

# On coincidence of $p$ -module of a family of curves and $p$ -capacity on the Carnot group

Irina Markina

## Abstract

The notion of the extremal length and the module of families of curves has been studied extensively and has given rise to a lot of applications to complex analysis and the potential theory. In particular, the coincidence of the  $p$ -module and the  $p$ -capacity plays an important role. We consider this problem on the Carnot group. The Carnot group  $\mathbb{G}$  is a simply connected nilpotent Lie group equipped with an appropriate family of dilations. Let  $\Omega$  be a bounded domain on  $\mathbb{G}$  and  $K_0, K_1$  be disjoint non-empty compact sets in the closure of  $\Omega$ . We consider two quantities, associated with this geometrical structure  $(K_0, K_1; \Omega)$ . Let  $M_p(\Gamma(K_0, K_1; \Omega))$  stand for the  $p$ -module of a family of curves which connect  $K_0$  and  $K_1$  in  $\Omega$ . Denoting by  $\text{cap}_p(K_0, K_1; \Omega)$  the  $p$ -capacity of  $K_0$  and  $K_1$  relatively to  $\Omega$ , we show that

$$M_p(\Gamma(K_0, K_1; \Omega)) = \text{cap}_p(K_0, K_1; \Omega).$$

## Introduction

Let  $D$  be a domain (an open, connected set) in  $\overline{\mathbb{R}^n} = \mathbb{R}^n \cup \{\infty\}$ , and let  $K_0, K_1$  be disjoint non-empty compact sets in the closure of  $D$ . We denote by  $M_p(\Gamma(K_0, K_1; D))$  the  $p$ -module of a family of curves which connect  $K_0$  and  $K_1$  in  $D$ . Next we use the notation  $\text{cap}_p(K_0, K_1; D)$  for the  $p$ -capacity of the condenser  $(K_0, K_1; D)$  relatively to  $D$ . The question about coincidence of the  $p$ -module of a family of curves and the  $p$ -capacity for various geometric configuration has been studied by many authors. For example, in the case

---

*2000 Mathematics Subject Classification:* Primary 31B15; Secondary 22E30.

*Keywords:*  $p$ -module of a family of curves,  $p$ -capacity, Carnot–Carathéodory metrics, nilpotent Lie groups.

when  $K_0$  and  $K_1$  do not intersect the boundary of  $D$  and either  $K_0$  or  $K_1$  contains the complement to an open  $n$ -ball the problem has been solved affirmatively by Ziemer in [23]. Hesse in [10] has generalized this result requiring only  $(K_0 \cup K_1) \cap \partial D = \emptyset$ . In the series of papers [2, 3, 4] Caraman has been studying the problem under various conditions on the tangency geometry of the sets  $K_0$  and  $K_1$  with the boundary of  $D$ ,  $D \in \overline{\mathbb{R}^n}$ . In 1993 Shlyk [16] proved, that the coincidence of the  $p$ -module and  $p$ -capacity is valid for an arbitrary condenser  $(K_0, K_1; D)$ ,  $K_0, K_1 \in \overline{D}$ ,  $D \in \overline{\mathbb{R}^n}$ ,  $(K_0 \cup K_1) \cap \partial D \neq \emptyset$ .

A stratified nilpotent group (of which  $\mathbb{R}^n$  is the simplest example) is a Lie group equipped with an appropriate family of dilations. Thus, this group forms a natural habitat for extensions of many of the objects studied in the Euclidean space. The fundamental role of such groups in analysis was envisaged by Stein [17, 18]. There has been since a wide development in the analysis of the so-called stratified nilpotent Lie groups, nowadays, also known as Carnot groups. In the present article we are studying the problem of the coincidence between the  $p$ -module of a family of curves and the  $p$ -capacity of an arbitrary condenser  $(K_0, K_1; \Omega)$ ,  $\Omega$  is a bounded domain on the Carnot group. In [12] the identity  $M_p(\Gamma(K_0, K_1; \Omega)) = \text{cap}_p(K_0, K_1; \Omega)$  was proved for the condenser  $(K_0, K_1; \Omega)$  on the Heisenberg group, which is a two-step Carnot group, requiring that the compacts  $K_0$  and  $K_1$  are strictly inside the domain  $\Omega$ . We would like to mention the result by Heinonen and Koskela [8] which states that on every general metric spaces the  $p$ -capacity coincides with the  $p$ -module but in comparison with our paper they used different definitions. The use of this general result [8] for the Carnot groups requires the fact that the smallest very weak upper gradient of a Lipschitz function is given by the horizontal gradient (see for instance [9]). However it is not clear that the result of [8] covers the case when the intersection of the compacts  $K_i$ ,  $i = 0, 1$ , with the boundary of  $\Omega$  is not empty. Moreover the case when  $\Omega$  is not  $\varphi$ -convex is not obtained from [8].

The author would like to acknowledge Serguei Vodop'yanov for his helpful remarks and observations.

## 1. Notation and definitions

The Carnot group is a connected, simply connected nilpotent Lie group  $\mathbb{G}$ , whose Lie algebra  $\mathcal{G}$  splits into the direct sum of vector spaces  $V_1 \oplus V_2 \oplus \dots \oplus V_m$  which satisfy the following relations

$$\begin{aligned} [V_1, V_k] &= V_{k+1}, & 1 \leq k < m, \\ [V_1, V_m] &= \{0\}. \end{aligned}$$

We identify the Lie algebra  $\mathcal{G}$  with the space of left-invariant vector fields. Let  $X_{11}, \dots, X_{1n_1}$  be a bases of  $V_1$ ,  $n_1 = \dim V_1$ , and let  $\langle \cdot, \cdot \rangle$  be a left-invariant Riemannian metric on  $V_1$  such that

$$\langle X_{1i}, X_{1j} \rangle = \begin{cases} 1 & \text{if } i = j, \\ 0 & \text{if } i \neq j. \end{cases}$$

Then,  $V_1$  determines a subbundle  $HT$  of the tangent bundle  $T\mathbb{G}$  with fibers

$$HT_x = \text{span} \{X_{11}(x), \dots, X_{1n_1}(x)\}, \quad x \in \mathbb{G}.$$

We call  $HT$  the *horizontal tangent bundle* of  $\mathbb{G}$  with  $HT_x$  as the *horizontal tangent space* at  $x \in \mathbb{G}$ . Respectively, the vector fields  $X_{1j}$ ,  $j = 1, \dots, n_1$ , we will call *the horizontal vector fields*.

Next, we extend  $X_{11}, \dots, X_{1n_1}$  to an orthonormal basis

$$X_{11}, \dots, X_{1n_1}, X_{21}, \dots, X_{2n_2}, \dots, X_{m1}, \dots, X_{mn_m}$$

of  $\mathcal{G}$ . Here each vector field  $X_{ij}$ ,  $2 \leq i \leq m$ ,  $1 \leq j \leq n_i = \dim V_i$ , is a commutator

$$X_{ij} = [\dots [[X_{1k_1}, X_{1k_2}]X_{1k_3}] \dots X_{1k_i}]$$

of length  $i - 1$  generated by the basis vector fields of the space  $V_1$ .

It was proved (see, for instance, [6]) that the exponential map  $\exp: \mathcal{G} \rightarrow \mathbb{G}$  from the Lie algebra  $\mathcal{G}$  into the Lie group  $\mathbb{G}$  is a global diffeomorphism. We can identify the points  $q \in \mathbb{G}$  with the points  $x \in \mathbb{R}^N$ ,  $N = \sum_{i=1}^m \dim V_i$ , by the rule  $q = \exp(\sum_{i,j} x_{ij} X_{ij})$ . The collection  $\{x_{ij}\}$  is called the *coordinates* of  $q \in \mathbb{G}$ . The number  $N = \sum_{i=1}^m \dim V_i$  is the topological dimension of the Carnot group. The biinvariant Haar measure on  $\mathbb{G}$  is denoted by  $dx$ ; this is the push-forward of the Lebesgue measure in  $\mathbb{R}^N$  under the exponential map. *The family of dilations*  $\{\delta_\lambda(x) : \lambda > 0\}$  on the Carnot group is defined as

$$\delta_\lambda x = \delta_\lambda(x_{ij}) = (\lambda x_1, \lambda^2 x_2, \dots, \lambda^m x_m),$$

where  $x_i = (x_{i1}, \dots, x_{in_i})$ . Moreover,  $d(\delta_\lambda x) = \lambda^Q dx$  and the quantity  $Q = \sum_{i=1}^m i \dim V_i$  is called *the homogeneous dimension* of  $\mathbb{G}$ .

The Euclidean space  $\mathbb{R}^n$  with the standard structure is an example of the Abelian Carnot group: the exponential map is the identity and the vector fields  $\frac{\partial}{\partial x_i}$ ,  $i = 1, \dots, n$  have only trivial commutators and form the basis of the corresponding Lie algebra.

The simplest example of a non-abelian Carnot group is the Heisenberg group  $\mathbb{H}^n$ . The non-commutative multiplication is defined as

$$pq = (x, y, t)(x', y', t') = (x + x', y + y', t + t' - 2xy' + 2yx'),$$

where  $x = (x_1, \dots, x_n)$ ,  $y = (y_1, \dots, y_n) \in \mathbb{R}^n$ ,  $t \in \mathbb{R}$ , and the left translation  $L_p(q) = pq$  is defined. The left-invariant vector fields

$$\begin{aligned} X_i &= \frac{\partial}{\partial x_i} + 2y_i \frac{\partial}{\partial t}, & i = 1, \dots, n, \\ Y_i &= \frac{\partial}{\partial y_i} - 2x_i \frac{\partial}{\partial t}, & i = 1, \dots, n, \\ T &= \frac{\partial}{\partial t}, \end{aligned}$$

form the basis of the Lie algebra of the Heisenberg group. All non-trivial relations are of the form  $[X_i, Y_i] = -4T$ ,  $i = 1, \dots, n$ , and all other commutators vanish. Thus, the Heisenberg algebra has the dimension  $2n + 1$  and splits into the direct sum  $\mathcal{G} = V_1 \oplus V_2$ . The vector space  $V_1$  is generated by the vector fields  $X_i, Y_i$ ,  $i = 1, \dots, n$ , and the space  $V_2$  is the one-dimensional center which is spanned by the vector field  $T$ .

We use the Carnot-Carathéodory metric based on the length of horizontal curves. An absolutely continuous map  $\gamma : [0, b] \rightarrow \mathbb{G}$  is called a curve. A curve  $\gamma : [0, b] \rightarrow \mathbb{G}$  is said to be *horizontal* if its tangent vector  $\dot{\gamma}(s)$  lies in the horizontal tangent space  $HT_{\gamma(t)}$ , i.e. there exist functions  $a_j(s)$ ,  $s \in [0, b]$ , such that  $\sum_{j=1}^{n_1} a_j^2 \leq 1$  and

$$\dot{\gamma}(s) = \sum_{j=1}^{n_1} a_j(s) X_{1j}(\gamma(s)).$$

The result of [5] implies that one can connect two arbitrary points  $x, y \in \mathbb{G}$  by a horizontal curve. We fix on  $HT_x$  a quadratic form  $\langle \cdot, \cdot \rangle$ , so that the vector fields  $X_{11}(x), \dots, X_{1n_1}(x)$  are orthonormal with respect to this form at every  $x \in \mathbb{G}$ . Then the length of the horizontal curve  $l(\gamma)$  is defined by the formula

$$l(\gamma) = \int_0^b \langle \dot{\gamma}(s), \dot{\gamma}(s) \rangle^{1/2} ds = \int_0^b \left( \sum_{j=1}^{n_1} |a_j(s)|^2 \right)^{1/2} ds.$$

The Carnot-Carathéodory distance  $d_c(x, y)$  is the infimum of the length over all horizontal curves connecting  $x$  and  $y \in \mathbb{G}$ . Since the quadratic form is left-invariant, the Carnot-Carathéodory metric is also left-invariant. The group  $\mathbb{G}$  is connected, therefore, the metric  $d_c(x, y)$  is finite (see [19]). A homogeneous norm on  $\mathbb{G}$  is, by definition, a continuous non-negative function  $|\cdot|$  on  $\mathbb{G}$ , such that  $|x| = |x^{-1}|$ ,  $|\delta_\lambda(x)| = \lambda|x|$ , and  $|x| = 0$ , if and only if  $x = 0$ . Since all homogeneous norms are equivalent, we choose one that

satisfies the triangle inequality:  $|x^{-1}y| \leq |x| + |y|$ . By  $B(x, r)$  we denote the open ball of radius  $r > 0$  centered at  $x$  in the metric  $d_c$ . Note that  $B(x, r) = \{y \in \mathbb{G} : |x^{-1}y| < r\}$  is the left translation of the ball  $B(0, r)$  by  $x$  which is the image of the “unit ball”  $B(0, 1)$  under  $\delta_r$ . The Hausdorff dimension of the metric space  $(\mathbb{G}, d_c)$  coincides with its homogeneous dimension  $Q$ . By  $|E|$  we denote the measure of the set  $E$ . Our normalizing condition is such that the balls of radius one have measure one:

$$|B(0, 1)| = \int_{B(0,1)} dx = 1.$$

We have that  $|B(0, r)| = r^Q$  because the Jacobian of the dilation  $\delta_r$  is  $r^Q$ .

A curve  $\gamma : [0, b] \rightarrow \mathbb{G}$  is called rectifiable if the supremum

$$\sup \left\{ \sum_{k=1}^{p-1} d_c(\gamma(t_k), \gamma(t_{k+1})) \right\}$$

is finite where the supremum ranges over all partitions  $0 = t_1 \leq t_2 \leq \dots \leq t_p = b$  of the segment  $[0, b]$ . We remark that the definition of a rectifiable curve is based on the Carnot-Carathéodory metric. That is why a curve is not rectifiable if it is not horizontal [11, 13]. Thus, from now on, we work only with horizontal curves. A horizontal curve  $\gamma$ , which is rectifiable with respect to the Carnot-Carathéodory metric, is differentiable almost everywhere and the tangent vector  $\dot{\gamma}$  belongs to  $V_1$  (see [14]).

Let us define the  $p$ -module  $M_p(\Gamma(K_0, K_1; \Omega))$  of the family of curves  $\Gamma(K_0, K_1; \Omega)$  and the  $p$ -capacity  $\text{cap}_p(K_0, K_1; \Omega)$  on the Carnot group.

Our assumption is the following. Let  $\langle a, b \rangle$  be an interval of one of the following types:  $[a, b], [a, b), (a, b],$  or  $(a, b)$ . From now on, we suppose that a curve  $\gamma : \langle a, b \rangle \rightarrow \mathbb{G}$  is parameterized by the length element. Let  $\Omega$  be an open connected set (domain) on  $\mathbb{G}$ ,  $K_0$  and  $K_1$  be closed non-empty disjoint sets in the closure  $\bar{\Omega}$  of  $\Omega$ . We will call  $(K_0, K_1; \Omega)$  the *condenser*. We will use the symbol  $\Gamma(K_0, K_1; \Omega)$  to denote the family of curves  $\gamma : \langle a, b \rangle \rightarrow \Omega \subset \mathbb{G}$  which connect the sets  $K_0$  and  $K_1$ , namely, if  $\gamma \in \Gamma(K_0, K_1; \Omega)$ , then  $\gamma(\langle a, b \rangle) \cap K_i \neq \emptyset, i = 0, 1$ , and  $\gamma(t) \in \Omega, t \in (a, b)$ .

Let  $\mathcal{F}(\Gamma(K_0, K_1; \Omega))$  denote the set of Borel functions  $\rho : \Omega \rightarrow [0; \infty]$ , such that for every locally rectifiable  $\gamma \in \Gamma(K_0, K_1; \Omega)$  we have

$$\sup \int_{\gamma'} \rho ds = \sup \int_0^{l(\gamma')} \rho(\gamma'(t)) dt \geq 1.$$

The supremum is taken over all arcs  $\gamma'$ , such that  $\gamma' = \gamma|_{[\alpha, \beta]} \rightarrow \Omega, [\alpha, \beta] \subset \langle a, b \rangle$  and  $l(\gamma')$  is the length of  $\gamma'$ . The quantity  $\mathcal{F}(\Gamma(K_0, K_1; \Omega))$  is called the set of *admissible densities* for  $\Gamma(K_0, K_1; \Omega)$ .

**Definition 1.1** Let  $p \in (1, \infty)$ . The quantity

$$M_p(\Gamma(K_0, K_1; \Omega)) = \inf \int_{\Omega} \rho^p dx$$

is called the  $p$ -module of the family of curves  $\Gamma(K_0, K_1; \Omega)$ . The infimum is taken over all functions  $\rho \in \mathcal{F}(\Gamma(K_0, K_1; \Omega))$ .

The Vitali–Carathéodory theorem [15] about approximation of a function from  $L_p$  implies that the set of admissible densities can be reduced to the set of Borel lower semicontinuous functions. Hence, without loss of generality, we can assume that  $\rho \in \mathcal{F}(\Gamma(K_0, K_1; \Omega))$  is semicontinuous in  $\mathbb{G}$ .

The properties of the module of a family of curves in the case of  $\mathbb{G} = \mathbb{R}^n$  one can find, for instance, in [7].

If there exists a constant  $L$  such that  $|\varphi(x) - \varphi(y)| \leq Ld_c(x, y)$  for all  $x, y \in D$ ,  $D \subset\subset \Omega$ , then the function  $\varphi : \Omega \rightarrow \mathbb{R}$  is called locally Lipschitz continuous in  $\Omega \subset \mathbb{G}$ . The Sobolev space  $L_p^1(\Omega)$  over the domain  $\Omega$  is defined as a completion of the class of locally Lipschitz continuous function with respect to the seminorm

$$\|\varphi | L_p^1(\Omega)\| = \left( \int_{\Omega} \|X\varphi\|^p dx \right)^{1/p} < \infty.$$

Here  $X\varphi = (X_{11}\varphi, \dots, X_{1n_1}\varphi)$  is called the horizontal gradient of  $\varphi$  and  $\|X\varphi\| = \left( \sum_{j=1}^{n_1} |X_{1j}\varphi|^2 \right)^{1/2}$ . Thus, if  $u$  is a smooth function, then  $Xu$  is a horizontal component of the usual Riemannian gradient of  $u$ .

Let  $\mathcal{A}(K_0, K_1; \Omega)$  denote the set of non-negative real valued, locally Lipschitz continuous functions  $\varphi \in L_p^1(\Omega) \cap C(\Omega)$ , such that  $\varphi(x) = 0$  ( $\varphi(x) \geq 1$ ) in a neighborhood of  $K_0$  ( $K_1$ ).

**Definition 1.2** For  $p \in (1, \infty)$  we define the  $p$ -capacity of  $(K_0, K_1; \Omega)$  by

$$\text{cap}_p(K_0, K_1; \Omega) = \inf \int_{\Omega} \|X\varphi\|^p dx,$$

where the infimum is taken over all  $\varphi \in \mathcal{A}(K_0, K_1; \Omega)$ .

Our main result is the following theorem.

**Theorem.** Let  $\Omega$  be a bounded domain in the Carnot group  $\mathbb{G}$ . Suppose that  $K_0$  and  $K_1$  are disjoint non-empty compact sets in the closure of  $\Omega$ . Then

$$M_p(\Gamma(K_0, K_1; \Omega)) = \text{cap}_p(K_0, K_1; \Omega).$$

## 2. Preliminary results

We define an absolutely continuous function on curves of the horizontal fibration. For this we consider a family of horizontal curves  $\mathcal{Y}$  which form a smooth fibration of an open set  $D \subset \mathbb{G}$ . Usually, a curve  $\gamma \in \mathcal{Y}$  is an orbit of a smooth horizontal vector field  $Y \in V_1$ . If we denote by  $\varphi_s$  the flow associated with this vector field, then the fiber is of the form  $\gamma(s) = \varphi_s(p)$ . Here the point  $p$  belongs to the surface  $S$  which is transversal to the vector field  $Y$ . The parameter  $s$  ranges over an open interval  $J \in \mathbb{R}$ . One can assume that there is a measure  $d\gamma$  on the fibration  $\mathcal{Y}$  of the set  $D \subset \mathbb{G}$ . The measure  $d\gamma$  satisfies the inequalities

$$k_0 |B(x, R)|^{\frac{Q-1}{Q}} \leq \int_{\gamma \in \mathcal{Y}, \gamma \cap B(x, R) \neq \emptyset} d\gamma \leq k_1 |B(x, R)|^{\frac{Q-1}{Q}}$$

for a sufficiently small ball  $B(x, R) \subset D$  with constants  $k_0, k_1$  which do not depend on the ball  $B(x, R)$  (see [12, 20, 22]).

**Definition 2.1** A function  $u : \Omega \rightarrow \mathbb{R}$ ,  $\Omega \subset \mathbb{G}$ , is said to be *absolutely continuous on lines* ( $u \in ACL(\Omega)$ ) if for any domain  $U$ ,  $\overline{U} \subset \Omega$  and any fibration  $\mathcal{X}$  defined by a left-invariant vector field  $X_{1j}$ ,  $j = 1, \dots, n_1$ , the function  $u$  is absolutely continuous on  $\gamma \cap U$  with respect to the  $\mathcal{H}^1$ -Hausdorff measure for  $d\gamma$ -almost all curves  $\gamma \in \mathcal{X}$ .

For such a function  $u$  the derivatives  $X_{1j}u$ ,  $j = 1, \dots, n_1$ , exist almost everywhere in  $\Omega$ . If they belong to  $L_p(\Omega)$  for all  $X_{1j} \in V_1$ , then  $u$  is said to be in  $ACL^p(\Omega)$ . If the function  $f$  belongs to  $L_p^1(\Omega)$ , then there exists a function  $u \in ACL^p(\Omega)$  such that  $f = u$  almost everywhere.

The following lemma and theorem are reformulations of the well known result by Fuglede [7] (see also [21]) for the Carnot group.

**Lemma 2.2** *Suppose  $E$  is a Borel set on the Carnot group  $\mathbb{G}$  and  $g_k : E \rightarrow \mathbb{R}$  is a sequence of Borel functions which converges to a Borel function  $g : E \rightarrow \mathbb{R}$  in  $L_p(E)$ . There is a subsequence  $\{g_{k_j}\}$ , such that the equality*

$$\lim_{j \rightarrow \infty} \int_{\gamma} |g_{k_j} - g| ds = 0$$

*holds for all rectifiable horizontal curves  $\gamma \subset E$  except for some family whose  $p$ -module vanishes.*

We will prove the next theorem for completeness.

**Theorem 2.1** *Let  $\Omega$  be an open subset of  $\mathbb{G}$ , and  $u : \Omega \rightarrow \mathbb{R}$  be a function from  $ACLP(\Omega)$ ,  $p \in (1, \infty)$ . The function  $u$  is absolutely continuous on rectifiable closed parts of horizontal curves, except for a family of horizontal curves whose  $p$ -module vanishes.*

**Proof:** Let  $U_l$  be a sequence of open sets, such that  $\bar{U}_0 \subset \dots \subset \bar{U}_l \subset \dots \subset \Omega$ ,  $\bigcup_{l=0}^{\infty} U_l = \Omega$ . Denote by  $\Gamma$  the family of locally rectifiable horizontal curves whose trace lies in  $\Omega$ , and such that the function  $u$  is not absolutely continuous on each curve of  $\Gamma$ . By  $\Gamma_l$  we denote the family of closed arcs of the curves  $\gamma \in \Gamma$  which intersect  $U_l$ . By the property of monotonicity of the  $p$ -module we deduce that

$$M_p(\Gamma) \leq \sum_{l=1}^{\infty} M_p(\Gamma_l).$$

The proof will be complete if we establish that  $M_p(\Gamma_l) = 0$  for any arbitrary index  $l$ . For a function  $u$  satisfying the assertion of Theorem 2.1 there exists a sequence of the  $C^\infty$ -functions  $u^{(i)}$ ,  $i \in \mathbb{N}$ , which converges to  $u$  uniformly in  $\bar{U}_l$  [6]. Moreover, the sequence  $X_{1k}u^{(i)}$  converges to  $X_{1k}u$  in  $L_p(\Omega)$ ,  $k = 1, \dots, n_1$ . By Lemma 2.2 we choose a subsequence (which we denote by the same symbol)  $u^{(i)}$ , such that

$$(2.1) \quad \int_{\gamma} \|X_{1k}u^{(i)} - X_{1k}u\| ds \rightarrow 0 \quad \forall \quad k = 1, \dots, n_1$$

for all rectifiable horizontal curves  $\gamma : [0, b] \rightarrow U_l$  except for a family  $\tilde{\Gamma}$  whose  $p$ -module  $M_p(\tilde{\Gamma})$  vanishes. We show that  $\Gamma_l \subset \tilde{\Gamma}$ . Suppose that there exists a rectifiable horizontal curve  $\gamma \in \Gamma_l \setminus \tilde{\Gamma}$ . It is assumed that this curve is parameterized by its length element. Since the functions  $u^{(i)}(\gamma(s))$  are absolutely continuous, the sequence of functions

$$u^{(i)}(\gamma(s)) = u^{(i)}(\gamma(0)) + \int_0^s \left( \sum_{k=1}^{n_1} a_k(t) X_{1k}u^{(i)}(\gamma(t)) \right) dt,$$

is defined for any  $s \in [0, b]$ .

The sequence  $u^{(i)}(\gamma(s))$  converges uniformly to the function  $u(\gamma(s))$  as  $i \rightarrow \infty$ . Moreover, from (2.1) we deduce that

$$u(\gamma(s)) = u(\gamma(0)) + \int_0^s \left( \sum_{k=1}^{n_1} a_k(t) X_{1k}u(\gamma(t)) \right) dt.$$

Hence, the function  $u$  is absolutely continuous, and we derive that  $u$  is absolutely continuous on  $\gamma(s)$ . This contradicts  $\gamma \in \Gamma_l \setminus \tilde{\Gamma}$ .  $\blacksquare$



In our next step we establish an approximation property for functions  $f \in L_p(D)$  defined on an open set  $D \neq \mathbb{G}$ .

**Lemma 2.3** *Suppose that  $D$  is a bounded domain on the Carnot group  $\mathbb{G}$ . Let  $f \in L_p(D)$  and  $\varepsilon > 0$ . Then there exists a continuous function  $\tilde{f}$  such that*

$$\|f - \tilde{f} | L_p(D)\| < \varepsilon.$$

**Proof:** Making use of the Whitney lemma we can find points  $x_1, x_2, \dots$  in  $D$  and positive numbers  $r_1, r_2, \dots$ , such that

- (i)  $B(x_i, r_i) \subset D$ ,
- (ii)  $D \subset \bigcup_i B(x_i, r_i)$ ,
- (iii)  $B(x_i, 2r_i) \subset D$ ,
- (iv)  $\sum_i \chi_{B(x_i, 2r_i)} \leq M$ , with some number  $M$  independent of the choice of the set  $D$  and of the point  $x \in D$ .

Also we can suppose, that the radii of the balls do not exceed  $1/2$ .

Let  $\{h_1(x), h_2(x), \dots\}$  be a partition of unity on  $D$  subordinate to the cover  $\{B(x_1, r_1), B(x_2, r_2), \dots\}$ :  $h_i(x) \geq 0$ ,  $\text{supp}(h_i(x)) \subset B(x_i, r_i)$ , and  $\sum_{i=1}^{\infty} h_i(x) = 1$  for  $x \in D$ . Set  $f_i(x) = h_i f(x)$ . Then  $f_i$ ,  $i = 1, 2, \dots$ , satisfy the following condition:  $\text{supp } f_i \subset B(x_i, r_i)$ ,  $f_i \in L_p(\mathbb{G})$  and  $f(x) = \sum_{i=1}^{\infty} f_i(x)$  for  $x \in D$ .

We write  $\varphi^i$  for the continuous function supported in the ball  $B(x_i, 2r_i)$  such that  $\int_{B(x_i, 2r_i)} \varphi^i(x) dx = 1$ . Let us consider the convolution

$$\tilde{f}_i(x) = f_i \star \varphi_t^i(x) = \int_{\mathbb{G}} f_i(y) \varphi_t^i(y^{-1}x) dy = \int_{\mathbb{G}} f_i(xy^{-1}) \varphi_t^i(y) dy,$$

where  $\varphi_t^i(x) = t^{-Q} \varphi^i(\delta_{1/t}x)$ . It is known [6] that in this case the inequality

$$\|\tilde{f}_i - f_i | L_p(\mathbb{G})\| < 2^{-i} \varepsilon$$

holds as  $t \rightarrow 0$  for arbitrary  $\varepsilon > 0$ .

Let us define  $\tilde{f}(x) = \sum_{i=1}^{\infty} \tilde{f}_i(x)$ . The continuity of  $\tilde{f}$  and the inequality

$$\int_D |\tilde{f} - f|^p dx \leq \sum_{i=1}^{\infty} \left\{ \int_{\mathbb{G}} |\tilde{f}_i - f_i|^p dx \right\}^{1/p} < \varepsilon$$

yield the required approximation. ■

Using similar argumentation as in [10], we prove the next lemma.

**Lemma 2.4** *Let  $\mathcal{B} \subset \mathcal{F}(\Gamma(K_0, K_1; \Omega))$  consist of continuous functions on  $\Omega \setminus (K_0 \cup K_1)$ . Then,*

$$(2.2) \quad M = \inf_{\rho \in \mathcal{B}} \int_{\Omega} \rho^p(x) dx = M_p(\Gamma(K_0, K_1; \Omega)).$$

**Proof:** Let  $\{B(x_i, r_i)\}$  be a cover of the domain  $D = \Omega \setminus (K_0 \cup K_1)$  chosen as in the previous lemma. We also use the notation  $\rho = \sum_{i=1}^{\infty} \rho_i = \sum_{i=1}^{\infty} h_i \rho$ , where  $\{h_i\}$  is a partition of unity subordinate to  $\{B(x_i, r_i)\}$ . Then by Lemma 2.3 for  $\varepsilon > 0$  and  $\rho \in \mathcal{F}(\Gamma(K_0, K_1; \Omega))$  we find continuous function  $\tilde{\rho}$ , such that

$$(2.3) \quad \int_{\Omega \setminus (K_0 \cup K_1)} \tilde{\rho}^p(x) dx < \varepsilon + M_p(\Gamma(K_0, K_1; \Omega)).$$

We claim that  $(1 + \varepsilon)\tilde{\rho}$  is an admissible density for  $\Gamma(K_0, K_1; \Omega)$ . If  $\gamma$  belongs to  $\Gamma(K_0, K_1; \Omega)$ , then

$$1 \leq \int_{\gamma} \rho ds = \int_{\gamma} \sum_{i=1}^{\infty} \rho_i ds \leq \sum_{i=1}^{\infty} \int_{\gamma \cap B(x_i, 2r_i)} \rho_i ds.$$

Making use of the construction of approximation from Lemma 2.3 with parameters  $t_i < \varepsilon$ ,  $i = 1, 2, \dots$ , we get

$$(2.4) \quad \begin{aligned} \int_{\gamma} \tilde{\rho} ds &= \int_{\gamma} \sum_{i=1}^{\infty} \tilde{\rho}_i ds = \int_{\gamma} \sum_{i=1}^{\infty} \int_{\mathbb{G}} \rho_i(xy^{-1}) \varphi_{t_i}^i(y) dy ds \\ &= \int_{\gamma} \sum_{i=1}^{\infty} \int_{\mathbb{G}} \rho(x(\delta_{t_i} z)^{-1}) \varphi^i(z) dz ds \\ &= \sum_{i=1}^{\infty} \int_{B(x_i, 2r_i)} \varphi^i(z) dz \int_{\gamma \cap B(x_i, r_i)} \rho_i(x(\delta_{t_i} z)^{-1}) ds(x). \end{aligned}$$

We note that  $\int_{B(x_i, 2r_i)} \varphi^i(z) dz = 1$  by definition. Let us denote by  $\tilde{\gamma}$  the image of the curve  $\gamma$  under the map  $\gamma \rightarrow \gamma \cdot (\delta_{t_i} z)^{-1}$ . We can choose a sufficiently small  $t_i$ , such that the image  $\gamma \cap B(x_i, r_i)$  is contained in the ball  $B(x_i, 2r_i)$ . Moreover,  $|\dot{\tilde{\gamma}}| = |\dot{\gamma} \cdot (\delta_{t_i} z)^{-1}|$ . Changing variables in the last integral of (2.4), we obtain

$$\begin{aligned} \int_{\gamma} \tilde{\rho} ds &= \sum_{i=1}^{\infty} \int_{\tilde{\gamma} \cap B(x_i, 2r_i)} \rho_i(y) |\dot{\tilde{\gamma}}|^{-1} ds(y) \\ &\geq \sum_{i=1}^{\infty} \int_{\tilde{\gamma} \cap B(x_i, 2r_i)} \rho_i(y) (|\dot{\gamma}| + t_i |z|)^{-1} ds(y) \geq (1 + \varepsilon)^{-1}. \end{aligned}$$

In the latter we used the inequalities

$$|\dot{\gamma}| \leq |\dot{\gamma}| + t_i|z|, \quad |\dot{\gamma}| \leq 1, \quad t_i < \varepsilon, \quad |z| < 2r_i < 1, \quad i = 1, 2, \dots$$

Since  $\varepsilon$  and  $\rho \in \Gamma(K_0, K_1; \Omega)$  are arbitrary, we get from (2.3) that

$$M = \inf_{\rho \in \mathcal{B}} \int_{\Omega} \rho^p(x) dx \leq M_p(\Gamma(K_0, K_1; \Omega)).$$

The reverse inequality is obvious and we have (2.2) as desired. ■

### 3. Proof of the main result

In this section we will be working under the assumption that  $K_0$  and  $K_1$  are disjoint non-empty compacts in the closure  $\bar{\Omega}$  of a bounded domain  $\Omega \subset \mathbb{G}$ . Moreover, let  $K_0^j$  and  $K_1^j$  be a sequence of closed sets, such that  $K_0^0 \cap K_1^0 = \emptyset$ ,  $K_0^j \subset \text{int } K_0^{j-1}$ ,  $K_1^j \subset \text{int } K_1^{j-1}$ ,  $K_0 = \bigcap_{j=0}^{\infty} K_0^j$ , and  $K_1 = \bigcap_{j=0}^{\infty} K_1^j$ .

The next lemma in the particular case  $\mathbb{G} = \mathbb{R}^n$  goes back to the work [16] and, then is digested by Ohtsuka (see for instance [1]).

**Lemma 3.1** *Let  $\rho \in L_p(\mathbb{G})$  be a positive function which is continuous in  $\Omega \setminus (K_0 \cup K_1)$ . For each  $\varepsilon > 0$  we can construct a function  $\rho'$  on  $\Omega$ ,  $\rho' \geq \rho$ , with the following properties:*

(i)  $\int_{\Omega} \rho'^p dx \leq \int_{\Omega} \rho^p dx + \varepsilon.$

(ii) *Suppose that for each  $j$  there is  $\gamma_j \in \Gamma(K_0^j, K_1^j; \Omega)$  such that  $\int_{\gamma_j} \rho' ds \leq \alpha$ . Then there exists  $\tilde{\gamma} \in \Gamma(K_0, K_1; \Omega)$ , such that  $\int_{\tilde{\gamma}} \rho ds \leq \alpha + \varepsilon$ .*

**Proof:** The most difficult part of the lemma is the existence of  $\tilde{\gamma}$  inside  $\Omega$ . It is rather easy to find a curve in  $\bar{\Omega}$ , but such a curve is not necessarily from  $\Gamma(K_0, K_1; \Omega)$ .

For the beginning let us construct the function  $\rho'$ . Let  $K^j = K_0^j \cup K_1^j$ ,  $W^j = K^{j-1} \setminus \text{int } K^j$ , and  $d_j = \text{dist}(\partial K^{j-1}, \partial K^j) > 0$ . Since the function  $\rho$  is strictly positive, we can find a sequence  $\varepsilon_j \rightarrow 0$ , such that

$$(3.1) \quad \sum_{j=1}^{\infty} (1 + \varepsilon_j^{-1})^p \varepsilon_j < \varepsilon,$$

$$(3.2) \quad (1 + \varepsilon_j^{-1})d_j \inf_{x \in W^j \cap \Omega} \rho(x) > \alpha.$$

We can find a sequence of compact subsets  $\Omega_j \subset \Omega$  increasing to  $\Omega$ , such that

$$\int_{\Omega \setminus \Omega_j} \rho^p dx < \varepsilon_j.$$

Let  $V^j = (\Omega \setminus \Omega_j) \cap W^j$ , and set

$$\rho'(x) = \begin{cases} (1 + \varepsilon_j^{-1})\rho(x) & \text{if } x \in V^j, \\ \rho(x) & \text{if } x \in \Omega \setminus (\cup V^j). \end{cases}$$

Now, applying (3.1), we obtain

$$\begin{aligned} \int_{\Omega} \rho^p dx &= \sum_j \int_{V^j} \left( (1 + \varepsilon_j^{-1})\rho(x) \right)^p dx + \int_{\Omega \setminus (\cup V^j)} \rho^p dx \\ &\leq \sum_j (1 + \varepsilon_j^{-1})^p \int_{V^j} \rho^p dx + \int_{\Omega} \rho^p dx \\ &\leq \sum_j (1 + \varepsilon_j^{-1})^p \varepsilon_j + \int_{\Omega} \rho^p dx < \varepsilon + \int_{\Omega} \rho^p dx. \end{aligned}$$

We see that (i) holds. Now let us show (ii). Fix  $j \geq 1$ . The curve  $\gamma_k$  is from  $\Gamma(K_0^j, K_1^j; \Omega)$  for the  $k \geq j$  by definition. Hence,  $\gamma_k$  contains two arcs:  $\gamma'_k$  such that  $\gamma'_k$  connects  $\partial K_0^j$  and  $\partial K_0^{j-1}$ ; and  $\gamma''_k$  which connects  $\partial K_1^j$  and  $\partial K_1^{j-1}$ . Let us show that  $\gamma'_k$  and  $\gamma''_k$  are not included in  $V^j$ . On the contrary, let us suppose that  $\gamma'_k \subset V^j$ . Then, using (3.2), we deduce the inequality

$$\alpha \geq \int_{\gamma_k} \rho' ds \geq \int_{\gamma'_k} \rho' ds \geq (1 + \varepsilon_j^{-1}) \int_{\gamma'_k} \rho ds \geq (1 + \varepsilon_j^{-1}) \inf_{x \in W^j \cap \Omega} \rho(x) \int_{\gamma'_k} ds > \alpha,$$

which is false. In the same way  $\gamma''_k$  is not included in  $V^j$ , therefore,

$$\gamma_k \cap \left( \Omega_j \cap (K_i^{j-1} \setminus \text{int } K_i^j) \right) \neq \emptyset \quad \text{for } i = 0, 1 \quad \text{and } k \geq j.$$

Observe that the sets  $\Omega_j \cap (K_i^{j-1} \setminus \text{int } K_i^j)$ ,  $i = 0, 1$ , are compacts. For a fixed  $j$  let us consider a sequence  $\{\gamma_k^j\}_{k=j}^{\infty}$ . We can extract a subsequence (we use the same notation  $\{\gamma_k^j\}_{k \rightarrow \infty}$ ) which converges to a curve  $\gamma_0^j$ , such that

$$\gamma_0^j \cap \left( \Omega_j \cap (K_0^{j-1} \setminus \text{int } K_0^j) \right) \neq \emptyset.$$

Further, we fix a point  $x_0^j \in \Omega_j \cap (K_0^{j-1} \setminus \text{int } K_0^j)$  on it. Since  $\rho$  is continuous at  $x_0^j \in \Omega_j \cap (K_0^{j-1} \setminus \text{int } K_0^j)$ , we can choose a ball  $B(x_0^j, r(x_0^j)) \subset \Omega$  so small that

$$(3.3) \quad \int_l \rho ds \leq \varepsilon/2^{j+3}$$

for any shortest curve  $l \subset B(x_0^j, r(x_0^j))$  which connects the center  $x_0^j$  with the boundary of  $B(x_0^j, r(x_0^j))$ . Renumbering the subsequence, we may assume that each member of the subsequence  $\{\gamma_k^j\}$  intersects  $B(x_0^j, r(x_0^j))$ .

In the same way we can find a small closed ball  $B(x_1^j, r(x_1^j)) \subset \Omega$ ,  $x_1^j \in \Omega_j \cap (K_1^{j-1} \setminus \text{int } K_1^j)$ , so that  $\{\gamma_k^j\}$  intersects  $B(x_1^j, r(x_1^j))$  and an analogue of (3.3) holds. We start this process from  $j = 1$  and extract a subsequence  $\{\gamma_k^j\}$  from the sequence constructed in the previous step, such that the new subsequence  $\{\gamma_k^j\}$  intersects  $B(x_0^j, r(x_0^j))$  and  $B(x_1^j, r(x_1^j))$ .

Now let us consider the diagonal  $\{\gamma_k^k\}$ . Then  $\{\gamma_k^k\}$  intersects  $B(x_0^j, r(x_0^j))$  and  $B(x_1^j, r(x_1^j))$  for  $1 \leq j \leq k$ . In each ball  $B(x_i^j, r(x_i^j))$ ,  $i = 0, 1$ , we add two shortest curves to  $\{\gamma_k^k\}$  connecting  $x_i^j$  with the points of intersections of  $\{\gamma_k^k\}$  with  $\partial B(x_i^j, r(x_i^j))$ ,  $i = 0, 1$ ,  $1 \leq j \leq k$ . Thus, we have a connected horizontal curve  $\tilde{\gamma}_k \in \Gamma(K_0^k, K_1^k; \Omega)$  passing through all pairs  $\{x_0^j, x_1^j\}_{j=1}^k$ . We have by (3.3) that

$$\int_{\tilde{\gamma}_k} \rho ds \leq \int_{\gamma_k^k} \rho ds + 2 \sum_{j=1}^k \frac{\varepsilon}{2^{j+3}} \leq \alpha + \frac{\varepsilon}{4}.$$

Let  $\Gamma_0$  be the union of all horizontal curves in  $\Omega \setminus (K_0 \cup K_1)$  connecting  $x_0^1$  and  $x_1^1$ . For  $i = 0, 1$ , let  $\Gamma_i^j$  be the collection of all horizontal curves in  $\Omega \setminus (K_0 \cup K_1)$  connecting  $x_i^j$  and  $x_i^{j+1}$ . Then,

$$\inf_{\gamma \in \Gamma_0} \int_{\gamma} \rho ds + \sum_{j=1}^k \inf_{\gamma \in \Gamma_0^j} \int_{\gamma} \rho ds + \sum_{j=1}^k \inf_{\gamma \in \Gamma_1^j} \int_{\gamma} \rho ds \leq \int_{\tilde{\gamma}_k} \rho ds \leq \alpha + \frac{\varepsilon}{4}.$$

Therefore, we can choose  $C_0 \in \Gamma_0$  and  $C_i^j \in \Gamma_i^j$ , such that

$$\begin{aligned} \int_{C_0} \rho ds &< \inf_{\gamma \in \Gamma_0} \int_{\gamma} \rho ds + \frac{\varepsilon}{2}, \\ \int_{C_i^j} \rho ds &< \inf_{\gamma \in \Gamma_i^j} \int_{\gamma} \rho ds + \frac{\varepsilon}{2^{j+3}}. \end{aligned}$$

Let

$$\tilde{\gamma} = \dots + C_0^1 + C_0 + C_1^1 + \dots$$

Then,  $\tilde{\gamma} \in \Gamma(K_0, K_1; \Omega)$  and

$$\int_{\tilde{\gamma}} \rho ds \leq \alpha + \frac{\varepsilon}{4} + \frac{\varepsilon}{2} + 2 \sum_{j=1}^{\infty} \frac{\varepsilon}{2^{j+3}} = \alpha + \varepsilon.$$

The lemma is proved. ■

**Theorem 3.1** *Let  $\Omega$  be a bounded domain in the Carnot group  $\mathbb{G}$ . Suppose  $K_0$  and  $K_1$  to be disjoint non-empty compacts in the closure of  $\Omega$ . Then,*

$$M_p(\Gamma(K_0, K_1; \Omega)) = \text{cap}_p(K_0, K_1; \Omega).$$

**Proof:** Our proof falls into three steps.

*Step 1.* We start proving the inequality

$$(3.4) \quad M_p(\Gamma(K_0, K_1; \Omega)) \leq \text{cap}_p(K_0, K_1; \Omega).$$

Let  $u \in \mathcal{A}(K_0, K_1; \Omega)$ . Let  $\Gamma_0$  be the locally rectifiable horizontal curves  $\gamma \in \Gamma(K_0, K_1; \Omega)$ , such that  $u$  is absolutely continuous on every rectifiable closed part of  $\gamma$ . Define  $\rho : \Omega \rightarrow [0, \infty]$  by

$$\rho(x) = \begin{cases} \|Xu\| & \text{if } x \in \Omega, \\ 0 & \text{if } x \notin \Omega. \end{cases}$$

Suppose that  $\gamma \in \Gamma_0$  and  $\gamma : (a, b) \rightarrow \Omega$  is parameterized by the length element. If  $a < t_1 < t_2 < b$ , then making use of the inequality  $|\dot{\gamma}(t)| \leq 1$ , we get

$$(3.5) \quad \begin{aligned} \int_{\gamma} \rho ds &= \int_a^b \rho(\gamma(t)) dt \geq \int_{t_1}^{t_2} \|Xu(\gamma(t))\| dt \\ &\geq \left| \int_{t_1}^{t_2} \langle Xu(\gamma(t)) \cdot \dot{\gamma}(t) \rangle dt \right| = |u(\gamma(t_2)) - u(\gamma(t_1))|. \end{aligned}$$

Since  $t_1$  and  $t_2$  are arbitrary, (3.5) implies the inequality  $\int_{\gamma} \rho ds \geq 1$ . Hence,  $\rho$  is admissible for the family of curves  $\Gamma(K_0, K_1; \Omega)$ . Therefore,

$$M_p(\Gamma_0) \leq \int_{\Omega} \rho^p(x) dx = \int_{\Omega} \|Xu(x)\|^p dx.$$

Taking infimum over all  $u \in \mathcal{A}(K_0, K_1; \Omega)$  we get  $M_p(\Gamma_0) \leq \text{cap}_p(K_0, K_1; \Omega)$ . Theorem 2.1 implies  $M_p(\Gamma_0) = M_p(\Gamma(K_0, K_1; \Omega))$ , and (3.4) follows from the above.

*Step 2.* Now we prove the reverse inequality

$$(3.6) \quad M_p(\Gamma(K_0, K_1; \Omega)) \geq \text{cap}_p(K_0, K_1; \Omega)$$

for the case  $(K_0 \cup K_1) \cap \partial\Omega = \emptyset$ . Lemma 2.4 allows us to assume that  $\rho \in \mathcal{F}(\Gamma(K_0, K_1; \Omega))$  is continuous in  $\Omega \setminus (K_0 \cup K_1)$ . Let us define  $u : \Omega \rightarrow [0, \infty]$  by  $u(x) = \min(1, \inf \int_{\beta_x} \rho ds)$  where the infimum is taken over all locally rectifiable horizontal curves  $\beta_x \in \Omega$  connecting  $K_0$  and  $x$ . We claim that  $u \in \mathcal{A}(K_0, K_1; \Omega)$  and  $\|Xu\| \leq \rho$  almost everywhere in  $\Omega$ . If  $u \equiv 1$ , then there is nothing to prove.

Let  $u \not\equiv 1$ , and let  $\alpha_{x_1, x_2}$  be a shortest curve which connect  $x_1$  and  $x_2$ , and  $\beta_{x_1}$  be a rectifiable curve connecting  $K_0$  and  $x_1$ . Then,

$$u(x_2) \leq \int_{\beta_{x_1}} \rho ds + \int_{\alpha_{x_1, x_2}} \rho ds \leq \int_{\beta_{x_1}} \rho ds + \max_{x \in \alpha_{x_1, x_2}} \rho(x) d_c(x_1, x_2).$$

Since  $\beta_{x_1}$  is arbitrary, we obtain

$$u(x_2) \leq u(x_1) + \max_{x \in \alpha_{x_1, x_2}} \rho(x) d_c(x_1, x_2).$$

Similarly, we have

$$u(x_1) \leq u(x_2) + \max_{x \in \alpha_{x_1, x_2}} \rho(x) d_c(x_1, x_2).$$

These two inequalities prove that

$$(3.7) \quad |u(x_1) - u(x_2)| \leq \max_{x \in \alpha_{x_1, x_2}} \rho(x) d_c(x_1, x_2).$$

If  $u$  satisfies (3.7), then  $u$  is locally Lipschitz continuous in  $\Omega$ . Therefore,  $u$  has the derivative  $X_{1j}u$ ,  $j = 1, \dots, n_1$ , almost everywhere in  $\Omega$  by [14]. Suppose now that  $x_0 \in \Omega$  is a point where the derivatives  $X_{1j}u$ ,  $j = 1, \dots, n_1$  exist, then we get

$$|u(x_0h) - u(x_0)| = |h| \|Xu(x_0)\| + o(|h|) \leq \max_{x \in \alpha_{x_0, x_0h}} \rho(x) |h|.$$

Letting  $|h| \rightarrow 0$ , we obtain  $\|Xu(x_0)\| \leq \rho(x_0)$ . Therefore,

$$\text{cap}_p(K_0, K_1; \Omega) \leq \int_{\Omega} \|Xu\|^p dx \leq \int_{\Omega} \rho^p dx$$

and (3.6) holds.

By (3.4) and (3.6) we conclude that, if  $(K_0 \cup K_1) \cap \partial\Omega = \emptyset$ , then

$$(3.8) \quad \text{cap}_p(K_0, K_1; \Omega) = M_p(\Gamma(K_0, K_1; \Omega)).$$

*Step 3.* Fix  $\varepsilon \in (0, 1/2)$  and let  $(K_0 \cup K_1) \cap \partial\Omega \neq \emptyset$ . Let  $\rho \in \mathcal{F}(\Gamma(K_0, K_1; \Omega))$  be a continuous function in  $\Omega \setminus (K_0 \cup K_1)$ , such that

$$\int_{\Omega \setminus (K_0 \cup K_1)} \rho^p dx < \varepsilon + M_p(\Gamma(K_0, K_1; \Omega)).$$

We may assume that  $\rho$  is strictly positive on  $\Omega \setminus (K_0 \cup K_1)$ . If this were not so, we could consider the cut-of-function  $\max(\rho, 1/m)$  instead of  $\rho$  and suppose that this function satisfies the inequality

$$\int_{\Omega \setminus (K_0 \cup K_1)} (\max(\rho, 1/m))^p dx < \varepsilon + M_p(\Gamma(K_0, K_1; \Omega))$$

for a sufficiently big  $m \in \mathbb{N}$ .

Let  $\rho'$ ,  $\{K_0^j\}, \{K_1^j\}$ , be as in Lemma 3.1. We show that

$$\int_{\gamma} \rho' ds > 1 - 2\varepsilon \quad \text{for all } \gamma \in \Gamma(K_0^j, K_1^j; \Omega)$$

for a sufficiently big  $j \in \mathbb{N}$ . In fact, if we supposed the contrary, there would be a sequence  $\{j_k\}$  and curves  $\gamma_k \in \Gamma(K_0^{j_k}, K_1^{j_k}; \Omega)$ , such that

$$\int_{\gamma_k} \rho' ds \leq 1 - 2\varepsilon.$$

By Lemma 3.1 we would find  $\tilde{\gamma} \in \Gamma(K_0, K_1; \Omega)$ , such that

$$\int_{\tilde{\gamma}} \rho ds \leq 1 - 2\varepsilon + \varepsilon = 1 - \varepsilon,$$

which contradicts  $\rho \in \mathcal{F}(\Gamma(K_0, K_1; \Omega))$ .

Next we define the function

$$\tilde{\rho}(x) = \begin{cases} \frac{\rho'}{1-2\varepsilon} & \text{if } x \in \Omega \setminus (K_0^j \cup K_1^j), \\ 0 & \text{if } x \notin \Omega \setminus (K_0^j \cup K_1^j). \end{cases}$$

It belongs to  $\mathcal{F}(\Gamma(K_0, K_1; \Omega \cup K_0^j \cup K_1^j))$ . This fact and the equality (3.8) for  $(K_0, K_1; \Omega \cup K_0^j \cup K_1^j)$  imply

$$\begin{aligned} (M_p(\Gamma(K_0, K_1; \Omega)) + 2\varepsilon)(1 - 2\varepsilon)^{1-p} &\geq \int_{\Omega} \tilde{\rho}^p dx \geq M_p(\Gamma(K_0, K_1; \Omega \cup K_0^j \cup K_1^j)) \\ &= \text{cap}_p(K_0, K_1; \Omega \cup K_0^j \cup K_1^j) \geq \text{cap}_p(K_0, K_1; \Omega). \end{aligned}$$

Hence, letting  $j \rightarrow \infty$ , and then  $\varepsilon \rightarrow 0$ , we obtain

$$M_p \Gamma((K_0, K_1; \Omega)) \geq \text{cap}_p(K_0, K_1; \Omega)$$

and the theorem is proved. ■

**Theorem 3.2** *Let  $K_0$  and  $K_1$  be disjoint non-empty closed sets in the closure  $\bar{\Omega}$  of a bounded domain  $\Omega \subset \mathbb{G}$ . Let  $K_0^j$  and  $K_1^j$  be sequences of compact sets, such that  $K_0^0 \cap K_1^0 = \emptyset$ ,  $K_0^j \subset \text{int } K_0^{j-1}$ ,  $K_1^j \subset \text{int } K_1^{j-1}$ ,  $K_0 = \bigcap_{j=0}^{\infty} K_0^j$ , and  $K_1 = \bigcap_{j=0}^{\infty} K_1^j$ . Then,*

$$M_p(\Gamma(K_0, K_1; \Omega)) = \lim_{j \rightarrow \infty} M_p(\Gamma(K_0^j, K_1^j; \Omega)).$$



**Proof:** Let  $\rho \in \mathcal{F}(\Gamma(K_0, K_1; \Omega))$ . Lemma 2.4 allows us to assume that  $\rho$  is continuous in  $\Omega \setminus (K_0 \cup K_1)$ . We fix  $\varepsilon \in (0, 1)$  and choose  $\rho$ , such that

$$\int_{\Omega} \rho^p dx \leq M_p(\Gamma(K_0, K_1; \Omega)) + \varepsilon.$$

For a function  $\rho$  we can construct  $\rho'$  as in Lemma 3.1. Moreover,  $(1 - 2\varepsilon)^{-1}\rho' \in \mathcal{F}(\Gamma_j(K_0^j, K_1^j; \Omega))$  as it was shown in the proof of the step 3 of Theorem 3.1. From all these facts we deduce

$$M_p(\Gamma(K_0^j, K_1^j; \Omega)) \leq \int_{\Omega} \left( (1-2\varepsilon)^{-1}\rho' \right)^p dx \leq (1-2\varepsilon)^{-p}(M_p(\Gamma(K_0, K_1; \Omega)) + \varepsilon).$$

Hence, letting  $j \rightarrow \infty$ , and then  $\varepsilon \rightarrow 0$ , we obtain the desired result.  $\blacksquare$

## References

- [1] AIKAWA, H. AND OHTSUKA, M.: Extremal length of vector measures. *Ann. Acad. Sci. Fennicæ* **24** (1999), 61–88.
- [2] CARAMAN, P.: New cases of equality between  $p$ -module and  $p$ -capacity. Proceedings of the Tenth Conf. on analytic function, Szczyrk, 1990. *Ann. Polon. Math.* **55** (1991), 37–56.
- [3] CARAMAN, P.: Relations between  $p$ -capacity and  $p$ -module. I. II. *Rev. Roumaine Math. Pures Appl.* **39** (1994), no. 6, 509–553, 555–577.
- [4] CARAMAN, P.: The problem of equality between the  $p$ -capacity and  $p$ -module. *Bull. Soc. Sci. Lett. Łódź Sér. Rech. Déform.* **20** (1995), 79–89.
- [5] CHOW, W. L.: Systeme von linearen partiellen differential gleichungen erster ordnung. *Math. Ann.* **117** (1939), 98–105.
- [6] FOLAND, G. B. AND STEIN, E. M.: Hardy spaces on homogeneous groups. *Math. Notes* **28** (1982), Princeton University Press, Princeton, New Jersey.
- [7] FUGLEDE, B.: Extremal length and functional completion. *Acta Math.* **98** (1957), 171–219.
- [8] HEINONEN, J. AND KOSKELA, P.: Quasikonformal maps in metric spaces with controlled geometry. *Acta Math.* **181** (1998), 1–61.
- [9] HAJLASZ, P. AND KOSKELA, P.: Sobolev met Poincarè. *Mem. Amer. Math. Soc.* **145** (2000), no. 688, 101 pp.
- [10] HESSE, J.: A  $p$ -extremal length and  $p$ -capacity equality. *Ark. Mat.* **13** (1975), no. 1, 131–144.
- [11] KORÁNYI, A.: *Geometric aspects of analysis on the Heisenberg group*. Topic in Modern Harmonic Analysis. Istituto nazionale di Alta matematica, Roma, 1983.
- [12] KORÁNYI, A. AND REIMANN, H. M.: Foundation for the theory of quasi-conformal mapping on the Heisenberg group. *Adv. Math.* **111** (1995), 1–87.

- [13] MITCHELL, J.: On Carnot-Carathéodory metrics. *J. Diff. Geom.* **21** (1985), 35–45.
- [14] PANSU, P.: Métriques de Carnot–Carathéodory et quasiisométries des espaces symétriques de rang un. *Ann. of Math.* **129** (1989), 1–60.
- [15] RUDIN, W.: *Real and complex analysis*. McGraw-Hill Book Company, New York, 1966.
- [16] SHLYK, V. A.: On the equality between  $p$ -capacity and  $p$ -modulus. *Siberian Math. J.* **34** (1993), no. 6, 1196–1200.
- [17] STEIN, E. M.: Some problems in harmonic analysis suggested by symmetric spaces and semisimple groups. *Proc. Int. Congr. Math., Nice I*, (1970), Gauthier–Villars, Paris, 1971, 173–179.
- [18] STEIN, E. M.: *Harmonic analysis: real variable, methods, orthogonality and oscillatory integrals*. Princeton Univ. Press, 1993.
- [19] STRICHARTS, R. S.: Sub-Riemannian Geometry. *J. Diff. Geom.* **24** (1986), 221–263.
- [20] UKHLOV, A. D. AND VODOP’YANOV, S. K.: Sobolev spaces and  $P, Q$ -quasiconformal mappings of the Carnot groups. *Siberian Math. J.* **39** (1998), no. 4, 665–682.
- [21] VÄISÄLÄ, J.: *Lectures on  $n$ -dimensional quasiconformal mapping*. Lecture Notes in Math. **229**. Springer-Verlag, Berlin, 1971.
- [22] VODOP’YANOV, S. K.:  $P$ -Differentiability on Carnot groups in different topologies and related topics. *Proc. on Anal. and Geom. Novosibirsk: Sobolev Institute Press* (2000), 603–670.
- [23] ZIEMER, W. P.: Extremal length and  $p$ -capacity. *Michigan Math. J.* **16** (1963), 43–51.

*Recibido:* 30 de julio de 2001  
*Revisado:* 5 de diciembre de 2001

Irina Markina  
 Departamento de Matemática  
 Universidad Técnica Federico Santa María  
 Valparaíso, Chile  
 irina.markina@mat.utfsm.cl