

THE INTRINSIC METRICS ON THE CIRCULAR DOMAINS IN \mathbb{C}^n

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In this paper we study the intrinsic metrics for the circular domains in \mathbb{C}^n . We calculate the Kobayashi (pseudo-) metric at its center for pseudoconvex complete circular domain D using the result of Sadullaev. From this we have that such D is hyperbolic iff D is bounded. If a convex complete circular domain is complete hyperbolic, then the Carathéodory and Kobayashi metrics coincide at the center. Using this and the results of Hua we explicitly compute the intrinsic metrics of the classical domains. Furthermore we define the extremal function and extremal disc for intrinsic metrics and compute them in some special cases.

1. Preliminaries. Let M be a (countable, connected) complex manifold, and TM the holomorphic tangent bundle of M . Let Δ denote the unit disc in \mathbb{C} with Poincaré metric $ds^2 = dt \bar{d}t / (1 - |t|^2)^2$ of Gaussian curvature -4 .

Carathéodory metric (C-metric, in short) $C_M(p; \xi)$ and Kobayashi metric (K-metric, in short) $K_M(p; \xi)$ at $(p; \xi) \in TM$ are defined by

$$C_M(p; \xi) = \sup\{|(df)_p \xi|; f \in \text{Hol}(M, \Delta), f(p) = 0\},$$

$$K_M(p; \xi) = \inf\{1/r; \exists F \in \text{Hol}(\Delta, M), F(0) = p, F'(0) = r\xi (r > 0)\}$$

respectively, where $F'(0) = dF_0(d/dt)$. (Correctly speaking, these are pseudometrics.) Hereafter we assume $C_M > 0$ everywhere and M is complete hyperbolic. We work mainly on (bounded) domains in \mathbb{C}^n . Then C_M and K_M are continuous Finsler metrics on M . For them, we can define the holomorphic curvature as follows (cf. Suzuki [8]). Let $X(p; \xi)$ denote either $C_M(p; \xi)$ or $K_M(p; \xi)$. We set

$$H(p; \xi) = \bigcup_{r>0} \{F \in \text{Hol}(\Delta_r, M); F'(0) = r\xi, F(0) = p\}.$$

We define $\lambda_F(t) = X^2(F(t); F'(t))$, $t \in \Delta_r = \{|t| < r\}$, for each F in $H(p; \xi)$. Then the holomorphic curvature of X at $(p; \xi)$ is given by

$$k_X(p; \xi) = \sup\{-(2\lambda_F(0))^{-1} \Delta \log \lambda_F(0); F \in H(p; \xi)\},$$

where

$$\Delta \log \lambda_F(0) = 4 \liminf_{s \rightarrow 0} s^{-2} \left\{ \frac{1}{2\pi} \int_0^{2\pi} \log \lambda_F(se^{i\theta}) d\theta - \log \lambda_F(0) \right\}.$$

Then we have

THEOREM A. ([8]). *Assume $C_M > 0$. Then the holomorphic curvature of C-metric is ≤ -4 , and that of K-metric is ≥ -4 . Thus if $C_M = K_M$, their holomorphic curvatures are -4 .*

We may put $C_M(p; 0) = K_M(p; 0) = 0$. Fix a point p in M . The indicatrices of C- and K-metrics at p are given by

$$I^C(M, p) = \{\xi \in T_p M; C_M(p; \xi) < 1\},$$

$$I^K(M, p) = \{\xi \in T_p M; K_M(p; \xi) < 1\},$$

respectively. Under our assumptions, they are open sets.

THEOREM B. (cf. [1], [6]). *If M is a bounded domain in C^n , then $I^C(M, p)$ is a bounded convex circular domain with center 0. If M is a bounded convex complete circular domain in C^n with center 0, then $M = I^C(M, 0)$.*

2. The extremal function and the extremal disc. Let M be a complex manifold, and fix a $(p; \xi)$ in TM ($\xi \neq 0$). Since Δ is complete hyperbolic, there exists an $f \in \text{Hol}(M, \Delta)$ which attains $C_M(p; \xi)$; $|(df)_0 \xi| = C_M(p; \xi)$, $f(0) = p$. We call such f the extremal function for $(p; \xi)$.

When M is complete hyperbolic, the family $\text{Hol}(\Delta, M)$ is a normal family and there exists a mapping F in $\text{Hol}(\Delta, M)$ which attains $K_M(p; \xi)$. This mapping F is called an extremal disc for $(p; \xi)$ (cf. [9]).

Let f and F be an extremal function and an extremal disc for $(p; \xi)$, respectively. Setting $g = f \circ F$, we have a function $g \in \text{Hol}(\Delta, \Delta)$ with $g(0) = 0$. Thus, by the classical Schwarz lemma,

$$1 \geq |g'(0)| = |(df)_0(dF)_p| = C_M(p; \xi)/K_M(p; \xi).$$

Note that if $C_M(p; \xi) = K_M(p; \xi)$ for some $(p; \xi)$, then $g(t) = e^{i\theta}t$, $t \in \Delta$, $\theta \in R$.

PROPOSITION 1. *Let M be complete hyperbolic. If $C_M(p; \xi) = K_M(p; \xi)$ for some $(p; \xi)$, then an extremal disc $F: \Delta \rightarrow M$ for $(p; \xi)$ is an isometric immersion with respect to K-metric.*

Proof. Since K_M is distance decreasing with respect to F ,

$$K_M(F(t); dF_t(1)) \leq K_\Delta(t; 1) = 1/(1 - |t|^2) \quad \text{for } t \in \Delta.$$

Taking an extremal function f for $(p; \xi)$, we have

$$K_M(F(t); dF_t(1)) \geq K_\Delta(f \circ F(t); d(f \circ F)_t(1)) = K_\Delta(t; 1)$$

because $f \circ F(t) = e^{i\theta}t$. Hence we have $K_M(F(t); dF_t(1)) = K_\Delta(t; 1)$. \square

An extremal disc $F(\Delta)$ is an analytic disc in M to which the restriction of the K-metric of M coincides with the Poincaré metric. We shall exhibit in the next section the extremal functions and discs for the ball and polydisc.

3. The complete circular domains in \mathbb{C}^n . In this section we assume M is a complete circular domain D in \mathbb{C}^n with center 0 (i.e. $tD \subset D$ for any $t \in \mathbb{C}, |t| \leq 1$). Let l_ξ be a complex line in direction ξ passing through 0. We denote the radius of the disc $l_\xi \cap D$ by $R(\xi)$. Note that $R(t\xi) = R(\xi)$ for any $t \in \mathbb{C} - \{0\}$. We define the function $r: D \rightarrow [0, +\infty]$ by $r(z) = \|z\|/R(z)$ if $z \neq 0$ and $r(0) = 0$. Then r is upper semicontinuous and D is represented by $D = \{z \in \mathbb{C}^n; r(z) < 1\}$. ($\| \cdot \|$ is the Euclidean norm of \mathbb{C}^n .)

We shall calculate the K- (pseudo) metric at $(0; \xi) \in TD = D \times \mathbb{C}^n$ ($\xi \neq 0$) for the pseudoconvex complete circular domain D . We recall the Schwarz lemma by Sadullaev [7].

LEMMA C. (1) *Let $F = (F_1, \dots, F_n)$ be a holomorphic mapping of the unit disc Δ into a pseudoconvex complete circular domain D and $F(0) = 0$. Then $\|F(t)\| \leq R(F(t))|t|$ for $t \in \Delta$.*

(2) *Under the above conditions, the linear part of F maps Δ into D .*

We take a holomorphic mapping F in $\text{Hol}(\Delta, D)$ such that $F(0) = 0$ and $dF_0(d/dt) = F'(0) = r\xi$ for some $r > 0$. By Lemma C(2), the linear part $F'(0)t$ of F maps Δ into D , and from (1) we have $\|F'(0)t\| \leq R(F'(0)t)|t|$ for $t \in \Delta$. Since $R(F'(0)t) = R(\xi)$, we have $1/r \geq \|\xi\|R(\xi)^{-1}$. On the other hand, $K_D(0; \xi)$ is the infimum of such $1/r$. Thus $K_D(0; \xi) = \|\xi\|R(\xi)^{-1}$.

PROPOSITION 2. *If D is a pseudoconvex complete circular domain in \mathbb{C}^n , D is hyperbolic if and only if D is bounded.*

Proof. Let D be unbounded. Then we can take a sequence $\{z_k\}$ in D such that $\|z_k\| \rightarrow +\infty, z_k \neq 0$. Setting $\xi_k = z_k/\|z_k\|$ we have a sequence $\{\xi_k\}$ with $\|\xi_k\| = 1$, and we may assume $\{\xi_k\}$ accumulates to a point ξ

($\|\xi\| = 1$). By completeness of D , $R(\xi_k) \geq \|z_k\|$, hence $K_D(0; \xi_k) = R(\xi_k)^{-1} \rightarrow 0$ as $k \rightarrow +\infty$. This means D is not hyperbolic at $(0; \xi)$. We proved that if D is hyperbolic then D is bounded. The converse is trivial. \square

REMARK. Kodama [5] has proved the above without assuming pseudoconvexity.

THEOREM 1. *Let D be a complete circular domain in \mathbb{C}^n with center 0. If D is complete hyperbolic, then*

$$K_D(0; \xi) = \|\xi\|R(\xi)^{-1} \quad (\xi \neq 0),$$

$$I^C(D, 0) \supset I^K(D, 0) = D.$$

Furthermore, if D is convex, then

$$C_D(0; \xi) = K_D(0; \xi) = \|\xi\|R(\xi)^{-1} \quad \text{for all } \xi \in \mathbb{C}^n - \{0\}.$$

Proof. When D is complete hyperbolic, D is taut and pseudoconvex (cf. [4]), and by Proposition 2, D is bounded. The indicatrix of the K-metric at center 0 is

$$I^K(D, 0) = \{\xi \in \mathbb{C}^n; K_D(0; \xi) < 1\} = \{\xi \in \mathbb{C}^n; r(\xi) < 1\} = D.$$

Since $C_D(0; \xi) \leq K_D(0; \xi)$ in general, we have $I^C(D, 0) \supset I^K(D, 0) = D$. As we have noted in §1, if D is bounded convex, then $I^C(D, 0) = D$; hence $I^C(D, 0) = I^K(D, 0) = D$. In this case we have $C_D(0; \xi) = K_D(0; \xi)$ for all $\xi \neq 0$. In fact if $C_D(0; \xi_0) < K_D(0; \xi_0)$ for some $\xi_0 \neq 0$, we can take a real number s such that $C_D(0; \xi_0) < s < K_D(0; \xi_0)$. Then $C_D(0; s^{-1}\xi_0) < 1 < K_D(0; s^{-1}\xi_0)$. Thus $s^{-1}\xi_0 \in I^C(D, 0)$, but $s^{-1}\xi_0 \notin I^K(D, 0)$, a contradiction. \square

If a bounded circular domain is homogeneous, then it is a symmetric domain (Vigué [10]). The bounded symmetric domains D are convex complete circular domains. Thus by Theorem 1, $C_D(0; \xi) = K_D(0; \xi)$. From homogeneity it follows that $C_D(z; \xi) = K_D(z; \xi)$ for all $(z; \xi)$ in TD . These results are contained in Kobayashi [3, 4].

COROLLARY. *Let D be a bounded symmetric domain in \mathbb{C}^n . Then the C- and K-metrics of D coincide on the tangent bundle and their holomorphic curvatures are -4 .*

EXAMPLE 1. We take the unit ball $D = \{z \in \mathbb{C}^n; \|z\| < 1\}$. Then $R(\xi) = 1$, $K_D(0; \xi) = \|\xi\|$. Let $F \in \text{Hol}(\Delta, D)$ be an extremal disc for $(0, \xi)$. Then $F(0) = 0$, $F'(0) = \xi\|\xi\|^{-1}$. For $t \in \Delta$, we set $h(t) = (F(t), F'(0))$, where (\cdot, \cdot) is the Hermitian inner product of \mathbb{C}^n . Then $h(0) = 0$,

$$|h(t)| \leq \|F(t)\| \cdot \|F'(0)\| \leq |t| < 1, \quad \text{and} \quad |h'(0)| = \|F'(0)\|^2 = 1.$$

Hence by Schwarz's lemma, $h(t) \equiv t$ on Δ . We obtain a unique extremal disc for $(0; \xi)$, $F(t) = (\xi_1\|\xi\|^{-1}t, \dots, \xi_n\|\xi\|^{-1}t)$. On the other hand, the unit ball is symmetric. Thus $K_D(0; \xi) = C_D(0; \xi)$. Let $f(z)$ be an extremal function for $(0; \xi)$. Then $f \circ F(t) = e^{i\theta}t$, $t \in \Delta$. If $\xi_1, \dots, \xi_n \neq 0$, $f(z) = e^{i\theta} \sum \xi_j z_j \|\xi\|^{-1}$. When some $\xi_j = 0$, an extremal function is not unique.

EXAMPLE 2. Now we consider the polydisc $D = \{z \in \mathbb{C}^n; |z_j| < 1, j = 1, \dots, n\}$. In this case $R(\xi) = \|\xi\|(\max|\xi_j|)^{-1}$. Hence $K_D(0; \xi) = \max|\xi_j|$. If the above maximum is achieved for only one index j , the extremal function for $(0; \xi)$ is

$$f(z) = (\bar{\xi}_j/|\xi_j|)z_j = e^{i\theta}z_j.$$

For example, for $j = 1$, the extremal disc $F(t) = (F_1(t), \dots, F_n(t))$ for $(0; \xi)$ is given by

$$F_1(t) = e^{i\theta}t, \\ F_j(t) = t(tg_j(t) + a_j) / (1 + \bar{a}_jtg_j(t)), \quad j = 2, \dots, n,$$

where $g_j(t) \in \text{Hol}(\Delta, \Delta)$ and $a_j = \xi_j/\xi_1$ (cf. Stanton [9]).

4. The bounded symmetric domains. We consider the intrinsic metrics of the bounded symmetric domains of classical type. Let $M(m, n)$ denote the set of all (m, n) -matrices and write $M(n, n) = M(n)$.

$$R_I(m, n) = \{Z \in M(m, n); E_n - Z^*Z > 0\}, \\ R_{II}(n) = \{Z \in M(n); Z' = Z, E_n - Z^*Z > 0\}, \\ R_{III}(n) = \{Z \in M(n); Z' = -Z, E_n - Z^*Z > 0\}, \\ R_{IV}(n) = \{Z \in M(n, 1); 1 - 2Z^*Z + |Z'Z|^2 > 0, |Z'Z| < 1\},$$

where E_n is the unit matrix and Z' is the transpose of Z and $Z^* = \bar{Z}'$. These are complete circular domains with center 0, and convex. Let R or R_j denote one of these domains. The group G of biholomorphic transformations of R acts on R transitively, thus we may consider $C_R(0; \xi)$ or $K_R(0; \xi)$ only. Let G_0 be the isotropy subgroup at 0.

LEMMA D (Hua [2]) (1). For $\xi \in M(m, n)$, there is a $g \in G_0$ such that

$$g\xi = \begin{pmatrix} \lambda_1 & & 0 \\ & \ddots & \\ 0 & & \lambda_n \end{pmatrix}, \quad \lambda_1 \geq \cdots \geq \lambda_n \geq 0,$$

where $\lambda_1^2, \dots, \lambda_n^2$ are eigenvalues of $\xi^*\xi$.

(2) For $\xi \in M(n)$ with $\xi' = \xi$, there is a $g \in G_0$ such that

$$g\xi = \text{diagonal}[\lambda_1, \dots, \lambda_n], \quad \lambda_1 \geq \cdots \geq \lambda_n \geq 0,$$

where $\lambda_1^2, \dots, \lambda_n^2$ are eigenvalues of $\xi^*\xi$.

(3) For $\xi \in M(n)$ with $\xi' = -\xi$, there is a $g \in G_0$ such that

$$g\xi = \text{diagonal}\left[\begin{pmatrix} 0 & \lambda_1 \\ -\lambda_1 & 0 \end{pmatrix}, \dots, \begin{pmatrix} 0 & \lambda_k \\ -\lambda_k & 0 \end{pmatrix}, 0\right],$$

where $k = [n/2]$, $\lambda_1^2 \geq \cdots \geq \lambda_k^2 \geq 0$ are eigenvalues of $\xi^*\xi$, and the last term is 0 if n is odd.

(4) For $\xi \in M(n, 1)$, there exists a $g \in G_0$ such that

$$g\xi = (\lambda_1, i\lambda_2, 0, \dots, 0),$$

where λ_1^2, λ_2^2 are eigenvalues of the (2, 2) matrix

$$\begin{pmatrix} \text{Re } \xi' \\ \text{Im } \xi' \end{pmatrix} (\text{Re } \xi, \text{Im } \xi).$$

THEOREM 2. Let C_j and K_j denote the C-metric and K-metric of R_j respectively. Then, for $j = \text{I, II, III}$,

$$\begin{aligned} C_j(0; \xi) &= K_j(0; \xi) \\ &= \max\{\text{positive square roots of the eigenvalues of } \xi^*\xi\}, \end{aligned}$$

and

$$C_{\text{IV}}(0; \xi) = K_{\text{IV}}(0; \xi) = \left(\|\xi\|^2 + (\|\xi\|^4 - |\xi'\xi|^2)^{1/2}\right)^{1/2}.$$

Proof. Since the proofs are almost the same for $j = \text{I, II, III}$, we prove only the case R_I . By Lemma D(1), we may assume

$$\xi = \begin{pmatrix} \lambda_1 & & & \\ & \ddots & & \\ & & 0 & \\ & & & \lambda_n \end{pmatrix}, \quad \lambda_1 \geq \dots \geq \lambda_n \geq 0,$$

and $\lambda_1 > 0$. We show $K_I(0; \xi) = \lambda_1$. Defining the mapping $F \in \text{Hol}(\Delta, R_I)$ by

$$\Delta \ni t \rightarrow \begin{pmatrix} t & & & \\ & t\lambda_2/\lambda_1 & & \\ & & \ddots & \\ & & & t\lambda_n/\lambda_1 \end{pmatrix} \in R_I,$$

we have $dF_0(\lambda_1 d/dt) = \xi$, $F(0) = 0$. Since K_I is metric decreasing with respect to F , $K_I(0; \xi) \leq K_\Delta(0; \lambda_1) = \lambda_1$. Similarly, for the mapping $f: R_I \ni Z \rightarrow z_{11} \in \Delta$, where $Z = (z_{ij})$, $df_0(\xi) = \lambda_1$ and $f(0) = 0$. Hence we have $K_I(0; \xi) \geq K(0; \lambda_1) = \lambda_1$. Thus we obtain $K_I(0; \xi) = \lambda_1$.

To calculate $K_{IV}(0; \xi)$, we use Theorem 1. We substitute $z = \xi t \|\xi\|^{-1}$, $|t| = r$ into the inequalities

$$1 - 2\|z\|^2 + \left| \sum z_j^2 \right|^2 > 0, \quad \left| \sum z_j^2 \right| < 1.$$

Then the radius $R(\xi)$ is the supremum of the r satisfying these inequalities. Easy calculations show

$$R(\xi) = \|\xi\| \left(\|\xi\|^2 - (\|\xi\|^4 - |\xi'\xi|^2)^{1/2} \right)^{1/2},$$

and noting that $K_{IV}(0; \xi) = \|\xi\| R(\xi)^{-1}$, we arrive at the conclusion. □

REMARKS. (1) For any $(z; \xi)$ in TR , taking a $g \in G$ with $g(z) = 0$, we have $K_j(z; \xi) = K_j(0; dg_z(\xi))$.

(2) We obtain the same result for $K_{IV}(0; \xi)$ from the calculation using the fact $\{(z_1 + iz_2, z_1 - iz_2), z = (z_1, \dots, z_n) \in R_{IV}(n)\}$ is the geodesic polydisc in $R_{IV}(n)$ (cf. [4]), and Lemma D(4).

The author is grateful to A. Kodama and K. Azukawa for very helpful conversations.

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Received August 31, 1982.

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