

## ON MINIMAL CR SUBMANIFOLDS SATISFYING A CERTAIN CONDITION ON THE RICCI CURVATURE

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**1. Introduction.** We denote by  $\bar{M}^m(c)$  a complex  $m$ -dimensional (real  $2m$ -dimensional) Kaehlerian manifold of constant holomorphic sectional curvature  $4c$  with Kaehlerian structure  $(J, g)$ . Let  $M$  be a real  $n$ -dimensional Riemannian manifold isometrically immersed in  $\bar{M}^m(c)$  with induced metric tensor field  $g$ . For any vector field  $X$  tangent to  $M$ , we put  $JX = PX + FX$ , where  $PX$  is the tangential part of  $JX$  and  $FX$  the normal part of  $JX$ . Then  $P$  is an endomorphism on the tangent bundle  $T(M)$ . If  $F$  vanishes identically, then  $M$  is called a *complex submanifold* of  $\bar{M}^m(c)$ , and if  $P$  vanishes identically, then  $M$  is called an *anti-invariant submanifold* of  $\bar{M}^m(c)$ . A submanifold  $M$  of a Kaehlerian manifold  $\bar{M}$  is called a *CR submanifold* of  $\bar{M}$  if there exists a differentiable distribution  $H : x \rightarrow H_x \subset T_x(M)$  on  $M$  satisfying the following conditions:

- (1)  $H$  is holomorphic, i.e.,  $JH_x = H_x$  for each  $x \in M$ , and
- (2) the complementary orthogonal distribution  $H^\perp : x \rightarrow H_x^\perp \subset T_x(M)$  is anti-invariant, i.e.,  $JH_x^\perp \subset T_x(M)^\perp$  for each  $x \in M$ .

We denote by  $S$  the Ricci tensor of  $M$ . If  $M$  satisfies that  $S(X, Y) = ag(X, Y) + bg(PX, PY)$ , where  $a$  and  $b$  are constant, then  $M$  is called a *pseudo-Einstein submanifold*.

In [3] one of the present author proved that there are no Einstein real hypersurfaces of a complex projective space  $CP^m$  and classified the pseudo-Einstein real hypersurfaces of  $CP^m$ . This result was generalized by Cecil and Ryan [2] to the case that  $a$  and  $b$  are functions.

Moreover, Maeda [6] studied the Ricci tensor of a real hypersurface of a complex projective space.

On the other hand, one of the author [5] studied a compact minimal CR submanifold  $M$  of  $CP^m$  under the assumption that the Ricci tensor of  $M$  satisfies  $S(X, X) \geq (n-1)g(X, X) + 2g(PX, PX)$ , and proved that  $M$  is a real projective space  $RP^n$ , or a complex projective space  $CP^n$  or a pseudo-Einstein real hypersurface  $\pi\left(S^{(n+1)/2}\left(\sqrt{\frac{1}{2}}\right) \times S^{(n+1)/2}\left(\sqrt{\frac{1}{2}}\right)\right)$ , where  $\pi$  denotes the projection with respect to the fibration  $S^1 \rightarrow S^{2m+1} \rightarrow CP^m$ .

The purpose of the present paper is to consider the problem on the Ricci tensor like that above without the assumption that  $M$  is compact.

**Theorem 1.** Let  $M$  be an  $n$ -dimensional minimal CR submanifold of  $\bar{M}^m(c)$  ( $c > 0$ ), which is not a complex submanifold of  $\bar{M}^m(c)$ . If the Ricci tensor  $S$  of  $M$  satisfies

$$S(X, X) \geq c[(n-1)g(X, X) + 2g(PX, PX)]$$

for any vector field  $X$  tangent to  $M$ , then  $M$  is

- (a) a totally geodesic anti-invariant submanifold of  $\bar{M}^m(c)$  with constant curvature  $c$ , or  
 (b) a pseudo-Einstein submanifold of  $\bar{M}^m(c)$  with  $\dim H_x^\perp = 1$  and

$$S(X, Y) = c[(n-1)g(X, Y) + 2g(PX, PY)].$$

**2. Basic formulas.** In this section we prepare the basic formulas for an  $n$ -dimensional submanifold  $M$  of  $\bar{M}^m(c)$ . The operator of covariant differentiation with respect to the Levi-Civita connection in  $\bar{M}^m(c)$  (resp.  $M$ ) will be denoted by  $\bar{\nabla}$  (resp.  $\nabla$ ). Then the Gauss and Weingarten formulas are respectively given by

$$(2.1) \quad \bar{\nabla}_X Y = \nabla_X Y + B(X, Y) \quad \text{and} \quad \bar{\nabla}_X V = -A_V X + D_X V$$

for any vector fields  $X, Y$  tangent to  $M$  and any vector field  $V$  normal to  $M$ , where  $D$  denotes the operator of covariant differentiation with respect to the linear connection induced in the normal bundle  $T(M)^\perp$  of  $M$ .  $A$  and  $B$  are both called the second fundamental forms of  $M$ , and are related by  $g(B(X, Y), V) = g(A_V X, Y)$ . For the second fundamental form  $A$  we define its covariant derivative  $\nabla_X A$  by

$$(2.2) \quad (\nabla_X A)_V Y = \nabla_X (A_V Y) - A_{D_X V} Y - A_V (\nabla_X Y),$$

for any vector fields  $X, Y$  tangent to  $M$  and any vector field  $V$  normal to  $M$ .

If  $\text{Tr} A_V = 0$  for any vector field  $V$  normal to  $M$ , then  $M$  is said to be *minimal*, where  $\text{Tr}$  denotes the trace of an operator. If the second fundamental form of  $M$  vanishes, then  $M$  is said to be *totally geodesic*. For any vector field  $X$  tangent to  $M$ , we put

$$JX = PX + FX,$$

where  $PX$  is the tangential part of  $JX$  and  $FX$  the normal part of  $JX$ . Then  $P$  is an endomorphism on the tangent bundle  $T(M)$ , and  $F$  is a normal bundle valued 1-form on the tangent bundle  $T(M)$ . For any vector field  $V$  normal to  $M$  we put

$$JV = tV + fV,$$

where  $tV$  is the tangential part of  $JV$  and  $fV$  the normal part of  $JV$ .

Let  $R$  be the Riemannian curvature tensor of  $M$ . Then the Gauss equation is given by

$$(2.3) \quad \begin{aligned} R(X, Y)Z &= c[g(Y, Z)X - g(X, Z)Y + g(PY, Z)PX \\ &\quad - g(PX, Z)PY + 2g(X, PY)PZ] \\ &\quad + A_{B(Y, Z)}X - A_{B(X, Z)}Y. \end{aligned}$$

The Codazzi equatin of  $M$  is given by

$$(2.4) \quad \begin{aligned} g((\nabla_X A)_V Y, Z) - g((\nabla_Y A)_V X, Z) \\ = c[g(PY, Z)g(FX, V) - g(PX, Z)g(FY, V) \\ + 2g(X, PY)g(FZ, V)]. \end{aligned}$$

In the  $CR$  submanifold  $M$ , we put  $\dim H_x = h$ ,  $\dim H_x^\perp = q$  and codimension of  $M = 2m - n = p$ . If  $q = 0$  (resp.  $h = 0$ ) for any  $x \in M$ , then the  $CR$  submanifold is called a *complex submanifold* (resp. *anti-invariant submanifold*) of  $\bar{M}$ . If  $p = q$  for any  $x \in M$ , then the  $CR$  submanifold is called a *generic submanifold*. It is obvious that every real hypersurface of a Kaehlerian manifold is automatically a generic submanifold.

On the  $CR$  submanifold  $M$  we obtain  $FPX = 0$ ,  $fFX = 0$  for any vector  $X$  tangent to  $M$  and  $tfV = 0$ ,  $PtV = 0$  for any vector  $V$  normal to  $M$ . Moreover, we have  $P^2X = -X - tFX$  for any vector  $X$  tangent to  $M$  and  $f^2V = -V - FtV$  for any vector  $V$  normal to  $M$ . We define the covariant defferentiations of  $P, F, t$  and  $f$  by

$$\begin{aligned} (\nabla_X P)Y &= \nabla_X(PY) - P\nabla_X Y, & (\nabla_X F)Y &= D_X(FY) - F\nabla_X Y, \\ (\nabla_X t)V &= \nabla_X(tV) - tD_X V, & (\nabla_X f)V &= D_X(fV) - fD_X V, \end{aligned}$$

respectively. We then have

$$\begin{aligned} (\nabla_X P)Y &= A_{FY}X + tB(X, Y), & (\nabla_X F)Y &= -B(X, PY) + fB(X, Y), \\ (\nabla_X t)V &= A_{fV}X - PA_V X, & (\nabla_X f)V &= -FA_V X - B(X, tV). \end{aligned}$$

We also have

$$A_{FX}Y = A_{FY}X$$

for any  $X, Y \in H^\perp$ .

**3. Proof of the theorem.** We use the convention that the range of indices are

$$\begin{aligned} i &= 1, 2, \dots, n; & a &= 1, 2, \dots, p; \\ \lambda &= 1, 2, \dots, q; & u &= q + 1, q + 2, \dots, p. \end{aligned}$$

From the Gauss equation the Ricci tensor  $S$  of  $M$  is given by

$$(3.1) \quad S(X, Y) = c[(n - 1)g(X, Y) + 3g(PX, PY)] - \sum_a g(A_a X, A_a Y),$$

for any vector fields  $X$  and  $Y$  tangent to  $M$ , where we have put  $A_a = A_{v_a}$ ,  $\{v_a\}$  being an orthonormal basis of the normal space of  $M$ . In accordance with the assumption on the Ricci tensor, we find

$$(3.2) \quad \begin{aligned} S(X, X) - c[(n - 1)g(X, X) + 2g(PX, PX)] \\ = cg(PX, PX) - \sum_a g(A_a X, A_a X) \geq 0. \end{aligned}$$

Hence we obtain, for any vector field  $V$  normal to  $M$ ,  $A_a tV = 0$  for all  $a$ . This means that  $A_U tV = 0$  for any vector fields  $U$  and  $V$  normal to  $M$ . Moreover by (3.2), we have

$$(3.3) \quad \sum_a \text{Tr} A_a^2 \leq c(n - q) = ch,$$

where we have put  $h = \dim H_x$  and  $q = \dim H_x^\perp$ .

Let us suppose that  $h = 0$ . Then  $M$  is an anti-invariant submanifold of  $\bar{M}^m(c)$  ( $c > 0$ ). In this case,  $M$  is totally geodesic in  $\bar{M}^m(c)$ , and  $M$  is of sectional curvature  $c$ .

In the following we suppose that  $h \neq 0$ . From  $A_U tV = 0$  and (2.2) we have

$$(\nabla_X A)_{UtV} + A_U A_{fV} X - A_U P A_V X = 0$$

for any vector field  $X$  tangent to  $M$ , and hence

$$(3.4) \quad \begin{aligned} g((\nabla_X A)_{UtV}, tV) &= g((\nabla_X A)_{UtV}, Y) \\ &= g(A_U P A_V X, Y) - g(A_U A_{fV} X, Y) \end{aligned}$$

for any vector fields  $X$  and  $Y$  tangent to  $M$ .

In the  $CR$  submanifold we hold that

$$g(PX, Y) + g(X, PY) = 0, \quad g(FX, V) + g(X, tV) = 0$$

for any vector fields  $X, Y$  in tangent to  $M$  and for any vector field  $V$  in normal to  $M$ . From the Codazzi equation we obtain

$$g((\nabla_X A)_{UtV}, tV) - g((\nabla_Y A)_{UtV}, tV) = 2cg(PX, Y)g(tV, tU).$$

Therefore from (3.4) we have

$$(3.5) \quad \begin{aligned} 2cg(PX, Y)g(tV, tU) &= g(A_U P A_V X, Y) + g(A_V P A_U X, Y) \\ &\quad - g(A_U A_{fV} X, Y) + g(A_U A_{fV} Y, X). \end{aligned}$$

From this we have

$$\begin{aligned}
(3.6) \quad & \sum_{a,i} g(A_a P A_a e_i, P e_i) = c \sum_{a,i} g(P e_i, P e_i) g(tv_a, tv_a) \\
& + \frac{1}{2} \sum_{a,i} [g(A_a A_{f_a} e_i, P e_i) - g(A_a A_{f_a} P e_i, e_i)] \\
& = chq - \sum_a \text{Tr} P A_a A_{f_a},
\end{aligned}$$

where we have put  $A_{f_a} = A_{f_{v_a}}, \{e_a\}$  being an orthonormal basis of  $T(M)^\perp$ .

Using (3.1), we obtain

$$(3.7) \quad \sum_{a,i} g(A_a P e_i, A_a P e_i) = \sum_i [c(n+2)g(P e_i, P e_i) - S(P e_i, P e_i)].$$

This implies

$$\begin{aligned}
(3.8) \quad & \frac{1}{2} \sum_a |[P, A_a]|^2 \\
& = c(n+2-q)h - \sum_i S(P e_i, P e_i) + \sum_a \text{Tr} P A_a A_{f_a}.
\end{aligned}$$

Therefore by (3.3), we obtain

$$\begin{aligned}
\frac{1}{2} \sum_a |[P, A_a]|^2 &= c(n+2-q)h - c(n+2)h + \sum_a \text{Tr} A_a^2 + \sum_a \text{Tr} P A_a A_{f_a} \\
&\leq ch(1-q) + \sum_a \text{Tr} P A_a A_{f_a}.
\end{aligned}$$

On the other hand, by (3.5), we can see

$$\sum_\lambda \text{Tr} P A_\lambda A_{f_\lambda} = \sum_\lambda \text{Tr} A_\lambda P A_\lambda P,$$

where we have put  $A_{f_\lambda} = A_{f_{v_\lambda}}, \{v_\lambda\}$  being an orthonormal basis of the complementary orthogonal subbundle of  $FT(M)$  in  $T(M)^\perp$ . Hence we have

$$\begin{aligned}
0 &\leq \frac{1}{2} \sum_u |[P, A_u]|^2 + \sum_\lambda \text{Tr} P A_\lambda P A_\lambda - \sum_\lambda \text{Tr} P^2 A_\lambda^2 \\
&\leq ch(1-q) + \sum_\lambda \text{Tr} P A_\lambda P A_\lambda,
\end{aligned}$$

from which

$$0 \leq \frac{1}{2} \sum_u |[P, A_u]|^2 + \sum_{\lambda,i} g(A_\lambda P e_i, A_\lambda P e_i) \leq ch(1-q),$$

where we have put  $A_u = A_{v_u}$ ,  $\{v_u\}$  being an orthonormal basis of  $FT(M)$  in  $T(M)^\perp$ . Consequently, we have  $q = 1$  and  $PA_u = A_uP$ ,  $A_\lambda = 0$  for all  $\lambda$ . We also have, by (3.5),  $A_uPA_uX = cPX$ . Hence we have

$$\begin{aligned} \sum_a g(A_aX, A_aY) &= g(A_uX, A_uY) = -g(A_uP^2X, A_uY) \\ &= -g(A_uPA_uPX, Y) = cg(PX, PY). \end{aligned}$$

Substituting this equation into (3.2), we find that the Ricci tensor  $S$  of  $M$  is given by  $S(X, Y) = c[(n-1)g(X, Y) + 2g(PX, PY)]$ , and  $M$  is a pseudo-Einstein submanifold of  $\bar{M}^m(c)$ . This proves the theorem 1.

In case of a generic submanifold, we obtain the following theorem.

**Theorem 2.** *Let  $M$  be an  $n$ -dimensional minimal generic submanifold of  $\bar{M}^m(c)$  ( $c > 0$ ). If the Ricci tensor  $S$  of  $M$  satisfies*

$$S(X, X) \geq c[(n-1)g(X, X) + 2g(PX, PX)]$$

for any vector field  $X$  tangent to  $M$ , then  $M$  is

- (a) a totally geodesic anti-invariant submanifold with constant curvature  $c$ ,  
or  
(b) a pseudo-Einstein real hypersurface of  $\bar{M}^m(c)$  with  $2m - n = 1$  and

$$S(X, Y) = c[(n-1)g(X, Y) + 2g(PX, PY)].$$

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