

AN EXAMPLE OF MULTIPLICATIVELY  
SPECTRUM-PRESERVING MAPS BETWEEN NON-ISOMORPHIC  
SEMI-SIMPLE COMMUTATIVE BANACH ALGEBRAS

OSAMU HATORI, TAKESHI MIURA, AND HIROKAZU OKA

ABSTRACT. In this paper we give an example of a multiplicatively spectrum-preserving map between two non-unital commutative semisimple Banach algebras which are not *algebraically* isomorphic to each other.

1. INTRODUCTION

Molnár [6] initiated the study of multiplicatively spectrum-preserving maps on Banach algebras and proved among other theorems that a map  $T$  from a Banach algebra  $C(\mathcal{X})$  of all complex-valued continuous functions on a first countable compact Hausdorff space  $\mathcal{X}$  onto itself is an almost isomorphism in the sense that  $T$  is an algebra isomorphism times a weight with the values in  $\{-1, 1\}$  if  $T$  is multiplicatively spectrum preserving in the sense that the spectrum of the product of any two functions  $f$  and  $g \in C(\mathcal{X})$  equals to the spectrum of the product of  $Tf$  and  $Tg$ . Rao and Roy [7] generalized the result for an arbitrary uniform algebra onto itself. Hatori, Miura and Takagi [3] studied the maps between arbitrary two uniform algebras which are multiplicatively range-preserving and showed that the maps are almost isomorphisms. In particular, they showed the two uniform algebras are algebraically isomorphic to each other. Hatori, Miura and Takagi [4] consider the case where underlying algebras are unital semisimple commutative Banach algebras. They showed that the surjective maps between those commutative Banach algebras are almost isomorphic if they are multiplicatively spectrum-preserving and the two Banach algebras are algebraically isomorphic to each other. Luttmann and Tonev [5] considered multiplicatively preserving property for much more smaller set; peripheral ranges. They proved that if a surjective map between uniform algebra satisfies that the peripheral range of the product of any two functions equals to the peripheral range of the product of the images of those two functions, then the map is an almost isomorphism and these uniform algebras are isometrically isomorphic to each other as Banach algebras. For the case where the underlying Banach algebras

---

2000 *Mathematics Subject Classification.* 46J10.

*Key words and phrases.* multiplicatively spectrum-preserving maps.

The authors were partly supported by the Grants-in-Aid for Scientific Research, The Ministry of Education, Science, Sports and Culture, Japan.

need not be unital, Rao and Roy [8] consider maps from uniformly closed algebras of continuous functions which vanish at infinity onto itself.

In any case of the previous results the domain algebra and the image algebra of the given map are *algebraically* isomorphic. In this paper we give an example of a multiplicatively spectrum-preserving map between two non-unital commutative semisimple Banach algebras which are not *algebraically* isomorphic to each other.

## 2. A MAIN RESULT

Let  $D = \{z \in \mathbb{C} : |z| < 1\}$ ,  $D_0 = \{z \in \mathbb{C} : 0 < |z| < 1\}$ ,  $\bar{D}_0 = \{z \in \mathbb{C} : 0 < |z| \leq 1\}$  and  $L = \{z \in \mathbb{R} : 1 \leq z \leq 2\}$ . Put  $X_0 = \bar{D}_0 \cup L$ ,  $\bar{X}_0 = X_0 \cup \{0\}$  and  $X = X_0 \times \{1, 2\}$ . For  $i = 1$  and  $2$  define maps  $\pi_i$  from  $X_0$  into  $X$  such that  $\pi_i(z) = (z, i)$  respectively. Then the map  $\pi_i$  is a homeomorphism from  $X_0$  onto  $X_0 \times \{i\}$  for  $i = 1, 2$ . Put complex-valued functions  $f_A$  and  $f_B$  on  $X$  by  $f_A(z, i) = z$  and  $f_B(z, i) = (-1)^{i+1}z$ . The algebra of all complex-valued continuous functions on  $\bar{X}_0$  which is analytic on  $D$  is denoted by  $P(\bar{X}_0)$ . We denote  $P(X_0)$  by the restriction of  $P(\bar{X}_0)$  on  $X_0$  and  $P_{00}(X_0) = \{z^2 f(z) : f \in P(X_0)\}$ . The algebra of all complex-valued continuous functions which vanish at infinity is denoted by  $C_0(X)$ . We denote  $A_0 = \{f \in C_0(X) : f \circ \pi_1, f \circ \pi_2 \in P_{00}(X_0)\}$ . Put  $A = A_0 + \mathbb{C}f_A$  and  $B = A_0 + \mathbb{C}f_B$ . It is easy to see that  $A$  and  $B$  are closed subalgebras of  $C_0(X)$  which strongly separate the points of  $X$ .

**Theorem 2.1.** *Let  $A$  and  $B$  be the Banach algebras which are defined above. Let  $\eta : X \rightarrow \{-1, 1\}$  be  $\eta(x, i) = (-1)^i$ . Then the map  $T : A \rightarrow B$  defined by  $T(f) = \eta f$  is surjective and satisfies the equality*

$$\sigma(T(f)T(g)) = \sigma(fg), \quad f, g \in A.$$

*The Banach algebras  $A$  and  $B$  are not algebraically isomorphic to each other.*

Note that  $A$  is isometrically isomorphic to  $B$  as a Banach space.

**Lemma 2.2.** *For every  $f \in A$  and  $i = 1$  and  $2$ , the function  $f \circ \pi_i$  is analytically extended at the origin  $0$  and the derivative at the origin of both functions coincides, that is,  $(f \circ \pi_1)'(0) = (f \circ \pi_2)'(0)$ .*

*Proof.* It is simple that the extended function with the value  $0$  at the origin for  $f \circ \pi_i$  is analytic on  $D$ . For the rest of the proof the extended function of  $f \in A$  is also denoted by  $f$ . Let  $f \in A$ . Then  $f$  is decomposed by  $f = f_0 + \lambda f_A$  for an  $f_0 \in A_0$  and a complex number  $\lambda$ . Then we have

$$(f \circ \pi_i)'(0) = (f_0 \circ \pi_i)'(0) + \lambda(f_A \circ \pi_i)'(0)$$

for  $i = 1, 2$ . Since  $(f_0 \circ \pi_i)'(0) = 0$  and  $(f_A \circ \pi_i)'(0) = 1$ , we see that  $(f \circ \pi_1)'(0) = \lambda = (f \circ \pi_2)'(0)$ . □

In the same way we see the following.

**Lemma 2.3.** *For every  $f \in B$  and  $i = 1$  and  $2$ , the function  $f \circ \pi_i$  is analytically extended at the origin  $0$  and  $(f \circ \pi_1)'(0) = -(f \circ \pi_2)'(0)$ .*

The maximal ideal space for  $A$  (resp.  $B$ ) is denoted by  $M_A$  (resp.  $M_B$ ). The space  $X$  is embedded in  $M_A$  (resp.  $M_B$ ) by the natural embedding. We see the following.

**Lemma 2.4.** *The maximal ideal space  $M_A$  of  $A$  coincides with  $X$  itself.*

*Proof.* First we show that the maximal ideal space  $M_{P_{00}(X_0)}$  is equal to  $X_0$ . It is trivial that  $M_{P_{00}(X_0)} \supset X_0$ , we show that the opposite inclusion. Suppose that  $\phi \in M_{P_{00}(X_0)}$ . By the definition the function  $z^2$  is in  $P_{00}(X_0)$  and we see that  $\phi(z^2) \neq 0$ . (Suppose not. Put an arbitrary  $f \in P_{00}(X_0)$ . Then there is a  $g \in P(X_0)$  with  $f = z^2g$ . We see that  $z^2g^2 \in P_{00}(X_0)$  and

$$\phi(f)^2 = \phi(f^2) = \phi(z^2)\phi(z^2g^2) = 0.$$

It follows that  $\phi$  vanishes since  $f$  is an arbitrary element in  $P_{00}(X_0)$ , which is a contradiction.) Put a function  $\bar{\phi} : P(X_0) \rightarrow \mathbb{C}$  by

$$\bar{\phi}(f) = \frac{\phi(z^2f)}{\phi(z^2)}$$

for each  $f \in P(X_0)$ . Then by a simple calculation we see that  $\bar{\phi}$  is a non-zero complex homomorphism on  $P(X_0)$ , that is,  $\bar{\phi}$  is in the maximal ideal space  $M_{P(X_0)}$  of  $P(X_0)$ . Since  $P(X_0)$  is algebraically isomorphic to  $P(\overline{X_0})$ , we see that  $M_{P(X_0)} = \overline{X_0}$  (cf. [2, Corollary II 1.10]); there exists an  $x \in \overline{X_0}$  such that  $\bar{\phi}(f) = f(x)$  holds for every  $f \in P(X_0)$ . We see that  $x \neq 0$ . (Suppose not. Then we have

$$0 = \bar{\phi}(z^2) = \frac{\phi(z^4)}{\phi(z^2)} = \phi(z^2) \neq 0,$$

which is a contradiction.) We also see by a similar calculation that  $\bar{\phi}|_{P_{00}(X_0)} = \phi$ . It follows that the equalities

$$\phi(f) = \bar{\phi}(f) = f(x)$$

hold for every  $f \in P_{00}(X_0)$ . Thus we see that  $M_{P_{00}(X_0)} = X_0$ .

Next we show that the maximal ideal space  $M_{A_0}$  of  $A_0$  coincides with  $X$ . By the definition of the algebra  $A_0$ ,  $A_0$  is algebraically isomorphic to the direct product of two copies of  $P_{00}(X_0)$ , so the maximal ideal space of  $A_0$  is homeomorphic to the topological sum of those of  $P_{00}(X_0)$ , which is homeomorphic to  $X$ .

Finally we show that  $M_A = X$ . We only need to show that  $M_A \subset X$ . Let  $\phi \in M_A$ . Since  $(f_A)^2 \in A_0$  we see that the restriction  $\phi|_{A_0}$  of  $\phi$  to  $A_0$  is non-zero. So there exists an  $x \in X$  such that the equality

$$\phi(f) = f(x)$$

holds for every  $f \in A_0$ . Put a function  $Z^2$  on  $X$  by  $Z^2(z, i) = z^2$  for every  $(z, i) \in X$ . Then  $Z^2 f_A$  is in  $A_0$  and  $\phi(Z^2 f_A) = Z(x)^2 f_A(x)$ . Since  $\phi$  is multiplicative on  $A$ , we have that  $\phi(Z^2 f_A) = \phi(Z^2)\phi(f_A)$ . It follows that  $\phi(f_A) = f_A(x)$  since  $\phi(Z^2) = Z^2(x) \neq 0$ . It follows that the equality

$$\phi(f) = f(x)$$

holds for every  $f \in A$ . We see that  $M_A \subset X$ . □

In a way similar to the above we see the following.

**Lemma 2.5.** *The maximal ideal space  $M_B$  of  $B$  is  $X$  itself.*

**Lemma 2.6.**  *$A$  is not algebraically isomorphic to  $B$ .*

*Proof.* Suppose that  $A$  is algebraically isomorphic to  $B$ . Let  $T$  be an algebra isomorphism from  $A$  onto  $B$ . Then there exists a homeomorphism  $\Phi$  from  $M_B$  onto  $M_A$  with

$$\widehat{T(f)} = \hat{f} \circ \Phi, \quad f \in A.$$

Since  $M_A = X = M_B$  and  $X = X_0 \times \{1\} \cup X_0 \times \{2\}$ , we have that (1)  $\Phi(X_0 \times \{1\}) = X_0 \times \{1\}$  and  $\Phi(X_0 \times \{2\}) = X_0 \times \{2\}$ ; or (2)  $\Phi(X_0 \times \{1\}) = X_0 \times \{2\}$  and  $\Phi(X_0 \times \{2\}) = X_0 \times \{1\}$ . We consider the case (1). (For the case (2) we can prove in a similar way and the proof is omitted.) In this case there exist self-homeomorphisms  $\Phi_1$  and  $\Phi_2$  on  $X_0$  with  $\Phi(x, 1) = (\Phi_1(x), 1)$  and  $\Phi(x, 2) = (\Phi_2(x), 2)$  for every  $x \in X_0$ . Since  $T(f_A) \circ \pi_1 = \Phi_1$  on  $X_0$ ,  $T(f_A) \circ \pi_1$  is an analytic automorphism on  $D_0$  and  $T(f_A) \circ \pi_1(1) = 1$ . It follows that  $T(f_A) \circ \pi_1$  is extended to the analytic automorphism on  $D$  which fixes the origin and 1;  $T(f_A) \circ \pi_1(z) = z$  for every  $z \in D$ . So we have that  $(T(f_A) \circ \pi_1)'(0) = 1$ . In a way similar to the above, we see that  $(T(f_A) \circ \pi_2)'(0) = 1$ , which contradicts to Lemma 2.3. Thus we see that  $A$  is not algebraically isomorphic to  $B$ . □

**Lemma 2.7.** *Let  $\eta : X \rightarrow \{-1, 1\}$  be  $\eta(x, i) = (-1)^i$ . Then the map  $T : A \rightarrow B$  defined by  $T(f) = \eta f$  is surjective and satisfies the equality*

$$\sigma(T(f)T(g)) = \sigma(fg), \quad f, g \in A.$$

A proof is clear and is omitted.

## REFERENCES

- [1] A. Browder, "Introduction to Function Algebras", W.A. Benjamin, 1969.
- [2] T. W. Gamelin, "Uniform Algebras 2nd ed.", Chelsea Publishing Company, 1984.
- [3] O. Hatori, T. Miura and H. Takagi, *Characterizations of isometric isomorphisms between uniform algebras via non-linear range-preserving properties*, Proc. Amer. Math. Soc., **134**(2006)2923–2930
- [4] O. Hatori, T. Miura and H. Takagi, *Unital and multiplicatively spectrum-preserving surjections between semi-simple commutative Banach algebras are linear and multiplicative*, J. Math. Anal. Appl., **326**(2007)281–296

- [5] A. Luttman and T. Tonev, *Uniform algebra isomorphisms and peripheral multiplicativity*, Proc. Amer. Math. Soc., **135** (2007), 3589–3598
- [6] L. Molnár, *Some characterizations of the automorphisms of  $B(H)$  and  $C(X)$* , Proc. Amer. Math. Soc., **130**(2002), 111-120
- [7] N. V. Rao and A. K. Roy, *Multiplicatively spectrum-preserving maps of function algebras*, Proc. Amer. Math. Soc., **133**(2005), 1135–1142
- [8] N. V. Rao and A. K. Roy, *Multiplicatively spectrum-preserving maps of function algebras.II*, Proc. Edin. Math. Soc., **48**(2005)219–229

DEPARTMENT OF MATHEMATICS, FACULTY OF SCIENCE, NIIGATA UNIVERSITY, NIIGATA 950-2181 JAPAN

*E-mail address:* hatori@math.sc.niigata-u.ac.jp

DEPARTMENT OF APPLIED MATHEMATICS AND PHYSICS, GRADUATE SCHOOL OF SCIENCE AND ENGINEERING, YAMAGATA UNIVERSITY, YONEZAWA 992-8510, JAPAN

*E-mail address:* miura@yz.yamagata-u.ac.jp

FACULTY OF ENGINEERING, IBARAKI UNIVERSITY, HITACHI 316-8511 JAPAN

*E-mail address:* oka@mx.ibaraki.ac.jp

Received June 5, 2007