

## BLOCK INTERSECTION NUMBERS OF BLOCK DESIGNS

MITSUO YOSHIZAWA

(Received March 12, 1980)

### 1. Introduction

Let  $t$ ,  $v$ ,  $k$  and  $\lambda$  be positive integers with  $v \geq k \geq t$ . A  $t$ — $(v, k, \lambda)$  design is a pair consisting of a  $v$ -set  $\Omega$  and a family  $\mathbf{B}$  of  $k$ -subsets of  $\Omega$ , such that each  $t$ -subset of  $\Omega$  is contained in  $\lambda$  elements of  $\mathbf{B}$ . Elements of  $\Omega$  and  $\mathbf{B}$  are called points and blocks, respectively. A  $t$ — $(v, k, \lambda)$  design is called nontrivial provided  $\mathbf{B}$  is a proper subfamily of the family of all  $k$ -subsets of  $\Omega$ , then  $t < k < v$ . In this paper, we assume that all designs are nontrivial. For a  $t$ — $(v, k, \lambda)$  design  $\mathbf{D}$  we use  $\lambda_i$  ( $0 \leq i \leq t$ ) to represent the number of blocks which contain a given set of  $i$  points of  $\mathbf{D}$ . Then we have

$$\lambda_i = \frac{\binom{v-i}{t-i}}{\binom{k-i}{t-i}} \lambda = \frac{(v-i)(v-i-1) \cdots (v-t+1)}{(k-i)(k-i-1) \cdots (k-t+1)} \lambda \quad (0 \leq i \leq t).$$

A  $t$ — $(v, k, \lambda)$  design  $\mathbf{D}$  is called block-schematic if the blocks of  $\mathbf{D}$  form an association scheme with the relations determined by size of intersection (cf. [3]). In §2, we prove the following theorem which extends the result in [1].

**Theorem 1.** (a) *For each  $n \geq 1$  and  $\lambda \geq 1$ , there exist at most finitely many block-schematic  $t$ — $(v, k, \lambda)$  designs with  $k-t=n$  and  $t \geq 3$ .*

(b) *For each  $n \geq 1$  and  $\lambda \geq 2$ , there exist at most finitely many block-schematic  $t$ — $(v, k, \lambda)$  designs with  $k-t=n$  and  $t \geq 2$ .*

REMARK. Since there exist infinitely many  $2$ — $(v, 3, 1)$  designs and since every  $2$ — $(v, k, 1)$  design is block-schematic (cf. [2]), Theorem 1 does not hold for  $\lambda=1$  and  $t=2$ .

For a block  $B$  of a  $t$ — $(v, k, \lambda)$  design  $\mathbf{D}$  we use  $x_i(B)$  ( $0 \leq i \leq k$ ) to denote the number of blocks each of which has exactly  $i$  points in common with  $B$ . If, for each  $i$  ( $i=0, \dots, k$ ),  $x_i(B)$  is the same for every block  $B$ , we say that  $\mathbf{D}$  is block-regular and we write  $x_i$  instead of  $x_i(B)$ . We remark that if a  $t$ — $(v, k, \lambda)$  design  $\mathbf{D}$  is block-schematic then  $\mathbf{D}$  is block-regular. For any  $t$ — $(v, k, 1)$  design or any  $t$ — $(v, t+1, \lambda)$  design, either of which is block-regular (cf. Lemma 1),

every  $x_i$  depends only on  $i, t, v, k$  or  $i, t, v, \lambda$  respectively (cf. Lemma 1). And Gross [5] and Dehon [4] respectively classified the  $t-(v, k, 1)$  designs and the  $t-(v, t+1, \lambda)$  designs both of which satisfy  $x_i=0$ . But for a block-regular  $t-(v, k, \lambda)$  design,  $x_i$  depends not only on  $i, t, v, k, \lambda$  but also on others in general (cf. Lemma 1). In §3, we prove the following theorem.

**Theorem 2.** *Let  $c$  be a real number with  $c > 2$ . Then for each  $n \geq 1$  and  $l \geq 0$ , there exist at most finitely many block-regular  $t-(v, k, \lambda)$  designs with  $k-t = n, v \geq ct$  and  $x_i \leq l$  for some  $i$  ( $0 \leq i \leq t-1$ ).*

The author thanks Professor H. Enomoto for giving the direct proof of Lemma 5.

**2. Proof of Theorem 1**

**Lemma 1.** *Let  $D$  be a block-regular  $t-(v, k, \lambda)$  design. Then the following equality holds for  $i=0, \dots, k-1$ .*

$$x_i = \sum_{j=i}^{t-1} \binom{j}{i} (\lambda_j - 1) \binom{k}{j} (-1)^{i+j} + \sum_{j=t}^{k-1} \binom{j}{i} w_j (-1)^{i+j},$$

where  $x_j \leq w_j \leq (\lambda - 1) \binom{k}{j}$  ( $t \leq j \leq k-1$ ) and  $w_t = (\lambda - 1) \binom{k}{t}$ .

Proof. Let  $B$  be a block of  $D$ . Counting in two ways the number of the following set

$\{(B', \{\alpha_1, \dots, \alpha_i\}) \mid B' \text{ a block } (\neq B), B' \cap B \ni \alpha_1, \dots, \alpha_i, \alpha_j \neq \alpha_{j'} \text{ if } j \neq j'\}$  gives  $x_i + \binom{i+1}{i} x_{i+1} + \dots + \binom{t}{i} x_t + \dots + \binom{k-1}{i} x_{k-1} = (\lambda_i - 1) \binom{k}{i}$  for  $i = 0, \dots, t-1$ ,

and  $x_i + \binom{i+1}{i} x_{i+1} + \dots + \binom{k-1}{i} x_{k-1} \leq (\lambda - 1) \binom{k}{i}$  for  $i = t, \dots, k-1$ . Let  $w_i$  ( $t \leq i \leq k-1$ ) be the left hand of the above inequality, where  $w_t = (\lambda - 1) \binom{k}{t}$ . Let

$A = (a_{ij})$  be the square matrix with  $a_{ij} = \binom{j}{i}$  ( $0 \leq i, j \leq k-1$ ). Then we have

$$A \begin{pmatrix} x_0 \\ \vdots \\ x_{t-1} \\ x_t \\ \vdots \\ x_{k-1} \end{pmatrix} = \begin{pmatrix} (\lambda_0 - 1) \binom{k}{0} \\ \vdots \\ (\lambda_{t-1} - 1) \binom{k}{t-1} \\ w_t \\ \vdots \\ w_{k-1} \end{pmatrix}.$$

Let us set  $A^{-1} = (b_{ij})$  ( $0 \leq i, j \leq k-1$ ). Since  $\sum_{j=m}^n (-1)^{j+m} \binom{n}{j} \binom{j}{m} = \delta_{mn}$ , we have

$b_{ij} = \binom{j}{i}(-1)^{i+j}$ . Hence we get the desired result.

**Lemma 2.** *Let  $D$  be a  $t-(v, k, \lambda)$  design with  $t, \lambda \geq 2$ . If  $v \geq k^3$ , then there exist three blocks  $B_1, B_2, B_3$  of  $D$  such that  $|B_1 \cap B_2| = t-1, |B_2 \cap B_3| \geq t$  and  $|B_1 \cap B_3| = t-2$ .*

*Proof.* Let  $B$  be a block of  $D$ . Counting in two ways the number of the following set

$\{(B', \alpha_1, \dots, \alpha_t) \mid B' \text{ a block } (\neq B), B' \cap B \ni \alpha_1, \dots, \alpha_t, \alpha_j \neq \alpha_{j'} \text{ if } j \neq j'\}$  gives  $x_t(B) + \binom{t+1}{t}x_{t+1}(B) + \dots + \binom{k-1}{t}x_{k-1}(B) = (\lambda-1)\binom{k}{t}$ . Since  $\lambda \geq -2$ , there is an integer  $q$  ( $t \leq q \leq k-1$ ) with  $x_q(B) \neq 0$ . Hence, we may assume that there exist two blocks  $B_2, B_3$  such that  $t \leq |B_2 \cap B_3| = q$ . Let  $\alpha_1$  be a point of  $B_2 - B_3$  and  $\alpha_2, \dots, \alpha_{t-1}$  be  $t-2$  points of  $B_2 \cap B_3$ . Set  $S = \{B \mid B \text{ a block, } B \ni \{\alpha_1, \dots, \alpha_{t-1}\}\}$ , where  $|S| = \frac{v-t+1}{k-t+1}\lambda$ . Then we have

$$|\{B \in S \mid |B \cap B_2| \geq t \text{ or } |B \cap B_3| \geq t-1\}| \leq \lambda(k-t+1) + \lambda(k-t+2).$$

Hence, if  $\frac{v-t+1}{k-t+1}\lambda > \lambda(k-t+1) + \lambda(k-t+2)$ , then there exists a block  $B_1$  in  $S$  such that  $|B_1 \cap B_2| = t-1$  and  $|B_1 \cap B_3| = t-2$ . On the other hand,  $\frac{v-t+1}{k-t+1} > (k-t+1) + (k-t+2)$  holds if  $v \geq k^3$ . So, the proof of Lemma 2 is completed.

**Proposition.** *Let  $D$  be a block-schematic  $t-(v, k, \lambda)$  design with  $t, \lambda \geq 2$ . Then  $v < \lambda k^3 \binom{k}{\lfloor \frac{k}{2} \rfloor}^2$  holds.*

*Proof.* By Lemma 1, we have

$$x_{t-2} > (\lambda_{t-2} - 1) \binom{k}{t-2} - (t-1)(\lambda_{t-1} - 1) \binom{k}{t-1} - (k-t)(\lambda-1) \binom{k}{\lfloor \frac{k}{2} \rfloor}^2.$$

So,  $x_{t-2} > \frac{(v-t+2)(v-t+1)}{(k-t+2)(k-t+1)} \lambda \binom{k}{t-2} - (t-1) \frac{v-t+1}{k-t+1} \lambda \binom{k}{t-1} - (k-t) \lambda \binom{k}{\lfloor \frac{k}{2} \rfloor}^2$ ,

and

$$x_{t-2} > \frac{(v-k)^2}{k^2} \lambda - (t-1)v\lambda \binom{k}{\lfloor \frac{k}{2} \rfloor} - k\lambda \binom{k}{\lfloor \frac{k}{2} \rfloor}^2.$$

Hence we have

$$x_{t-2} > \frac{v^2}{k^2} \lambda - kv\lambda \binom{k}{\lfloor \frac{k}{2} \rfloor} - k\lambda \binom{k}{\lfloor \frac{k}{2} \rfloor}^2. \tag{1}$$

Again by Lemma 1, we have

$$x_{t-1} < \lambda_{t-1} \binom{k}{t-1} + (k-t)(\lambda-1) \binom{k}{\lfloor \frac{k}{2} \rfloor}.$$

So,

$$x_{t-1} < \frac{v}{2} \lambda \binom{k}{\lfloor \frac{k}{2} \rfloor} + (k-1) \lambda \binom{k}{\lfloor \frac{k}{2} \rfloor}. \tag{2}$$

From now on, we may assume that  $v \geq k^3$ . By Lemma 2, there exist three blocks  $B_1, B_2, B_3$  of  $D$  such that  $|B_1 \cap B_2| = t-1$ ,  $|B_2 \cap B_3| = q$  ( $t \leq q \leq k-1$ ), and  $|B_1 \cap B_3| = t-2$ . By Lemma 1, we have

$$x_q \leq (\lambda-1) \binom{k}{q} < \lambda \binom{k}{\lfloor \frac{k}{2} \rfloor}. \tag{3}$$

Hence, by (1), (2) and (3), we have

$$x_{t-2} - x_{t-1} x_q > \frac{v^2}{k^2} \lambda - kv \lambda \binom{k}{\lfloor \frac{k}{2} \rfloor} - k \lambda \binom{k}{\lfloor \frac{k}{2} \rfloor} - \lambda^2 \binom{k}{\lfloor \frac{k}{2} \rfloor}^2 \left\{ \frac{v}{2} + (k-1) \binom{k}{\lfloor \frac{k}{2} \rfloor} \right\}.$$

Thus, we have that

$$x_{t-2} - x_{t-1} x_q > \frac{v^2}{k^2} \lambda - \lambda^2 \binom{k}{\lfloor \frac{k}{2} \rfloor}^2 v - k \lambda^2 \binom{k}{\lfloor \frac{k}{2} \rfloor}^3.$$

Hence,  $x_{t-2} - x_{t-1} x_q > 0$  holds if  $v \geq k^3 \binom{k}{\lfloor \frac{k}{2} \rfloor}^2 \lambda$ . (4)

Let  $B_1, B_2, B_3, \dots, B_{\lambda_0}$  be the blocks of  $D$ . Let  $A_h$  ( $0 \leq h \leq k$ ) be the  $h$ -adjacency matrix of  $D$  of degree  $\lambda_0$  defined by

$$A_h(i, j) = \begin{cases} 1 & \text{if } |B_i \cap B_j| = h, \\ 0 & \text{otherwise.} \end{cases}$$

Since  $D$  is block-schematic, we have

$$A_i A_j = \sum_{h=0}^k \mu(i, j, h) A_h \quad (0 \leq i, j \leq k),$$

where  $\mu(i, j, h)$  is a non-negative integer. Let  $\mathbf{a}$  be the all-1 vector of degree  $\lambda_0$ . Then,

$$A_i A_j \mathbf{a} = \sum_{h=0}^k \mu(i, j, h) A_h \mathbf{a}.$$

Hence we have  $x_i x_j = \sum_{h=0}^k \mu(i, j, h) x_h$ . In particular,

$$x_{t-1}x_q = \sum_{h=0}^k \mu(t-1, q, h)x_h, \tag{5}$$

where  $\mu(t-1, q, t-2)$  is a positive integer, because  $|B_1 \cap B_2| = t-1, |B_2 \cap B_3| = q$  and  $|B_1 \cap B_3| = t-2$ . Hence, by (4) and (5), we have  $v < k^3 \left( \begin{smallmatrix} k \\ 2 \end{smallmatrix} \right)^2 \lambda$ .

**Lemma 3.** *For each  $n \geq 1$ , there is a positive integer  $N_1(n)$  satisfying the following: If  $D$  is a  $t-(v, k, \lambda)$  design with  $k-t=n$  and  $t \geq N_1(n)$ , then there exist two blocks  $B_1$  and  $B_2$  of  $D$  such that  $|B_1 \cap B_2| = t-1$ .*

Proof. Let  $D$  be a  $t-(v, k, \lambda)$  design with  $k-t=n$ . Let  $B$  be a block of  $D$ . Counting in two ways the number of the following set  $\{(B', \{\alpha_1, \dots, \alpha_i\}) \mid B' \text{ a block } (\neq B), B' \cap B \ni \alpha_1, \dots, \alpha_i, \alpha_j \neq \alpha_{j'} \text{ if } j \neq j'\}$  gives  $x_i(B) + \binom{t+1}{t} x_{t+1}(B) + \dots + \binom{k-1}{t} x_{k-1}(B) = (\lambda-1) \binom{k}{t}$ .

Since  $\frac{\binom{t+i}{t-1}}{\binom{t+i}{t}} = \frac{t}{i+1}$  ( $i \geq 0$ ), we have

$$\binom{t}{t-1} x_t(B) + \binom{t+1}{t-1} x_{t+1}(B) + \dots + \binom{k-1}{t-1} x_{k-1}(B) \leq t(\lambda-1) \binom{k}{t}. \tag{6}$$

Counting in two ways the number of the following set  $\{(B', \{\alpha_1, \dots, \alpha_{t-1}\}) \mid B' \text{ a block } (\neq B), B' \cap B \ni \alpha_1, \dots, \alpha_{t-1}, \alpha_j \neq \alpha_{j'} \text{ if } j \neq j'\}$

gives  $x_{t-1}(B) + \binom{t}{t-1} x_t(B) + \binom{t+1}{t-1} x_{t+1}(B) + \dots + \binom{k-1}{t-1} x_{k-1}(B) = (\lambda_{t-1}-1) \binom{k}{t-1}$ . (7)

By (6) and (7), we have

$$x_{t-1}(B) \geq (\lambda_{t-1}-1) \binom{k}{t-1} - t(\lambda-1) \binom{k}{t}, \text{ and}$$

$$x_{t-1}(B) \geq \frac{v-t+1}{n+1} \lambda \frac{(n+t) \cdots t}{(n+1)!} - (\lambda-1) \frac{(n+t) \cdots t}{n!}.$$

Since  $D$  is a nontrivial design,  $v > k+t \geq 2t+n$ . Hence we have

$$x_{t-1}(B) > \left( \frac{(t+n+1) \cdots t}{(n+2)!} - \frac{(t+n) \cdots t}{n!} \right) \lambda.$$

Set  $f(t) = \frac{(t+n+1) \cdots t}{(n+2)!} - \frac{(t+n) \cdots t}{n!}$ . Then there is a positive integer  $N_1(n)$  such that  $f(t) \geq 0$  holds if  $t \geq N_1(n)$ . Hence, the proof of Lemma 3 is completed.

**Lemma 4.** *For each  $n \geq 1$ , there is a positive integer  $N_2(n)$  satisfying the*

following: If  $D$  is a  $t-(v, k, \lambda)$  design with  $k-t=n$  and  $t \geq N_2(n)$ , then there exist three blocks  $B_1, B_2, B_3$  of  $D$  such that  $|B_1 \cap B_2|=t-1, |B_2 \cap B_3|=t-1$  and  $|B_1 \cap B_3|=t-n-2$ .

Proof. Let  $D$  be a  $t-(v, k, \lambda)$  design with  $k-t=n$ . We may assume  $t \geq N_1(n)$ , where  $N_1(n)$  is a positive integer obtained in Lemma 3. Therefore, there exist two blocks  $B_2$  and  $B_3$  of  $D$  with  $|B_2 \cap B_3|=t-1$ . Let  $\alpha_1, \dots, \alpha_{n+1}$  be  $n+1$  points of  $B_2-B_3$  and  $\alpha_{n+2}, \dots, \alpha_{t-1}$  be  $t-n-2$  points of  $B_2 \cap B_3$ . Set  $S = \{B \mid B \text{ a block, } B \supseteq \{\alpha_1, \dots, \alpha_{t-1}\}\}$ , where  $|S| = \frac{v-t+1}{k-t+1} \lambda$ . Then we have

$$|\{B \in S \mid |B_2 \cap B| \geq t \text{ or } |B_3 \cap B| \geq t-n-1\}| \leq \lambda(k-t+1) + \lambda(k-t+n+2).$$

Hence, if  $\frac{v-t+1}{k-t+1} \lambda > \lambda(n+1) + \lambda(2n+2)$ , then there exists a block  $B_1$  in  $S$  such that  $|B_1 \cap B_2|=t-1$  and  $|B_1 \cap B_3|=t-n-2$ . On the other hand, since  $v > k+t = 2t+n$ , we have that  $\frac{v-t+1}{n+1} > (n+1) + (2n+2)$  holds if  $t \geq 3(n+1)^2$ . Thus, Lemma 4 holds if  $N_2(n) = \max\{N_1(n), 3(n+1)^2\}$ .

Proof of Theorem 1. First, let us suppose that  $D$  is a block-schematic  $t-(v, k, \lambda)$  design with  $k-t=n$  and  $t, \lambda \geq 2$ . By Proposition, we may assume that  $t \geq N_2(n)$ , where  $N_2(n)$  is a positive integer obtained in Lemma 4. By Lemma 1 we have

$$x_{t-n-2} > \lambda_{t-n-2} \binom{t+n}{t-n-2} - \sum_{j=t-n-1}^{t-1} \binom{j}{t-n-2} \lambda_j \binom{t+n}{j} - \sum_{j=t}^{k-1} \binom{j}{t-n-2} \lambda \binom{t+n}{j},$$

where  $\lambda_{t-n-2} \binom{t+n}{t-n-2} = \frac{(v-t+n+2) \cdots (v-t+1)}{(n+n+2) \cdots (n+1)} \lambda \cdot \frac{(t+n) \cdots (t-n-1)}{(2n+2)!}$ ,

$$\begin{aligned} \sum_{j=t-n-1}^{t-1} \binom{j}{t-n-2} \lambda_j \binom{t+n}{j} &< (n+1) \lambda_{t-n-1} \frac{(t+n)!}{(t-n-2)!} \\ &= (n+1) \frac{(v-t+n+1) \cdots (v-t+1)}{(n+n+1) \cdots (n+1)} \frac{(t+n)!}{(t-n-2)!} \lambda, \end{aligned}$$

and  $\sum_{j=t}^{k-1} \binom{j}{t-n-2} \lambda \binom{t+n}{j} < n \frac{(t+n)!}{(t-n-2)!} \lambda$ .

Hence we have

$$x_{t-n-2} > \frac{(v-t)^{n+2} (t-n-1)^{2n+2}}{((2n+2)!)^2} \lambda - (v-t+n+1)^{n+1} (t+n)^{2n+2} \lambda. \tag{8}$$

Again by Lemma 1, we have

$$x_{t-1} < \frac{v-t+1}{n+1} \lambda \binom{t+n}{t-1} + \sum_{j=t}^{k-1} \binom{j}{t-1} \lambda \binom{t+n}{j}, \text{ and}$$

$$x_{t-1} < (v-t+1)(t+n)^{n+1}\lambda + n(t+n)^{n+1}\lambda.$$

Hence we have

$$x_{t-1}^2 < (v-t+n+1)^2(t+n)^{2n+2}\lambda^2. \tag{9}$$

By (8) and (9), we have

$$x_{t-n-2} - x_{t-1}^2 > \frac{(v-t)^{n+2}(t-n-1)^{2n+2}}{((2n+2)!)^2} \lambda - 2(v-t+n+1)^{n+1}(t+n)^{2n+2}\lambda^2.$$

Set  $f(t) = \frac{\lambda}{((2n+2)!)^2} t^{n+2} \cdot (t-n-1)^{2n+2} - 2\lambda^2(t+n+1)^{n+1}(t+n)^{2n+2}.$

Then there is a positive integer  $N(n, \lambda) (\geq N_2(n))$  such that  $f(t) \geq 0$  holds if  $t \geq N(n, \lambda)$ . Since  $v-t > t$ , we have that

$$x_{t-n-2} - x_{t-1}^2 > 0 \text{ holds if } t \geq N(n, \lambda). \tag{10}$$

By the similar argument as in the proof of Proposition, we have

$$x_{t-1}^2 = \sum_{h=0}^k \mu(t-1, t-1, h)x_h, \tag{11}$$

where  $\mu(t-1, t-1, h)$  is a non-negative integer. Moreover, since  $t \geq N_2(n)$   $\mu(t-1, t-1, t-n-2)$  is a positive integer by Lemma 4. Hence, by (10) and (11), we have  $t \leq N(n, \lambda)$ . Therefore,  $k \leq N(n, \lambda) + n$ . Hence by Proposition, the proof of Theorem 1 is completed on condition that  $\lambda \leq 2$ .

Next, let us suppose that  $D$  is a block-schematic  $t-(v, k, l)$  design with  $k-t=n$  and  $t \geq 3$ . (The proof of the case  $\lambda=1$  is similar to that of the case  $\lambda \geq 2$ . Then, we give an outline of it.) By Theorem in [1], we may assume that  $t \geq N_2(n)$ , where  $N_2(n)$  is a positive integer obtained in Lemma 4. By Lemma 1, we get

$$x_{t-n-2} - x_{t-1}^2 > \frac{(v-t)^{n+2}(t-n-1)^{2n+2}}{((2n+2)!)^2} - 2(v-t+n+1)^{n+1}(t+n)^{2n+2}.$$

Hence, there is a positive integer  $N(n) (\geq N_2(n))$  such that  $x_{t-n-2} - x_{t-1}^2 > 0$  holds if  $t \geq N(n)$ . On the other hand, the following equation holds:

$$x_{t-1}^2 = \sum_{h=0}^k \mu(t-1, t-1, h)x_h,$$

where  $\mu(t-1, t-1, h)$  is a non-negative integer and  $\mu(t-1, t-1, t-n-2)$  is positive. Therefore, we have  $t \leq N(n)$ , and so  $k \leq N(n) + n$ . Hence by Theorem in [1], the proof of Theorem 1 is completed on condition that  $\lambda=1$ . Thus, Theorem 1 is proved.

**3. Proof of Theorem 2**

**Lemma 5.** *Let  $D$  be a block-regular  $t-(v, k, \lambda)$  design. Then the following equality holds for  $i=0, \dots, t-1$ .*

$$x_i = \frac{\lambda \binom{k}{i}}{\binom{v-t}{k-t}} \left\{ \binom{v-k}{k-i} + (-1)^{t+i+1} \sum_{q=0}^{k-i-1} \binom{t-i-1+q}{q} \binom{v-k+q}{k-t} \right\} \\ + (\lambda-1) \sum_{j=1}^{t-1} \binom{j}{i} \binom{k}{j} (-1)^{i+j} + \sum_{j=i}^{k-1} \binom{j}{i} w_j (-1)^{i+j},$$

where  $x_j \leq w_j \leq (\lambda-1) \binom{k}{j}$  ( $t \leq j \leq k-1$ ) and  $w_t = (\lambda-1) \binom{k}{t}$ .

(The essential part of Lemma 5 is [5, Lemma 6].)

Proof. In this proof, we use the following three combinatorial identities:

- (i)  $\binom{-a}{b} = (-1)^b \binom{a+b-1}{b}$ ,
- (ii)  $\sum_r \binom{a}{r} \binom{b+r}{c} (-1)^r = (-1)^a \binom{b}{c-a}$  ( $a \geq 0$ ),
- (iii)  $\sum_r \binom{a}{r} \binom{b}{c-r} = \binom{a+b}{c}$  ( $a \geq 0$ ).

By Lemma 1, we have

$$x_i = \sum_{j=i}^{t-1} \binom{j}{i} (\lambda_j - 1) \binom{k}{j} (-1)^{i+j} + \sum_{j=i}^{k-1} \binom{j}{i} w_j (-1)^{i+j},$$

where  $x_j \leq w_j \leq (\lambda-1) \binom{k}{j}$  ( $t \leq j \leq k-1$ ).

Then, 
$$x_i = \lambda \sum_{j=i}^{t-1} \binom{j}{i} (\lambda'_j - 1) \binom{k}{j} (-1)^{i+j} + (\lambda-1) \sum_{j=i}^{t-1} \binom{j}{i} \binom{k}{j} (-1)^{i+j} \\ + \sum_{j=t}^{k-1} \binom{j}{i} w_j (-1)^{i+j},$$

where 
$$\lambda'_j = \frac{\binom{v-j}{t-j}}{\binom{k-j}{t-j}} = \frac{\binom{v-j}{k-j}}{\binom{v-t}{k-t}} \quad (0 \leq j \leq t-1).$$

Hence, in order to prove Lemma 5, it is sufficient to show that the following equality holds for  $i=0, \dots, k-1$ .

$$\sum_{j=i}^{t-1} \binom{j}{i} (\lambda'_j - 1) \binom{k}{j} (-1)^{i+j}$$

$$= \frac{\binom{k}{i}}{\binom{v-t}{k-t}} \left\{ \binom{v-k}{k-i} + (-1)^{t+i+1} \sum_{q=0}^{k-t-1} \binom{t-i+1+q}{q} \binom{v-k+q}{k-t} \right\}. \tag{12}$$

First suppose that  $t \leq i \leq k-1$ . Then,

$$\sum_{q=0}^{k-t-1} \binom{t-i-1+q}{q} \binom{v-k+q}{k-t} = \sum_{q=0}^{k-t-1} (-1)^q \binom{i-t}{q} \binom{v-k+q}{k-t} \tag{cf. (i)}$$

$$= (-1)^{i-t} \binom{v-k}{k-1}. \tag{cf. (ii)}$$

Hence, the right hand of (12)=0=the left hand of (12).

Let  $A=(a_{rs})$  be the square matrix with  $a_{rs}=\binom{s}{r}$  ( $0 \leq r, s \leq k-1$ ). Since  $\det(A) \neq 0$ ,  $A^{-1}=\left(\binom{s}{r}(-1)^{r+s}\right)$  ( $0 \leq r, s \leq k-1$ ) and (12) holds for  $i=t, \dots, k-1$ , we have that (12) holds for  $i=0, \dots, k-1$  if the following holds for  $i=0, \dots, t-1$ .

$$\sum_{j=1}^{k-1} \binom{j}{i} \frac{\binom{k}{j}}{\binom{v-t}{k-t}} \left\{ \binom{v-k}{k-j} + (-1)^{t+j+1} \sum_{q=0}^{k-t-1} \binom{t-j-1+q}{q} \binom{v-k+q}{k-t} \right\} = (\lambda_i - 1) \binom{k}{i}. \tag{13}$$

Since  $\binom{j}{i} \binom{k}{j} = \binom{k}{i} \binom{k-i}{k-j}$ ,

$$\begin{aligned} \text{the left hand of (13)} &= \frac{\binom{k}{i}}{\binom{v-t}{k-t}} \sum_{j=i}^{k-1} \binom{k-i}{k-j} \left\{ \binom{v-k}{k-j} \right. \\ &\quad \left. + (-1)^{t+j+1} \sum_{q=0}^{k-t-1} \binom{t-j-1+q}{q} \binom{v-k+q}{k-t} \right\}. \end{aligned} \tag{14}$$

$$\begin{aligned} \text{Now, } \sum_{j=i}^{k-1} \binom{k-i}{k-j} \binom{v-k}{k-j} &= \sum_{j=i}^{k-1} \binom{k-i}{j-i} \binom{v-k}{k-j} \\ &= \sum_{h=0}^{k-i} \binom{k-i}{h} \binom{v-k}{k-i-h} - 1 \quad (h=j-i) \\ &= \binom{v-i}{k-i} - 1. \quad \text{(cf. (iii))} \end{aligned} \tag{15}$$

On the other hand,

$$\begin{aligned} &\sum_{j=i}^{k-1} \binom{k-i}{k-j} (-1)^{t+j+1} \sum_{q=0}^{k-t-1} \binom{t-j-1+q}{q} \binom{v-k+q}{k-t} \\ &= \sum_{q=0}^{k-t-1} (-1)^{t+1} \binom{v-k+q}{k-t} \sum_{j=i}^{k-1} \binom{k-i}{j-i} \binom{t-j-1+q}{q} (-1)^j \\ &= \sum_{q=0}^{k-t-1} (-1)^{t+1} \binom{v-k+q}{k-t} \sum_{j=i}^{k-1} \binom{k-i}{j-i} \binom{j-t}{q} (-1)^{j+q} \quad \text{(cf. (i))} \end{aligned}$$

$$\begin{aligned}
 &= \sum_{q=0}^{k-t-1} (-1)^{t+1} \binom{v-k+q}{k-t} \left\{ \sum_{h=0}^{k-i} \binom{k-i}{h} \binom{i-t+h}{q} (-1)^{i+h+q} - \binom{k-t}{q} (-1)^{k+q} \right\} \\
 &\hspace{25em} (h = j-i) \\
 &= \sum_{q=0}^{k-t-1} (-1)^{t+1} \binom{v-k+q}{k-t} \left\{ (-1)^{(k-i)+(i+q)} \binom{i-t}{q-k+i} - \binom{k-t}{q} (-1)^{k+q} \right\} \quad (\text{cf. (ii)}) \\
 &= \sum_{q=0}^{k-t-1} (-1)^{t+k+q} \binom{v-k+q}{k-t} \binom{k-t}{q} \quad (q-k+i < 0) \\
 &= (-1)^{k+t} \sum_{q=0}^{k-t} \binom{k-t}{q} \binom{v-k+q}{k-t} (-1)^q - \binom{v-t}{k-t} \\
 &= (-1)^{k+t+k-t} \binom{v-k}{k-t-k+t} - \binom{v-t}{k-t} \quad (\text{cf. (ii)}) \\
 &= 1 - \binom{v-t}{k-t}. \hspace{15em} (16)
 \end{aligned}$$

Hence by (14), (15) and (16), we have that

$$\begin{aligned}
 \text{the left hand of (13)} &= \frac{\binom{k}{i}}{\binom{v-t}{k-t}} \left\{ \binom{v-i}{k-i} - 1 + 1 - \binom{v-t}{k-t} \right\} \\
 &= \left\{ \frac{\binom{v-i}{k-i}}{\binom{v-t}{k-t}} - 1 \right\} \binom{k}{i} = \text{the right hand of (13)}.
 \end{aligned}$$

Thus, Lemma 5 is proved.

**Lemma 6.** *For each  $k \geq 2$  and  $l \geq 0$ , there exist at most finitely many block-regular  $t-(v, k, \lambda)$  designs with  $x_i \leq l$  for some  $i$  ( $0 \leq i \leq t-1$ ).*

*Proof.* In order to prove Lemma 6, it is sufficient to show the following: For each  $k \geq 2, l \geq 0, t$  ( $1 \leq t < k$ ) and  $i$  ( $0 \leq i < t$ ), there exist at most finitely many block-regular  $t-(v, k, \lambda)$  designs with  $x_i \leq l$ .

Let  $k, l, t$  and  $i$  be integers with  $k \geq 2, l \geq 0, 1 \leq t < k$  and  $0 \leq i < t$ , and let  $D$  be a block-regular  $t-(v, k, \lambda)$  design with  $x_i \leq l$ . By Lemma 1, we have

$$x_i = \sum_{j=i}^{t-1} \binom{j}{i} (\lambda_j - 1) \binom{k}{j} (-1)^{i+j} + \sum_{j=t}^{k-1} \binom{j}{i} w_j (-1)^{i+j},$$

where  $x_j \leq w_j \leq (\lambda - 1) \binom{k}{j}$  ( $j = t, \dots, k-1$ ). Therefore,

$$\begin{aligned}
 x_i - l &> \frac{(v-i) \cdots (v-t+1)}{(k-i) \cdots (k-t+1)} \lambda - 1 \binom{k}{i} - \sum_{j=i+1}^{t-1} \binom{j}{i} \frac{(v-j) \cdots (v-t+1)}{(k-j) \cdots (k-t+1)} \lambda - 1 \binom{k}{j} \\
 &\quad - \sum_{j=i}^{k-1} \binom{j}{i} (\lambda - 1) \binom{k}{j} - l.
 \end{aligned}$$

In the above expression, if we suppose that  $k, l, t$  and  $i$  are constants, and that  $v$  and  $\lambda$  are variables with  $v > k$  and  $\lambda \geq 1$ , then we can obtain the following:

The right hand of the expression  $= \lambda \cdot f(v) + \lambda \cdot g(v) + d$ , where  $f(v)$  is a polynomial in  $v$  of degree  $t-i$  with the leading coefficient of  $f(v) > 0$ ,  $g(v)$  is a polynomial in  $v$  of degree  $t-i-1$ , and  $d$  is a constant. Hence, there exists a constant  $C(k, l, t, i) > 0$  such that  $x_i - l > 0$  holds if  $v \geq C(k, l, t, i)$ . Namely, if  $x_i \leq l$ , then  $v < C(k, l, t, i)$ .

Proof of Theorem 2. By Lemma 6, we may assume that  $t \geq \frac{2n + ((2n+2)!)^2}{c-2} + 2n$ . Let  $D$  be a block-regular  $t-(v, t+n, \lambda)$  design with  $v \geq ct, t \geq \frac{2n + ((2n+2)!)^2}{c-2} + 2n$ , and  $x_i \leq l$  for some  $i (0 \leq i \leq t-1)$ . Set  $v = mt (m \geq c)$ , where  $m$  is not always integral. By Lemma 5, we have

$$x_i = \frac{\lambda \binom{t+n}{i}}{\binom{(m-1)t}{n}} \left\{ \binom{(m-1)t-n}{t+n-i} + (-1)^{t+i+1} \sum_{q=0}^{n-1} \binom{t-i-1+q}{q} \binom{(m-1)t-n+q}{n} \right\} + (\lambda-1) \sum_{j=i}^{t-1} \binom{j}{i} \binom{t+n}{j} (-1)^{i+j} + \sum_{j=i}^{t+n-1} \binom{j}{i} w_j (-1)^{i+j}, \tag{17}$$

where  $x_j \leq w_j \leq (\lambda-1) \binom{t+n}{j} (t \leq j \leq k-1)$ .

$$\begin{aligned} \text{Now, } & (\lambda-1) \sum_{j=i}^{t-1} \binom{j}{i} \binom{t+n}{j} (-1)^{i+j} + \sum_{j=i}^{t+n-1} \binom{j}{i} w_j (-1)^{i+j} \\ &= -(\lambda-1) \sum_{j=i}^{t+n} \binom{j}{i} \binom{t+n}{j} (-1)^{i+j} + \sum_{j=i}^{t+n-1} \binom{j}{i} w_j (-1)^{i+j} \\ &> -2\lambda(n+1) \frac{(t+n)!}{i!(t-i)!}. \end{aligned} \tag{18}$$

On the other hand,

$$\begin{aligned} & \frac{\lambda \binom{t+n}{i}}{\binom{(m-1)t}{n}} \left\{ \binom{(m-1)t-n}{t+n-i} + (-1)^{t+i+1} \sum_{q=0}^{n-1} \binom{t-i-1+q}{q} \binom{(m-1)t-n+q}{n} \right\} \\ &> \frac{\lambda \binom{t+n}{i}}{\binom{(m-1)t}{n}} \left\{ \binom{(m-1)t-n}{t+n-i} - n \binom{t+n-i}{n} \binom{(m-1)t}{n} \right\} \\ &> \frac{\lambda(t+n)!((m-1)t-n)!((m-1)t-n)!}{i!(t+n-i)!((m-1)t)!(t+n-i)!((m-2)t-2n+i)!} - \frac{\lambda(t+n)!}{i!(t-i)!} \end{aligned} \tag{19}$$

By (17), (18) and (19), we have

$$\frac{x_i i!(t-i)!}{(t+n)! \lambda} > \frac{\{((m-1)t-n)!\}^2 (t-i)!}{((t+n-i)!)^2 ((m-1)t)! ((m-2)t-2n+i)!} - 5n.$$

Then since  $\frac{i!(t-i)!}{(t+n)! \lambda} \leq \frac{1}{(t+n)! \lambda} < 1$ , we have

$$x_i > \frac{((m-1)t-n) \cdots ((m-2)t-2n+i+1)}{((m-1)t) \cdots ((m-1)t-n+1) \cdot (t+n-i) \cdots (t-i+1) (t+n-i)!} - 5n.$$

$$\begin{aligned} \text{Hence, } x_i &> ((m-1)t-n) \frac{((m-1)t-n-1) \cdots ((m-1)t-2n)}{((m-1)t) \cdots ((m-1)t-n+1)} \\ &\quad \cdot \frac{((m-1)t-2n-1) \cdots ((m-1)t-3n)}{(t+n-i) \cdots (t-i+1)} \\ &\quad \cdot \frac{((m-1)t-3n-1) \cdots ((m-2)t-2n+i+2)}{(t+n-i) \cdots (2n+3)} \cdot \frac{(m-2)t-2n+i+1}{(2n+2)!} - 5n \end{aligned}$$

holds if  $t-i \geq n+3$ , and

$$\begin{aligned} x_i &> ((m-1)t-n) \frac{((m-1)t-n-1) \cdots ((m-1)t-2n)}{((m-1)t) \cdots ((m-1)t-n+1)} \\ &\quad \cdot \frac{((m-1)t-2n-1) \cdots ((m-2)t-2n+i+1)}{(2n+2)!} - 5n \end{aligned}$$

holds if  $2 \leq t-i \leq n+2$ ,

$$\text{and } x_i > ((m-1)t-n) \frac{((m-1)t-n-1) \cdots ((m-1)t-2n)}{((m-1)t) \cdots ((m-1)t-n+1)} \frac{1}{((n+1)!)^2} - 5n$$

holds if  $t-i=1$ .

In any case, since  $t \geq \frac{2n + ((2n+2)!)^2}{c-2} + 2n$ , we have

$$\begin{aligned} x_i &> ((m-1)t-n) \frac{((m-2)t)^n}{((m-1)t)^n} \cdot \frac{1}{((n+1)!)^2} - 5n \\ &> \frac{((c-1)t-n) \left(\frac{c-2}{c-1}\right)^n}{((n+1)!)^2} - 5n. \end{aligned}$$

Therefore, there exists a positive integer  $N(c, n, l) \left( \geq \frac{2n + ((2n+2)!)^2}{c-2} + 2n \right)$  such that  $x_i - l > 0$  holds if  $t \geq N(c, n, l)$ . Namely, if  $x_i \leq l$ , then  $t \leq N(c, n, l)$ . Hence by Lemma 6, the proof of Theorem 2 is completed.

**References**

- [1] T. Atsumi: *An extension of Cameron's result on blockschematic Steiner systems*, J. Combin. Theory Ser. A **27** (1979), 388–391.
- [2] R.C. Bose: *Strongly regular graphs, partial geometries, and partially balanced designs*, Pacific J. Math. **13** (1963), 389–419.
- [3] P.J. Cameron: *Two remarks on Steiner systems*, Geom. Dedicata **4** (1975), 403–418.
- [4] M. Dehon: *Sur les  $t$ -designs dont un des nombres d'intersection est nul*, Acad. Roy. Belg. Bull. Cl. Sci. (5) **61** (1975), 271–280.
- [5] B.H. Gross: *Intersection triangles and block intersection numbers for Steiner systems*, Math. Z. **139** (1974), 87–104.

Division of Mathematics  
Keio University  
Hiyoshi, Yokohama 223  
Japan

