=1}^{\Delta-1} x_{u_i}^2}{n - \Delta - 1} \\ &> \frac{1}{n - \Delta - 1} \left(1 - \mu - \frac{(1-\alpha)(\Delta-1)+1}{1-\alpha}\mu \right) \\ &= \frac{1}{n - \Delta - 1} \left(1 - \frac{(1-\alpha)\Delta+1}{1-\alpha}\mu \right). \end{aligned} \tag{15}$$

As a consequence, combining (14) and (15), we can obtain

$$\begin{aligned} \Delta - \rho_\alpha(G) &> \frac{(1-\alpha)(k-1)^2}{n - \Delta + 2k - 4 + (1-\alpha)(k-1)^2} x_w^2 \\ &> \frac{(1-\alpha)(k-1)^2}{n - \Delta + 2k - 4 + (1-\alpha)(k-1)^2} \cdot \frac{1}{n - \Delta - 1} \left(1 - \frac{(1-\alpha)\Delta+1}{1-\alpha}\mu \right) = \mu, \end{aligned}$$

as desired. The proof is completed. ■

Remark 2.3 Recall that $A_{1/2}(G) = \frac{1}{2}Q(G)$. Then by Theorem 2.6, we have

$$\rho_{1/2}(H) < \Delta - \frac{(k-1)^2}{2(n - \Delta - 1)(n - \Delta + 2k - 4) + (n+1)(k-1)^2}. \tag{16}$$

Obviously, (16) is always better than (10) when $G \neq K_n$.

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