

Census of the Complex Hyperbolic Sporadic Triangle Groups

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The goal of this paper is to give a conjectural census of complex hyperbolic sporadic triangle groups. We prove that only finitely many of these sporadic groups are lattices.

We also give a conjectural list of all lattices among sporadic groups, and for each group in the list we give a conjectural group presentation, as well as a list of cusps and generators for their stabilizers. We describe strong evidence for these conjectural statements, showing that their validity depends on the solution of reasonably small systems of quadratic inequalities in four variables.

1. INTRODUCTION

The motivation for this paper is to construct discrete groups acting on the complex hyperbolic plane $H_{\mathbb{C}}^2$, more specifically lattices (where one requires in addition that the quotient by the action of the discrete group have finite volume). Complex hyperbolic spaces $H_{\mathbb{C}}^n$ are a natural generalization to the realm of Kähler geometry of the familiar non-Euclidean geometry of $H_{\mathbb{R}}^n$. The space $H_{\mathbb{C}}^n$ is simply the unit ball in \mathbb{C}^n , endowed with the unique Kähler metric invariant under all biholomorphisms of the ball; this metric is symmetric and has nonconstant negative real sectional curvature (holomorphic sectional curvature is constant). The group of holomorphic isometries of $H_{\mathbb{C}}^n$ is the projectivized group $PU(n, 1)$ of a Hermitian form of Lorentzian signature $(n, 1)$.

It is a well-known fact due to Borel that lattices exist in the isometry group of any symmetric space, but the general structure of lattices and the detailed study of their representation theory brings forth several open questions. The basic construction of lattices relies on the fact that for any linear algebraic group G defined over \mathbb{Q} , the group of integral matrices $G(\mathbb{Z})$ is a lattice in $G(\mathbb{R})$. The group $G(\mathbb{Z})$ is clearly discrete, and the fact that it is a lattice follows from a theorem of Borel and Harish-Chandra. More generally, to a group defined over a number field (i.e., a finite extension of the rationals),

one can associate a group defined over \mathbb{Q} by a process called restriction of scalars. One is naturally led to the general notion of *arithmetic group*, keeping in mind that one would like to push as far as possible the idea of taking integral matrices in a group defined over \mathbb{Q} . For the general definition of arithmeticity, we refer the reader to Section 2. In the context of the present paper, the arithmeticity criterion in that section (Proposition 2.2) will be sufficient.

It is known since deep work of Margulis that lattices in the isometry group of any symmetric space of higher rank (i.e., rank ≥ 2) are all arithmetic. There are four families of rank-1 symmetric spaces of noncompact type, namely

$$\mathbb{H}_{\mathbb{R}}^n, \quad \mathbb{H}_{\mathbb{C}}^n, \quad \mathbb{H}_{\mathbb{H}}^n, \quad \mathbb{H}_{\mathbb{O}}^2.$$

Lattices in the isometry groups of the last two families (hyperbolic spaces over the quaternions and the octonions) are all known to be arithmetic, thanks to work of Corlette and Gromov–Schoen.

On the other hand, nonarithmetic lattices are known to exist in $\mathrm{PO}(n, 1)$ (which is the isometry group of $\mathbb{H}_{\mathbb{R}}^n$) for arbitrary $n \geq 2$. A handful of examples coming from Coxeter groups were known in low dimensions before Gromov and Piatetski-Shapiro found a general construction using so-called interbreeding of well-chosen arithmetic real hyperbolic lattices (see [Gromov and Piatetski-Shapiro 88]).

The existence of nonarithmetic lattices in $\mathrm{PU}(n, 1)$ (the group of holomorphic isometries of $\mathbb{H}_{\mathbb{C}}^n$) for arbitrary n is a longstanding open question. Examples are known only for $n \leq 3$, and they are all commensurable with complex reflection groups. More specifically, it turns out that all known nonarithmetic lattices in $\mathrm{PU}(n, 1)$ for $n = 2$ or 3 are commensurable with one of the hypergeometric monodromy groups listed in [Deligne and Mostow 86] and [Mostow 86] (the same list appears in [Thurston 98]).

The goal of this paper is to announce (and give outstanding evidence for) results that exhibit several new commensurability classes of nonarithmetic lattices in $\mathrm{PU}(2, 1)$. Our starting point was the investigation in [Parker and Paupert 09] of *symmetric triangle groups*, i.e., groups generated by three complex reflections of order $p \geq 3$ in a symmetric configuration (the case $p = 2$ was studied in [Parker 08]).

With R_i , $i = 1, 2, 3$, denoting the generators, the symmetry condition means that there exists an isometry J of order 3 such that $JR_iJ^{-1} = R_{i+1}$ (indices modulo 3). It turns out that conjugacy classes of symmetric triangle groups (with generators of any fixed order $p \geq 2$) can

then be parameterized by

$$\tau = \mathrm{Tr}(R_1J),$$

provided we represent isometries by matrices for R_1 and J in $\mathrm{SU}(2, 1)$ (see Section 3 for basic geometric facts about complex hyperbolic spaces).

Following [Parker and Paupert 09], we denote by $\Gamma(\frac{2\pi}{p}, \tau)$ the group generated by R_1 and J as above. The main problem is to determine the values (p, τ) of the parameters such that $\Gamma(\frac{2\pi}{p}, \tau)$ is a lattice in $\mathrm{PU}(2, 1)$. It is a difficult problem to do this in all generality (see the discussions in [Mostow 80] and [Deraux 05], for instance).

To simplify matters, we shall concentrate on a slightly smaller class of groups. The results in [Parker and Paupert 09] give the list of all values of p, τ such that R_1R_2 and R_1J are either parabolic, or elliptic of finite order. When this condition holds, we refer to such a triangle group as *doubly elliptic* (see Section 4).

It turns out that the double ellipticity condition is independent of p , and the values of τ that yield doubly elliptic triangle groups come in two continuous 1-parameter families, together with 18 isolated values of the parameter τ .

The continuous families yield groups that are subgroups of so-called Mostow groups, i.e., those whose generating reflections satisfy the braid relation

$$R_iR_{i+1}R_i = R_{i+1}R_iR_{i+1}.$$

In that case, the problem of determining which parameters yield a lattice is completely solved (see [Mostow 80, Mostow 88] for the first family and [Parker and Paupert 09] for the second).

The isolated values of τ corresponding to doubly elliptic triangle groups are called *sporadic values*, and the corresponding triangle groups are called *sporadic triangle groups* (the list of sporadic values is given in Table 1). It has been suspected since [Parker 08] and [Parker and Paupert 09] that sporadic groups may yield interesting lattices.

In fact, the work in [Paupert 10] shows that only one sporadic triangle group is an *arithmetic* lattice; moreover, most sporadic triangle groups are not commensurable with any of the previously known nonarithmetic lattices (the Picard, Mostow, and Deligne–Mostow lattices). The precise statement of what “most sporadic groups” means is given in Theorem 4.8; see also [Paupert 10]. The problem left open is of course to determine which sporadic groups are indeed lattices.

To that end, it is quite natural to use the first author’s computer program (see [Deraux 05]), and to go through

$$\begin{array}{l} \sigma_1 = e^{i\pi/3} + e^{-i\pi/6} 2 \cos(\pi/4) \\ \sigma_4 = e^{2\pi i/7} + e^{4\pi i/7} + e^{8\pi i/7} \\ \sigma_7 = e^{2\pi i/9} + e^{-i\pi/9} 2 \cos(2\pi/7) \end{array} \left| \begin{array}{l} \sigma_2 = e^{i\pi/3} + e^{-i\pi/6} 2 \cos(\pi/5) \\ \sigma_5 = e^{2\pi i/9} + e^{-i\pi/9} 2 \cos(2\pi/5) \\ \sigma_8 = e^{2\pi i/9} + e^{-i\pi/9} 2 \cos(4\pi/7) \end{array} \right. \begin{array}{l} \sigma_3 = e^{i\pi/3} + e^{-i\pi/6} 2 \cos(2\pi/5) \\ \sigma_6 = e^{2\pi i/9} + e^{-\pi i/9} 2 \cos(4\pi/5) \\ \sigma_9 = e^{2\pi i/9} + e^{-i\pi/9} 2 \cos(6\pi/7) \end{array}$$

TABLE 1. The 18 sporadic values are given by σ_j or $\bar{\sigma}_j$, $j = 1, \dots, 9$. They correspond to isolated values of the parameter τ for which any $\Gamma(\frac{2\pi}{p}, \tau)$ is doubly elliptic, i.e., R_1R_2 and R_1J are either parabolic or elliptic of finite order.

an experimental investigation of the Dirichlet domains for sporadic groups. The goal of the present paper is to report on the results of this search, which turn out to be quite satisfactory.

We summarize the results of our computer experimentation in the following conjecture (see Section 4 and Table 1, for the meaning of the parameters $\sigma_1, \dots, \sigma_9$).

Conjecture 1.1. *The following sporadic groups are nonarithmetic lattices in $SU(2, 1)$:*

cocompact:

$$\Gamma\left(\frac{2\pi}{5}, \bar{\sigma}_4\right), \quad \Gamma\left(\frac{2\pi}{8}, \bar{\sigma}_4\right), \quad \Gamma\left(\frac{2\pi}{12}, \bar{\sigma}_4\right).$$

noncocompact:

$$\begin{aligned} &\Gamma\left(\frac{2\pi}{3}, \sigma_1\right), \quad \Gamma\left(\frac{2\pi}{3}, \sigma_5\right), \quad \Gamma\left(\frac{2\pi}{4}, \sigma_1\right), \\ &\Gamma\left(\frac{2\pi}{4}, \bar{\sigma}_4\right), \quad \Gamma\left(\frac{2\pi}{4}, \sigma_5\right), \quad \Gamma\left(\frac{2\pi}{6}, \sigma_1\right), \\ &\Gamma\left(\frac{2\pi}{6}, \bar{\sigma}_4\right). \end{aligned}$$

In fact, we have obtained outstanding evidence that Conjecture 1.1 is correct, but this evidence was obtained from numerical computations using floating-point arithmetic, and it is conceivable (though very unlikely) that the results are flawed because of issues of precision, in a similar vein as the analysis in [Deraux 05] of the results in [Mostow 80]. Instead of arguing that the computer experimentation is not misleading, we will prove Conjecture 1.1 in [Deraux et al. 11] using more direct geometric methods.

Note that the only part of Conjecture 1.1 that is conjectural is the fact that the groups in question are lattices. The fact that these groups are not arithmetic follows from the results in [Parker and Paupert 09, Paupert 10]. The groups other than $\Gamma(\frac{2\pi}{4}, \sigma_1)$ and $\Gamma(\frac{2\pi}{6}, \sigma_1)$ are known to be incommensurable with Deligne–Mostow–Picard lattices by [Paupert 10] (in fact, for $\Gamma(\frac{2\pi}{4}, \bar{\sigma}_4)$ and $\Gamma(\frac{2\pi}{6}, \bar{\sigma}_4)$, this follows from noncocompactness by the arguments in [Paupert 10]).

Computer experiments also suggest that Conjecture 1.1 is essentially optimal. More specifically, sporadic groups that do not appear in the list seem not to be lattices (most of them are not discrete; a handful seem to have infinite covolume), apart from the following:

$$\Gamma\left(\frac{2\pi}{3}, \bar{\sigma}_4\right), \quad \Gamma\left(\frac{2\pi}{2}, \sigma_5\right), \quad \Gamma\left(\frac{2\pi}{2}, \bar{\sigma}_5\right).$$

These exceptions are in fact completely understood, and they are all arithmetic; the last two groups are both isomorphic to the lattice studied in [Deraux 05] (see [Parker 08]). As for the first group, partly thanks to work in [Parker and Paupert 09], we have the following theorem.

Theorem 1.2. $\Gamma(\frac{2\pi}{3}, \bar{\sigma}_4)$ is a cocompact arithmetic lattice in $SU(2, 1)$.

The fact that this group is discrete was proved in [Parker and Paupert 09, Proposition 6.4], the point being that all nontrivial Galois conjugates of the relevant Hermitian form are definite. In fact, it is the only sporadic group that is contained in an arithmetic lattice, by [Paupert 10]. In order to check that it is cocompact, one uses the same argument as in [Deraux 06]. More specifically, one needs to verify that the Dirichlet domain is cocompact. This can be done without knowing the precise combinatorics of that polyhedron (it is enough to study a partial Dirichlet domain, and to verify that all the 2-faces of that polyhedron are compact; see [Deraux 06]).

The nondiscreteness results we prove in Section 9 of this paper are close to proving optimality of the statement of the conjecture, but the precise statement is somewhat lengthy (see Theorem 9.1). For now, we simply state the following.

Theorem 1.3. *Only finitely many sporadic triangle groups are discrete.*

2. ARITHMETIC LATTICES ARISING FROM HERMITIAN FORMS OVER NUMBER FIELDS

For the sake of completeness, we recall in Definition 2.1 the general definition of arithmeticity (see also [Zimmer 84, Chapter 6]). For the purposes of the present paper, the special case of arithmetic groups arising from Hermitian forms over number fields will be sufficient (see Proposition 2.2 below).

Borel and Harish-Chandra proved that if G is a linear algebraic group defined over \mathbb{Q} , then $G(\mathbb{Z})$ is a lattice in $G(\mathbb{R})$. Recall that a *real linear algebraic group defined over \mathbb{Q}* is a subgroup G of $\text{GL}(n, \mathbb{R})$ for some n such that the elements of G are precisely the solutions of a set of polynomial equations in the entries of the matrices, with the coefficients of the polynomials lying in \mathbb{Q} ; one writes $G(\mathbb{R}) = G$ and $G(\mathbb{Z}) = G \cap \text{GL}(n, \mathbb{Z})$. From their result, one can deduce that any real semisimple Lie group contains infinitely many (distinct commensurability classes of) lattices, either cocompact or noncocompact.

One obtains the general definition by extending this notion to all groups equivalent to groups of the form $G(\mathbb{Z})$ in the following sense.

Definition 2.1. Let G be a semisimple Lie group, and Γ a subgroup of G . Then Γ is an *arithmetic lattice* in G if there exist an algebraic group S defined over \mathbb{Q} and a continuous homomorphism $\phi : S(\mathbb{R})^0 \rightarrow G$ with compact kernel such that Γ is commensurable with $\phi(S(\mathbb{Z}) \cap S(\mathbb{R})^0)$.

The fact that Γ as in the definition is indeed a lattice follows from the Borel–Harish-Chandra theorem.

Here we focus on the case of *integral groups arising from Hermitian forms over number fields*. This means that we consider groups Γ that are contained in $\text{SU}(H, \mathcal{O}_K)$, where K is a number field, \mathcal{O}_K denotes its ring of algebraic integers, and H is a Hermitian form of signature $(2, 1)$ with coefficients in K . Note that \mathcal{O}_K is usually not discrete in \mathbb{C} , so $\text{SU}(H, \mathcal{O}_K)$ is usually not discrete in $\text{SU}(H)$. Under an additional assumption on the form ${}^\varphi H$ of the Galois conjugates (obtained by applying field automorphisms $\varphi \in \text{Gal}(K)$ to the entries of the representative matrix of H), the group $\text{SU}(H, \mathcal{O}_K)$ is indeed discrete (see part 1 of the following proposition).

Proposition 2.2. *Let E be a purely imaginary quadratic extension of a totally real field F , and H a Hermitian form of signature $(2, 1)$ defined over E . Then the following hold:*

- (1) $\text{SU}(H; \mathcal{O}_E)$ is a lattice in $\text{SU}(H)$ if and only if for all $\varphi \in \text{Gal}(F)$ not inducing the identity on F , the form ${}^\varphi H$ is definite. Moreover, in that case, $\text{SU}(H; \mathcal{O}_E)$ is an arithmetic lattice.
- (2) Suppose $\Gamma \subset \text{SU}(H; \mathcal{O}_E)$ is a lattice. Then Γ is arithmetic if and only if for $\varphi \in \text{Gal}(F)$ not inducing the identity on F , the form ${}^\varphi H$ is definite.

Part 1 of the proposition is quite natural (and motivates the formulation of the general definition of arithmeticity). Indeed, it is a general fact that one can embed \mathcal{O}_K discretely into \mathbb{C}^r by

$$x \mapsto (\varphi_1(x), \dots, \varphi_r(x)),$$

where $\varphi_1, \dots, \varphi_r$ denote the distinct embeddings of K into the complex numbers (up to complex conjugation).

The group $S = \prod_{j=1}^r \text{SU}({}^{\varphi_j} H)$ can be checked to be defined over \mathbb{Q} (this is an instance of a general process called *restriction of scalars*). Its integer points correspond to $\prod_{j=1}^r \text{SU}({}^{\varphi_j} H, \mathcal{O}_K)$, which is a lattice in $S(\mathbb{R})$ by the theorem of Borel and Harish-Chandra.

Now the key point is that the assumption on the Galois conjugates amounts to saying that the projection

$$\prod_{j=1}^r \text{SU}({}^{\varphi_j} H) \rightarrow \text{SU}({}^{\varphi_1} H)$$

onto the first factor has compact kernel, hence maps discrete sets to discrete sets (compare with Definition 2.1). This implies that $\text{SU}(H, \mathcal{O}_K)$ is a lattice in $\text{SU}(H)$.

The proof of part 2 of Proposition 2.2 is a bit more sophisticated (see [Mostow 80, Lemma 4.1], [Deligne and Mostow 86, 12.2.6], or [Paupert 10, Proposition 4.1]). Note that when the group Γ as in the proposition is nonarithmetic, it necessarily has infinite index in $\text{SU}(H, \mathcal{O}_K)$ (which is nondiscrete in $\text{SU}(H)$).

3. COMPLEX HYPERBOLIC SPACE AND ITS ISOMETRIES

For the reader’s convenience we include a brief summary of key definitions and facts about complex hyperbolic geometry; see [Goldman 99] for more information.

Let $\langle \cdot, \cdot \rangle$ be a Hermitian form of signature $(n, 1)$ on \mathbb{C}^{n+1} , which we can describe in matrix form as

$$\langle v, w \rangle = w^* H v.$$

The unitary group $U(H)$ is the group of matrices that preserve this inner product, i.e.,

$$U(H) = \{M \in \text{GL}(n + 1, \mathbb{C}) : M^* H M = H\}.$$

The signature condition amounts to saying that after an appropriate linear change of coordinates, the Hermitian inner product is the standard Lorentzian Hermitian product

$$-v_0\bar{w}_0 + v_1\bar{w}_1 + \dots + v_n\bar{w}_n,$$

whose unitary group is usually denoted by $U(n, 1)$. For computational purposes, it can be convenient to work with a nondiagonal matrix H (as we do throughout this paper), but of course, under the $(n, 1)$ signature assumption, $U(H)$ is isomorphic to $U(n, 1)$.

As a set, $H_{\mathbb{C}}^n$ is just the subset of projective space $P_{\mathbb{C}}^n$ corresponding to the set of negative lines in \mathbb{C}^{n+1} , i.e., \mathbb{C} -lines spanned by a vector $v \in \mathbb{C}^{n+1}$ such that $\langle v, v \rangle < 0$. Working in coordinates where the form is diagonal, any negative line is spanned by a unique vector of the form $(1, v_1, \dots, v_n)$, and negativity translates into

$$|v_1|^2 + \dots + |v_n|^2 < 1,$$

which shows how to describe complex hyperbolic space as the *unit ball* in \mathbb{C}^n .

It is often useful to consider the *boundary* of complex hyperbolic space, denoted by $\partial H_{\mathbb{C}}^n$. This corresponds to the set of null lines, i.e., \mathbb{C} -lines spanned by nonzero vectors $v \in \mathbb{C}^{n+1}$ with $\langle v, v \rangle = 0$. In terms of the ball model alluded to in the previous paragraph, the boundary is of course simply the unit sphere in \mathbb{C}^n .

The group $PU(H)$ clearly acts by biholomorphisms on $H_{\mathbb{C}}^n$ (the action is effective and transitive), and it turns out that $PU(H)$ is actually the group of all biholomorphisms of complex hyperbolic space. There is a unique Kähler metric on $H_{\mathbb{C}}^n$ invariant under the action of $PU(H)$ (it can be described as the Bergman metric of the ball). We will not need any explicit formula for the metric; all we need is the formula for the distance between two points (this will be enough for the purposes of the present paper). Writing X, Y for negative vectors in \mathbb{C}^{n+1} and x, y for the corresponding \mathbb{C} -lines in $H_{\mathbb{C}}^n$, we have

$$\cosh^2 \left(\frac{\rho(x, y)}{2} \right) = \frac{|\langle X, Y \rangle|^2}{\langle X, X \rangle \langle Y, Y \rangle}.$$

The factor $1/2$ inside the hyperbolic cosine is included for purposes of normalization only (it ensures that the holomorphic sectional curvature of $H_{\mathbb{C}}^n$ is -1 , rather than just any negative constant).

It is not hard to see that

$$\text{Isom}(H_{\mathbb{C}}^n) = PU(n, 1) \rtimes \mathbb{Z}/2,$$

where the $\mathbb{Z}/2$ factor corresponds to complex conjugation (any involutive antiholomorphic isometry would do).

The usual classification of isometries of negatively curved metric spaces, in terms of the analysis of the fixed points in

$$\bar{H}_{\mathbb{C}}^n = H_{\mathbb{C}}^n \cup \partial H_{\mathbb{C}}^n,$$

is used throughout in the paper. Any nontrivial $g \in PU(n, 1)$ is of precisely one of the following types:

elliptic: g has a fixed point in $H_{\mathbb{C}}^n$;

parabolic: g has exactly one fixed point in $\bar{H}_{\mathbb{C}}^n$, which lies in $\partial H_{\mathbb{C}}^n$;

loxodromic: g has exactly two fixed points in $\bar{H}_{\mathbb{C}}^n$, which lie in $\partial H_{\mathbb{C}}^n$.

In the special case $n = 2$, there is a simple formula involving the trace of a representative $G \in SU(2, 1)$ of $g \in PU(2, 1)$ to determine the type of the isometry g (see [Goldman 99, p. 204]).

We will sometimes use a slightly finer classification for elliptic isometries, calling an element *regular elliptic* if any of its representatives has distinct eigenvalues. The eigenvalues of a matrix $A \in U(n, 1)$ representing an elliptic isometry g all have modulus one. Exactly one of these eigenvalues has an eigenvector v with $\langle v, v \rangle < 0$ (the span of v gives a fixed point of g in $H_{\mathbb{C}}^n$), and such an eigenvalue will be said to be of *negative type*. Regular elliptic isometries have an isolated fixed point in $H_{\mathbb{C}}^n$.

Among nonregular elliptic elements, one finds *complex reflections*, whose fixed-point sets are totally geodesic copies of $H_{\mathbb{C}}^{n-1}$ embedded in $H_{\mathbb{C}}^n$. More specifically, such “complex hyperplanes” can be described by a positive line in \mathbb{C}^{n+1} , i.e., a \mathbb{C} -line spanned by a vector v with $\langle v, v \rangle > 0$. Given such a vector, the set of \mathbb{C} -lines contained in

$$v^{\perp} = \{w \in \mathbb{C}^{n+1} : \langle v, w \rangle = 0\}$$

intersects $H_{\mathbb{C}}^n$ in a copy of $H_{\mathbb{C}}^{n-1}$. The point in projective space corresponding to v is said to be *polar* to the hyperplane determined by v^{\perp} . In terms of the ball model, these copies of $H_{\mathbb{C}}^{n-1}$ simply correspond to the intersection with the unit ball of affine hyperplanes in \mathbb{C}^n . If v is a positive vector, any isometry of $H_{\mathbb{C}}^n$ fixing the lines in v^{\perp} can be described in $U(n, 1)$ as

$$x \mapsto x + (\zeta - 1) \frac{\langle x, v \rangle}{\langle v, v \rangle} v$$

for some $\zeta \in \mathbb{C}$ with $|\zeta| = 1$. The corresponding isometry is called a complex reflection, ζ is called its multiplier, and the argument of ζ is referred to as the rotation angle of the complex reflection.

Note that the respective positions of two complex hyperplanes are easily read off in terms of their polar vectors. Indeed, we have the following (see [Goldman 99, p. 100]).

Lemma 3.1. *Let v_1, v_2 be positive vectors in \mathbb{C}^{n+1} , and let L_1, L_2 denote the corresponding complex hyperplanes in $\mathbb{H}_{\mathbb{C}}^n$. Let*

$$C = \frac{|\langle v_1, v_2 \rangle|^2}{\langle v_1, v_1 \rangle \langle v_2, v_2 \rangle}.$$

Then the following hold:

- (1) L_1 and L_2 intersect in $\mathbb{H}_{\mathbb{C}}^n$ if and only if $C < 1$. In that case, the angle θ between L_1 and L_2 satisfies $\cos \theta = C$.
- (2) L_1 and L_2 intersect in $\partial \mathbb{H}_{\mathbb{C}}^n$ if and only if $C = 1$.
- (3) L_1 and L_2 are ultraparallel if and only if $C > 1$. In that case, the distance ρ between L_1 and L_2 satisfies $\cosh \frac{\rho}{2} = C$.

Lemma 3.1 will be used to derive the discreteness test in Section 9 (the complex hyperbolic Jørgensen’s inequality established in [Jiang et al. 03]).

Parabolic isometries are either *unipotent* or *screw parabolic*; in the former case they are also called *Heisenberg translations* (because the group of unipotent isometries fixing a given point on $\partial \mathbb{H}_{\mathbb{C}}^n$ is isomorphic to the Heisenberg group \mathcal{H}^{2n-1}). There are two conjugacy classes of Heisenberg translations: the *vertical translations* (corresponding to the center of the Heisenberg group, which happens to be its commutator subgroup as well) and the *nonvertical translations* (see [Goldman 99] for more details on this discussion).

4. SPORADIC GROUPS

In this section we establish some notation and recall the main results from [Parker and Paupert 09] and [Paupert 10].

Definition 4.1. A *symmetric triangle group* is a group generated by two elements $R_1, J \in \text{SU}(2, 1)$, where R_1 is a complex reflection of order p and J is a regular elliptic isometry of order 3.

The reason we call this a triangle group is that it is a subgroup of index at most three in the group generated by three complex reflections R_1, R_2 , and R_3 , defined by

$$R_2 = JR_1J^{-1}, \quad R_3 = JR_2J^{-1},$$

and we think of their three mirrors as describing a “triangle” of complex lines (however, the mirrors of the various R_j need not intersect in general).

The basic observation is that symmetric triangle groups can be parameterized up to conjugacy by the order p of R_1 and

$$\tau = \text{Tr}(R_1J).$$

We denote by $\psi = 2\pi/p$ the rotation angle of R_1 , and by

$$\Gamma(\psi, \tau)$$

the group generated by a complex reflection R_1, J as above.

The generators for this group can be described explicitly by matrices of the form

$$J = \begin{bmatrix} 0 & 0 & 1 \\ 1 & 0 & 0 \\ 0 & 1 & 0 \end{bmatrix}, \tag{4-1}$$

$$R_1 = \begin{bmatrix} e^{2i\psi/3} & \tau & -e^{i\psi/3}\bar{\tau} \\ 0 & e^{-i\psi/3} & 0 \\ 0 & 0 & e^{-i\psi/3} \end{bmatrix}. \tag{4-2}$$

These preserve the Hermitian form $\langle \mathbf{z}, \mathbf{w} \rangle = \mathbf{w}^* H_{\tau} \mathbf{z}$, where

$$H_{\tau} = \begin{bmatrix} 2 \sin(\psi/2) & -ie^{-i\psi/6}\tau & ie^{i\psi/6}\bar{\tau} \\ ie^{i\psi/6}\bar{\tau} & 2 \sin(\psi/2) & -ie^{-i\psi/6}\tau \\ -ie^{-i\psi/6}\tau & ie^{i\psi/6}\bar{\tau} & 2 \sin(\psi/2) \end{bmatrix}.$$

The above matrices always generate a subgroup Γ of $\text{GL}(3, \mathbb{C})$, but the signature of H_{τ} depends on the values of ψ and τ . For any fixed value of ψ , the parameter space for τ is described in [Parker and Paupert 09, Sections 2.4, 2.6].

Definition 4.2. The symmetric triangle group generated by R_1 and J as in (4-1) and (4-2) is called *hyperbolic* if H_{τ} has signature $(2, 1)$.

In order to get a tractable class of groups, we shall assume that R_1J is elliptic, and that $R_1R_2 = R_1JR_1J^{-1}$ is either elliptic or parabolic. The motivation for this condition is explained in [Parker 08, Parker and Paupert 09] (it is quite natural in the context of the search for *lattices*, rather than discrete groups of possibly infinite covolume).

τ	p with H_τ hyperbolic	p where nondiscrete	Result used
σ_1	$[3, \infty)$	7, 8, $[10, \infty)$	Proposition 9.10 Proposition 9.15
$\bar{\sigma}_1$	$[3, 7]$	5, 7	Proposition 9.11
σ_2	$[3, \infty)$	3, 6, 7	Proposition 9.16
$\bar{\sigma}_2$	$[3, 19]$	$[6, 9], [11, \infty)$	Proposition 9.12 Proposition 9.17
σ_3	$[3, \infty)$	10	Proposition 9.13
$\bar{\sigma}_3$	$[3, 6]$	$[6, 9], [11, 19]$	Proposition 9.13 Proposition 9.17
σ_4	$[3, 6]$	10	[Parker and Paupert 09, Proposition 4.5]
$\bar{\sigma}_4$	$[4, 6]$	$[3, \infty)$	[Parker and Paupert 09, Proposition 4.5]
σ_5	$[3, \infty)$	$[3, 6]$	Proposition 9.9
$\bar{\sigma}_5$	$[2, \infty)$	$[4, 6]$	Proposition 9.7
σ_6	$\{2, 4\}$	7, $[9, 11], [13, \infty)$	Proposition 9.8
$\bar{\sigma}_6$	$[3, \infty)$	7, $[9, 11], [13, \infty)$	Proposition 9.9
σ_7	$[3, \infty)$	4	[Parker and Paupert 09, Proposition 4.5]
$\bar{\sigma}_7$	$[3, 29]$	3, 4, $[6\infty)$	Proposition 9.9
σ_8	$[2, \infty)$	5	[Parker and Paupert 09, Proposition 4.5]
$\bar{\sigma}_8$	$\{2\}$	3, 4, $[6\infty)$	Proposition 9.9
σ_9	$[4, 41]$	5	Proposition 9.9
$\bar{\sigma}_9$	$[4, \infty)$	5, 6, $[8, 13], [15, \infty)$	Proposition 9.14
σ_{10}	$[4, 41]$	$[4, 41]$	[Parker and Paupert 09, Corollary 4.2]
$\bar{\sigma}_{10}$	$[4, \infty)$	$[4, \infty)$	[Parker and Paupert 09, Corollary 4.2]
σ_{11}	$[3, \infty)$	$[3, \infty)$	[Parker and Paupert 09, Corollary 4.2]
$\bar{\sigma}_{11}$	$[4, 8]$	$[4, 8]$	[Parker and Paupert 09, Corollary 4.2]

TABLE 2. Values of the parameter where Knapp or Jørgensen show nondiscreteness. The second column gives the values of p for which the Hermitian form H_τ has signature $(2, 1)$ (taken from [Parker and Paupert 09]). The third and fourth columns give values of p for which a well-chosen subgroup fails the Knapp test or the Jørgensen test (and hence the group is not discrete). If this was done in [Parker and Paupert 09], we give the reference. For some values of τ we apply Knapp and Jørgensen to two different complex reflections in the group (in which case the results are listed on two separate lines).

A basic necessary condition for a subgroup of $\text{PU}(2, 1)$ to be discrete is that all its elliptic elements have finite order; hence we make the following definition.

Definition 4.3. A symmetric triangle group is called *doubly elliptic* if R_1J is elliptic of finite order and $R_1R_2 = R_1JR_1J^{-1}$ is either elliptic of finite order or parabolic.

The list of parameters that yield doubly elliptic triangle groups was obtained in [Parker 08] (see also [Parker and Paupert 09]) using a result of Conway and Jones on sums of roots of unity. We recall the result in the following theorem.

Theorem 4.4. *Let Γ be a symmetric triangle group such that R_1J is elliptic and R_1R_2 is either elliptic or parabolic. If R_1J and R_1R_2 have finite order (or are parabolic), then one of the following holds:*

- Γ is one of Mostow’s lattices ($\tau = e^{i\phi}$ for some ϕ).

- Γ is a subgroup of one of Mostow’s lattices ($\tau = e^{2i\phi} + e^{-i\phi}$ for some ϕ).
- Γ is one of the sporadic triangle groups, i.e., $\tau \in \{\sigma_1, \bar{\sigma}_1, \dots, \sigma_9, \bar{\sigma}_9\}$, where the σ_j are given in Table 1.

Therefore, for each value of $p \geq 3$, we have a finite number of new groups to study, the $\Gamma(2\pi/p, \sigma_i)$ and $\Gamma(2\pi/p, \bar{\sigma}_i)$, which are hyperbolic. The list of sporadic groups that are hyperbolic is given in the table of [Parker and Paupert 09, Section 3.3] (and we give them here in Table 2); for the sake of brevity we recall only the following result.

Proposition 4.5. *For $p \geq 4$ and $\tau \in \{\sigma_1, \sigma_2, \sigma_3, \bar{\sigma}_4, \sigma_5, \sigma_6, \sigma_7, \bar{\sigma}_8, \sigma_9\}$, the group $\Gamma(2\pi/p, \tau)$ is hyperbolic.*

It was shown in [Parker and Paupert 09] that some of the hyperbolic sporadic groups are nondiscrete (see

[Parker and Paupert 09, Corollary 4.2, Proposition 4.5, Corollary 6.4]), essentially by using the lists of discrete triangle groups on the sphere, the Euclidean plane, and the hyperbolic plane (this list is due to Schwarz in the spherical case, and to Knapp in the hyperbolic case). For the convenience of the reader, we recall the main nondiscreteness results from [Parker and Paupert 09] in the following proposition.

Proposition 4.6. *For $p \geq 3$ and τ or $\bar{\tau} \in \{\sigma_3, \sigma_8, \sigma_9\}$, $\Gamma(2\pi/p, \tau)$ is not discrete. Also, for $p \geq 3$, $p \neq 5$, and τ or $\bar{\tau} = \sigma_6$, $\Gamma(2\pi/p, \tau)$ is not discrete.*

The new nondiscreteness results contained in Section 9 push the same idea much further, by a series of technical algebraic manipulations (in some places we use Jørgensen’s inequality and a complex hyperbolic version of Shimizu’s lemma due to the second author; see Theorem 9.5).

The main results of [Paupert 10] are the following two statements. The first result was obtained by applying the arithmeticity criterion from Proposition 2.2. The second result was obtained by finding a commensurability invariant that distinguishes the various groups Γ , namely the field $\mathbb{Q}[\text{Tr Ad } \Gamma]$ (the trace field of the adjoint representation of Γ).

Theorem 4.7. *Let $p \geq 3$ and $\tau \in \{\sigma_1, \bar{\sigma}_1, \dots, \sigma_9, \bar{\sigma}_9\}$, and suppose that the triangle group $\Gamma(2\pi/p, \tau)$ is hyperbolic, and that it is a lattice in $\text{SU}(H_\tau)$. Then $\Gamma(2\pi/p, \tau)$ is arithmetic if and only if $p = 3$ and $\tau = \bar{\sigma}_4$.*

Theorem 4.8. *The sporadic groups $\Gamma(2\pi/p, \tau)$, $p \geq 3$ and $\tau \in \{\sigma_1, \bar{\sigma}_1, \dots, \sigma_9, \bar{\sigma}_9\}$, fall into infinitely many distinct commensurability classes. Moreover, they are not commensurable with any Picard or Mostow lattice, except possibly when*

- $p = 4$ or 6 , $p = 3$ and $\tau = \sigma_7$,
- $p = 5$ and τ or $\bar{\tau} = \sigma_1, \sigma_2$, $p = 7$ and $\tau = \bar{\sigma}_4$,
- $p = 8$ and $\tau = \sigma_1$, $p = 10$ and $\tau = \sigma_1, \sigma_2, \bar{\sigma}_2$,
- $p = 12$ and $\tau = \sigma_1, \sigma_7$, $p = 20$ and $\tau = \sigma_1, \sigma_2$,
- $p = 24$ and $\tau = \sigma_1$.

5. DIRICHLET DOMAINS

Given a subgroup Γ of $\text{PU}(2, 1)$, the Dirichlet domain for Γ centered at p_0 is the set

$$F_\Gamma = \{x \in \mathbb{H}_\mathbb{C}^2 : d(x, p_0) \leq d(x, \gamma p_0), \forall \gamma \in \Gamma\}.$$

A basic fact is that Γ is discrete if and only if F_Γ has nonempty interior, and in that case, F_Γ is a fundamental domain for Γ modulo the action of the (finite) stabilizer of p_0 in Γ .

The simplicity of this general notion and its somewhat canonical nature (it depends only on the choice of the center p_0) make Dirichlet domains convenient to use in computer investigation as in [Mostow 80, Riley 83, Deraux 05, Deraux 06]. Note, however, that there is no algorithm to decide whether the set F_Γ has nonempty interior, and the procedure we describe below may never end (this is already the case in the constant-curvature setting, i.e., in real hyperbolic space of dimension at least 3; see, for instance, [Epstein and Petronio 94]).

Our computer search is quite a bit more delicate than the search for fundamental domains in the setting of arithmetic groups. The recent announcement that Cartwright and Steger have been able to find presentations for the fundamental groups of all so-called *fake projective planes* mentions the use of massive computer calculations in the same vein as our work (see [Cartwright and Steger 10]); however, there are major differences.

They use Dirichlet domains, but their task is facilitated by the fact that the fundamental groups of fake projective planes are known to be *arithmetic* subgroups of $\text{PU}(2, 1)$ (see [Klingler 03, Yeung 04]). In particular, all the groups they consider are known to be discrete a priori (which is certainly not the case for most complex hyperbolic sporadic groups). Cartwright and Steger also use the knowledge of the volumes of the corresponding fundamental domains (the list of arithmetic lattices that could possibly contain the fundamental group of a fake projective plane is brought down to a finite list using Prasad’s volume formula [Prasad 89]). This allows one to check whether a partial Dirichlet domain

$$F_W = \{x \in \mathbb{H}_\mathbb{C}^2 : d(x, p_0) \leq d(x, \gamma p_0), \forall \gamma \in W\}$$

determined by a given finite set $W \subset \Gamma$ is actually equal to F_Γ .

For an arbitrary discrete subgroup $\Gamma \subset \text{PU}(2, 1)$ and an arbitrary choice of the center p_0 , the set F_Γ is a polyhedron bounded by *bisectors* (see [Mostow 80, Goldman 99]), but it may have infinitely many faces, even if Γ is geometrically finite (see [Bowditch 93]).

Moreover, the combinatorics of Dirichlet domains tend to be unnecessarily complicated, and one usually expects that simpler fundamental domains can be obtained by suitable clever geometric constructions. This general idea is illustrated by Dirichlet domains for lattices in \mathbb{R}^2 : when

the group is not a rectangular lattice, i.e., not generated by two translations along orthogonal axes, the Dirichlet domain centered at any point is a hexagon (rather than a parallelogram).

In H_C^2 , Dirichlet domains typically contain digons (pairs of vertices connected by distinct edges); see Figure 1. In particular, the 1-skeleton is not piecewise totally geodesic. One can also check that the 2-faces of a Dirichlet domain can never be contained in a totally real totally geodesic copy of H_R^2 , which makes this notion a little bit unnatural (this was part of the motivation behind the constructions of [Deraux et al. 05], where fundamental domains with simpler combinatorics than those in [Mostow 80] were obtained).

6. EXPERIMENTAL RESULTS

6.1. The G-Procedure

In order to sift through the complex hyperbolic sporadic groups, we have run the procedures explained in [Deraux 05] and [Deraux 06] in order to explore the Dirichlet domains centered at the center of mass of the mirrors of the three generating reflections.

In terms of the notation in Section 4, we take p_0 to be the unique fixed point in H_C^2 of the regular elliptic element J (this point is given by $(1, 1, 1)$, by $(1, \omega, \bar{\omega})$, or by $(1, \bar{\omega}, \omega)$ for $\omega = (-1 + i\sqrt{3})/2$, depending on the parameters p and τ).

We start with the generating set $W_0 = \{R_1^{\pm 1}, R_2^{\pm 1}, R_3^{\pm 1}\}$ for Γ , and construct an increasing sequence of sets $W_0 \subset W_1 \subset W_2 \subset \dots$ by the G-procedure (named after G. Giraud; see [Deraux 05] for the explanation of this terminology).

First define a *G-step* of the procedure by:

$$G(W) = W \cup \{ \alpha^{-1} \beta : \alpha, \beta \in W \text{ yield a nonempty generic 2-face of } F_W \}.$$

Here “yielding a nonempty 2-face of F_W ” means that the set of points of F_W that are equidistant from p_0 , αp_0 , and βp_0 has dimension two (i.e., it has nonempty interior in the corresponding intersection of two bisectors). “Generic” means that this 2-face is not contained in a complex geodesic (see [Deraux 05]).

Definition 6.1. The set W is said to be *G-closed* if $G(W) = W$.

The sequence W_k is defined inductively by

$$W_{k+1} = G(W_k).$$

The hope is that this sequence stabilizes to a G-closed set $W = W_N$ after a finite number of steps. In particular, this procedure is probably suitable only for the search for lattices (not for discrete groups with infinite covolume).

6.2. Issues of Precision

The determination of the sequence of sets W_k described in Section 6.1 depends on being able to determine the precise list of all nonempty 2-faces of the polyhedron W , for a given finite set $W \subset \Gamma$. The difficult part is to prove that two bisectors really yield a subset of F_W of dimension smaller than 2 when they appear to do so numerically.

Recall that the polyhedron F_W is described by a (possibly large) set of quadratic inequalities in four variables (the real and imaginary parts of the ball coordinates, for instance), where the coefficients of the quadratic polynomials are obtained from matrices that are possibly very long words in the generators R_1, R_2, R_3 .

The computation of these matrices can be done without loss of precision, since it can be reduced to arithmetic in the relevant number field (see [Parker and Paupert 09, Section 2.5]).

It is not clear how to solve the corresponding system of quadratic inequalities. In order to save computational time, and for the lack of better methods, we have chosen to do all the computations numerically, with a fixed (somewhat rough) precision, essentially in the same way as described in [Deraux 06]. We now briefly summarize what our computer program does.

For a given (coequidistant) bisector intersection B , we need a method to test whether $B \cap F_W$ has dimension two. In order to do this, we work in spinal coordinates (see [Deraux 05]), and fit the disk B into a rectangular $N \times N$ grid. The 2-face is declared nonempty whenever we find more than one point in a given horizontal and in a given vertical line in the grid. For the default version of the program, we take $N = 1000$.

In particular, the above description suggests that whenever the polyhedron F_W becomes small enough, our program will not find any 2-face whatsoever. If this happens at some stage k , the program will consider W_k G-closed and stop.

When fed a group that has infinite covolume, one expects that the program would often run forever, since in that case Dirichlet domains tend to have infinitely many faces. In practice, after a certain number of steps, the sets W_k are too large for the computer’s capacity, and the program will crash.

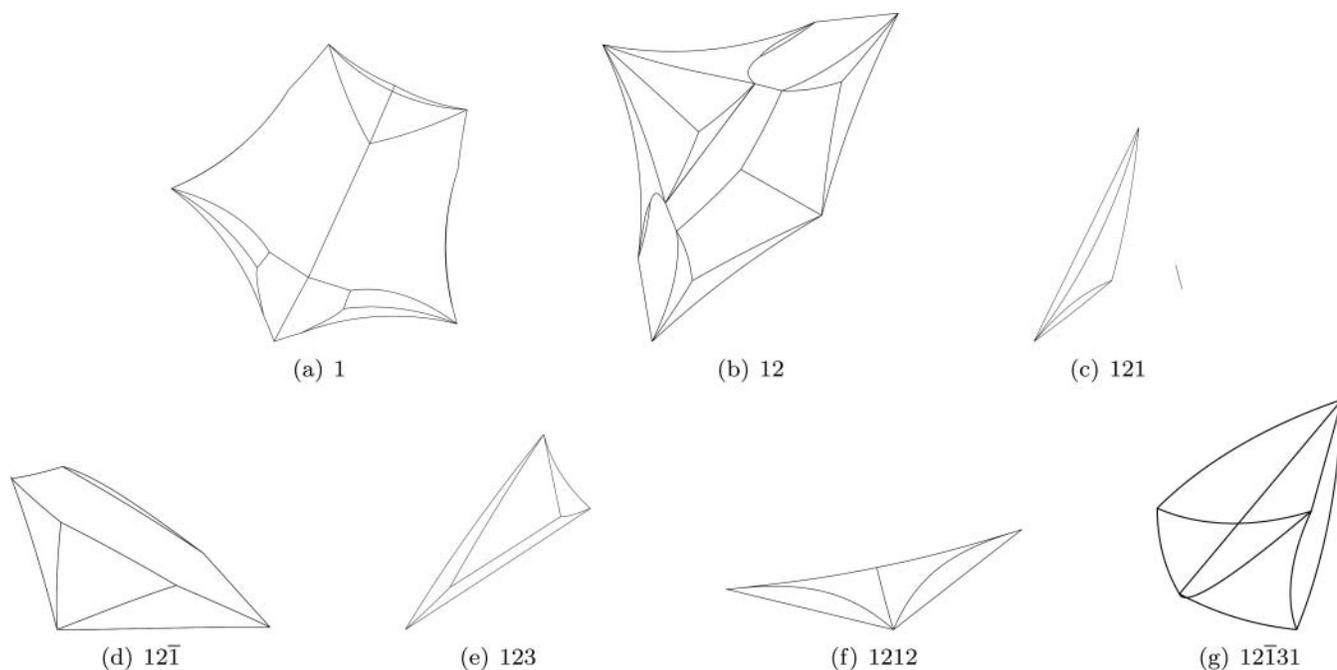


FIGURE 1. Faces of the Dirichlet domain for $\Gamma(2\pi/3, \bar{\sigma}_4)$, drawn in spinal coordinates.

For the groups we have tested (namely all sporadic groups with $p \leq 24$), we have found these three behaviors:

- A: The program finds a G -closed set $W_N = G(W_N)$, and the set of numerically nonempty 2-faces is nonempty.
- B: The program finds a set W_N for which it does not find any nonempty 2-face whatsoever (in particular, W_N is Giraud closed, so the program stops).
- C: The program exceeds its capacity in memory and crashes.

As a working hypothesis, we shall interpret Behavior B as meaning that the group is not discrete, and Behavior C as meaning that the group has infinite covolume (the latter behavior is of course also conceivable when the group is actually not discrete, or when we make a bad choice of the center of the Dirichlet domain).

6.3. Census of Sporadic Groups Generated by Reflections of Small Order

The computer program available on the first author's webpage¹ was run for all sporadic groups (see Section 4) with $2 \leq p \leq 24$.

The groups with $p = 2$ were analyzed in [Parker 08], and our program confirms those results; in that case, τ and $\bar{\tau}$ give the same groups, and only $\tau = \sigma_5$ and σ_7 appear to be discrete. Both exhibit Behavior A, but the first one gives a compact polyhedron; as mentioned in the introduction, this lattice is actually the same as the $(4, 4, 4; 5)$ -triangle group, i.e., the group that is studied in [Deraux 06]; see [Parker 08, Schwartz 02]. The Giraud-closed polyhedron obtained for σ_7 has infinite volume.

For $3 \leq p \leq 24$, there are few groups that exhibit Behavior A (as defined in Section 6.2), namely all groups with $\tau = \bar{\sigma}_4$, those with $\tau = \sigma_1$, $p = 3, 4, 5, 6$, and finally those with $\tau = \sigma_5$, $p = 3, 4$, or 5 .

Pictures of the (isometry classes of) 3-faces of the Dirichlet domain for $\Gamma(2\pi/3, \bar{\sigma}_4)$ are given in Figure 1. We chose to display the faces for that specific group because its combinatorics are particularly simple among all sporadic groups (Dirichlet domains for sporadic lattices can have about a hundred faces).

In the case of Behavior A, the program provides a list of faces for the polyhedron F_W , and checks whether it has side-pairings in the sense of the Poincaré polyhedron theorem (once again, we chose to check this only numerically). There is a minor issue of ambiguity between the side-pairings, due to the fact that most groups $\Gamma(\frac{2\pi}{p}, \tau)$ actually contain J , which means that the center of the Dirichlet domain has nontrivial stabilizer. Possibly after

¹At <http://www-fourier.ujf-grenoble.fr/~deraux/java>.

τ	p
σ_1	$p = 3, 4, 6$
$\bar{\sigma}_4$	$p = 3, 4, 5, 6, 8, 12$
σ_5	$p = 3, 4$

TABLE 3. Sporadic groups with $3 \leq p \leq 24$ whose Dirichlet domain satisfies the hypotheses of the Poincaré polyhedron theorem, at least numerically.

adjusting the side-pairings by precomposing them with J or J^{-1} , all the groups exhibiting Behavior A turn out to have side-pairings (or at least they appear to, numerically). Another way to take care of the issue of non-trivial stabilizer for the center of the Dirichlet domain is of course simply to change the center (within reasonably small distance to the center of mass of the mirrors, since we want the side-pairings obtained from the Dirichlet domain to be related in simple terms to the original generating reflections).

In either case, either after adjusting the side-pairings by elements of the stabilizer, or after changing the center, we are in a position to check the cycle conditions of the Poincaré polyhedron theorem. The general philosophy that grew out of [Deraux 05] (see also [Mostow 80], or even [Picard 81]) is that the only cycle conditions that need to be checked are those for complex totally geodesic 2-faces, where the cycle transformations are simply complex reflections. Our program goes through all these complex 2-faces, and computes the rotation angle of the cycle transformations (as well as the total angle inside the polyhedron along the cycle).

Table 3 gives the list of sporadic groups that exhibit Behavior A and all of whose cycle transformations rotate by an angle of the form $2\pi/k$ for some $k \in \mathbb{N}^*$ (for $\tau = \bar{\sigma}_4$, $p = 8$, one needs to use a center for the Dirichlet domain other than the center of mass of the mirrors of the three reflections).

For groups that exhibit Behavior A but whose cycle transformations rotate by angles that are not of the form $2\pi/k$, all that one can quickly say is that the G-closed polyhedron cannot be a fundamental domain for their action (even modulo the stabilizer of p_0), but the group may still be a lattice. This issue is related to the question whether the integrality condition of [Deligne and Mostow 86] is close to being necessary and sufficient for the corresponding reflection group to be a lattice (see the analysis in [Mostow 88]).

There is a natural refinement of the procedure described in Section 6.1 to handle this case. Suppose a given cycle transformation g rotates by an angle α , and $2\pi/\alpha$ is

Group	cycle transformation	angle
$\Gamma(\frac{2\pi}{5}, \sigma_1)$	$(R_1 R_2)^2$	$4\pi/5$
$\Gamma(\frac{2\pi}{5}, \sigma_5)$	$((R_1 J)^5 R_2^{-1})^2$	$4\pi/15$

TABLE 4. Some problematic rotation angles in Giraud-closed polyhedra.

not an integer. If that number is not rational, the group is not discrete (the irrationality can of course be difficult to prove). If $\alpha = 2\pi m/n$ for $m, n \in \mathbb{Z}$, then some power $h = g^k$ rotates by an angle $2\pi/n$, and it is natural to replace the G-closed set of group elements W by

$$W \cup hWh^{-1}. \tag{6-1}$$

One then starts over with the G-procedure as described in Section 6.1, starting from $W_0 = W \cup hWh^{-1}$.

The groups with problematic rotation angles are

$$\Gamma\left(\frac{2\pi}{5}, \sigma_1\right), \quad \Gamma\left(\frac{2\pi}{5}, \sigma_5\right),$$

and all groups with $\tau = \bar{\sigma}_4$, $p \neq 3, 4, 5, 6, 8, 12$. Those with $\tau = \bar{\sigma}_4$ are known to be nondiscrete; see Theorem 9.1. The groups $\Gamma(\frac{2\pi}{5}, \sigma_1)$ and $\Gamma(\frac{2\pi}{5}, \sigma_5)$ do not seem to be discrete. Indeed, their Giraud-closed sets have problematic rotation angles; see Table 4. In both cases, after the refinement of (6-1) has been implemented, the G-procedure exhibits Behavior B.

7. GROUP PRESENTATIONS

From the geometry of the Dirichlet domains for sporadic lattices, one can infer explicit group presentations. Indeed, one knows that the side-pairings generate the group, and the relations are normally generated by the cycle transformations; see [Epstein and Petronio 94], for instance.

Given that there are many faces, it is of course quite prohibitive to write down such a presentation by hand. It is reasonably easy, however, to have a computer do this. Our program produces files that can be passed to GAP in order to simplify the presentations (it is quite painful, even though not impossible, to do these simplifications by hand). It turns out that the presentations coming from the Dirichlet domains can all be reduced to a quite simple form (see Table 5).

Note that the results of this section are just as conjectural as the statement of Conjecture 1.1, since they depend on the accuracy of the combinatorics of the Dirichlet domains.

$\Gamma\left(\frac{2\pi}{3}, \sigma_1\right) :$	$J = 12312312 = 23123123 = 31231231;$ $1^3 = \text{Id}; \quad (123)^8 = \text{Id};$ $(12)^3 = (21)^3; \quad [1(23\bar{2})]^2 = [(23\bar{2})1]^2; \quad 1(232\bar{3}\bar{2})1 = (232\bar{3}\bar{2})1(232\bar{3}\bar{2}).$
$\Gamma\left(\frac{2\pi}{4}, \sigma_1\right) :$	$J = 12312312 = 23123123 = 31231231;$ $1^4 = \text{Id}; \quad (123)^8 = \text{Id}; \quad (12)^{12};$ $(12)^3 = (21)^3; \quad [1(23\bar{2})]^2 = [(23\bar{2})1]^2; \quad 1(232\bar{3}\bar{2})1 = (232\bar{3}\bar{2})1(232\bar{3}\bar{2}).$
$\Gamma\left(\frac{2\pi}{6}, \sigma_1\right) :$	$J = 12312312 = 23123123 = 31231231;$ $1^6 = \text{Id}; \quad (123)^8 = \text{Id}; \quad (12)^6; \quad [1(23\bar{2})]^{12} = \text{Id};$ $(12)^3 = (21)^3; \quad [1(23\bar{2})]^2 = [(23\bar{2})1]^2; \quad 1(232\bar{3}\bar{2})1 = (232\bar{3}\bar{2})1(232\bar{3}\bar{2});$
$\Gamma\left(\frac{2\pi}{3}, \bar{\sigma}_4\right) :$	$J^{-1} = 1231231 = 2312312 = 3123123;$ $1^3 = \text{Id}; \quad (123)^7 = \text{Id};$ $(12)^2 = (21)^2;$
$\Gamma\left(\frac{2\pi}{4}, \bar{\sigma}_4\right) :$	$J^{-1} = 1231231 = 2312312 = 3123123;$ $1^4 = \text{Id}; \quad (123)^7 = \text{Id};$ $(12)^2 = (21)^2;$
$\Gamma\left(\frac{2\pi}{5}, \bar{\sigma}_4\right) :$	$J^{-1} = 1231231 = 2312312 = 3123123;$ $1^5 = \text{Id}; \quad (123)^7 = \text{Id}; \quad (12)^{20};$ $(12)^2 = (21)^2;$
$\Gamma\left(\frac{2\pi}{6}, \bar{\sigma}_4\right) :$	$J^{-1} = 1231231 = 2312312 = 3123123;$ $1^6 = \text{Id}; \quad (123)^7 = \text{Id}; \quad (12)^{12};$ $(12)^2 = (21)^2;$
$\Gamma\left(\frac{2\pi}{8}, \bar{\sigma}_4\right) :$	$J^{-1} = 1231231 = 2312312 = 3123123;$ $1^8 = \text{Id}; \quad (123)^7 = \text{Id}; \quad (12)^8; \quad [1(23\bar{2})]^{24};$ $(12)^2 = (21)^2;$
$\Gamma\left(\frac{2\pi}{12}, \bar{\sigma}_4\right) :$	$J^{-1} = 1231231 = 2312312 = 3123123;$ $1^{12} = \text{Id}; \quad (123)^7 = \text{Id}; \quad (12)^6; \quad [1(23\bar{2})]^{12};$ $(12)^2 = (21)^2;$
$\Gamma\left(\frac{2\pi}{3}, \sigma_5\right) :$	$J^3 = \text{Id}; \quad J1J^{-1} = 2; \quad J2J^{-1} = 3; \quad J3J^{-1} = 1;$ $1^3 = \text{Id}; \quad (123)^{10};$ $(12)^2 = (21)^2; \quad 1(23\bar{2})1(23\bar{2})1 = (23\bar{2})1(23\bar{2})1(23\bar{2}).$
$\Gamma\left(\frac{2\pi}{4}, \sigma_5\right) :$	$J^3 = \text{Id}; \quad J1J^{-1} = 2; \quad J2J^{-1} = 3; \quad J3J^{-1} = 1;$ $1^4 = \text{Id}; \quad (123)^{10}; \quad (1\bar{3}23\bar{1}23\bar{2})^{12};$ $(12)^2 = (21)^2; \quad 1(23\bar{2})1(23\bar{2})1 = (23\bar{2})1(23\bar{2})1(23\bar{2}).$

TABLE 5. Conjectural presentations for the groups that appear in Conjecture 1.1. The groups with $\tau = \sigma_1, \bar{\sigma}_4$ are generated by R_1, R_2 , and R_3 , that is, J can be expressed as a product of the R_j 's. For $\tau = \sigma_5$ this is not the case, and $\langle R_1, R_2, R_3 \rangle$ has index 3 in $\langle J, R_1 \rangle$.

8. DESCRIPTION OF THE CUSPS OF THE NONCOMPACT EXAMPLES

The geometry of the Dirichlet domains for sporadic lattices gives information about the isotropy groups of any vertex. Rather than giving a whole list, we gather infor-

mation about the cusps in the Dirichlet domain and in $M = \Gamma \backslash \mathbb{H}_{\mathbb{C}}^2$, by giving the number of cusps, as well as generators and relations for their stabilizers (see Table 6).

Once again, the results of this section are conjectural (they depend on the accuracy of the combinatorics of the Dirichlet domains).

p	τ	# cusps	# cusps in M	Generators	Relations
3	σ_1	3	1	1, 2	$1^3 = 2^3 = \text{Id}$, $(12)^3 = (21)^3$
4	σ_1	6	1	1, $2\bar{3}\bar{2}$	$1^4 = (2\bar{3}\bar{2})^4 = \text{Id}$, $[1(2\bar{3}\bar{2})]^2 = [(2\bar{3}\bar{2})1]^2$
6	σ_1	6	2	1, $2\bar{3}\bar{2}\bar{3}\bar{2}$ 1, $\bar{3}\bar{2}\bar{3}\bar{2}$	$1^6 = (2\bar{3}\bar{2}\bar{3}\bar{2})^6 = \text{Id}$, $1(2\bar{3}\bar{2}\bar{3}\bar{2})1 = (2\bar{3}\bar{2}\bar{3}\bar{2})1(2\bar{3}\bar{2}\bar{3}\bar{2})$ $1^6 = (\bar{3}\bar{2}\bar{3}\bar{2})^6 = \text{Id}$, $1(\bar{3}\bar{2}\bar{3}\bar{2})1 = (\bar{3}\bar{2}\bar{3}\bar{2})1(\bar{3}\bar{2}\bar{3}\bar{2})$
4	$\bar{\sigma}_4$	3	1	1, 2	$1^4 = 2^4 = \text{Id}$, $(12)^2 = (21)^2$
6	$\bar{\sigma}_4$	6	1	1, $2\bar{3}\bar{2}$	$1^6 = (2\bar{3}\bar{2})^6 = \text{Id}$, $1(2\bar{3}\bar{2})1 = (2\bar{3}\bar{2})1(2\bar{3}\bar{2})$
3	σ_5	3	1	$2\bar{3}\bar{2}$, $(1J)^5$	$(2\bar{3}\bar{2})^3 = [(1J)^5]^6 = \text{Id}$, $[(2\bar{3}\bar{2})(1J)^{-5}]^2 = [(1J)^{-5}(2\bar{3}\bar{2})]^2$
4	σ_5	3	1	1, 2	$1^4 = 2^4 = \text{Id}$, $(12)^2 = (21)^2$

TABLE 6. Conjectural list of cusps for the noncompact examples from Conjecture 1.1. All of the relations follow from the conjectural presentations given in Table 5; some follow directly, while others do so with slightly more work.

9. NONDISCRETENESS RESULTS

In this section we prove some restrictions on the parameters for the group $\Gamma(2\pi/p, \tau)$ to be discrete, aiming to show the optimality of the statement of Conjecture 1.1. More specifically, we will prove the following theorem.

Theorem 9.1. *Only finitely many of the sporadic triangle groups are discrete. More precisely:*

- For $p \geq 7$, $\Gamma(\frac{2\pi}{p}, \sigma_1)$ is not discrete.
- For $p = 3, 5, 6, 7$, $\Gamma(\frac{2\pi}{p}, \bar{\sigma}_1)$ is not discrete.
- For $p \geq 6$, $\Gamma(\frac{2\pi}{p}, \sigma_2)$ is not discrete.
- For $6 \leq p \leq 19$, $\Gamma(\frac{2\pi}{p}, \bar{\sigma}_2)$ is not discrete.
- For $p = 4, 5, 6$, $\Gamma(\frac{2\pi}{p}, \sigma_4)$ is not discrete.
- For $p \neq 2, 3, 4, 5, 6, 8, 12$, $\Gamma(\frac{2\pi}{p}, \bar{\sigma}_4)$ is not discrete.
- For $p \neq 2, 3, 4, 5, 6, 8, 12$, $\Gamma(\frac{2\pi}{p}, \sigma_5)$ is not discrete.
- $\Gamma(\frac{2\pi}{4}, \bar{\sigma}_5)$ is not discrete.
- $\Gamma(\frac{2\pi}{5}, \sigma_6)$ and $\Gamma(\frac{2\pi}{5}, \bar{\sigma}_6)$ are not discrete.
- For $p \neq 2, 3, 4, 7, 14$, $\Gamma(\frac{2\pi}{p}, \sigma_7)$ is not discrete.

The proofs are slightly different for each part of the statement, as detailed in Table 2. Since all of them are based either on Knapp’s theorem or on Jørgensen’s inequality, we shall briefly review these results in Section 9.1.

9.1. Knapp, Jørgensen, and Shimizu

Knapp’s theorem gives a necessary and sufficient condition for a two-generator subgroup of $\text{PU}(1,1)$ to be discrete, assuming that both generators as well as their product are elliptic. The reference for Knapp’s theorem is [Knapp 68]; see also [Klimentenko and Sakuma 98]. The full list of possible rotation angles for A , B , and AB will

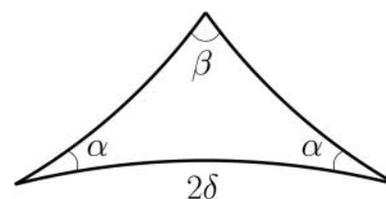


FIGURE 2. We shall apply Knapp’s theorem in the special case of isosceles triangles; see formula (9–1) for the relationship between angles and distances.

not be needed here. In fact, we shall use only the following special case of Knapp’s theorem, which applies to isosceles triangles.

Theorem 9.2. (Knapp.) *Consider a triangle in $\mathbb{H}_{\mathbb{R}}^2$ with angles α, α, β , and let Δ be the group generated by the reflections in its sides. If Δ is discrete, then one of the following holds:*

- $\alpha = \frac{\pi}{q}$ and $(\beta = \frac{2\pi}{r}$ or $\frac{4\pi}{q})$ with $q, r \in \mathbb{N}^*$;
- $\alpha = \frac{2\pi}{r}$ and $\beta = \frac{2\pi}{r}$ with $r \in \mathbb{N}^*$.

Remark 9.3. In a few cases, we also use the spherical version of Knapp’s theorem, which is a result of Schwarz (see [Parker and Paupert 09]).

Basic hyperbolic trigonometry gives a relationship between the angles and the length of the base of the triangle (see Figure 2). Indeed, if the length of the base is 2δ , then

$$\cosh \delta \sin \alpha = \cos \frac{\beta}{2}. \tag{9-1}$$

This gives a practical computational way to check whether the conditions of Knapp’s theorem hold.

Note also that the statement of Knapp’s theorem implies that if $\alpha = \pi/q$ for $q \in \mathbb{N}^*$, and if the angle β is larger than $2\pi/3$, then the group cannot be discrete. In

view of formula (9–1), the latter statement is the same as one would obtain from Jørgensen’s inequality (see [Jiang et al. 03]):

Theorem 9.4. (Jiang–Kamiya–Parker.) *Let A be a complex reflection through the angle $2\alpha = \frac{2\pi}{q}$ with $q \in \mathbb{N}^*$, with mirror the complex line L_A . Let $B \in \text{PU}(2, 1)$ be such that $B(L_A)$ and L_A are ultraparallel, and denote the distance between them by 2δ . If*

$$|\cosh \delta \sin \alpha| < \frac{1}{2},$$

then $\langle A, B \rangle$ is nondiscrete.

In certain cases we need to deal with groups generated by vertical Heisenberg translations (see the definition in Section 3). In this case we need results that generalize the above version of Jørgensen’s inequality and Knapp’s theorem. These results are a complex hyperbolic version of Shimizu’s lemma, which is [Parker 92, Proposition 5.2], and a lemma of Beardon, which is [Parker 94, Theorem 3.1]. We combine them in the following statement, which is equivalent to the statements given in [Parker 92, Parker 94].

Theorem 9.5. (Parker.) *Let $A \in \text{SU}(2, 1)$ be a parabolic map conjugate to a vertical Heisenberg translation with fixed point z_A . Let $B \in \text{SU}(2, 1)$ be a map not fixing z_A . If $\langle A, B \rangle$ is discrete, then*

either $\text{Tr}(ABAB^{-1}) = 3 - 4 \cos^2(\pi/r)$ or $\text{Tr}(ABAB^{-1}) \leq -1$ for some $r \in \mathbb{N}$ with $r \geq 3$. In particular, if

$$2 < \text{Tr}(ABAB^{-1}) < 3,$$

then $\langle A, B \rangle$ is nondiscrete.

9.2. Using Knapp and Jørgensen with Powers of $R_1 R_2$

9.2.1. The General Setup.

Recall from [Parker and Paupert 09] that for any sporadic value τ , there is a positive rational number r/s such that

$$|\tau|^2 = 2 + 2 \cos(r\pi/s), \tag{9-2}$$

which corresponds to the fact that $R_1 R_2$ should have finite order. The values of these r and s are clearly the same for σ_j and $\bar{\sigma}_j$, and are given by the following table:

τ	σ_1	σ_2	σ_3	σ_4	σ_5	σ_6	σ_7	σ_8	σ_9	(9-3)
r/s	1/3	1/5	3/5	1/2	1/2	1/2	1/7	5/7	3/7	

Straightforward calculation shows that

$$R_1 R_2 = \begin{bmatrix} e^{2i\pi/3p}(1 - |\tau|^2) & e^{4i\pi/3p}\tau & \tau^2 - \bar{\tau} \\ -\bar{\tau} & e^{2i\pi/3p} & e^{-2i\pi/3p}\tau \\ 0 & 0 & e^{-4i\pi/3p} \end{bmatrix},$$

which has eigenvalues $-e^{2i\pi/3p}e^{r\pi/s}$, $-e^{2i\pi/3p}e^{-r\pi/s}$, $e^{-4i\pi/3p}$. Therefore $(R_1 R_2)^s$ has a repeated eigenvalue. An $e^{-4i\pi/3p}$ -eigenvector of $R_1 R_2$ is given by

$$\mathbf{p}_{12} = \begin{bmatrix} e^{-2i\pi/3p}\tau^2 + e^{4i\pi/3p}\bar{\tau} - e^{-2i\pi/3p}\bar{\tau} \\ e^{2i\pi/3p}\bar{\tau}^2 + e^{-4i\pi/3p}\tau - e^{2i\pi/3p}\tau \\ 2 \cos(2\pi/p) + 2 \cos(r\pi/s) \end{bmatrix}.$$

For most values of p and τ this vector is negative, in which case its orthogonal complement (with respect to H_τ) gives a complex line in the ball. Hence (in most cases) it is a complex reflection, and one checks easily that it commutes with both R_1 and R_2 .

Likewise, for most values of p and τ , $(R_2 R_3)^s$ is a complex reflection that commutes with R_2 and R_3 , and it fixes a complex line whose polar vector is $\mathbf{p}_{23} = J(\mathbf{p}_{12})$. If the distance between these two lines is $2\delta_p$, then from Lemma 3.1, we have

$$\begin{aligned} \cosh^2(\delta_p) &= \frac{\langle \mathbf{p}_{12}, \mathbf{p}_{23} \rangle \langle \mathbf{p}_{23}, \mathbf{p}_{12} \rangle}{\langle \mathbf{p}_{12}, \mathbf{p}_{12} \rangle \langle \mathbf{p}_{23}, \mathbf{p}_{23} \rangle} \\ &= \frac{|\bar{\tau}^2 + e^{-2i\pi/p}\tau - \tau|^2}{(2 \cos(2\pi/p) + 2 \cos(r\pi/s))^2}. \end{aligned}$$

The eigenvalues of $(R_1 R_2)^s$ are $(-1)^{s+r}e^{2is\pi/3p}$, $(-1)^{s-r}e^{2is\pi/3p}$, $e^{-4is\pi/3p}$. Therefore the rotation angle of $(R_1 R_2)^s$ is $(r + s)\pi + 2s\pi/p$. This may or may not be of the form $2\pi/c$. When it is not, we can find a positive integer k such that $(R_1 R_2)^{sk}$ is a complex reflection whose angle has the form $2\pi/c$. We define $2\alpha_p$ to be the smallest positive rotation angle among all powers of $(R_1 R_2)^s$.

Assuming that the parameter τ is fixed, the group $\Gamma(2\pi/p, \tau)$ is indiscrete, thanks to the Jørgensen inequality, for the values of p satisfying

$$\cosh \delta_p \sin \alpha_p = \frac{|\bar{\tau}^2 + e^{-2i\pi/p}\tau - \tau| \sin \alpha_p}{|2 \cos(2\pi/p) + 2 \cos(r\pi/s)|} < \frac{1}{2}. \tag{9-4}$$

Likewise, in order to prove nondiscreteness using Knapp’s theorem, we seek values of p for which

$$\begin{aligned} \cosh \delta_p \sin \alpha_p &= \frac{|\bar{\tau}^2 + e^{-2i\pi/p}\tau - \tau| \sin \alpha_p}{|2 \cos(2\pi/p) + 2 \cos(r\pi/s)|} \\ &\neq \cos(\pi/q) \text{ or } \cos(2\alpha_p) \end{aligned} \tag{9-5}$$

for any natural number q .

Since $(R_1 R_2)^s$ is a complex reflection that rotates through angle $(r + s)\pi + 2s\pi/p$, we can apply the test of Jørgensen’s inequality simply to $(R_1 R_2)^s$. Since we have $|\sin((r + s)\pi + 2s\pi/p)| = |2\sin(2s\pi/p)|$, this involves finding values of p for which

$$\begin{aligned} & \left| \cosh(\delta_p) \sin(2s\pi/p) \right| \\ &= \frac{|\bar{\tau}^2 + e^{-2i\pi/p}\tau - \tau| |\sin(2s\pi/p)|}{|2\cos(2\pi/p) + 2\cos(r\pi/s)|} < \frac{1}{2}. \end{aligned}$$

For fixed r and s , as p tends to infinity, the left-hand side tends to zero. This shows at once that there can be only finitely many discrete groups among all sporadic groups. The rest of this paper is devoted to the proof of Theorem 9.1, which is a vast refinement of that statement.

In the next few sections, we shall apply Knapp or Jørgensen to various powers of $R_1 R_2$ (other elements in the group as well) in order to get the better nondiscreteness results.

9.2.2. Cases in Which $|\tau|^2 = 2$.

From (9–2), for any τ with $|\tau|^2 = 2$, we have $r/s = 1/2$, and so

$$\cosh \delta_p = \frac{|\bar{\tau}^2 + e^{-2i\pi/p}\tau - \tau|}{|2\cos(2\pi/p)|}.$$

This happens for $\tau = \sigma_4, \bar{\sigma}_4, \sigma_5, \bar{\sigma}_5, \sigma_6$, and $\bar{\sigma}_6$. For all these values we have

$$(R_1 R_2)^2 = \begin{bmatrix} -e^{4i\pi/3p} & 0 & e^{2i\pi/3p}\bar{\tau} + e^{-4i\pi/3p}\tau^2 - e^{-4i\pi/3p}\bar{\tau} \\ 0 & -e^{4i\pi/3p} & e^{-2i\pi/p}\tau + \bar{\tau}^2 - \tau \\ 0 & 0 & e^{-8i\pi/3p} \end{bmatrix},$$

which is a complex reflection commuting with both R_1 and R_2 , and whose rotation angle is $(p - 4)\pi/p$. Note that $(p - 4)\pi/p = 2\pi/c$ for some $c \in \mathbb{Z} \cup \{\infty\}$ if and only if p and c are as given in the following table:

p	2	3	4	5	6	8	12
c	-2	-6	∞	10	6	4	3

(When $p = 4$, and hence $c = \infty$, we find that $(R_1 R_2)^2$ is parabolic.) For other values of p , by choosing an appropriate power k , we can arrange that $(R_1 R_2)^{2k}$ rotates by a smaller angle than $(R_1 R_2)^2$:

Lemma 9.6. *Let $(R_1 R_2)^2$ be as above. There exists $k \in \mathbb{Z}$ such that $(R_1 R_2)^{2k}$ has rotation angle $2\alpha_p$, where*

$$\alpha_p = \frac{\gcd(p - 4, 2p)\pi}{2p}.$$

In particular:

- If $p \equiv 1 \pmod{2}$, then $\gcd(p - 4, 2p) = 1$, and so $\alpha_p = \frac{\pi}{2p}$.
- If $p \equiv 2 \pmod{4}$, then $\gcd(p - 4, 2p) = 2$, and so $\alpha_p = \frac{\pi}{p}$.
- If $p \equiv 4 \pmod{8}$, then $\gcd(p - 4, 2p) = 8$, and so $\alpha_p = \frac{4\pi}{p}$.
- If $p \equiv 0 \pmod{8}$, then $\gcd(p - 4, 2p) = 4$, and so $\alpha_p = \frac{2\pi}{p}$.

Proof. We want to find k such that $k(p - 4)\pi/p$ reduced modulo 2π is “minimal.” More precisely, we write this as

$$\frac{k(p - 4)\pi}{p} - 2\pi l = 2\alpha_p$$

for $k \in \mathbb{N}^*, l \in \mathbb{N}$, and we want to find α_p of the form π/c for some $c \in \mathbb{N}$. The optimal value of k depends on arithmetic properties of p . Let $d = \gcd(p - 4, 2p)$. Then we can find integers k and l such that $k(p - 4) - l(2p) = d$. This means that $k(p - 4)\pi/p - 2\pi l = d\pi/p$, and so $\alpha_p = d\pi/2p$. This proves the first assertion.

If we write $(p - 4) = ad$ and $2p = bd$, then after eliminating p , we have $2ad + 8 = bd$, and so $d = 1, 2, 4$, or 8 . It is easy to check which values of p correspond to which value of d . □

In the case $c = \infty$, the map $(R_1 R_2)^2$ is parabolic. Up to multiplication by a cube root of unity, we have

$$\begin{aligned} & \text{Tr}\left((R_1 R_2)^2 J (R_1 R_2)^2 J^{-1}\right) \\ &= \text{Tr}\left((R_1 R_2)^2 (R_2 R_3)^2\right) = 3 - |\bar{\tau}^2 + e^{-2i\pi/p}\tau - \tau|^2. \end{aligned}$$

Thus applying Theorem 9.5 with $A = (R_1 R_2)^2$ and $J = B$, we can prove discreteness by computing that $\mu = |\bar{\tau}^2 + e^{2i\pi/p}\tau - \tau|$ and checking that

$$|\mu| < 1 \text{ or } \mu \neq \cos(\pi/r) \tag{9-6}$$

for any $r \in \mathbb{N}, r \geq 3$.

Checking (9–4), (9–5), and (9–6) is best done by a computer.

Proposition 9.7. *Let $\tau = \bar{\sigma}_4 = (-1 - i\sqrt{7})/2$, and so $r/s = 1/2$. Then:*

- If p is odd, then (9–4) holds for $p \geq 7$.
- If $p \equiv 2 \pmod{4}$, then (9–4) holds for $p \geq 10$.
- If $p \equiv 4 \pmod{8}$, then (9–5) holds for $p = 20$ and (9–4) holds for $p \geq 28$.
- If $p \equiv 0 \pmod{8}$, then (9–4) holds for $p \geq 16$.

Thus for all the values of p given above, $\langle (R_1 R_2)^2, J \rangle$, and hence $\Gamma\left(\frac{2\pi}{p}, \bar{\sigma}_4\right)$, is not discrete.

Proof. For the sake of concreteness, we list some of the values in the following table:

p	α_p	$\cosh(\delta_p) \sin(\alpha_p)$	inequality
7	$\pi/14$	0.4257...	(9-4)
9	$\pi/18$	0.2650...	(9-4)
10	$\pi/10$	0.4423...	(9-4)
14	$\pi/14$	0.2774...	(9-4)
20	$\pi/5$	0.6748...	(9-5)
28	$\pi/7$	0.4754...	(9-4)
16	$\pi/8$	0.4601...	(9-4)
24	$\pi/12$	0.2889...	(9-4)

□

Proposition 9.8. Let $\tau = \sigma_5 = e^{2i\pi/9} + e^{-i\pi/9} 2 \cos(2\pi/5)$, and so $r/s = 1/2$. Then:

- If p is odd, then (9-4) holds when $p \geq 7$.
- If $p \equiv 2 \pmod{4}$, then (9-4) holds when $p \geq 10$.
- If $p \equiv 4 \pmod{8}$, then (9-5) holds when $p = 20$, and (9-4) holds when $p \geq 28$.
- If $p \equiv 0 \pmod{8}$, then (9-4) holds when $p \geq 16$.

Thus for all the values of p given above, $\langle (R_1 R_2)^2, J \rangle$, and hence $\Gamma(\frac{2\pi}{p}, \sigma_5)$, is not discrete.

Proof. Some values are given in the following table:

p	α_p	$\cosh(\delta_p) \sin(\alpha_p)$	inequality
7	$\pi/14$	0.4977...	(9-4)
9	$\pi/18$	0.3011...	(9-4)
10	$\pi/10$	0.4974...	(9-4)
14	$\pi/14$	0.3032...	(9-4)
20	$\pi/5$	0.7202...	(9-5)
28	$\pi/7$	0.4988...	(9-4)
16	$\pi/8$	0.4980...	(9-4)
24	$\pi/12$	0.3053...	(9-4)

□

Recall from [Parker and Paupert 09] that $\Gamma(\frac{2\pi}{p}, \sigma_4)$ has signature (2, 1) exactly when $4 \leq p \leq 6$, that $\Gamma(\frac{2\pi}{p}, \bar{\sigma}_5)$ has signature (2, 1) exactly when $p = 2$ or 4, and that $\Gamma(\frac{2\pi}{p}, \sigma_6)$ and $\Gamma(\frac{2\pi}{p}, \bar{\sigma}_6)$ are not discrete except possibly when $p = 5$. Hence for each of these values of τ , we have only finitely many things to check. We gather these cases into a single result.

Proposition 9.9.

- If $\tau = \sigma_4 = (-1 + i\sqrt{7})/2$, and so $r/s = 1/2$, and $p = 4$, then (9-6) holds.

- If $\tau = \sigma_4 = (-1 + i\sqrt{7})/2$, and so $r/s = 1/2$, and $p = 5$, then (9-4) holds.
- If $\tau = \sigma_4 = (-1 + i\sqrt{7})/2$, and so $r/s = 1/2$, and $p = 6$, then (9-5) holds.
- If $\tau = \bar{\sigma}_5 = e^{-2i\pi/9} + e^{i\pi/9} 2 \cos(2\pi/5)$, and so $r/s = 1/2$, and $p = 4$, then (9-6) holds.
- If $\tau = \sigma_6 = e^{2i\pi/9} + e^{-i\pi/9} 2 \cos(4\pi/5)$, and so $r/s = 1/2$, and $p = 5$, then (9-5) holds.
- If $\tau = \bar{\sigma}_6 = e^{-2i\pi/9} + e^{i\pi/9} 2 \cos(4\pi/5)$, and so $r/s = 1/2$, and $p = 5$, then (9-5) holds.

Thus for these values of τ and p , we have that $\langle (R_1 R_2)^2, J \rangle$, and hence $\Gamma(\frac{2\pi}{p}, \tau)$, is not discrete.

Proof. Suppose $\tau = \sigma_4 = (-1 + i\sqrt{7})/2$. If $p = 4$, we have

$$|\bar{\tau}^2 + e^{-2i\pi/p} \tau - \tau| = \sqrt{3 - \sqrt{7}} = 0.595\dots$$

If $p = 5$, then $\alpha_p = \pi/10$ and $\cosh(\delta_p) \sin(\alpha_p) = 0.445\dots$. If $p = 6$, then $\alpha_p = \pi/6$ and $\cosh(\delta_p) \sin(\alpha_p) = 0.550\dots \in (\cos(\pi/3), \cos(\pi/4))$.

If $\tau = \bar{\sigma}_5 = e^{-2i\pi/9} + e^{i\pi/9} 2 \cos(2\pi/5)$ and $p = 4$, then

$$|\bar{\tau}^2 + e^{-2i\pi/p} \tau - \tau| = \sqrt{\frac{7 + \sqrt{5} - 3\sqrt{3} - \sqrt{15}}{2}} = 0.289\dots$$

If $\tau = \sigma_6 = e^{2i\pi/9} + e^{-i\pi/9} 2 \cos(4\pi/5)$ and $p = 5$, then $\cosh(\delta_p) \sin(\alpha_p) = 0.937\dots \in (\cos(\pi/8), \cos(\pi/9))$.

If $\tau = \bar{\sigma}_6 = e^{-2i\pi/9} + e^{i\pi/9} 2 \cos(4\pi/5)$ and $p = 5$, then $\cosh(\delta_p) \sin(\alpha_p) = 0.750\dots \in (\cos(\pi/4), \cos(\pi/5))$. □

9.2.3. Cases in Which $|\tau|^2 = 3$.

We now consider the case $|\tau|^2 = 3$, which happens for $\tau = \sigma_1$ or $\bar{\sigma}_1$. In this case, $r/s = 1/3$ and

$$(R_1 R_2)^3 = \begin{bmatrix} e^{2i\pi/p} & 0 & (e^{-2i\pi/p} - 1)(e^{-2i\pi/3p} \tau^2 + e^{4i\pi/3p} \bar{\tau} - e^{-2i\pi/3p} \bar{\tau}) \\ 0 & e^{2i\pi/p} & (e^{-2i\pi/p} - 1)(e^{2i\pi/3p} \tau^2 + e^{-4i\pi/3p} \tau - e^{2i\pi/3p} \tau) \\ 0 & 0 & e^{-4i\pi/p} \end{bmatrix}$$

This is a complex reflection commuting with both R_1 and R_2 , with angle $6\pi/p$. As above, we want to check whether (9-4) holds for α_p the smallest possible rotation angle of powers of $(R_1 R_2)^3$.

- If $p \equiv 1$ or $2 \pmod{3}$, then we can find $k, l \in \mathbb{N}$ such that $6k\pi/p - 2\pi l = 2\pi/p$. Hence $\alpha_p = \pi/p$.
- If 3 divides p , then $6\pi/p$ is already in the form $2\pi/c$; hence $\alpha_p = 3\pi/p$.

Proposition 9.10. Let $\tau = \sigma_1 = e^{i\pi/3} + e^{-i\pi/6}2 \cos(\pi/4)$ and so $r/s = 1/3$. Then:

- If $p \equiv 1$ or $2 \pmod{3}$, then (9–5) holds when $p = 7$ and (9–4) holds when $p \geq 8$.
- If p is divisible by 3, then (9–5) holds when $p = 12, 15$, or 18 , and (9–4) holds when $p \geq 21$.

Thus for all the values of p given above, $\langle (R_1 R_2)^3, J \rangle$, and hence $\Gamma(\frac{2\pi}{p}, \sigma_1)$, is not discrete.

Proof. Some values are given in the following table:

p	α_p	$\cosh(\delta_p) \sin(\alpha_p)$	inequality
7	$\pi/7$	0.6510...	(9–5)
8	$\pi/8$	0.4969...	(9–4)
12	$\pi/4$	0.8134...	(9–5)
15	$\pi/5$	0.6510...	(9–5)
18	$\pi/6$	0.5416...	(9–5)
21	$\pi/7$	0.4631...	(9–4)

□

From [Parker and Paupert 09] we know that if $\tau = \bar{\sigma}_1 = e^{-i\pi/3} + e^{i\pi/6}2 \cos(\pi/4)$, then the only values of p that give signature (2, 1) are those with $3 \leq p \leq 7$.

Proposition 9.11. Let $\tau = \bar{\sigma}_1 = e^{-i\pi/3} + e^{i\pi/6}2 \cos(\pi/4)$, and so $r/s = 1/3$.

- If $p = 5$, then (9–5) holds.
- If $p = 7$, then (9–4) holds.

Thus for $p = 5$ and 7 , we see that $\langle (R_1 R_2)^3, J \rangle$, and hence $\Gamma(\frac{2\pi}{p}, \bar{\sigma}_1)$, is not discrete.

9.2.4. Cases in which $|\tau|^2 = 2 + 2 \cos(\pi/5)$.

This happens for $\tau = \sigma_2$ or $\bar{\sigma}_2$. In that case, $r/s = 1/5$ and $(R_1 R_2)^5$ is a complex reflection with eigenvalues $e^{10i\pi/3p}, e^{10i\pi/3p}, e^{-20i\pi/3p}$. Thus it has rotation angle $10\pi/p$.

- If p is not divisible by 5, then we can find $k, l \in \mathbb{N}$ such that $10k\pi/p - 2\pi l = 2\pi/p$. Hence $\alpha_p = \pi/p$.
- If p is divisible by 5, then $10\pi/p$ is already in the form $2\pi/c$; hence $\alpha_p = 5\pi/p$.

Proposition 9.12. Let $\tau = \sigma_2 = e^{i\pi/3} + e^{-i\pi/6}2 \cos(\pi/5)$, and so $r/s = 1/5$.

- If p is not divisible by 5, then (9–5) holds when $p = 6$ or 7 , and (9–4) holds when $p \geq 8$.

- If p is divisible by 5, then (9–5) holds when $15 \leq p \leq 30$ and (9–4) holds when $p \geq 35$.

Thus for these values of p , we see that $\langle (R_1 R_2)^5, J \rangle$, and hence $\Gamma(\frac{2\pi}{p}, \sigma_2)$, is not discrete.

Proof. Some values are given in the following table:

p	α_p	$\cosh(\delta_p) \sin(\alpha_p)$	inequality
6	$\pi/6$	0.631...	(9–5)
7	$\pi/7$	0.516...	(9–5)
8	$\pi/8$	0.438...	(9–4)
15	$\pi/3$	0.908...	(9–5)
20	$\pi/4$	0.729...	(9–5)
25	$\pi/5$	0.601...	(9–5)
30	$\pi/6$	0.508...	(9–5)
35	$\pi/7$	0.440...	(9–4)

□

From [Parker and Paupert 09], we know that if $\tau = \bar{\sigma}_2 = e^{-i\pi/3} + e^{i\pi/6}2 \cos(\pi/5)$, then the Hermitian form has signature (2, 1) only when $3 \leq p \leq 19$.

Proposition 9.13. Let $\tau = \bar{\sigma}_2 = e^{-i\pi/3} + e^{i\pi/6}2 \cos(\pi/5)$, and so $r/s = 1/5$.

- If p is not divisible by 5, then (9–5) holds when $p = 6$, and (9–4) holds when $7 \leq p \leq 19$.
- If p is divisible by 5, then (9–5) holds when $p = 15$.

Thus for these values of p , we see that $\langle (R_1 R_2)^5, J \rangle$, and hence $\Gamma(\frac{2\pi}{p}, \bar{\sigma}_2)$, is not discrete.

Proof. Some values are given below:

p	α_p	$\cosh(\delta_p) \sin(\alpha_p)$	inequality
6	$\pi/6$	0.5660	(9–5)
7	$\pi/7$	0.4713	(9–4)
15	$\pi/5$	0.8718	(9–5)

□

9.2.5. Cases in Which $|\tau|^2 = 2 + 2 \cos(\pi/7)$.

This happens for $\tau = \sigma_7$ or $\bar{\sigma}_7$. In this case, $r/s = 1/7$. The only group with $\tau = \bar{\sigma}_7$ and signature (2, 1) is $p = 2$. This group is a relabeling of the group with $\tau = \sigma_7$ and $p = 2$. It is discrete. So for the remainder of this section we consider the case $\tau = \sigma_7 = e^{2i\pi/9} + e^{-i\pi/9}2 \cos(2\pi/7)$.

Then $(R_1 R_2)^7$ is a complex reflection with eigenvalues $e^{14i\pi/3p}, e^{14i\pi/3p}, e^{-28i\pi/3p}$; thus it has rotation angle $14\pi/p$.

- If p is not divisible by 7, then we can find $k, l \in \mathbb{N}$ such that $14k\pi/p - 2\pi l = 2\pi/p$. Hence $\alpha_p = \pi/p$.
- If p is divisible by 7, then $14\pi/p$ is already in the form $2\pi/c$; hence $\alpha_p = 7\pi/p$.

Proposition 9.14. *Let*

$$\tau = \sigma_7 = e^{2i\pi/9} + e^{-i\pi/9} 2 \cos(2\pi/7),$$

and so $r/s = 1/7$.

- If p is not divisible by 7, then (9–5) holds for $p = 5$ or 6 and (9–4) holds when $p \geq 8$.
- If p is divisible by 7, then (9–5) holds for $21 \leq p \leq 42$ and (9–4) holds when $p \geq 49$.

Thus for these values of p we see that $\langle (R_1 R_2)^7, J \rangle$, and hence $\Gamma(\frac{2\pi}{p}, \sigma_7)$, is not discrete.

Proof. Some values are given below:

p	α_p	$\cosh(\delta_p) \sin(\alpha_p)$	inequality
5	$\pi/5$	0.929...	(9–5)
6	$\pi/6$	0.702...	(9–5)
8	$\pi/8$	0.476...	(9–4)
21	$\pi/3$	0.921...	(9–5)
28	$\pi/4$	0.739...	(9–5)
35	$\pi/5$	0.608...	(9–5)
42	$\pi/6$	0.514...	(9–5)
49	$\pi/7$	0.444...	(9–4)

9.3. Using Knapp and Jørgensen with Powers of $R_1 R_2 R_3 R_2^{-1}$

9.3.1. The General Setup.

A straightforward calculation shows that

$$R_1 R_2 R_3 R_2^{-1} = \begin{bmatrix} \frac{(1-|\tau^2-\bar{\tau}|^2)}{e^{-2i\pi/3p}} & \frac{(\tau-(\tau^2-\bar{\tau})\bar{\tau})}{e^{2i\pi/3p}} & -\tau^2 + (\tau^2-\bar{\tau}) \left(|\tau|^2 - e^{2i\pi/p} \right) \\ \tau(\tau-\bar{\tau}^2) & \frac{(1-|\tau|^2)}{e^{4i\pi/3p}} & \frac{\tau(|\tau|^2-1+e^{2i\pi/p})}{e^{2i\pi/3p}} \\ \frac{(\tau-\bar{\tau}^2)}{e^{2i\pi/3p}} & \frac{-\bar{\tau}}{e^{2i\pi/p}} & e^{2i\pi/3p} + e^{-4i\pi/3p} |\tau|^2 \end{bmatrix},$$

and hence

$$\text{Tr}(R_1 R_2 R_3 R_2^{-1}) = e^{2i\pi/3p} (2 - |\tau^2 - \bar{\tau}|^2) + e^{-4i\pi/3p}.$$

An $e^{-4i\pi/3p}$ eigenvector of $R_1 R_2 R_3 R_2^{-1}$ is given by

$$\mathbf{p}_{1232} = \begin{bmatrix} e^{-4i\pi/3p} (\tau(1 - e^{2i\pi/p}) - (\tau^2 - \bar{\tau})\bar{\tau}) \\ |\tau|^2 (1 - e^{-2i\pi/p}) - \bar{\tau}(\bar{\tau}^2 - \tau) - |1 - e^{2i\pi/p}|^2 \\ e^{-2i\pi/p} (\bar{\tau}(1 - e^{-2i\pi/p}) - (\bar{\tau}^2 - \tau)\tau) \end{bmatrix}.$$

Suppose that $|\tau^2 - \bar{\tau}|^2 = 2 + 2 \cos(r'\pi/s')$. Then $(R_1 R_2 R_3 R_2^{-1})^s$ is a complex reflection. The values of r' and s' are clearly the same for σ_j and $\bar{\sigma}_j$. They are given in the following table (see [Parker and Paupert 09]):

τ	σ_1	σ_2	σ_3	σ_4	σ_5	σ_6
r'/s'	1/2	1/3	1/3	2/3	2/5	4/5

Let $2\delta'_p$ denote the distance from its mirror to the image of its mirror under J (with polar vector $\mathbf{p}_{2313} = J(\mathbf{p}_{1232})$). Then from Lemma 3.1,

$$\begin{aligned} \cosh(\delta'_p) &= \frac{|\langle \mathbf{p}_{2313}, \mathbf{p}_{1232} \rangle|}{|\langle \mathbf{p}_{1232}, \mathbf{p}_{1232} \rangle|} \\ &= \frac{|(1 - e^{2i\pi/p})\tau + |\tau|^2(\bar{\tau}^2 - 2\tau) + e^{-2i\pi/p}\bar{\tau}^2|}{2 \cos(2\pi/p) + 2 \cos(r'\pi/s')}. \end{aligned}$$

Let α'_p be the smallest nonzero angle through which a power of $(R_1 R_2 R_3 R_2^{-1})^s$ rotates. Let δ'_p , r' , and s' be as above. In order to prove nondiscreteness using the Jørgensen inequality, we need to find values of p such that

$$\begin{aligned} \cosh \delta'_p \sin \alpha'_p &= \frac{|(1 - e^{2i\pi/p})\tau + |\tau|^2(\bar{\tau}^2 - 2\tau) + e^{-2i\pi/p}\bar{\tau}^2| \sin \alpha'_p}{|2 \cos(2\pi/p) + 2 \cos(r'\pi/s')|} \\ &< \frac{1}{2}. \end{aligned} \tag{9-7}$$

In order to prove nondiscreteness using Knapp’s theorem, we must find values of p for which

□

$$\begin{aligned} \cosh \delta'_p \sin \alpha'_p &= \frac{|(1 - e^{2i\pi/p})\tau + |\tau|^2(\bar{\tau}^2 - 2\tau) + e^{-2i\pi/p}\bar{\tau}^2| \sin \alpha'_p}{|2 \cos(2\pi/p) + 2 \cos(r'\pi/s')|} \\ &\neq \cos(\pi/q) \text{ or } \cos(2\alpha_p) \end{aligned} \tag{9-8}$$

for a natural number q .

9.3.2. When $|\tau^2 - \bar{\tau}|^2 = 2$

When $|\tau^2 - \bar{\tau}|^2 = 2$, we have $\tau = \sigma_1$ or $\bar{\sigma}_1$, and $r'/s' = 1/2$. Moreover, $(R_1 R_2 R_3 R_2^{-1})^2$ is a complex reflection with angle $(p - 4)\pi/p$. So we proceed as in Section 9.2.2.

In particular, α'_p is given by Lemma 9.6.

Using Proposition 9.10, we already know that when $p = 7, 8$ or $p \geq 10$, then $\Gamma(\frac{2\pi}{p}, \sigma_1)$ is not discrete. Therefore, we restrict our attention to $p \leq 9$.

Proposition 9.15. *Let $\tau = \sigma_1 = e^{i\pi/3} + e^{-i\pi/6} 2 \cos(\pi/4)$, and so $r'/s' = 1/2$. Then (9–8) holds for $p = 9$. Thus $\langle (R_1 R_2 R_3 R_2^{-1})^2, J \rangle$, and hence also $\Gamma(\frac{2\pi}{p}, \sigma_1)$, is not discrete.*

Proof. In this case, $\alpha'_p = \pi/18$ and $\cosh(\delta'_p) \sin(\alpha'_p) = 0.686\dots \in (\cos(\pi/3), \cos(\pi/4))$. \square

For $\bar{\sigma}_1$, recall from [Parker and Paupert 09] that $\Gamma(\frac{2\pi}{p}, \bar{\sigma}_1)$ has signature $(2, 1)$ exactly when $3 \leq p \leq 7$.

Proposition 9.16. *Let τ be equal to $\bar{\sigma}_1 = e^{-i\pi/3} + e^{+i\pi/6} 2 \cos(\pi/4)$, and so $r'/s' = 1/2$. Then*

- *If $p = 3$ or $p = 6$, then (9–8) holds.*
- *If $p = 7$, then (9–7) holds.*

Thus for $p = 3, 6$, or 7 , the group $\langle (R_1 R_2 R_3 R_1^{-1})^2, J \rangle$, and hence also $\Gamma(\frac{2\pi}{p}, \bar{\sigma}_1)$, is not discrete.

Proof. The values of $\cosh(\delta'_p) \sin(\alpha'_p)$ are as follows:

p	α_p	$\cosh(\delta'_p) \sin(\alpha'_p)$	inequality
3	$\pi/6$	0.982...	(9–8)
7	$\pi/14$	0.269...	(9–7)
6	$\pi/6$	0.859...	(9–8)

\square

9.3.3. Cases in Which $|\tau^2 - \bar{\tau}|^2 = 3$

We consider only the case $\tau = \sigma_2$ or $\bar{\sigma}_2$ (since σ_3 or $\bar{\sigma}_3$ were already handled in [Parker and Paupert 09]). In this case, $r'/s' = 1/3$. Then $(R_1 R_2 R_3 R_1^{-1})^3$ is a complex reflection with angle $6\pi/p$. So we proceed as in Section 9.2.3.

Namely:

- If p is not divisible by 3, then some power gives an angle $\alpha_p = \pi/p$.
- If p is divisible by 3, then some power gives $\alpha_p = 3\pi/p$.

In order to use Jørgensen, we check whether $\cosh \delta'_p \sin \alpha_p < \frac{1}{2}$.

For $\tau = \sigma_2$, using Propositions 9.12 and 9.13, we need to consider only the cases in which $p \leq 5$ or $p = 10$. This method yields nothing new for $p \leq 5$.

Proposition 9.17. *Let $p = 10$.*

- *If $\tau = \sigma_2 = e^{i\pi/3} + e^{-i\pi/6} 2 \cos(\pi/5)$, and so $r'/s' = 1/3$, then (9–8) holds.*
- *If $\tau = \bar{\sigma}_2 = e^{-i\pi/3} + e^{i\pi/6} 2 \cos(\pi/5)$, and so $r'/s' = 1/3$, then (9–7) holds.*

Thus for $p = 10$ and $\tau = \sigma_2$ or $\bar{\sigma}_2$, the group $\langle (R_1 R_2 R_3 R_2^{-1})^3, J \rangle$ is not discrete. Hence $\Gamma(\frac{2\pi}{10}, \sigma_2)$ and $\Gamma(\frac{2\pi}{10}, \bar{\sigma}_2)$ are not discrete.

Proof. When $p = 10$ and $\tau = \sigma_2$, we have

$$\cosh(\delta'_p) \sin(\alpha'_p) = 0.6181\dots \in (\cos(\pi/3), \cos(\pi/4)).$$

When $p = 10$ and $\tau = \bar{\sigma}_2$, we have $\cosh(\delta'_p) \sin(\alpha'_p) = 0.3871\dots < 1/2$. \square

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