

## ON MEANS OF DISTANCES ON THE SURFACE OF A SPHERE (LOWER BOUNDS)

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**Given  $N$  points  $x_1, x_2, \dots, x_N$  on a unit sphere  $S$  in Euclidean  $d$  space ( $d \geq 3$ ), we investigate the  $\alpha$ -sum  $\sum |x - x_j|^\alpha$ ,  $\alpha > 1 - d$ , of their distances from a variable point  $x$  on  $S$ . We obtain an essentially best possible lower bound for the  $L^1$ -norm of its deviation from the mean value. As an application, we prove similar bounds for the  $\alpha$ -sums  $\sum |x_j - x_k|^\alpha$  of mutual distances.**

**Introduction.** Let  $S = S^{d-1}$  be the surface of the unit (hyper)sphere in  $d$ -dimensional Euclidean space ( $d \geq 3$ ). Denote by  $|x - y|$  the Euclidean distance between two points  $x$  and  $y$  on  $S^{d-1}$ . Let  $\omega_N = (x_1, x_2, \dots, x_N)$  be a fixed set of  $N$  points on  $S$ , and let  $x \in S$  be a variable point. With each value of a parameter  $\alpha$  ( $1 - d < \alpha < \infty$ ) we associate a distance function  $U_\alpha(x, \omega_N)$  on  $S^{d-1}$ , which we define as follows:

$$(1) \quad U_\alpha(x, \omega_N) = \sum_{j=1}^N |x - x_j|^\alpha - N \cdot m(\alpha, d) \quad (\alpha \neq 0),$$

$$U_0(x, \omega_N) = \sum_{j=1}^N \log |x - x_j| - N \cdot m(0, d) \quad (\alpha = 0).$$

Here  $m(\alpha, d)$  is the mean value of  $|x - x_j|^\alpha$  on  $S$ , which means

$$m(\alpha, d) = \frac{1}{\sigma(S)} \int_S |x - x_j|^\alpha d\sigma(x) \quad (\alpha \neq 0),$$

$$m(0, d) = \frac{1}{\sigma(S)} \int_S \log |x - x_j| d\sigma(x) \quad (\alpha = 0),$$

where  $\sigma$  is the  $(d - 1)$ -dimensional area measure on  $S$ .

We give two interpretations of the functions  $U_\alpha$ . First, the sums  $\sum |x - x_j|^\alpha$  are related to the classical  $\alpha$ -means  $(\frac{1}{N} \sum |x - x_j|^\alpha)$  of distances from the point  $x$  to the points of  $\omega_N$ , which contain as special cases the arithmetic ( $\alpha = 1$ ), geometric ( $\alpha = 0$ ), and harmonic ( $\alpha = -1$ ) mean. Second, the sums  $\sum |x - x_j|^\alpha$  can be considered

as Riesz potentials (see [3]) of a discrete charge distribution with an atom of unit weight at each point  $x_j$ . The logarithmic ( $\alpha = 0$ ) and the Newtonian ( $\alpha = 2 - d$ ) potential are special Riesz potentials.

The problem we are going to discuss is a problem of irregularities of distribution. If we replace the discrete distribution  $\omega_N$  in (1) by the continuous uniform distribution  $N \cdot \sigma$  on  $S^{d-1}$ , the corresponding integrals vanish identically on  $S^{d-1}$ . The fact that uniform distribution can be approximated by an  $N$  point distribution to a certain degree of accuracy only implies the existence of certain lower bounds for the  $L^1$ -norm

$$\|U_\alpha(x, \omega_N)\|_1 = \frac{1}{\sigma(S)} \int_S |U_\alpha(x, \omega_N)| d\sigma(x).$$

We prove

**THEOREM 1.** *For each  $N \geq 1$  and each  $\alpha \neq 2, 4, \dots, 1 - d < \alpha < \infty$ , the following inequality holds:*

$$(2) \quad \|U_\alpha(x, \omega_N)\|_1 \geq c(d, \alpha) \cdot N^{-\alpha/(d-1)}.$$

Here  $c(d, \alpha)$  is a positive constant depending on  $d$  and  $\alpha$  only.

It will be proved in a later paper [10] that the result of Theorem 1 is best possible apart from the value of the constant  $c(d, \alpha)$ . Note that inequality (2) is false for  $\alpha = 2, 4, \dots$ . In these exceptional cases, one can construct a point set  $\omega_N$  for each  $N \geq N_0(d, \alpha)$ , such that  $U_\alpha(x, \omega_N) \equiv 0$  on  $S^{d-1}$ . In the classical harmonic case  $\alpha = 2 - d$ , Theorem 1 is already contained in a paper by P. Sjögren [6]. After suitable choice of the parameters involved, his Theorem 1 implies that

$$-\min_{x \in S} U_{2-d}(x, \omega_N) \geq c(d) \cdot N^{(d-2)/(d-1)},$$

but his proof also applies to the case of the  $L^1$ -norm without any change. For  $d = 3$  and  $\alpha = -1$ , our result has the following physical interpretation: Suppose we place  $N$  electrons (each of unit charge) on the surface  $S^2$ . The function  $U_{-1}(x, \omega_N)$  measures the difference between the actual potential of  $\omega_N$  at the point  $x$ , and its mean value which is equal to  $N$ . By Theorem 1, there exist points  $x \in S$  at which the actual potential is by at least  $c \cdot N^{1/2}$  below the mean value.

We also consider distance functionals  $E_\alpha(\omega_N)$  which have the physical meaning of energy sums. Let

$$(3) \quad E_\alpha(\omega_N) = \sum_{j,k} (|x_j - x_k|^\alpha - m(\alpha, d)) \quad \text{for } 0 < \alpha < 2,$$

$$E_0(\omega_N) = \sum_{j \neq k} (\log |x_j - x_k| - m(0, d)), \quad \text{and}$$

$$E_\alpha(\omega_N) = \sum_{j \neq k} (|x_j - x_k|^\alpha - m(\alpha, d)) \quad \text{for } 1 - d < \alpha < 0.$$

Note that when dealing with energy sums, we restrict ourselves to values of  $\alpha$  satisfying  $1 - d < \alpha < 2$ .

If we replace the energy sums in (3) by the corresponding energy integrals with respect to uniform distribution  $N \cdot \sigma$ , we obtain the value zero. The fact that we approximate uniform distribution by a discrete distribution again gives rise to certain lower bounds for  $E_\alpha(\omega_N)$ . We prove

**THEOREM 2.** *For each  $N \geq 2$ , the following energy inequalities hold:*

- (a)  $E_\alpha(\omega_N) \leq -c(\alpha, d) \cdot N^{1-\alpha/(d-1)} \quad (0 < \alpha < 2),$
- (b)  $E_\alpha(\omega_N) \geq -c(\alpha, d) \cdot N^{1-\alpha/(d-1)} \quad (1 - d < \alpha < 3 - d),$
- (c)  $E_\alpha(\omega_N) \geq -c(\alpha, d) \cdot N^{1-\alpha/(2-\alpha)} \quad (3 - d \leq \alpha < 0, d \geq 4),$
- (d)  $E_0(\omega_N) \leq \frac{N}{2} \log N + O(N).$

Let us make a few remarks. Theorem 2 is probably not best possible in the case (c), and in case (d) for  $d \geq 4$ . For  $d = 3$ , the logarithmic case has already been handled in the author's paper [9].

The sum  $E_1(\omega_N)$  was studied by K. B. Stolarsky ([7], [8]). He discovered a beautiful identity between the sum  $E_1(\omega_N)$ , and the  $L^2$ -norm of a function that measures discrepancy of the point set  $\omega_N$  with respect to spherical caps on  $S^{d-1}$ . Using W. M. Schmidt's lower bounds for the discrepancy of an  $N$  point set on  $S^{d-1}$  with respect to spherical caps (see [5]), Stolarsky was able to obtain nontrivial bounds for  $E_1(\omega_N)$  in dimension  $d \geq 5$ . J. Beck [1], using his method of Fourier transforms, finally proved the (best possible) estimate

$$E_1(\omega_N) \leq -c(d) \cdot N^{(d-2)/(d-1)}.$$

The method we shall use in order to prove Theorem 2 is independent of Beck's method.

For  $d = 3$  and  $\alpha = -1$ , Theorem 2 contains the following result of physical interest. The energy  $\sum_{j \neq k} |x_j - x_k|^{-1}$  of a distribution of  $N$  electrons on  $S^2$  satisfies the inequality

$$\sum_{j \neq k} |x_j - x_k|^{-1} \geq N^2 - c \cdot N^{3/2}.$$

For some basic facts on potential theory, we refer to the beautiful paper [4] by Polya and Szegő, and to Landkof's book [3]. The theory of spherical harmonics on  $S^{d-1}$  is treated f.e. in [2].

**2. Proof of Theorem 1.** The proof of Theorem 1 is based on the construction of appropriate test functions  $T(x)$  on  $S^{d-1}$ , and the use of the inequality

$$(4) \quad \|U_\alpha(x, \omega_N)\|_1 \geq \frac{1}{\sigma(S)} \left| \int_S U_\alpha(x, \omega_N) T(x) d\sigma(x) \right| / \sup_{x \in S} |T(x)|.$$

*Step 1.* We introduce spherical coordinates  $\theta = (\theta_1, \theta_2, \dots, \theta_{d-2})$  ( $0 \leq \theta_\rho \leq \pi$ ) and  $\phi$  ( $0 \leq \phi < 2\pi$ ) on  $S^{d-1}$ . Let  $\Delta$  be the spherical Laplace operator on  $S^{d-1}$ , and consider the differential equation

$$\Delta^l h_l(\cos \theta_1) = \left( \sin^{2-d} \theta_1 \frac{d}{d\theta_1} \left( \sin^{d-2} \theta_1 \cdot \frac{d}{d\theta_1} \right) \right)^l h_l(\cos \theta_1) = 1, \\ l = 1, 2, \dots$$

This equation has a solution on the interval  $(0, \pi]$ , which behaves like  $(\sin(\theta_1/2))^{l-d+2}$  near the point  $\theta_1 = 0$  for  $l - d + 2 \neq 0, 2, 4, \dots$ , and like  $(\sin(\theta_1/2))^{l-d+2} \cdot \log \sin(\theta_1/2)$  in the remaining cases. The expansion of  $h_l$  into ultraspherical polynomials  $P_n^{(\lambda)}(\cos \theta_1)$ ,  $\lambda = \frac{d}{2} - 1$ , is given by

$$(5) \quad h_l(\cos \theta_1) \sim c(\lambda, l) \cdot \sum_{n=1}^{\infty} \frac{n + \lambda}{(n(n + 2\lambda))^l} P_n^{(\lambda)}(\cos \theta_1).$$

The expansion (5), although not necessarily convergent, is known to be Poisson summable, which means

$$h_l(\cos \theta_1) = \lim_{r \rightarrow 1} c(\lambda, l) \sum_{n=1}^{\infty} \frac{n + \lambda}{(n(n + 2\lambda))^l} r^n P_n^{(\lambda)}(\cos \theta_1)$$

for  $0 < \theta_1 \leq \pi$ .

For the given point set  $\omega_N$ , consider the function

$$H_l(x, \omega_N) = \sum_{j=1}^N h_l(\cos \gamma_j(x)),$$

where  $2 \sin(\frac{1}{2}\gamma_j(x)) = |x - x_j|$  and  $x \in S^{d-1}$ . For the function  $H_l$ , the inequality  $\|H_l(x, \omega_N)\|_1 \gg N^{1-2l/(d-1)}$  is easily proved in the following way.

Consider the subdomain  $D \subset S^{d-1}$  determined by the relations  $0 \leq \frac{\pi}{2} - \theta_\rho \leq \frac{\pi}{6}$  ( $\rho = 1, 2, \dots, d-2$ ), and  $0 \leq \phi \leq \frac{\pi}{6}$ . Let  $r = r(N)$  be the integer satisfying

$$2N \leq 2^{(d-1)r} < 2^d \cdot N.$$

We partition  $D$  into "cubes"  $B_\mu = B_{\mu_1 \mu_2 \dots \mu_{d-1}}$  ( $1 \leq \mu_\rho \leq 2^r$ ), where  $B_\mu$  is determined by the inequalities

$$(\mu_\rho - 1) \cdot \frac{\pi}{6} \cdot 2^{-r} \leq \theta_\rho \leq \mu_\rho \cdot \frac{\pi}{6} \cdot 2^{-r} \quad (\rho = 1, 2, \dots, d-2) \quad \text{and}$$

$$(\mu_{d-1} - 1) \cdot \frac{\pi}{6} \cdot 2^{-r} \leq \phi \leq \mu_{d-1} \cdot \frac{\pi}{6} \cdot 2^{-r}.$$

The set of subdomains  $B_\mu$  containing none of the points  $x_j$  in their interior will be denoted by  $\Lambda$ . By the choice of  $r$ , we have

$$\sum_{\Lambda} \sigma(B_\mu) \gg 1.$$

For  $x = (\theta, \phi) \in B_\mu \in \Lambda$ , let

$$\tau_\mu(x) = 4^{-lr} \prod_{\rho=1}^{d-2} \sin^{2l} 6 \cdot 2^r \theta_\rho \cdot \sin^{2l} 6 \cdot 2^r \phi.$$

Define a test function  $T(x)$  on  $S^{d-1}$  by putting

$$T(\theta, \phi) = \Delta^l \tau_\mu(\theta, \phi)$$

for  $(\theta, \phi) \in B_\mu \in \Lambda$ , and  $T(\theta, \phi) = 0$  elsewhere. Note that  $\sup_{x \in S} |T(x)| \ll 1$  holds. Multiplying  $H_l(x, \omega_N)$  by  $T(x)$ , and integrating over  $S^{d-1}$ , we obtain, using Green's second formula:

$$(6) \left| \int_S H_l(x, \omega_N) T(x) d\sigma(x) \right| = \left| \sum_{\Lambda} \int_{B_\mu} H_l(x, \omega_N) \Delta^l \tau_\mu(x) d\sigma(x) \right|$$

$$= \left| \sum_{\Lambda} \int_{B_\mu} \Delta^l H_l(x, \omega_N) \cdot \tau_\mu(x) \cdot d\sigma(x) \right|$$

$$\gg N \cdot \sum_{\Lambda} \int_{B_\mu} \tau_\mu(x) d\sigma(x) \gg N \cdot 4^{-lr} \gg N \cdot N^{-2l/(d-1)}.$$

Here we use the fact that the normal derivatives of  $\Delta^m \tau_\mu(x)$  ( $m = 0, 1, \dots, l-1$ ) with respect to the boundary of  $B_\mu$  vanish. From

relations (4) and (6), using  $\sup_{x \in S} |T(x)| \ll 1$ , the inequality  $\|H_l(x, \omega_N)\|_1 \gg N \cdot N^{-2l/(d-1)}$  follows.

*Step 2.* We begin with the case  $1 - d < \alpha < 3 - d$ . Consider the kernel  $k_\alpha(\cos \theta_1) = |2 \sin(\theta_1/2)|^\alpha$ , which generates the distance function  $|x - y|^\alpha$ . We are looking for an inverse kernel  $k_\alpha^{-1}(\cos \theta_1)$  such that the convolution equation

$$(7) \quad k_\alpha^{-1} * k_\alpha = h_1$$

holds on  $S^{d-1}$ .

We have the expansion

$$(8) \quad k_\alpha(\cos \theta_1) \sim \sum_{n=0}^{\infty} a_n \cdot P_n^{(\lambda)}(\cos \theta_1),$$

where

$$a_n = c(\lambda, \alpha) \cdot \frac{(n + \lambda) \cdot \Gamma(n - \alpha/2)}{\Gamma(n + 2\lambda + 1 + \alpha/2)} \quad \text{and}$$

$$c(\lambda, \alpha) = 2^{1+\alpha} \cdot \frac{\Gamma(2\lambda) \cdot \Gamma(\alpha/2 + \lambda + 1/2)}{\Gamma(\lambda + 1/2) \cdot \Gamma(-\alpha/2)}.$$

Note that the expansion (8) holds for any value of  $\alpha$  satisfying  $1 - d < \alpha$  and  $\alpha \neq 0, 2, \dots$ . If we omit the factor  $\Gamma(-\frac{\alpha}{2})$  in the denominator  $c(\lambda, \alpha)$ , we obtain a kernel of the type  $|\sin(\theta_1/2)|^\alpha \log \sin(\theta_1/2)$  for these exceptional values of  $\alpha$ . It is in this sense that we shall use the notation  $k_\alpha(\cos \theta_1)$  for all  $\alpha > 1 - d$ .

Proceeding quite formally, and using (5), we obtain a solution of (7) in the form

$$(9) \quad k_\alpha^{-1}(\cos \theta_1) \sim \sum_{n=1}^{\infty} b_n \cdot P_n^{(\lambda)}(\cos \theta_1),$$

where

$$b_n = c_1(\lambda, \alpha) \cdot \frac{(n + \lambda)^2}{n(n + 2\lambda)} \cdot \frac{\Gamma(n + 2\lambda + 1 + (\alpha/2))}{(n + \lambda) \cdot \Gamma(n - (\alpha/2))}.$$

Using Stirling's formula, and subtracting successively appropriate multiples of (8) (with  $\alpha$  replaced by  $4 - 2d - \alpha, 5 - 2d - \alpha, \dots$ ) from (9), we obtain a representation

$$(10) \quad k_\alpha^{-1} = d_1 \cdot k_{4-2d-\alpha} + d_2 \cdot k_{5-2d-\alpha} + \dots + d_s \cdot k_{s+3-2d-\alpha} + R_s$$

$(d - 1 \neq 0),$

where  $\Delta R_s$  is bounded and continuous for  $s = d + 1$ .

A rigorous proof of (10) is obtained in the following way. Let

$$\pi_r(\cos \theta_1) = \sum_{n=0}^{\infty} (n + \lambda)r^n \cdot P_n^{(\lambda)}(\cos \theta_1) \quad (0 < r < 1)$$

be the Poisson kernel on  $S^{d-1}$ . Note that  $k_\alpha^{-1}$  and  $h_1$  are integrable over  $S^{d-1}$ , and hence that  $\kappa = k_\alpha^{-1} * \pi_r$  solves the equation

$$\kappa * k_\alpha = h_1 * \pi_r.$$

Letting  $r \rightarrow 1$ , we obtain the desired result.

From (10), we further get the estimates

$$(11) \quad \begin{aligned} |k_\alpha^{-1}(|x - y|)| &\ll |x - y|^{4-2d-\alpha} \quad \text{and} \\ |\Delta k_\alpha^{-1}(|x - y|)| &\ll |x - y|^{2-2d-\alpha}. \end{aligned}$$

*Step 3.* We use  $T * k_\alpha^{-1}$  as a test function for  $U_\alpha(x, \omega_N)$ , where  $T$  is the test function introduced in step 1. In view of the relation

$$(12) \quad \begin{aligned} \int_S U_\alpha(x, \omega_N) \cdot (T * k_\alpha^{-1})(x) d\sigma(x) \\ &= \int_S (U_\alpha * k_\alpha^{-1})(x) \cdot T(x) d\sigma(x) \\ &= \int_S H_1(x, \omega_N) \cdot T(x) d\sigma(x), \end{aligned}$$

it is sufficient to estimate  $\sup_{x \in S} |(T * k_\alpha^{-1})(x)|$ .

For fixed  $x \in S^{d-1}$ , let  $\Lambda' = \Lambda'_x$  be the set of subdomains  $B_\mu \in \Lambda$  that contain some point  $y$  such that  $|x - y| < N^{-1/(d-1)}$  holds. Let  $\Lambda'' = \Lambda \setminus \Lambda'$  be the set of remaining  $B_\mu$ 's. We have

$$(13) \quad \begin{aligned} |(T * k_\alpha^{-1})(x)| &\ll \left| \sum_{\Lambda'} \int_{B_\mu} \Delta \tau_\mu(y) \cdot k_\alpha^{-1}(|x - y|) d\sigma(y) \right| \\ &\quad + \left| \sum_{\Lambda''} \int_{B_\mu} \tau_\mu(y) \cdot \Delta k_\alpha^{-1}(|x - y|) d\sigma(y) \right| \\ &\ll \sum_{\Lambda'} \int_{B_\mu} |x - y|^{4-2d-\alpha} d\sigma(y) \\ &\quad + \sum_{\Lambda''} \int_{B_\mu} |x - y|^{2-2d-\alpha} d\sigma(y) \\ &\ll N^{(d+\alpha-3)/(d-1)}. \end{aligned}$$

From (6), (12), and (13), the assertion follows.

In order to obtain the assertion of Theorem 1 in the case  $2l-1-d < \alpha < 2l+1-d$  ( $l = 2, 3, \dots$ ;  $\alpha \neq 2, 4, \dots$ ), we proceed in a similar way, solving the equation  $k_\alpha^{-1} * k_\alpha = h_l$ , and noting that

$$\sup_{x \in S} |(T * k_\alpha^{-1})(x)| \ll N \cdot N^{(\alpha-2l)/(d-1)}.$$

This argument also works for  $\alpha = 2l-1-d$ ,  $\alpha \neq 2, 4, \dots$ , whereas in the case  $\alpha = 2, 4, \dots$  the convolution equation which corresponds to (7) has no solution. However, if we define  $U_\alpha(x, \omega_N)$  by

$$U_\alpha(x, \omega_N) = \sum_{j=1}^N |x - x_j|^\alpha \log |x - x_j| - N \cdot m'(\alpha, d)$$

for  $\alpha = 2, 4, \dots$ , the assertion of Theorem 1 would also remain true in the exceptional cases.

This finishes our proof of Theorem 1.

**3. Bounds for energy sums.** In proving Theorem 2, we shall distinguish three cases.

*The case  $0 < \alpha < 2$ .* By formula (8), all the coefficients  $a_n = a_n(\alpha)$  ( $n \geq 1$ ) in the expansion of  $k_\alpha(\cos \theta_1)$  are negative. The addition formula for spherical harmonics (see [2], §11.4.) implies the following identity:

$$E_\alpha(\omega_N) = -c(\alpha, d) \int_S \left( \sum_{j=1}^N \delta_\alpha(|x - x_j|) \right)^2 d\sigma(x).$$

Here  $c(\alpha, d)$  is a positive constant, and  $\delta_\alpha(|x - x_j|)$  is a new distance function, generated by the kernel

$$\delta_\alpha(\cos \theta_1) \sim \sum_{n=1}^\infty (-(n + \lambda) \cdot a_n(\alpha))^{1/2} \cdot P_n^{(\lambda)}(\cos \theta_1).$$

In view of the expansion (8), the kernel  $\delta_\alpha(\cos \theta_1)$  is of the type  $\delta_\alpha(|x - y|) \sim |x - y|^{(1+\alpha-d)/2}$ . Now choose the integer  $l \geq 1$  such that  $2l-1-d \leq (1+\alpha-d)/2 < 2l+1-d$ . Consider again the convolution equation

$$\delta_\alpha^{-1} * \delta_\alpha = h_l.$$

Proceeding as in the proof of Theorem 1, we find that the inverse  $\delta_\alpha^{-1}$  has a representation of the following form:

$$\delta_\alpha^{-1} = \sum_{m=1}^s d_m \cdot k_{2l+m+1-2d-\beta} + R_s \quad (d_1 \neq 0).$$

Here  $\beta = (1 + \alpha - d)/2$ , and if we choose  $s$  large enough,  $\Delta^l R_s$  will be bounded on  $S$ . From now on the proof is the same as in step 3 of the proof of Theorem 1, yielding

$$\left\| \sum_{j=1}^N \delta_\alpha(|x - x_j|) \right\|_1 \gg N^{(d-\alpha-1)/2(d-1)}.$$

From this and the Cauchy-Schwarz inequality, the inequality

$$E_\alpha(\omega_N) \leq -c(\alpha, d) \cdot N^{1-\alpha/(d-1)}$$

follows immediately.

*The case  $1 - d < \alpha < 3 - d$ .* In the case of an unbounded kernel  $k_\alpha$ , we have to proceed in a different way. Together with the kernel  $k_\alpha(\theta) = (2 - 2 \cos \theta_1)^{\alpha/2}$  consider the more general kernel

$$d_r(\cos \theta_1) = \left( r + \frac{1}{r} - 2 \cos \theta_1 \right)^{\alpha/2} \quad (0 < r \leq 1).$$

Let  $m_r$  be the mean value of  $d_r(\cos \theta_1)$  over  $S^{d-1}$ , and let  $d_r(|x - y|)$  be the distance function generated by  $d_r(\cos \theta_1)$  on  $S^{d-1}$ . We have

$$\begin{aligned} E_\alpha(\omega_N) &= \sum_{j \neq k} (d_1(|x_j - x_k|) - m_1) \\ &= \sum_{j, k} (d_r(|x_j - x_k|) - m_r) - N \cdot d_r(0) \\ &\quad - N^2 \cdot (m_1 - m_r) + N \cdot m_1 \\ &\quad + \sum_{j \neq k} (d_1(|x_j - x_k|) - d_r(|x_j - x_k|)). \end{aligned}$$

First of all note that  $d_r(|x - y|) \leq d_1(|x - y|)$ , and that

$$\sum_{j, k} (d_r(|x_j - x_k|) - m_r) \geq 0,$$

as all the coefficients of  $d_r(\cos \theta_1) - m_r$  in the ultraspherical expansion are nonnegative. (This may be proved in the same way as Hilfssatz 6 in [4], using the Rodrigues formula for ultraspherical polynomials.)

Hence

$$(14) \quad E_\alpha(\omega_N) \geq -N \cdot d_r(0) - N^2 \cdot (m_1 - m_r).$$

Now choose  $r = 1 - N^{-1/(d-1)}$ . We have  $d_r(0) \ll N^{-\alpha/(d-1)}$  and  $m_1 - m_r \ll N^{-1} \cdot N^{-\alpha/(d-1)}$ . Inserting these estimates in (14) yields the desired result.

The case  $3 - d \leq \alpha \leq 0$ ,  $d \geq 4$ . Unfortunately, the preceding method does not seem to give the best result in the case  $3 - d < \alpha < 0$ . Putting  $r = 1 - \varepsilon$ , we obtain  $d_r(0) \ll \varepsilon^\alpha$  and  $m_1 - m_r \ll \varepsilon^2$  (instead of  $\varepsilon^{d-1+\alpha}$  as above). Choosing  $\varepsilon = N^{1/(2-\alpha)}$ , assertion (c) of Theorem 2 follows.

In the logarithmic case, the same procedure yields

$$E_0(\omega_N) \leq \frac{N}{2} \log N + O(N),$$

which is best possible in dimension 3 (see [9]), but probably not in higher dimensions. This finishes our proof of Theorem 2.

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