

A note on the imbeddability and immersibility of the total spaces of sphere bundle over sphere

By

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1. Introduction

In this note we will consider the total space of an oriental sphere bundle over sphere. The total space of such a bundle may be considered as a differentiable manifold. Throughout we will consider the total space as a differentiable manifold.

We will be concerned with the following two questions about the total space of a sphere bundle over sphere.

1. *Can it be imbedded or immersed in R^m ?*
2. *Cannot it be imbedded or immersed in R^m ?*

After preparations in Sections 2, 3 and 4, we give answers to Question 1 and 2 in Section 5, and then examine particular cases in Section 6.

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2. Notations and Terminologies

2. 1. In what follows, the word "differentiable" will mean "of class C^∞ ". A differentiable map of a differentiable manifold M^n in Euclidean space R^m is called an immersion if its differential has the maximal rank n ($n < m$) at each point of M , and an immersion which is one-one an imbedding.

We will write $M \subseteq R^m$, $M \subset R^m$ when M is immersed in R^m , imbedded in R^m , respectively.

2. 2. Let $\xi = \{E(\xi), \pi_\xi, S^n, R^{k+1}, SO(k+1)\}$ be a $(k+1)$ -dimensional vector bundle over S^n , and $(\xi) = \{B(\xi), p_\xi, S^n, S^k, SO(k+1)\}$ the associated k -sphere bundle. Let ϵ^k denote trivial k -vector bundle.

By the bundle classification theorem, the equivalence classes of k -sphere bundle over n -sphere are in one one correspondence with elements of $\pi_{n-1}(SO(k+1))$.

Now we define bundle $\xi_m^{(n,k)}$ as follows;

$$\xi_m^{(n,k)} = \{B_m^{(n,k)}, p_m^{(n,k)}, S^n, S^k, SO(k+1)\}$$

where $\xi_m^{(n,k)}$ corresponds to element $m \in \pi_{n-1}(\text{SO}(k+1))$. If no confusion arises, we write $B(\xi)$, p_ξ for $B_m^{(m,k)}$, $p_m^{(n,k)}$, respectively.

3. Preliminary lemmas

3. 1. First we will prove a lemma on the imbeddability of a vector bundle over S^n in a vector bundle over S^n .

Let ξ, η be vector bundles over S^n with dimension k, l (we suppose $k > l$). Then we have

(3.1.1) LEMMA. *If $l-k$ is greater than $n-1$, then ξ can be imbedded in η as a subbundle. In other words, there exists an $(l-k)$ vector bundle ζ over S^n such that*

$$\xi \oplus \zeta = \eta$$

PROOF. Let $\text{Hom}(\xi, \eta)$ be the bundle defined by $\text{Hom}(\xi, \eta)_x = \text{Hom}(\xi_x, \eta_x) \cdots$ group of linear transformations of ξ_x into η_x , and $L(\xi, \eta)$ the sub-bundle of $\text{Hom}(\xi, \eta)$ with fibre $L(\xi_x, \eta_x) \cdots$ group of linear transformations of maximal rank k ($k < l$). Then ξ can be imbedded in η as a sub-bundle if and only if $L(\xi, \eta)$ has a cross section. Since $\pi(L(\xi_x, \eta_x)) = \pi(V_{l,k}) = 0$, for $l < l-k$, lemma follows from the standard obstruction theory.

3. 2. Following lemmas are basic for the proof of our results.

(3.2.1) LEMMA. *Let ξ be a k -vector bundle over a differentiable manifold M . Suppose M be imbedded in R^m with normal vector bundle ν . If ξ can be imbedded in ν as a sub-bundle of ν , then*

$$E(\xi) \subset R^m$$

This lemma follows from the fact that the assumption implies that $E(\xi)$ is imbedded in $E(\nu)$ and $E(\nu)$ is imbedded in R^m as a tubular neighbourhood of M in R^m .

(3.2.2) LEMMA. *Let ξ be a k -vector bundle over a differentiable manifold M . Then we have*

$$(i) \quad E(\xi) \subseteq R^{n+k+r} \rightarrow B(\xi) \subseteq R^{n+k+r-1}$$

$$(ii) \quad E(\xi) \subset R^{n+k+r} \rightarrow B(\xi) \subset R^{n+k+r}$$

The proof of (i). Let ν be the normal bundle of immersion; $E(\xi) \subseteq R^{n+k+r}$
We have

$$\tau(E(\xi)) \oplus \nu = \varepsilon^{n+k+r}$$

and

$$\tau(E(\xi)) = \pi_{\xi'}(\tau(M) \oplus \xi)$$

Hence $i' \tau(E(\xi)) = p_{\xi'}(\tau(M) \oplus \xi) = p_{\xi'}(\tau(M) \oplus \tilde{\xi} \oplus \varepsilon^1 = \tau(B(\xi)) \oplus \varepsilon'$

where i' is induced by the inclusion i ; $B \subseteq E$ and $\tilde{\xi}$ the bundle along the fibres.

Since
$$i' \tau(E(\xi)) \oplus i' \nu = \varepsilon^{n+k+r}$$

we have
$$\tau(B(\xi)) \oplus \varepsilon^1 \oplus i' \nu = \varepsilon^{n+k+r}$$

Therefore $B(\xi)$ can be immersed in R^{n+k+r} with normal bundle $\varepsilon^1 \oplus i' \nu$. This implies (i).

(ii) is clear.

(3.2.3) LEMMA. *Let ξ be as in (3.2.2). Then we have*

$$\tau(B(\xi)) \oplus \varepsilon^1 = p_{\xi}^{-1} (\tau(M) \oplus \xi)$$

where $\tau(\quad)$ denotes the tangent bundle.

PROOF. See [9].

3. 3. Now let us calculate the Stiefel-Whitney classes of a k -sphere bundle (ξ) over the n -sphere S^n .

Let $\tilde{\xi}$ be the associated principal $SO(k+1)$ -bundle of ξ . We may suppose $k+1 \geq n$. The restriction of $\tilde{\xi}$ on the $(n-1)$ skeleton of S^n has a cross section f . Let $0(\tilde{\xi}, f)$ be the obstruction to extending f over S^n ; $0(\tilde{\xi}, f) \in H^n(S^n; \pi_{n-1}(SO(k+1)))$.

Let p denote the natural projection $SO(k+1) \rightarrow V_{k+1, k+1-n+1}$, p_* the homomorphism $\pi_{n-1}(SO(k+1)) \rightarrow \pi_{n-1}(V_{k+1, k+1-n+1})$ and p_{**} the induced homomorphism $H^n(S^n; \pi_{n-1}(SO(k+1))) \rightarrow H^n(S^n; \pi_{n-1}(V_{k+1, k+1-n+1}))$. Then we have

$$w_n(\xi) = p_{**} 0(\tilde{\xi}, f)$$

The following result has been proved in [4].

(3.3.1) *If $k \geq n$ and $n \neq 2, 4$ or 8 , then $w_n(\xi) = 0$.*

3. 4. We will calculate $w_n(\xi)$ when $n=2, 4$ or 8 .

3.4.1. The case $n=2$.

In this case we can choose the associated sphere bundle of $\theta \oplus \varepsilon^{k-1}$ as (ξ) , where θ is the canonical 2-vector bundle over S^2 ; the canonical complex line bundle over $CP_1 = S^2$ regarded as a real vector bundle. Since θ has the total Chern class $c(\theta) = 1 + a$, where a is a generator of $H^2(S^2; \mathbb{Z})$, $w_2(\xi) = a \pmod{2}$.

3.4.2. The case $n=4$.

Let $\xi_n^{(4,k)}$ ($k \geq 4$) be the bundle with characteristic map $i(n\sigma)$, where $i: SO(4) \rightarrow SO(r)$ ($r \geq 5$), $\sigma: S^3 \rightarrow SO(4)$ given by

$$\sigma(u)v = uv$$

where u and v denote quaternions with norm 1. By a result of [10], we have

$$0(\tilde{\xi}_n^{(4,k)}) = \pm na_4$$

where a_4 is a generator of $H^4(S^4)$. Hence we have

(3.4.2.1) $w_4(\xi_n^{(4,k)})=0$ if and only if n is even.

Let $\xi_{m,n}^{(4,3)}$ be the bundle with characteristic map $m\rho+n\sigma$, where $\rho;S^3\rightarrow SO(3)\subset SO(4)$, given by $\rho(u)v=uvu^{-1}$. Then we have

(3.4.2.2) $w_4(\xi_{m,n}^{(4,3)})=\pm n a_4 \pmod{2}$.

3.4.3. The case $n=8$.

In this case we have the similar results.

$$w_8(\xi_{m,n}^{(8,7)})=\pm n a_8 \pmod{2}$$

$$w_8(\xi_m^{(8,k)})=\pm m a_8 \pmod{2} \quad (k\geq 8)$$

where a_8 is a generator of $H^8(S^8)$.

3.5. Let us calculate the Stiefel-Whitney classes of B , using (3.2.3).

(3.2.3) shows

$$w(\tau(B(\xi)))=p^*(w(\xi))$$

where $p^*; H^*(S^n; Z_2)\rightarrow H^*(B(\xi); Z_2)$ is the homomorphism induced by p . If $k\geq n$, p^* is an isomorphism. Hence we have

(3.5.1) LEMMA. Let ξ be a $(k+1)$ -vector bundle over the n -sphere S^n ($k\geq n$).

Then we have

$$(i) \quad w(B)=1 \text{ if } n \neq 2, 4, 8$$

$$(ii) \quad w(B^{(2,k)})=1+a$$

$$(iii) \quad w(B_m^{(4,k)})=1+a \text{ for } m \text{ odd} \\ =1 \text{ for } m \text{ even}$$

$$(iv) \quad w(B_m^{(8,k)})=1+a \text{ for } m \text{ odd} \\ =1 \text{ for } m \text{ even}$$

(3.5.2) LEMMA. $w(B_{m,n}^{(k+1,k)})=1$ for $k=3, 7$

This follows from $H^r(B_{m,n}^{(k,k-1)})=Z_n$ for $k=4, 8$.

Combining these and a result of J. Milnor, we have

$w(B_m^{(n,k)}) \neq 0$ if and only if $(n, k, m)=(2, 2+l, d)$, $(4, 4+l, d)$ and $(8, 8+l, d)$, where l is nonnegative integer, d is an odd integer.

4. Grothendieck operations on $\widetilde{KO}(S^n)$

4.1. We recall the definition of the group $\widetilde{KO}(S^n)$; $\widetilde{KO}(S^n)$ is isomorphic to the group of stable classes of real vector bundles over S^n . It is well known that $\widetilde{KO}(S^n)$

is isomorphic to $\pi_n(BSO)$, where BSO is the classifying space for stable rotation group SO . The following table is also well known.

$$(4.1.1) \quad \begin{array}{c|cccccccc} n \pmod{8} & 0 & 1 & 2 & 3 & 4 & 5 & 6 & 7 \\ \hline \widetilde{KO}(S^n) & Z & Z_2 & Z_2 & 0 & Z & 0 & 0 & 0 \end{array}$$

4. 2. Let λ^i and ϕ_i be operations defined by R. Bott in [2]. There exists the following relation between these operations

$$(4.2.1) \quad \phi_i - \phi_{i-1}\lambda^1 + \dots + (-1)^i \lambda^i = 0.$$

Moreover we have

$$(4.2.2) \quad \phi_i(x) = i^r x \text{ for } x \in \widetilde{KO}(S^n), n = 2r$$

If x denotes a generator of $KU(S^n)$, then $x^2 = 0$ for $n \equiv 0 \pmod{4}$. Hence

$$\lambda^i(x) = (-1)^i i^{(r-1)x}$$

4. 3. Next we will consider the r operation on $\widetilde{KO}(S^n)$ defined by F. Atiyah in [1]. γ^i is defined by the following formula

$$\Sigma \gamma^i t^i = \Sigma \lambda^i t^i (1-t)^{-i}$$

in other words,

$$\gamma^i = \lambda^1 + \binom{i-1}{1} \lambda^2 + \binom{i-1}{2} \lambda^3 + \dots + \binom{i-1}{i-1} \lambda^i$$

Therefore, for $n = 4r$

$$\gamma^i(x) = \lambda^1(x) + \binom{i-1}{1} (-1)^1 2^{2r-1} \lambda^1(x) + \dots + \binom{i-1}{i-1} (-1)^{i-1} i^{2r-1} \lambda^1(x)$$

Since $\lambda^1(x) = x$, we have

$$\gamma^i(x) = x \{1 - \binom{i-1}{1} 2^{2r-1} + \binom{i-1}{2} 3^{2r-1} - \dots + (-1)^{i-1} \binom{i-1}{i-1} i^{2r-1}\}$$

4. 4. If $n \equiv 1, 2 \pmod{8}$, γ^i operations are determined by r^i -operations on $\widetilde{KO}(P)$, and if $n \not\equiv 0, 1, 2, 4 \pmod{8}$ all γ^i operations are zero.

5. Immersions and imbeddings

5. 1. First we prove the following

(5.1.1) THEOREM. Let ξ be a $(k+1)$ vector bundle over the n -sphere S^n . Then we have

$$B(\xi) \subset R^{2n+k+1} \text{ and } B(\xi) \subseteq R^{2n+k}.$$

PROOF. Let S^n be imbedded in R^{n+m} with normal vector bundle ν . If m is large enough, ξ can be imbedded in ν as a sub-bundle, there exists an $(m-k-1)$ vector bundle η such

that

$$\xi \oplus \eta = \nu$$

Since $\pi_i (V_{m-k-1, m-k-1-n}) = 0$, for $i > n$,

$$\eta = \zeta \oplus \varepsilon^{m-k-1-n}$$

where ξ is an n -vector bundle over S^n , Thus we have

$$\xi \oplus \zeta \oplus \varepsilon^{m-k-1-n} = \nu.$$

We can find an immersion of S^n in R^{2n+k+1} with the normal vector bundle $\xi \oplus \zeta$, and suppose that this immersion is an imbedding. Hence ξ can be imbedded in the normal vector bundle of an imbedding of S^n in R^{2n+k+1} . By (3.2.1), (3.2.2), we have

$$B(\xi) \subset R^{2n+k+1} \text{ and } B(\xi) \subseteq R^{2n+k}$$

In remainder of this section, we will show the general result can be improved in some special cases.

5. 2. We prove, for even n ,

(5.2.1) LEMMA. *If $w_n(\xi) = 0$, then $B(\xi) \subset R^{2n+k}$ and $B(\xi) \subseteq R^{2n+k-1}$.*

PROOF. $w_n(\xi) = w_n(\eta)$ is the only one obstruction to the existence of $(m-k-1-n+1)$ linearly independent cross sections of η . If $w_n(\eta) = 0$, then ξ can be imbedded in the normal vector bundle of an imbedding of S^n in R^{2n+k} .

Now we consider some spacial cases.

5. 3. The case $n = 3, 5, 6, 7 \pmod{8}$.

In this case we can prove the following

(5.3.1) THEOREM. *If $k \geq n$, then $B(\xi) \subset R^{n+k+1}$.*

(5.3.2) THEOREM. *If $k \leq n-1$, then $B(\xi) \subset R^{2n+1}$ and $B(\xi) \subseteq R^{2n}$.*

PROOF. (5.3.1) follows from the fact that if $k \geq n$, then $(\xi) = S^n \times S^k$.

The proof of (5.3.2) is as follows; since ξ is stably trivial, $\xi \oplus \varepsilon^{n-k} = \varepsilon^{n+1}$. Hence ξ can be imbedded in the normal vector bundle ε^{n+1} of an imbedding of S^n in R^{2n+1} . The result follows from (3.2.1) and (3.2.2).

Moreover we have

(5.3.3) THEOREM. *For any k , $B(\xi) \subseteq R^{n+k+1}$.*

This follows from that for any k , $B(\xi)$ is stably parallelizable.

5. 4. The case $n \equiv 1, 2 \pmod{8}$.

(5.4.1) THEOREM. (i) *If $n \equiv 1 \pmod{4}$, $k \geq 3$ and $n \geq 3$ and 4, then $B(\xi) \subset R^{2n+k-2}$ and $B(\xi) \subseteq R^{2n+k-3}$* (ii) *If $n \equiv 2 \pmod{4}$, $k \geq 7$ and $n \geq 7$, then $B(\xi) \subset R^{2n+k-5}$ and $B(\xi) \subseteq R^{2n+k-6}$*

PROOF. Let S^n be imbedded in R^{n+m} with normal bundle ν . As in the proof of (5.1.1), we have

$$\xi \oplus \eta = \nu$$

for some $(m-k-1)$ vector bundle η . The only obstruction to the existence of $(m-k-1+3-n)$ linearly independent cross sections of η is an element of $H^n(S^s; \pi_{n-1}(V_{m-k-1,$

$m-k-1-n+3$). By the result of [6] for $n \equiv 1 \pmod{4}$,

$$\pi_{n-1}(V_{m-k-1, m-k-1-n+3}) = 0.$$

Hence we have

$$\eta = \varepsilon^{m-k-1-n+3} \oplus \zeta$$

where ζ is an $(n-3)$ vector bundle over S^n .

Then we have

$$\xi \oplus \zeta \oplus \varepsilon^{m-k-1-n+3} = \nu$$

If $k \geq 3$, we can find an imbedding of S^n in R^{2n+k-2} with normal vector bundle $\xi \oplus \zeta$. This implies ξ can be imbedded in R^{2n+k-2} . Lemma (3.2.1) and (3.2.2) complete the proof of (i). The proof of (ii) is similar.

5. 5. The case $n \equiv 0 \pmod{4}$.

(5.2.1) and (3.3.1) give the following

(5.5.1) THEOREM. If $n \equiv 0 \pmod{4}$, and $n \neq 4, 8$, then $B(\xi) \subset R^{2n+k}$ and $\subseteq R^{2n+k-1}$.

Theorems in Sections 5. 3, 5. 4 and 5. 5 give a partial answer to question 1.

Next we will consider question 2.

5. 6. Let p' be the homomorphism $K\tilde{O}(S^n) \rightarrow K\tilde{O}(B(\xi))$ induced by the projection $p; B(\xi) \rightarrow S$. The following lemma is due to M. F. Atiyah.

(5.6.1) LEMMA. Let ξ be non-stably trivial k -bundle over S , and p' an isomorphism. Then if $\tau_i(-\xi_0) \neq 0, B(\xi) \not\subset R^{n+k+i}$, and $\not\subset R^{n+k+i}$, where ξ_0 denotes the stable class of ξ .

6. Some special cases

In this section we shall study k -sphere bundle over the n -sphere for $n \leq 4$.

6. 1. The case $n=2$.

6.1.1. 1-sphere bundle over S^2 .

Since $B(\xi)$ is an orientable manifold of dimension 3 for any $m \in \pi_1(SO(1))$, $B(\xi)$ can be imbedded in R^5 with a trivial normal bundle (3), and hence $B(\xi)$ can be immersed in R^4 .

6.1.2. k -sphere bundle over S^2 .

In this case it follows from (5.1.1) that $B_m^{(2,k)}$ can be imbedded in R^{5+k} and immersed in R^{4+k} . Since $w_2(B_m^{(2,k)}) \neq 0$, these results are best possible.

6. 2. The case $n=4$.

6.2.1. 2-sphere bundle over S^4 .

First we recall some results on group $\pi_3(SO(r))$ ($r \geq 3$)

As is well known, we have

$$\pi_3(SO(3)) = \mathbb{Z}, \quad \pi_3(SO(4)) = \mathbb{Z} + \mathbb{Z}, \quad \pi_3(SO(r)) = \mathbb{Z} (r \geq 5)$$

Let $i_r : SO(r) \rightarrow SO(r+1)$ be natural inclusion. Then the generators

$$\{\alpha_3\}, \{\alpha_4, \beta_4\}, \{\beta_r\} \quad (r \geq 5)$$

of $\pi_3(SO(3))$, $\pi_3(SO(4))$, $\pi_3(SO(r))$ respectively are given as follows;

$$\alpha_3(u)v = uvu^{-1}, \quad \alpha_4 = (i_3)_*(\alpha_3), \quad \beta_4(u)v = uv.$$

where u and v are quaternions with norm 1. And

$$\beta = (i_{r-1})_* \cdots (i_4)_*(\beta_4) \quad (r \geq 5)$$

$$(i_4)_*(\alpha_4) = -2\beta_5$$

It follows from these that $\xi_m^{(4,3)}$ is not stably trivial for $m \neq 0$. Since its stable class is $2mx$, where x is a generator of $K\tilde{O}(S^n)$, p' is an isomorphism, and

$$\tau^2(2mx) = 2mx(1-2) \neq 0$$

$$\tau^3(2mx) = 2mx(1 - \binom{2}{1}2 + \binom{2}{2}3) = 0$$

we have $B(\xi) \not\subset R^8$ and $\cong R^7$.

That $w_4(\xi_m^{(4,3)}) = 0$ implies $B(\xi) \subset R^{10}$ and $\subseteq R^9$.

6.2.2 3-sphere bundle over S^4 .

We have

$$(i) \quad B(\xi_{m,o}) \subset R^{11} \text{ and } \subseteq R^{10} \quad m \neq 0$$

$$(ii) \quad B(\xi) \not\subset R^9 \text{ and } \cong R^8$$

PROOF. (i) follows from that $\xi_m^{(4,4)}$, has a cross section, $E(\xi_{m,o}) \subset R^{10}$.

(ii) follows from that $\xi_{m,o}$ is not stably trivial and $\tau^2(B(\xi_{m,o})) \neq 0$.

Moreover we can prove the following

$$(iii) \quad B_{m,n} \subseteq R^8 \Leftrightarrow n=2 \text{ or } 2n \equiv 0 \pmod{n}.$$

$$(iv) \quad B_{m,n} \subset R^{12} \text{ and } \subseteq R^{11} \text{ for any } m \text{ and } n.$$

$$(v) \quad B_{m,n} \subset R^{11} \text{ and } \subseteq R^{10} \text{ for } n \text{ even}.$$

$$(vi) \quad B_{m,n} \subset R^9 \text{ and } \subseteq R^8 \text{ for any } m$$

PROOF. of (iii). Let $K\tilde{O}(B_{m,n})$ be the group of stable classes of real vector bundles over $B_{m,n}$. Then the projection $p; B_{m,n} \rightarrow S^4$ induces the homomorphism

$$p' : K\tilde{O}(S^4) \rightarrow K\tilde{O}(B_{m,n})$$

and

$$\{\tau(B_{m,n})\} = p' \{\xi_{m,n}\}$$

where $\{\eta\}$ denotes the stable class of η . It is known that the kernel of p' consists of all integral multiples of the order of $H_3(B_{m,n}; Z)$ [9]. The stable class of $\xi_{m,n}$ is regarded as the image of $(m\alpha_1 + n\beta_4) \in \pi_3(SO(4))$ under the homomorphism $(i_{r-1})_* \cdots (i_4)_* ; \pi_3(SO(4)) \rightarrow \pi_3(SO(r)) (r \geq 5)$. Since

$$(i_{r-1})_* \cdots (i_4)_*(ma_4 + n\beta_4) = (-2m + n)\beta_r$$

and the order of $H_3(B_{m,n})$ is n , $p^! \{\xi_{m,n}\} = 0$ if and only if $n=2$ or $2m \equiv 0 \pmod n$. $p^! \{\xi_{m,n}\} = 0$ implies that $B_{m,n}$ is stably parallelizable and hence $B(\xi) \subseteq R^3$.

6.2.3. k -sphere bundle over S^4 ($k \leq 4$)

In this case we have

- (i) $B_m \subset R^{8+k}$ and $\subseteq R^{7+k}$ for m even
- (ii) $B_m \subset R^{9+k}$ and $\subseteq R^{8+k}$ for m odd.

The result of (ii) are best possible.

(i) is due to the fact $w_4(\xi_m) = 0$. Since $w_4(B_m) = p^* w_4(\xi_m)$, p^* is an isomorphism and $w_4(\xi_m) \neq 0$, $w_4(B_m) \neq 0$, which implies $w_4(B_m) \neq 0$. Hence $B \not\subset R^{8+k}$ and $\not\subseteq R^{7+k}$. It is easy to see $B \not\subset R^{6+k}$ and $B \not\subseteq R^{5+k}$ for even $m \neq 0$.

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