## INTEGRAL DOMAINS THAT ARE NOT EMBEDDABLE IN DIVISION RINGS

## JOHN DAUNS

A class of totally ordered rings V is constructed having the property  $1 < \alpha \in V \Longrightarrow 1/\alpha \in V$ , but such that V cannot be embedded in any division ring.

1. Inverses in semigroup power series rings. This note has only one objective—to construct the above class of counterexamples (see [6]).

NOTATION 1.1. Throughout  $\Gamma$  will be a totally ordered cancellative semigroup with identity e; R will denote any totally ordered division ring. If  $\alpha: \Gamma \Longrightarrow R$  is any function, then the *support* of  $\alpha$ is the set  $supp \alpha = \{s \in \Gamma \mid \alpha(s) \neq 0\}$ . The set  $V = V(\Gamma, R)$  of all functions  $\alpha$  such that  $supp \alpha$  satisfies the a.c.c. (ascending chain condition) form a totally ordered abelian group. If  $\Gamma$  is cancellative, then under the usual power series multiplication (see [3]), V is a totally ordered ring.

1.2. Any  $1 < \alpha \in V$  with  $\alpha(s) = 0$  for s > e may be written as  $\alpha = \alpha(e)(1 - \lambda)$ , where  $1 \leq \alpha(e)$  and  $\lambda = \Sigma\{\lambda(a) \mid a < e\}$ . It will be shown that

$$(1-\lambda)^{-1}=1+\lambda+\lambda^2+\cdots=1+\sum_s\sum'\lambda(a(1)\lambda(a(2))\cdots\lambda(a(n))),$$

where the finite sum  $\Sigma'$  is over all integers and over all distinct *n*tuples of  $\Gamma^n$  satisfying  $s = a(1)a(2) \cdots a(n)$  with each a(i) < e; the sum  $\Sigma$  is over all s < e. To prove that  $1/\alpha \in V$  it suffices to establish conditions (a) and (b) below.

(a) For each  $s \in \Gamma$ , there are only a finite number of n with  $\lambda^{n}(s) \neq 0$ ;

(b) supp  $(1 - \lambda)^{-1}$  satisfies the a.c.c.

Assuming (a) and (b), the main theorem follows at once. By adjoining an identity as in [8; p. 158] to the semigroup in [2] a semigroup that actually satisfies the hypothesis in (ii) below can be constructed.

MAIN THEOREM 1.3. If  $\Gamma$  is a totally ordered cancellative semigroup with identity e and R any totally ordered division ring, then the power series ring  $V = V(\Gamma, R)$  has the following properties:

(i)  $1 < \alpha \in V$  and  $\alpha(s) = 0$  for  $s > e \implies 1/\alpha \in V$ .

(ii) If in addition  $\Gamma$  cannot be embedded in a group, then V

cannot be embedded in a division ring.

An already known result ([8; p. 135]) follows immediately from 1.3 (i).

COROLLARY 1.4. If in addition  $\Gamma$  is a group, then  $V(\Gamma, R)$  is a division ring.

2. Proof of the main theorem. Assume 1.2 (a) or (b) fails. Then a lengthy but elementary argument shows there exists a doubly indexed matrix  $\{a(i, j) \in \operatorname{supp} \lambda \mid 1 \leq i < \infty; 1 \leq j \leq n(i)\}$  such that the products  $u(i) = a(i, 1)a(i, 2) \cdots a(i, n(i))$  of the rows form an infinite properly ascending chain. Eventually a contradiction will be derived from this. Without loss of generality assume  $\Gamma \leq e$ .

DEFINITION 2.1. For any totally ordered semigroup  $\Gamma$  with identity e and any element  $a \in \Gamma$  with  $a \leq e$ , define a semigroup by

 $\Gamma(a) = \{q \in \Gamma \mid \exists \text{ an integer } m > 0, q^m \leq a\}.$ 

LEMMA 2.2. With  $\Gamma$  as above, for any  $a(1), \dots, a(m) \in \Gamma$  with each  $a(j) \leq e$ , set  $u = a(1)a(2) \cdots a(m)$  and define

$$a^* = \min \{a(1), \cdots, a(m)\}.$$

Then  $\Gamma(u) = \Gamma(a^*)$ .

2.3. Consider a fixed subset  $L \subseteq \Gamma$  all of whose elements satisfy  $L \leq e$  and where L satisfies the a.c.c., e.g.,  $L = \operatorname{supp} \lambda < e$ . Consider an array of elements A = ||a(i, j)|| with  $\{a(i, j) | 1 \leq i < \infty, 1 \leq j \leq n(i)\} \subseteq L$ , where repetitions in the a(i, j) are allowed. Assume all  $n(i) \geq 2$ . Define u(i) = u(i, A) by

$$u(i) = u(i, A) = a(i, 1)a(i, 2) \cdots a(i, n(i))$$
.

Let  $\mathscr{K}$  be the set of all such A = ||a(i, j)|| for which  $u(1) < u(2) < \cdots < u(i) < \cdots$  is strictly ascending at each *i*. With each member  $A = ||a(i, j)|| \in \mathscr{K}$ , we next associate three objects

$$\{a(i)^* | 1 \leq i < \infty\}, m = m(A), \text{ and } G = G(A)$$
.

Define  $a(i)^* \equiv \min \{a(i, j) \mid 1 \leq j \leq n(i)\}$ . Note that  $u(1) < u(2) < \cdots$ implies that  $\Gamma(a(1)^*) \subseteq \Gamma(a(2)^*) \subseteq \Gamma(a(i)^*) \subseteq \cdots$ . Thus since L satisfies the a.c.c., there is a unique smallest integer  $m \equiv m(A)$  such that the semigroups  $G \equiv \Gamma(a(m)^*) = \Gamma(a(m+1)^*) = \cdots$  are all equal. The following schematic diagram of all these quantities may be helpful.

$$\begin{split} \Gamma(a(1)^*) &= \Gamma(u(1)) & u(1) = a(1, 1)a(1, 2) \cdots a(1)^* \cdots a(1, n(1)) \\ & & \text{and} \\ \Gamma(a(2)^*) &= \Gamma(u(2)) & u(2) = a(2, 1)a(2, 2) \cdots a(2)^* \cdots a(2, n(2)) \\ & & \text{and} \\ \Gamma(a(m)^*) &= \Gamma(u(m)) & u(m) = a(m, 1)a(m, 2) \cdots a(m)^* \cdots a(m, n(m)) \\ & & \text{and} \\ & & & \text{and} \\ & & \text{and} \\ & & \text{and} \\ & & \text{and} \\ & & & \text{and}$$

2.4. Among the elements of  $\mathscr{K}$ , let  $\mathscr{N} \subset \mathscr{K}$  be all those A = ||a(i, j)|| such that this associated G = G(A) is as big as possible and call this particular  $G \equiv M$ . If  $\mathscr{K} \neq \emptyset$ , also  $\mathscr{N} \neq \emptyset$ . Define  $\bar{a} = \max \{a(m)^* | A \in \mathscr{K}, m = m(A)\}$ . Pick and element  $B = ||b(i, j)|| \in \mathscr{N}$ . Then by our choice of M,  $\Gamma(\bar{a}) = M$ . Thus  $M = G(B) = \Gamma(b(i)^*) = \Gamma(b(i, j)) = \Gamma(u(i)) = \Gamma(\bar{a})$  for  $i \geq m(B) \equiv m$ . Finally, with each element B of  $\mathscr{N}$ , we associate an integer r = r(B). Since  $\bar{a} \in \Gamma(u(m))$ , there is a unique smallest integer  $r \equiv r(B) \geq 1$  such that  $\bar{a}^r \leq u(m) < \bar{a}^{r-1}$ .

2.5. By omitting some of the rows of B and renumbering the remaining ones, it may be assumed as a consequence of the a.c.c. without loss of generality that m = 1, and also that  $b(1)^* \ge b(2)^* \ge \cdots$  is not ascending. Each u(i) is of one of the following three forms:

$$(1) u(i) = q(i)b(i)^*$$

(2) 
$$u(i) = b(i)^* w(i)$$
,

(3) 
$$u(i) = q(i)b(i)^*w(i)$$
,

where the q(i), w(i) are certain products of the b(i, j). If there are an infinite number of u(i) of the forms (1) or (2), then since

$$egin{aligned} u(i+1) &= q(i+1)b(i+1)^* > u(i) = q(i)b(i)^*, \ b(i+1)^* &\leq b(i)^* \ &\longrightarrow q(i+1) > q(i) \ ; \end{aligned}$$

it follows (after omitting some rows and renumbering) that there is a properly infinite ascending chain:

```
Case 1. q(1) < q(2) < \cdots;
Case 2. w(1) < w(2) < \cdots.
```

If neither Case 1 nor Case 2 applies, then

$$u(i+1) = q(i+1)b(i+1)^*w(i+1) > q(i)b(i)^*w(i)$$
  
and  $b(i+1)^* \le b(i)^*$ 

implies that one of the inequalities q(i + 1) > q(i) or w(i + 1) > w(i)

must necessarily hold. It is asserted that there is a subsequence  $\{i(k) \mid k = 1, 2, \dots\}$  such that

Case 3. either (a):  $q(i(1)) < q(i(2)) < \cdots$ or (b):  $w(i(1)) < w(i(2)) < \cdots$ 

For if not, then the a.c.c. must hold in both the sets  $\{q(i)\}$  and  $\{w(i)\}$ . Then by omitting some rows and renumbering the remaining ones it may be assumed that we have an element B in  $\mathscr{N}$  with  $q(1) \ge q(2) \ge \cdots$  and  $w(1) \ge w(2) \ge \cdots$ . However, then

 $q(1)b(1)^*w(1) \ge q(2)b(2)^*w(2) \ge \cdots$ 

gives a contradiction.

2.6. We may assume  $q(1) < q(2) < \cdots$  or  $w(1) < w(2) \cdots$  are properly ascending, depending on which of the Cases 1, 2, 3(a) or 3(b) is applicable. Set t = r(B), so that  $\bar{a}^t \leq u(m) = u(1) \leq u(i)$ .

2.7. It is next shown that either  $q(i) \ge \bar{a}^{t-1}$  or  $w(i) \ge \bar{a}^{t-1}$  holds for all *i*. Suppose that the following holds.

Then  $\bar{a}^t \leq u(1) \leq u(i) = q(i)b(i)^*$ , and  $\bar{a} \geq b(i)^*$  implies that

 $ar{a}^{t-1} \leq q(1) \leq q(i)$  .

(For if  $\bar{a}^{t-1} > q(i)$ , then  $\bar{a} \ge b(i)^*$  implies that  $\bar{a}^t > q(i)b(i)^*$ .) (If t = 1, then  $\bar{a}^0 = e$ .) Similarly, in Case 2 also  $\bar{a}^{t-1} \le w(1) \le w(i)$ .

Only Case 3(b) will be proved, since 3(a) is entirely parallel.

Case 3(b).  $q(1)b(1)^*w(1) < q(2)b(2)^*w(2) < \cdots;$  $w(1) < w(2) < \cdots;$  $b(1)^* \ge b(2)^* \ge \cdots.$ 

Then again  $\bar{a}^t \leq u(1) \leq u(i) = q(i)b(i)^*w(i)$  and  $\bar{a} \geq b(i)^* \geq q(i)b(i)^*$ imply that  $\bar{a}^{t-1} \leq w(1) \leq w(i)$ . (Otherwise, if  $\bar{a}^{t-1} > w(i)$ , then  $\bar{a}^t > q(i)b(i)^*w(i)$ .)

The basic idea motivating the proof is that for  $B \in \mathcal{N}$ , a new  $C \in \mathcal{N}$  can be constructed with  $r(C) \leq r(B) - 1$ .

2.8. Thus either  $q(1) < q(2) < \cdots$  and all  $q(i) \ge \overline{a}^{t-1}$ ; or  $w(1) < w(2) < \cdots$  and all  $w(i) \ge \overline{a}^{t-1}$ . Assume the latter. Let

$$C = || c(i, j) || \in \mathscr{K}$$

be defined by taking as its *i*-th row all the b(i, j) appearing in w(i). (In view of  $w(1) < w(2) < \cdots$ , there does not exist an infinite number of rows of *C* containing only one element. By omitting a finite number of rows it may be assumed that all rows of *C* contain two or more elements of *L*.) Define  $c(i)^* \equiv \inf \{c(i, j) \mid j \ge 1\}$ . Since  $b(i)^* \le c(i)^* \le \bar{a}$ , it follows that

$$M = \Gamma(b(i)^*) \subseteq \Gamma(c(i)^* \subseteq \Gamma(\bar{a}) = M$$
.

Consequently, G(C) = M and  $C \in \mathcal{N}$ . Since  $w(1) \ge \bar{a}^{t-1}$ ,  $r(C) \le t-1$ . By repetition of this process, we may reduce the r to one so that finally  $\bar{a}^r = \bar{a} \le w(1) < w(2) \cdots$ . Since all  $c(i, j) \in L$  satisfy  $c(i, j) \le e$ and since w(i) is a product of these, it follows that  $\bar{a} \ge c(i)^* \ge w(i)$ . Thus  $\bar{a} = w(1) = w(2) = \cdots$  gives a contradiction. Thus  $\mathcal{K} = \emptyset$  and the main theorem has been proved.

## References

- 1. A. J. Bowtell, On a question of Mal'cev, J. of Algebra 7 (1967), 126-139.
- 2. C. G. Chehata, On an ordered semigroup, J. London Math. Soc. 28 (1953), 353-356.
- 3. P. Conrad and J. Dauns, An embedding theorem for lattice ordered fields, Pacific J. Math. **30** (1969), 385-398.
- 4. J. Dauns, Representation of f-rings, Bull. Amer. Math. Soc. 74 (1968), 249-252.
- 5. \_\_\_\_\_, Representation of l-groups and f-rings, Pacific J. Math. 31 (1969), 629-654.

6. \_\_\_\_, Semigroup power series rings (to appear).

7. \_\_\_\_, Embeddings in division rings (to appear).

8. L. Fuchs, Partially ordered algebraic systems, Pergammon Press, 1963.

9. R. E. Johnson, Extended Mal'cev domains, Proc. Amer. Math. Soc. 21 (1969), 211-213.

10. A. A. Klein, Rings nonembeddable in fields with multiplicative semigroups embeddable in groups, J. of Algebra 7 (1967), 100-125.

11. B. H. Neumann, On ordered division rings, Trans. Amer. Math. Soc. 66 (1949), 202-252.

Received September 3, 1969, and in revised form December 13, 1969.

TULANE UNIVERSITY NEW ORLEANS, LOUISIANA