

Group Duality and the Kubo-Martin-Schwinger Condition

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Abstract. We consider clustering G -invariant states of a C^* -algebra \mathfrak{A} endowed with an action of a locally compact abelian group G . Denoting as usual by F_{AB}, G_{AB} , the corresponding two-point functions, we give criteria for the fulfillment of the KMS condition (w.r.t. some one-parameter subgroup of G) based upon the existence of a closable map T such that $TF_{AB} = G_{AB}$ for all $A, B \in \mathfrak{A}$. Closability is either in $L^\infty(G)$, $B(G)$, or $\mathcal{C}_\infty(G)$, according to clustering assumptions. Our criteria originate from the combination of duality results for the group G (phrased in terms of functions systems), with density results for the two-point functions.

1. Introduction

The so-called Kubo-Martin-Schwinger (KMS) condition plays an important role both in physics, where it is the modern expression of the “Gibbs structure” (independent of the thermodynamic limit) [1] and in the theory of von Neumann algebras where separating normal states possess this property w.r.t. their “modular automorphism groups” [2]. With \mathfrak{A} a C^* -algebra and $t \rightarrow \alpha_t$ a one-parameter automorphism group of \mathfrak{A} , a state ω is called β -KMS for α whenever, to each pair $A, B \in \mathfrak{A}$, there is a function f of the complex variable, continuous and bounded in the strip $0 \leq \text{Im} z \leq \beta$, holomorphic in its interior, with boundary values

$$\begin{cases} F_{AB}(t) = \omega(B\alpha_t(A)) = f(t) \\ G_{AB}(t) = \omega(\alpha_t(A)B) = f(\beta t + i) \end{cases}, \quad t \in \mathbb{R}. \quad (1.1)$$

This condition can alternatively be stated as follows in terms of Fourier transforms (tempered distributions)

$$\hat{F}_{AB}(p) = e^{-\beta p} \hat{G}_{AB}(p), \quad p \in \mathbb{R}. \quad (1.2)$$

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It is clear from these relations that the KMS condition implies the existence of a well-behaved map $F_{AB} \rightarrow G_{AB}$. Our purpose in this paper is to study the KMS condition in relation with this map and to see whether the existence of an appropriate map entails the condition. In fact we will consider the case of general continuous abelian automorphism groups and of KMS states w.r.t. a continuous one-parameter subgroup: inferring the KMS property for such a subgroup is then a procedure of the same nature as that of reconstructing a group from a dual object and this explains how our question leads us to duality. We restricted ourselves here to the abelian group case but it would be interesting, both physically and mathematically, to investigate in this sense the non abelian automorphism groups (in fact our study of the chemical potential in collaboration with Araki and Haag [3] pertains to a non abelian case – and Tatsuuma also brought a contribution in that direction [4]).

In fact we hope that our paper will provide part of the equipment for developing further algebraic statistical mechanics, and we are thinking in particular of the two following directions: on the one hand the derivation of the KMS structure from a combination of locality (here represented by asymptotic abelianness) and a quantum dynamical ergodicity (non splittability of the dynamical system) with which we hope that the existence of the map $F_{AB} \rightarrow G_{AB}$ is connected in some way. The other direction concerns wider automorphism groups, moving frames, symmetry breaking, etc.

Section 2 of this paper is purely mathematical. It provides a fourfold duality result (Corollary 2.7), whose proof is cast in the language of abstract Banach algebras in order to unify the approach for the different cases.

Section 3 is independent from Sect. 2 and deals with invariant states of dynamical systems. It provides density results (from physically meaningful assumptions) which ensure a sufficient size of the domain of the map $F_{AB} \rightarrow G_{AB}$.

Section 4 combines the results of the two preceding sections to develop criteria for the validity of KMS based on the existence of an appropriate map $F_{AB} \rightarrow G_{AB}$. We conclude with a short proof of an already known physical result as an illustration.

2. Positive Characters and Duality¹

The classical Pontrjagin-Kampen duality theorem tells us how one can identify a given object with an element of a preassigned locally compact abelian group G^2 . Namely, G is exactly identified with the set of all continuous unitary characters of the dual group \hat{G} of G . In this section, we shall study a method of identifying a one-parameter subgroup of G .

Suppose that $\{g(t); t \in \mathbb{R}\}$ is a continuous one-parameter subgroup of G , i.e. a continuous homomorphism of the additive group \mathbb{R} into G . The classical duality theorem entails at once that there exist a continuous homomorphism $\beta: p \in \hat{G} \mapsto \beta(p) \in \mathbb{R}$ such that

$$\langle g(t), p \rangle = \exp(it\beta(p)), \quad t \in \mathbb{R}, p \in \hat{G}, \quad (2.1)$$

¹ A general reference for this chapter is [5]

² We shall use the additive notation for the group operations in G and \hat{G}

where $\langle g, p \rangle$ means the value of $p \in \hat{G}$ at $g \in G$. We then consider a positive character χ_β of \hat{G} given by

$$\chi_\beta(p) = \exp \beta(p), \quad p \in \hat{G}. \tag{2.2}$$

Thus, a continuous one-parameter subgroup $g(t)$ gives rise to a continuous positive character on \hat{G} . Conversely, we have the following:

Proposition 2.1. *If χ is a positive continuous character of \hat{G} , then there exists a continuous one-parameter subgroup $\{g_\chi(t)\}$ of G such that*

$$\chi(p)^{it} = \langle g_\chi(t), p \rangle, \quad t \in \mathbb{R}, p \in \hat{G}. \tag{2.3}$$

We leave the proof to the reader. It is a straightforward application of the duality theorem.

Thus, one can identify continuous one-parameter subgroups of G with continuous positive characters on \hat{G} . If we drop the positivity assumption from a continuous character χ on \hat{G} , then we get a continuous one-parameter subgroup $\{g_{|\chi|}(t)\}$ of G corresponding to the absolute value of χ , and a single element $g_0 \in G$ corresponding to the phase of χ .

We shall then rephrase this characterization of a continuous one-parameter subgroup in terms of function systems over G , not directly involving \hat{G} . To this end, we consider the involutive Banach algebra $M(\hat{G})$ of finite Radon measures on \hat{G} , where the algebraic structure in $M(\hat{G})$ is defined as follows

$$\left. \begin{aligned} \langle \varphi, \mu * \nu \rangle &= \iint_{\hat{G} \times \hat{G}} \varphi(p+q) d\mu(p) d\nu(q) \\ \langle \varphi, \mu^* \rangle &= \left(\int_{\hat{G}} \overline{\varphi(-p)} d\mu(p) \right)^- \end{aligned} \right\}, \quad \varphi \in \mathcal{C}_\infty(\hat{G}). \tag{2.4}$$

We denote here by $\mathcal{C}_\infty(\hat{G})$ the C^* -algebra of all continuous functions on \hat{G} vanishing at infinity. For each $\mu \in M(\hat{G})$, we consider its inverse Fourier transform $\hat{\mathcal{F}}\mu$ defined by:

$$(\hat{\mathcal{F}}\mu)(s) = \int_{\hat{G}} \langle s, p \rangle d\mu(p), \quad s \in G^3. \tag{2.5}$$

It is known that $\hat{\mathcal{F}}$ is a $*$ -isomorphism of $M(\hat{G})$ into the C^* -algebra $\mathcal{C}_b(G)$ of bounded continuous functions on G . We denote by $B(G)$ the range of $\hat{\mathcal{F}}$, and call it the *Fourier-Stieljes algebra on G* .

Suppose that we have a continuous positive character χ on \hat{G} corresponding to a one-parameter subgroup $\{g(t); t \in \mathbb{R}\}$ of G . Set

$$M_\chi = \{ \mu \in M(\hat{G}); \chi \mu \in M(\hat{G}) \}; \tag{2.6}$$

$$\mathcal{A}_\chi = \hat{\mathcal{F}}(M_\chi). \tag{2.7}$$

Define a linear map T_χ of \mathcal{A}_χ into $B(G)$ by:

$$T_\chi f = \hat{\mathcal{F}} \chi \hat{\mathcal{F}}^{-1} f, \quad f \in \mathcal{A}_\chi. \tag{2.8}$$

3 The Fourier transform \mathcal{F} on \hat{G} is defined by:

$$(\mathcal{F}\mu)(s) = \int_{\hat{G}} \overline{\langle s, p \rangle} d\mu(p) = (\hat{\mathcal{F}}\mu)(-s), \quad \mu \in M(\hat{G}), s \in G \tag{2.5'}$$

Proposition 2.2. *Under the above assumptions and notations, we have the following :*

- (i) T_χ is multiplicative and preserves positive definiteness ;
- (ii) T_χ extends to a multiplicative closed linear operator T on $L^\infty(G)$, the closedness of T referring to the $\sigma(L^\infty(G), L^1(G))$ -topology⁴ ;
- (iii) for every f in the domain $\mathcal{D}(T)$ of T and every $s \in G$, there exists a bounded holomorphic function F on the strip, $-1 \leq \text{Im} z \leq 0$, such that

$$\left. \begin{aligned} F(t) &= f(g(t) + s) \\ F(t - i) &= (Tf)(g(t) + s) \end{aligned} \right\} \tag{2.9}$$

for almost every $t \in \mathbb{R}$;

- (iv) T commutes with translations.

Proof. Except possibly for (iii), it is straightforward to check the proposition.

Suppose $f = \widehat{\mathcal{F}}\mu$ with $\mu \in M_\chi$. We have

$$f(s + g(t)) = \int_{\hat{G}} \langle s, p \rangle \chi(p)^{it} d\mu(p), \quad s \in G, t \in \mathbb{R}. \tag{2.10}$$

For a $z \in \mathbb{C}$ with $-1 \leq \text{Im} z \leq 0$, set

$$F(z) = \int_{\hat{G}} \langle s, p \rangle \chi(p)^{iz} d\mu(p). \tag{2.11}$$

Since χ is integrable with respect to the absolute value $|\mu|$ of μ , F is bounded and holomorphic inside the strip and we have

$$F(t) = f(g(t) + s) \quad \text{and} \quad F(t - i) = (T_\chi f)(g(t) + s). \tag{2.12}$$

We next consider a strongly continuous one-parameter group $\{T_t^*\}$ of isometries on $L^1(G)$ defined by :

$$(T_t^* f)(s) = f(s - g(t)), \quad f \in L^1(G), s \in G, \tag{2.13}$$

and its adjoint group $\{T_t\}$ on $L^\infty(G)$ defined by :

$$(T_t f)(s) = f(s + g(t)), \quad f \in L^\infty(G). \tag{2.14}$$

We also consider the set $H^\infty(D)$ of bounded functions on the strip $D = \{z \in \mathbb{C}; -1 \leq \text{Im} z \leq 0\}$, holomorphic inside D . Let \mathcal{D} be the set of all $f \in L^\infty(G)$ such that for every $g \in L^1(G)$, the function $t \in \mathbb{R} \mapsto \langle T_t f, g \rangle \in \mathbb{C}$ extends to an element F_g of $H^\infty(D)$ with $\|F_g\|_\infty \leq K_f \|g\|_1$ for some constant $K_f \geq 0$. Clearly we have $\mathcal{A} \subset \mathcal{D}$. For each $z \in D$ and $f \in \mathcal{D}$, there exists $f_z \in L^\infty(G)$ such that

$$\langle f_z, g \rangle = F_g(z), \quad g \in L^1(G). \tag{2.15}$$

If we set $T_z f = f_z$ for each $f \in \mathcal{D}$ and $z \in D$, then we have

$$T_\chi f = T_{-i} f, \quad f \in \mathcal{A}. \tag{2.16}$$

We shall show that T_{-i} is closed. Let \mathfrak{G} be the graph of T_{-i} in $L^\infty(G) \oplus L^\infty(G)$. We must show that \mathfrak{G} is weak*-closed. Since $L^\infty(G) \oplus L^\infty(G) = (L^1(G) \oplus L^1(G))^*$, we

⁴ $L^\infty(G)$ and $L^1(G)$ refer to a Haar measure on G , while $L^\infty(\hat{G})$ and $L^1(\hat{G})$ refer to the Plancherel measure on \hat{G}

need only to prove that the unit ball of \mathfrak{G} is weak*-closed. Let $f_n \oplus T_{-i}f_n \in \mathfrak{G}$ with $\|f_n\|_\infty, \|T_{-i}f_n\|_\infty \leq 1$, converge to $f \oplus g$ in the weak* topology. If $h \in L^1(G)$, the functions: $z \in D \mapsto \langle T_z f_n, h \rangle \in \mathbb{C}$ belong to $H^\infty(D)$ and are bounded uniformly by 1. Since $\{T_i\}$ is weak* continuous, we have

$$\langle T_i f, h \rangle = \lim_{n \rightarrow \infty} \langle T_i f_n, h \rangle \quad \text{and} \quad \langle T_i g, h \rangle = \lim_{n \rightarrow \infty} \langle T_{-i} f_n, h \rangle. \tag{2.17}$$

By the maximum modulus principle, the function: $t \mapsto \langle T_t f, h \rangle \in \mathbb{C}$ extends to an element F_h of $H^\infty(D)$ and $\|F_h\|_\infty \leq \|h\|_1$. Thus f belongs to \mathcal{D} and $g = T_{-i}f$. We set $T = T_{-i}$. By construction the analyticity requirement for T follows.

Let \mathcal{A} and \mathcal{B} be two involutive semi-simple Banach algebras such that \mathcal{A} is the dual space of \mathcal{B} as a Banach space. Suppose that

- (i) there exists a *-isomorphism ϖ of \mathcal{A} into $L^\infty(G)$ such that ϖ is weak* continuous and the transpose map ϖ_* is also an isomorphism of the convolution algebra $L^1(G)$ into \mathcal{B} ;
- (ii) there exists a homomorphism ϱ of G into $\text{Aut}(\mathcal{A})$ such that each ϱ_s is a weak* continuous isometry of \mathcal{A} and its adjoint ϱ_s^* gives rise to a strongly continuous representation of G on the Banach space \mathcal{B} ;
- (iii) ϖ intertwines the action ϱ of G on \mathcal{A} and the action λ of G on $L^\infty(G)$ given by:

$$(\lambda_s f)(r) = f(s+r), \quad f \in L^\infty(G), \quad r, s \in G. \tag{2.18}$$

- (iv) The spectrum of \mathcal{B} is the dual group \hat{G} and the composition of the Gelfand representation $\hat{\varpi}$ of \mathcal{B} and ϖ_* coincides with the Fourier transform \mathcal{F} on $L^1(G)$.

In applications, we consider the following two examples of $\{\mathcal{A}, \mathcal{B}, \varrho, \varpi, \hat{\varpi}\}$:

Example 2.3. Let $\mathcal{A} = M(\hat{G})$, the convolution algebra of finite measures on G and $\mathcal{B} = \mathcal{C}_\infty(\hat{G})$. We define ϖ, ϱ , and $\hat{\varpi}$ as follows:

$$\left. \begin{aligned} \varpi(\mu)(s) &= \int_{\hat{G}} \langle s, p \rangle d\mu(p) \\ d(\varrho_s \mu)(p) &= \langle s, p \rangle d\mu(p), \quad \mu \in M(\hat{G}), \quad s \in G, \quad p \in \hat{G}, \\ \hat{\varpi}(\varphi) &= \varphi, \quad \varphi \in \mathcal{C}_\infty(\hat{G}) \end{aligned} \right\} \tag{2.19}$$

It is straightforward to check the above postulates. In this case, we have $\varpi(\mathcal{A}) = B(G)$, the Fourier-Stieljes algebra on G .

Example 2.4. Let $\mathcal{A} = L^\infty(G)$ and $\mathcal{B} = L^1(G)$. We define ϖ, ϱ , and $\hat{\varpi}$ as follows:

$$\left. \begin{aligned} \varpi(f) &= f, \quad f \in L^\infty(G); \\ \varrho_s &= \lambda_s, \quad s \in G; \\ \hat{\varpi}(f)(p) &= \int_G \overline{\langle s, p \rangle} f(s) ds, \quad f \in L^1(G), \quad p \in \hat{G}. \end{aligned} \right\} \tag{2.20}$$

It is also straightforward to check the above requirements. In this case, we have $\hat{\varpi}(\mathcal{B}) = A(\hat{G})$, the Fourier algebra over \hat{G} .

Theorem 2.5. *Suppose that $\{\mathcal{A}, \mathcal{B}, \varpi, \varrho, \hat{\varpi}\}$ satisfy the above postulates (i) through (iv). Let \mathcal{D} be a weak*-dense subalgebra of \mathcal{A} globally invariant under the action ϱ of G . If T is a weak*-closed homomorphism of \mathcal{D} into \mathcal{A} commuting with ϱ , there exist a*

$s_0 \in G$ and a continuous one-parameter subgroup $\{g(t); t \in \mathbb{R}\}$ of G such that for each $a \in \mathcal{D}$ and $s \in G$ there exists $F \in H^\infty(D)$ with boundary values :

$$\left. \begin{aligned} F(t) &= \varpi(a)(g(t) + s) \\ F(t - i) &= \varpi(Ta)(s + s_0 + g(t)) \end{aligned} \right\} \quad (2.21)$$

for almost every $t \in \mathbb{R}$.

For the proof, we need the following elementary lemma, which is more or less known. We include the proof for the sake of completeness.

Lemma 2.6. *Let \mathbb{A} be a regular semi-simple abelian Banach algebra with spectrum Ω^5 . Then the ideal $\mathcal{H}_{\mathbb{A}} = \{f \in \mathbb{A} : \hat{f} \text{ has a compact support in } \Omega\}$, where \hat{f} denotes the Gelfand representation of f , is smallest among all dense ideals of \mathbb{A} .*

Proof. Let \mathcal{I} be a dense ideal of \mathbb{A} , and K a compact subset of Ω . We shall show that $f \in \mathcal{I}$ whenever $\text{supp } \hat{f} \subset K$. Let $\mathcal{I}_K = \{f \in \mathbb{A} ; \hat{f} = 0 \text{ on } K\}$. Then \mathcal{I}_K is a closed ideal of \mathbb{A} . Consider $\mathbb{A}_K = \mathbb{A} / \mathcal{I}_K$ and the canonical map $\pi_K : \mathbb{A} \rightarrow \mathbb{A}_K$. The regularity of \mathbb{A} implies that the spectrum of \mathbb{A}_K is canonically identified with K . Then the compactness of K entails that \mathbb{A}_K is unital. The image $\pi_K(\mathcal{I})$ of \mathcal{I} is a dense ideal of the unital algebra \mathbb{A}_K , so that $\pi_K(\mathcal{I}) = \mathbb{A}_K$. Hence \mathcal{I} contains an element $h \in \mathbb{A}$ such that $\hat{h} = 1$ on K . Hence $hf = f \in \mathcal{I}$ whenever $\text{supp } \hat{f} \subset K$. Hence $\mathcal{H}_{\mathbb{A}} \subset \mathcal{I}$.

Proof of Theorem 2.5. We consider the graph \mathfrak{G} of T in $\mathcal{A} \oplus \mathcal{A}$. The weak*-closedness of T yields that \mathfrak{G} is closed under the weak* topology in $\mathcal{A} \oplus \mathcal{A}$ determined by $\mathcal{B} \oplus \mathcal{B}$. Since T and ϱ commute, \mathfrak{G} is invariant under the action $\tilde{\varrho} = \varrho \oplus \varrho$ of G . Hence $\tilde{\varrho}$ gives rise to an action of $L^1(G)$ on \mathfrak{G} , denoted also by $\tilde{\varrho}$: this means that the action $\varrho_f, f \in L^1(G)$, defined by

$$\varrho_f = \int_G f(s) \varrho_s ds, \quad f \in L^1(G)$$

commutes with T , i.e. $\varrho_f(\mathcal{D}) \subset \mathcal{D}$ and $T \circ \varrho_f = \varrho_f \circ T$.

Let \mathcal{D}^* denote the definition domain of the adjoint operator T^* in \mathcal{B} , it follows that \mathcal{D}^* is invariant under $\varrho_f^*, f \in L^1(G)$, and $T^* \circ \varrho_f^* = \varrho_f^* \circ T^*$. We next claim that

$$\varrho_f^*(b) = \varpi_*(f)b, \quad f \in L^1(G), b \in \mathcal{B}. \quad (2.22)$$

For all $a \in \mathcal{A}$ and $f, g \in L^1(G)$, we have

$$\begin{aligned} \langle a, \varrho_f^* \varpi_*(g) \rangle &= \langle \varrho_f(a), \varpi_*(g) \rangle \\ &= \int_G \langle \varrho_s(a), \varpi_*(g) \rangle f(s) ds = \int_G \langle \varpi(\varrho_s(a)), g \rangle f(s) ds \\ &= \int_G \langle \lambda_s \varpi(a), g \rangle f(s) ds = \iint_{G \times G} \varpi(a)(r+s) g(r) f(s) dr ds \\ &= \iint_{G \times G} \varpi(a)(r) g(r-s) f(s) dr ds = \langle \varpi(a), f * g \rangle. \end{aligned} \quad (2.23)$$

Thus $\varrho_f^*(\varpi_*(g)) = \varpi_*(f) \varpi_*(g)$. Since $L^1(G)$ separates $\varpi(\mathcal{A})$, $\varpi_*(L^1(G))$ is dense in \mathcal{B} ; thus we get (2.22).

⁵ A semi-simple abelian Banach algebra is said to be *regular* if the hull-kernel topology coincides with the weak* topology on the spectrum

Therefore \mathcal{D}^* is invariant under the multiplication by $\varpi_*(L^1(G))$. For any $b \in \mathcal{D}^*$ and $c \in \mathcal{B}$, there exists a sequence $f_n \in L^1(G)$ such that $\lim_{n \rightarrow \infty} \|\varpi_*(f_n) - c\| = 0$, and we

have $bc = \lim_{n \rightarrow \infty} b\varpi_*(f_n)$ and

$$T^*(b)c = \lim_{n \rightarrow \infty} T^*(b)\varpi_*(f_n) = \lim_{n \rightarrow \infty} T^*(b\varpi_*(f_n)). \tag{2.24}$$

Hence $bc \in \mathcal{D}^*$ and $T^*(bc) = T^*(b)c$. Therefore \mathcal{D}^* is an ideal of \mathcal{B} . Furthermore \mathcal{D}^* is dense. Since $\hat{\varpi} \circ \varpi_*$ is the Fourier transform of $L^1(G)$, $\hat{\varpi}(\mathcal{B})$ contains $A(\hat{G})$, which means that \mathcal{B} is regular. Thus, Lemma 2.6 entails that $\hat{\varpi}(\mathcal{D}^*)$ contains $\hat{\varpi}(\mathcal{B}) \cap \mathcal{K}(\hat{G})$, in particular $\hat{\varpi}(\mathcal{D}^*) \supset A(\hat{G}) \cap \mathcal{K}(\hat{G})$.

We define an operator \hat{T} on $\hat{\varpi}(\mathcal{D}^*)$ by

$$\hat{T} = \hat{\varpi} \circ T^* \circ \hat{\varpi}^{-1}. \tag{2.25}$$

We then have

$$\hat{T}(\varphi\psi) = \hat{T}(\varphi)\psi, \quad \varphi \in \hat{\varpi}(\mathcal{D}^*), \psi \in \hat{\varpi}(\mathcal{B}). \tag{2.26}$$

Hence we have, for all $\varphi, \psi \in \hat{\varpi}(\mathcal{D}^*)$,

$$\varphi\hat{T}(\psi) = \hat{T}(\varphi\psi) = \hat{T}(\varphi)\psi, \tag{2.27}$$

which means that there exists a continuous function χ on \hat{G} such that

$$\hat{T}(\varphi) = \chi\varphi, \quad \varphi \in \hat{\varpi}(\mathcal{D}^*). \tag{2.28}$$

Noticing that $\hat{\varpi}$ is a norm decreasing map of \mathcal{B} into $\mathcal{C}_\infty(\hat{G})$, we have a dual map $\hat{\varpi}^*$ from $M(\hat{G})$ into \mathcal{A} . For each $f \in L^1(G)$ and $\mu \in M(\hat{G})$, we have

$$\langle \mathcal{F}f, \mu \rangle = \langle \hat{\varpi} \circ \varpi^*(f), \mu \rangle = \langle \hat{\varpi}^*(\mu), \varpi^*(f) \rangle = \langle \varpi \circ \hat{\varpi}^*(\mu), f \rangle \tag{2.29}$$

so that $\varpi \circ \hat{\varpi}^*(\mu)$ must be the Fourier transform $\mathcal{F}(\mu)$ of μ . Since ϖ is multiplicative on \mathcal{A} , $\hat{\varpi}^*$ maps the convolution product in $M(\hat{G})$ into the product defined in \mathcal{A} . Suppose that $\mu \in M(\hat{G})$ has the property that χ is integrable with respect to $|\mu|$. For every $b \in \mathcal{D}^*$, we have

$$\begin{aligned} \langle \hat{\varpi}(T^*b), \mu \rangle &= \langle \hat{T}\hat{\varpi}(b), \mu \rangle = \int \hat{\varpi}(b)(p)\chi(p)d\mu(p) \\ &= \langle \hat{\varpi}(b), \chi\mu \rangle \end{aligned} \tag{2.30}$$

so that $\hat{\varpi}^*(\mu)$ belongs to the domain \mathcal{D} of T and

$$T\hat{\varpi}^*(\mu) = \hat{\varpi}^*(\chi\mu) \tag{2.31}$$

for every $\mu \in M(\hat{G})$ with $\chi\mu \in M(\hat{G})$. The multiplicativity of T together with that of $\hat{\varpi}^*$ entail that

$$\chi(\mu * \nu) = (\chi\mu) * (\chi\nu) \tag{2.32}$$

for all $\mu, \nu \in M(\hat{G})$ with $\chi\mu$ and $\chi\nu$ finite. Thus χ must be a continuous character of \hat{G} . Therefore, there exists an $s_0 \in G$ such that

$$\chi(p) = \langle s_0, p \rangle \exp \beta(p), \quad \hat{p} \in \hat{G}, \tag{2.33}$$

with $\beta(p) = \log|\chi(p)|$. Thus we obtain a continuous one-parameter subgroup $\{g(t); t \in \mathbb{R}\}$ such that

$$\langle g(t), p \rangle = e^{it\beta(p)}, \quad p \in \hat{G}. \tag{2.34}$$

Set $T_t = \varrho_{g(t)}$, $t \in \mathbb{R}$. We have a homomorphism $T: t \in \mathbb{R} \mapsto T_t \in \text{Aut}(\mathcal{A})$ such that $\{T_t^*; t \in \mathbb{R}\}$ is a strongly continuous one-parameter group of isometries of \mathcal{B} . Let \mathcal{D}_0 be the set of all those $a \in \mathcal{A}$ such that for every $b \in \mathcal{B}$, the function $t \in \mathbb{R} \mapsto \langle T_t(a), b \rangle \in \mathbb{C}$ extends to an element F_b of $H^\infty(D)$ such that

$$\|F_b\|_\infty \leq k_a \|b\| \tag{2.35}$$

with a constant $k_a > 0$ independent of b . As in the proof of Proposition 2.1, we define a closed operator T_{-i} with domain \mathcal{D}_0 . We claim that $\varrho_{s_0} \circ T_{-i}$ extends T .

To prove the claim, we shall first show that if $\chi\hat{\omega}(b) = \hat{\omega}(c)$ with $b, c \in \mathcal{B}$ then $b \in \mathcal{D}^*$ and $T^*b = c$. For every $x \in \mathcal{D}^*$, we have

$$\hat{\omega}(T^*bx) = \chi\hat{\omega}(bx) = \chi\hat{\omega}(b)\hat{\omega}(x) = \hat{\omega}(c)\hat{\omega}(x) = \hat{\omega}(cx) \tag{2.36}$$

so that

$$T^*bx = cx, \quad x \in \mathcal{D}^*. \tag{2.37}$$

By (2.22), $\hat{\omega}^{-1}(\mathcal{N}(\hat{G}) \cap A(\hat{G}))$ contains an approximate identity $\{x_n\}$, so that we have

$$b = \lim bx_n \quad \text{and} \quad \lim T^*(bx_n) = \lim cx_n = c. \tag{2.38}$$

Thus $b \in \mathcal{D}^*$ and $T^*b = c$. From this, it follows that the image \mathcal{D}_1 of $\{\mu \in M(\hat{G}); \chi\mu \in M(\hat{G})\}$ under $\hat{\omega}^*$ is a core of T . As in the proof of Proposition 2.1, we observe that

$$\varrho_{s_0} \circ T_{-i} \hat{\omega}(\mu) = \hat{\omega}^*(\chi\mu) \tag{2.39}$$

if μ and $\chi\mu$ belong to $M(\hat{G})$. Thus T and $\varrho_{s_0} \circ T_{-i}$ coincide on \mathcal{D}_1 . We completed the proof.

Corollary 2.7. (i) *Let \mathcal{D} be a weak*-(resp. norm-) dense subalgebra of $L^\infty(G)$ (resp. $\mathcal{C}_\infty(G)$), invariant under the translations. If T is a weak*-(resp. norm-) closed homomorphism of \mathcal{D} into $L^\infty(G)$ (resp. $\mathcal{C}_\infty(G)$) commuting with the translations, then there exists a $s_0 \in G$ and a continuous one-parameter subgroup $\{g(t); t \in \mathbb{R}\}$ of G such that for every $s \in G$ and $f \in \mathcal{D}$ there is an $F \in H^\infty(D)$ with boundary values:*

$$\left. \begin{aligned} F(t) &= f(s + g(t)) \\ F(t - i) &= Tf(s + s_0 + g(t)) \end{aligned} \right\} \quad t \in \mathbb{R}. \tag{2.40}$$

(ii) *In the above assertion, one may replace $L^\infty(G)$ and $\mathcal{C}_\infty(G)$ by $B(G)$ and $A(G)$ respectively, where the weak*-topology in $B(G)$ refers to the identification $B(G) = M(\hat{G}) = \mathcal{C}_\infty(\hat{G})^*$.*

3. Density of the Two-Point Functions of Invariant States

We begin by giving definitions and fixing notation.

Definition 3.1. Given a C^* -algebra \mathfrak{A} and a locally compact group G , an *action of G on \mathfrak{A}* is a homomorphism $g \rightarrow \alpha_g$ of G into the automorphism group of \mathfrak{A} such that the map $g \in G \rightarrow \alpha_g(A)$ is continuous for all $A \in \mathfrak{A}$. With an action α of G on \mathfrak{A} the triple $\{\mathfrak{A}, G, \alpha\}$ is called a C^* -system.

Definition 3.2. An α -invariant state of the C^* -system $\{\mathfrak{A}, G, \alpha\}$ is a state ω of \mathfrak{A} such that $\omega \circ \alpha_g = \omega$ for all $g \in G$.

The *two-point functions* F_{AB} , G_{AB} , resp. *truncated two-point functions* f_{AB} , g_{AB} , are defined as

$$\left. \begin{aligned} F_{AB}(g) &= \omega(B\alpha_g(A)) \\ G_{AB}(g) &= \omega(\alpha_g(A)B) \end{aligned} \right\}, \quad A, B \in \mathfrak{A}, \quad (3.1)$$

resp.

$$\left. \begin{aligned} f_{AB} &= F_{AB} - \omega(A)\omega(B)\mathbf{1} \\ g_{AB} &= G_{AB} - \omega(A)\omega(B)\mathbf{1} \end{aligned} \right\}, \quad A, B \in \mathfrak{A}; \quad (3.1a)$$

and we set

$$\left. \begin{aligned} \mathcal{F} &= \{F_{AB}; A, B \in \mathfrak{A}\} \\ \mathcal{G} &= \{G_{AB}; A, B \in \mathfrak{A}\} \end{aligned} \right\} \quad (3.2)$$

$$\left. \begin{aligned} \mathfrak{f} &= \{f_{AB}; A, B \in \mathfrak{A}\} \\ \mathfrak{g} &= \{g_{AB}; A, B \in \mathfrak{A}\} \end{aligned} \right\} \quad (3.2a)$$

In terms of the Gelfand-Neumark-Segal (GNS-)konstruktion $\{\pi, U, \mathcal{H}, \xi_\omega\}$ of ω , the functions (3.1), (3.1a) respectively read

$$\left. \begin{aligned} F_{AB}(g) &= (\pi(B^*)\xi_\omega, U(g)\pi(A)\xi_\omega) \\ G_{AB}(g) &= (\pi(A^*)\xi_\omega, U(g)\pi(B)\xi_\omega) \end{aligned} \right\}, \quad A, B \in \mathfrak{A}, g \in G, \quad (3.3)$$

and

$$\left. \begin{aligned} f_{AB}(g) &= (\pi(B^*)\xi_\omega, U_0(g)\pi(A)\xi_\omega) \\ g_{AB}(g) &= (\pi(A^*)\xi_\omega, U_0(g)\pi(B)\xi_\omega) \end{aligned} \right\}, \quad A, B \in \mathfrak{A}, g \in G. \quad (3.3a)$$

with U_0 the representation induced by U in the subspace of \mathcal{H} orthogonal to ξ_ω . We see that the sets \mathcal{F} , \mathcal{G} , \mathfrak{f} , and \mathfrak{g} are within the linear span $B(G)$ of $B^+(G)$, the set of continuous positive type functions on G . Setting⁶

$$B_1^+(G) = \{\varphi \in B^+(G); \|\varphi\|_\infty = 1\}, \quad (3.4)$$

$$\mathcal{F}^+ = \{F_{AA^*}; A \in \mathfrak{A}\}, \quad \mathcal{F}_1^+ = \{F_{AA^*}; A \in \mathfrak{A}, \omega(A^*A) = 1\}, \quad (3.5)$$

$$\mathfrak{f}^+ = \{f_{AA^*}; A \in \mathfrak{A}\}, \quad \mathfrak{f}_1^+ = \{f_{AA^*}; A \in \mathfrak{A}, \omega(A^*A) - |\omega(A)|^2 = 1\}, \quad (3.5a)$$

we note that $\mathcal{F}^+, \mathfrak{f}^+ \subset B^+(G)$, $\mathcal{F}_1^+, \mathfrak{f}_1^+ \subset B_1^+(G)$.

We shall mainly be interested in the case where G is abelian. $B(G)$ is then isomorphic to $M(\hat{G}) = \mathcal{C}_\infty(\hat{G})^*$ and equipped as such with the weak* topology $\sigma(B(G), \mathcal{C}_\infty(\hat{G}))$. On the other hand $B(G)$ is immersed in $L^\infty(G)$, which we equip with its weak* topology $\sigma(L^\infty(G), L^1(G))$. The two following facts are important for the

6 We recall that for $f \in B^+(G)$, $\|f\|_\infty$ is the value of f at the unit of G

sequel: $\sigma(L^\infty(G), L^1(G))$ coincides with the weak* topology of $B(G)$ on bounded⁷ subsets of the latter; and these topologies coincide with the topology of uniform convergence on compacts on subsets of $B^+(G)$ bounded⁷ above and below by positive constants (cf. [6], 2.7.5 and 13.5.2).

We finally note the properties (stated for G abelian)

$$\begin{aligned} G_{AB}(g) &= \bar{F}_{A^*B^*}(g) \\ G_{AB}(g) &= F_{BA}(-g), \quad A, B \in \mathfrak{A}, g, s \in G, \end{aligned} \tag{3.6}$$

$$\left. \begin{aligned} F_{AB}(g+s) &= F_{A\alpha_s(B)}(g) \\ G_{AB}(g+s) &= G_{A\alpha_s(B)}(g) \end{aligned} \right\} \tag{3.7}$$

holding also if we replace F_{AB}, G_{AB} by f_{AB} , resp. g_{AB} : we see that $\mathcal{F}, \mathcal{G}, \mathfrak{f}$, and \mathfrak{g} are (globally) translation invariant sets of functions.

Definition 3.3. Let, with the above notation, ω be an α -invariant state of the C^* -system $\{\mathfrak{A}, G, \alpha\}$. Assume the group G amenable non compact and denote by $\mathcal{M}(G)$ its set of invariant means [i.e. the set of states of the C^* -algebra $L^\infty(G)$ invariant under translations by elements of G]. Let, on the other hand, \mathfrak{A}_0 be a norm-dense, globally translation invariant *-subalgebra of \mathfrak{A} . We say that we have *ω -asymptotic abelianness* whenever

$$\|\pi\{(A\alpha_g(B) - \alpha_g(B)A)C\} \xi_\omega\| \xrightarrow{g=\infty} 0, \quad A, B, C \in \mathfrak{A}, \tag{3.8}$$

and *L^1 -asymptotic abelianness on \mathfrak{A}_0* whenever

$$\{g \rightarrow \|A\alpha_g(B) - \alpha_g(B)A\|\} \in L^1(G), \quad A, B \in \mathfrak{A}_0. \tag{3.9}$$

On the other hand we say that the state ω is *weakly α -clustering* whenever⁸

$$\eta(f_{AB}) = 0, \quad A, B \in \mathfrak{A}, \eta \in \mathcal{M}(G); \tag{3.10}$$

α -clustering of order n (n a positive integer) whenever, to each set $A_1, \dots, A_p \in \mathfrak{A}$, $p \leq n$, there is a positive function $\varphi \in \mathcal{C}_\infty(G)$ with

$$|\omega_{(p)}^T(\alpha_{g_1}(A_1), \dots, \alpha_{g_p}(A_p))| \leq \varphi(g_i - g_j), \quad i \neq j \tag{3.11}$$

(here $\omega_{(p)}^T$ denotes the truncated function of order p see, e.g. [7], VI, Appendix).

L^1 - α -clustering of order n on \mathfrak{A}_0 whenever, to each set $A_1, \dots, A_p \in \mathfrak{A}_0$, $p \leq n$, there is a positive function $\varphi \in L^1(G)$ fulfilling (3.11).

We note that for $n=2$ the two last properties (to which we then simply refer as *clustering*, resp. *L^2 -clustering on \mathfrak{A}_0*) reduce to

$$f_{AB} \in \mathcal{C}_\infty(G), \quad A, B \in \mathfrak{A}, \tag{3.12}$$

resp.

$$f_{AB} \in L^1(G), \quad A, B \in \mathfrak{A}_0. \tag{3.13}$$

⁷ For the sup norm

⁸ It is well known that under the above asymptotic abelianness properties weak α -clustering of ω is equivalent to extremal α -invariance

We now state our first density result :

Proposition 3.4. *Let ω , with the above notation, be an α -invariant state of the C^* -system $\{\mathfrak{A}, G, \alpha\}$, where G is abelian. We have that*

- (i) \mathcal{F} (or \mathcal{G} , or, \mathfrak{f} , or \mathfrak{g}) is weak* total in $B(G)$ iff $\text{Sp } U = \hat{G}$;
- (ii) these sets are even weak* dense in $B(G)$ if we assume in addition the existence of an action τ of a locally compact group H on \mathfrak{A} commuting with α^g and such that ω is τ -invariant and τ -clustering (in particular if, in addition to $\text{Sp } U = \hat{G}$, ω is α -clustering).

- (iii) assume ω α -clustering (so that $\mathfrak{f}, \mathfrak{g} \subset \mathcal{C}_\infty(G)$) : then $\text{Sp } U = \hat{G}$ iff \mathfrak{f} (or \mathfrak{g}) is total in $\mathcal{C}_\infty(G)$ for the sup norm.

Proof. Owing to (3.6) we need only consider the case of \mathcal{F} and \mathfrak{f} . The proof of (i) rests on the following known fact (see, e.g., [6], Sect. 18) :

Let V , with spectrum $\Sigma \in \hat{G}$, be a continuous unitary representation of the abelian locally compact group G on the Hilbert space \mathcal{H} and let

$$\mathcal{S} = \{ \{g \in G \rightarrow (\varphi, V(g)\psi)\} ; \psi \in \mathfrak{f}, \|\psi\| = 1 \}, \tag{3.14}$$

where \mathfrak{f} is a dense linear subspace of \mathcal{H} . Then the weak* closed convex hull of \mathcal{S} in $B(G)$ consists of the functions in $B_1^+(G)$ whose Fourier transforms have support within Σ .

Applying this to $V = U$ and $\mathfrak{f} = \pi(\mathfrak{A})\xi_\omega$ [resp. $V = U$, and $\mathfrak{f} = E_0\pi(A)\xi_\omega$, E_0 the projection in \mathcal{H} onto the subspace orthogonal to ξ_ω], we see that the closed convex hull in $B(G)$ of the set \mathcal{F}_1^+ , (resp. \mathfrak{f}_1^+) is the whole $B_1^+(G)$. Assertion (i) then follows from the fact that $B_1^+(G)$, resp. $B^+(G)$, generate $B^+(G)$, resp. $B(G)$ by homogeneity, resp. linearity.

The proof of (ii) (as well as other arguments to come) follows a pattern described by

Remark 3.5. Let X and Y be topological spaces, with $(b, a) \in X \times Y \rightarrow b \circ a \in X$ a separately continuous map. If the respective subsets S and T of X and Y have closures \bar{S} and \bar{T} such that $T \circ S \subset \bar{S}$, one has $\bar{T} \circ \bar{S} \subset \bar{S}$ (immediate: let $x \in \bar{S}$ and $y \in \bar{T}$ be the respective limits of generalized sequences $\{x_\sigma\} \subset S$ and $\{y_\tau\} \subset T$: one has successively $y_\tau \circ x_\sigma \rightarrow y_\tau \circ x \in \bar{S}$ for each fixed τ ; and $y_\tau \circ x \rightarrow y \circ x \in \bar{S}$).

Choosing $X = Y = B(G)$, $S = T = \mathcal{F}$ or \mathfrak{f} , and $a \cdot b = a + b$, we see that (ii) follows if we prove that $\mathcal{F} + \mathcal{F} \subset \mathcal{F}$ and $\mathfrak{f} + \mathfrak{f} \subset \mathfrak{f}$.

Now τ -clustering of ω entails that one has, for all $A_1, A_2, B_1, B_2 \in \mathfrak{A}$,

$$F_{A_1 + \tau_u(A_2), B_1 + \tau_u(B_2)} \xrightarrow{u \rightarrow \infty} F_{A_1 B_1} + [\omega(A_1)\omega(B_2) + \omega(A_2)\omega(B_1 + B_2)]\mathbb{1}, \tag{3.15}$$

$$F_{A_1 + \tau_u(A_2), B_1 + \tau_u(B_2)} \xrightarrow{u \rightarrow \infty} F_{A_1 B_1} + F_{A_2 B_2} + [\omega(A_1)\omega(B_2) + \omega(B_1)\omega(A_2)]\mathbb{1}, \tag{3.16}$$

$$f_{A_1 + \tau_u(A_2), B_1 + \tau_u(B_2)} \xrightarrow{u \rightarrow \infty} f_{A_1 B_1} + f_{A_2 B_2}, \tag{3.17}$$

pointwise and, since the functions on the left are uniformly bounded, in the $\sigma(L^\infty(G), L^1(G))$ and $\sigma(B(G), \mathcal{C}_\infty(\hat{G}))$ topologies. Now (3.17) shows that $\mathfrak{f} + \mathfrak{f} \subset \mathfrak{f}$. Further we see

9 I.e. $\tau_h \circ \alpha_g = \alpha_g \circ \tau_h$, $g \in G, h \in H$

from (3.15) $F_{A_1 B_1} + \lambda \mathbb{1} \in \overline{\mathcal{F}}$ for all $A_1, B_1 \in \mathfrak{A}$ and $\lambda \in \mathbb{C}$ [choose A_2, B_2 with $\omega(B_1 + B_2) \neq 0$ and $\omega(A_2) = [\lambda - \omega(A_1)\omega(B_2)]/\omega(B_1 + B_2)$]. This combined with (3.16) shows that $\mathcal{F} + \mathcal{F} \subset \overline{\mathcal{F}}$.

We now prove (iii): $\text{Sp} U = \hat{G}$ entails $\text{Sp} U_0 = \hat{G}$, from which follows that $U_0(f) = 0, f \in L^1(G)$, iff $f = 0$ [U_0 denotes also the associated representation of $L^1(G)$ and $M(G)$]. Let now $\mu \in M(G)$ be non vanishing: there is an $f \in L^1(G)$ with $\mu * f \neq 0$ and thus $U_0(\mu * f) = U_0(\mu)U_0(f) \neq 0$, whence $U_0(\mu) \neq 0$. But, from (3.3a) we have

$$(\pi(B^*)\xi_\omega, U_0(\mu)\pi(A)\xi_\omega) = \int f_{AB}(g)d\mu(g) = \langle \mu, f_{AB} \rangle, \quad A, B \in \mathfrak{A}, \tag{3.18}$$

and ξ_ω is cyclic: thus μ vanishes on \mathfrak{f} iff $U_0(\mu) = 0$: we see that \mathfrak{f} is total in $\mathcal{C}_\omega(G)$ iff $\text{Sp} I = \hat{G}$.

Remark 3.6. We also proved that in the frame of Proposition 3.4 one has $\text{Sp} U = \hat{G}$ iff the weak* closed convex hull of any of the sets $\mathcal{F}^+, \mathcal{G}^+, \mathfrak{f}^+, \mathfrak{g}^+ (\mathcal{F}_1^{1+}, \mathcal{G}_1^+, \mathfrak{f}_1^+, \mathfrak{g}_1^+)$ coincides with $B^+(G) (B_1^+(G))$; and further that if ω is τ -clustering, the weak* closures of $\mathcal{F}^+, \mathcal{G}^+, \mathfrak{f}^+$, and \mathfrak{g}^+ are already convex.

Our next result pertains to density in $L^\infty(G)$.

Proposition 3.7. *Let ω , with the above notation, be an α -invariant state of the C^* -system $\{\mathfrak{A}, G, \alpha\}$. And assume the existence of an action τ of an amenable group H on \mathfrak{A} , commuting with α , ω -asymptotically abelian, and for which ω is weakly τ -clustering. If $\text{Sp} U = \hat{G}$ the sets $\mathcal{F}, \mathcal{G}, \mathfrak{f}, \mathfrak{g}$ are all weak* total in $L^\infty(G)$. These sets are even dense in $L^\infty(G)$ if ω is τ -clustering.*

Proof. We need only consider \mathcal{F} and \mathfrak{f} whose weak* closed linear hulls in $L^\infty(G)$ we denote $[\mathcal{F}]^\infty$, resp. $[\mathfrak{f}]^\infty$. As a first step we shall prove that the latter are multiplicative. For this we observe that by Remark 3.6 with $X = Y = L^\infty(G)$, $S = T = \mathcal{F}$ or \mathfrak{f} , and $a \cdot b = ab$, it suffices to show that $\mathcal{F} \cdot \mathcal{F} \subset [\mathcal{F}]^\infty$ and $\mathfrak{f} \cdot \mathfrak{f} \subset [\mathfrak{f}]^\infty$. This will result from the assumed clustering and asymptotic abelianness.

Consider the functions

$$\left. \begin{aligned} \Phi_u &= F_{A_1 \tau_u(A_2), B_1 \tau_u(B_2)}, \\ \varphi_u &= f_{A_1 \tau_u(A_2), B_1 \tau_u(B_2)} \end{aligned} \right\} \quad A_1, A_2, B_1, B_2 \in \mathfrak{A}, u \in H. \tag{3.19}$$

and let $\eta \in \mathcal{M}(H)$. Since the representation of $L^\infty(H)$ by pointwise multiplication on $L^2(H)$ is faithful¹⁰ there is a net $\{\eta_\sigma\} \subset L^1(H)$ such that $\eta_\sigma \rightarrow \eta$ in the weak* topology of $L^\infty(H)^*$. Smearing out the functions (3.19) with the η_σ :

$$\left. \begin{aligned} \Phi_\sigma &= \int_H \Phi_u \eta_\sigma(u) du \\ \varphi_\sigma &= \int_H \varphi_u \eta_\sigma(u) du \end{aligned} \right\} \tag{3.20}$$

we obtain, as shown by the Fubini theorem, respective elements of $\mathcal{F}^{\perp\perp} = [\mathcal{F}]^\infty$ and $\mathfrak{f}^{\perp\perp} = [\mathfrak{f}]^\infty$ yielding the pointwise limits

$$\left. \begin{aligned} \Phi_\sigma &\xrightarrow{\sigma} F_{A_1 B_1} \cdot F_{A_2 B_2} \\ \varphi_\sigma &\xrightarrow{\sigma} f_{A_1 B_1} \cdot f_{A_2 B_2} + \omega(A_2) f_{A_1 B_1} + \omega(A_1) f_{A_2 B_2} \end{aligned} \right\} \tag{3.21}$$

10 See [6]

as follows from the weak τ -clustering of ω , owing to

$$\begin{aligned}\Phi_u(g) &= \varphi_u(g) + \omega(A_1 \tau_u(A_2) \omega(B_1 \tau_u(B_2))) \\ &= \omega(B_1 \alpha_g(A_1) \tau_u(B_2 \alpha_g(A_2))) + \omega(B_1 (\tau_u(B_2) \alpha_g(A_1) - \alpha_g(A_1) \tau_u(B_2)) \tau_u \alpha_g(A_2))\end{aligned}\tag{3.22}$$

since η vanishes on the last term, due to ω -asymptotic abelianness for the action τ : (3.21) now entails $\mathcal{F} \cdot \mathcal{F} \subset [\mathcal{F}]^\infty$, $\mathfrak{f} \cdot \mathfrak{f} \subset [\mathfrak{f}]^\infty$, observing that Φ_σ and φ_σ are bounded by $\|A_1\| \cdot \|A_2\| \cdot \|B_1\| \cdot \|B_2\|$, and thus that the limits hold in $\sigma(L^\infty(G), L^1(G))$ by dominated convergence.

From the multiplicativity of $[\mathcal{F}]^\infty$ and $[\mathfrak{f}]^\infty$, and from (3.6), (3.7) we now conclude that $[\mathcal{F}]^\infty \cap [\mathcal{G}]^\infty$ and $[\mathfrak{f}]^\infty \cap [\mathfrak{g}]^\infty$ are both globally translation invariant von Neumann subalgebras of $L^\infty(G)$. Theorem 2 of [8] then asserts that they are describable as subsets of L^∞ -functions invariant under some closed subgroup of G : but the latter has to be trivial due to $\text{Sp}U = \text{Sp}U_0 = \hat{G}$. Thus $[\mathcal{F}]^\infty = [\mathcal{G}]^\infty = [\mathfrak{f}]^\infty = [\mathfrak{g}]^\infty = L^\infty(G)$. And we proved earlier [cf. proof of Proposition 3.4 (ii)] that if ω is τ -clustering the weak* closures of these sets are already linear.

We now prove density in $L^1(G)$ under a sharper clustering assumption (for a neighbouring result, see [9]).

Proposition 3.8. *Let ω be an α -invariant state of the C^* -system $\{\mathfrak{A}, G, \alpha\}$, with G abelian and \mathfrak{A} unital. If ω is L^1 - α -clustering of order 4, and $\text{Sp}U = \hat{G}$ \mathfrak{f} and \mathfrak{g} are both total in $L^1(G)$.*

Proof. Since ω is a fortiori L^1 -clustering, we have $\mathfrak{f}, \mathfrak{g} \subset L^1(G)$. Let $[\mathfrak{f}]_1$ be the closed convex hull of \mathfrak{f} for the L^1 -norm, we must show that $[\mathfrak{f}]_1 = L^1(G)$. Since \mathfrak{f} is globally translation invariant, $[\mathfrak{f}]_1$ is a closed ideal of the group algebra $L^1(G)$. Thus if Σ_0 is the set of points of \hat{G} at which the Fourier transform of at least one element of $[\mathfrak{f}]_1$ does not vanish, we shall have $[\mathfrak{f}]_1 = L^1(G)$ if we show that $\Sigma_0 = \hat{G}$. Now this will hold if we show that $[\mathfrak{f}]_1$ is stable under multiplications by all elements of $L^\infty(G)$, since, taking for the latter all characters of G , Σ_0 is seen to be translation invariant in \hat{G} , and hence to cover \hat{G} due to $\text{Sp}U_0 = \hat{G}$.

Our proof thus boils down to proving that $L^\infty(G) \cdot [\mathfrak{f}]_1 \subset [\mathfrak{f}]_1$, i.e. $[\mathfrak{f}]^\infty \cdot [\mathfrak{f}]_1 \subset [\mathfrak{f}]_1$ by Proposition 3.7. Now Remark 3.5 reduces this to showing that $\mathfrak{f} \cdot \mathfrak{f} \subset [\mathfrak{f}]_1$ [choose $X = L^1(G)$ with its weak topology, $Y = L^\infty(G)$ with its weak topology, $S = T = \mathfrak{f}$, $a \circ b = ab$; and observe that weakly closed and norm closed linear hulls in $L^1(G)$ coincide].

The fact that $\mathfrak{f} \cdot \mathfrak{f} \subset [\mathfrak{f}]_1$ will now result from the L^1 - α -clustering of ω by a sharpening of the argument establishing Proposition 3.7. We shall use the function φ_u in (3.19) with $\tau = \alpha$ and a modified form of A_1, A_2, B_1, B_2 . Note that if we define

$$A' = A - \omega(A)\mathbb{1}, \quad A \in \mathfrak{A},\tag{3.23}$$

we have the following straightforward properties

$$\omega(A') = 0, \quad A \in \mathfrak{A},\tag{3.24}$$

$$\alpha_g(A') = \alpha_g(A'), \quad A \in \mathfrak{A}, g \in G,\tag{3.25}$$

$$\omega_{(p)}^T(A'_1, \dots, A'_p) = \omega_{(p)}^T(A_1, \dots, A_p), \quad A_i \in \mathfrak{A},\tag{3.26}$$

$$f_{A'B'}(g) = \omega_{(2)}^T(B, \alpha_g(A)) = f_{AB}(g), \quad A, B \in \mathfrak{A}, g \in G,\tag{3.27}$$

[3.26] is immediate from the fact that any truncated function vanishes whenever one of its arguments is a multiple of the unit]. From (3.26) and (3.25) follows that if (3.11) holds, it also holds with (some of) the A_i replaced by the corresponding A'_i . Let now $A_1, A_2, B_1, B_2 \in \mathfrak{A}$ and expand in terms of truncated functions the first term in the r.h.s. of the second line in ¹¹

$$\begin{aligned} \varphi'_u(g) &= f_{A_1 \alpha_u(A_2), B_1 \alpha_u(B_2)} \\ &= \omega(B'_1 \alpha_u(B'_2) \alpha_g(A'_1) \alpha_{g+u}(A'_2)) - \omega(A'_1 \alpha_u(A'_2)) \omega(B'_1 \alpha_u(B'_2)). \end{aligned} \quad (3.28)$$

We obtain taking account of (3.24) through (3.27) above

$$\begin{aligned} \varphi'_u(g) &= f_{A_1 B_1}(g) f_{A_2 B_2}(g) + \omega_{(4)}^T(B_1 \alpha_u(B_2) \alpha_g(A_1) \alpha_{g+u}(A_2)) \\ &\quad + \omega_{(2)}^T(B_1 \alpha_{u+g}(A_2)) \omega_{(2)}^T(\alpha_u(B_2) \alpha_g(A_1)), \end{aligned} \quad (3.29)$$

where, due to the assumed L^1 - α -clustering of ω of order 4, the two last terms are majorized by a fixed L^1 -function of g , and tend to zero for $u \rightarrow \infty$. The dominated convergence theorem then implies that $\varphi'_u \xrightarrow[u=\infty]{} \mathfrak{f}_{A_1 B_1} \cdot \mathfrak{f}_{A_2 B_2}$, whence $\mathfrak{f} \cdot \mathfrak{f} \subset [\mathfrak{f}]_0$.

We end up this section by collecting results in the physically important case $G = \mathbb{R}$, the additive group of reals, where asymptotic abelianness and weak clustering are known [10] to imply a ‘‘spectral alternative’’.

Proposition 3.9. *Let ω be an extremal invariant state of a C^* -system $\{\mathfrak{A}, \mathbb{R}, \alpha\}$ assumed ω -asymptotically abelian. Adopt the notation of Definition 3.2 and denote by \square_* , \square^∞ , \square_1 , \square_∞ closed linear hulls in respectively in $B(\mathbb{R})$, $L^\infty(\mathbb{R})$ for their weak* topologies and $L^1(\mathbb{R})$, $\mathcal{C}_\infty(\mathbb{R})$ for their norm topologies.*

One has then the following alternative (i), (ii), (iii):

- (i) $\text{Sp } U = \mathbb{R}$; $[\mathcal{F}]_* = [\mathcal{G}]_* = [\mathfrak{f}]_* = [\mathfrak{g}]_* = B(\mathbb{R})$; and $[\mathcal{F}]^\infty = [\mathcal{G}]^\infty = [\mathfrak{f}]^\infty = [\mathfrak{g}]^\infty = L^\infty(\mathbb{R})$;
- (ii) $\text{Sp } U = \{na; n \in \mathbb{Z}\}$ for some $a > 0$; $\pi(\mathfrak{A})$ is abelian; $[\mathcal{F}]_* = [\mathcal{G}]_* = [\mathfrak{f}]_* = [\mathfrak{g}]_* = \{f \in B(\mathbb{R}); f \text{ is periodic with period } 2\pi/a\}$
 $[\mathcal{F}]^\infty = [\mathcal{G}]^\infty = [\mathfrak{f}]^\infty = [\mathfrak{g}]^\infty = \{f \in L^\infty(G); f \text{ is periodic with period } 2\pi/a\}$;
- (iii) $\text{Sp } U \cap (-\text{Sp } U) = \emptyset$ and $[\mathcal{F}]_* \cap [\mathcal{G}]_*$, $[\mathfrak{f}]_* \cap [\mathfrak{g}]_*$, $[\mathcal{F}]^\infty \cap [\mathcal{G}]^\infty$, and $[\mathfrak{f}]^\infty \cap [\mathfrak{g}]^\infty$ all reduce to the constant functions.

Moreover, if we assume that ω is α -clustering, the weak-closures of \mathcal{F} , \mathcal{G} , \mathfrak{f} and \mathfrak{g} in both $B(\mathbb{R})$ and $L^\infty(\mathbb{R})$ are already linear (thus coincide with the above closed convex hulls); alternative (ii) is excluded; and $[\mathfrak{f}]_\infty = [\mathfrak{g}]_\infty = \mathcal{C}_\infty(\mathbb{R})$.*

Finally, if $\text{Sp } U = \mathbb{R}$ and if ω is L^1 -clustering of order 4, $[\mathfrak{f}]_1 = [\mathfrak{g}]_1 = L^1(\mathbb{R})$.

The density proofs for case (ii) are analogous to those presented earlier for case (i); and the result in case (iii) follows from the fact that the set of Hardy functions intersects its complex conjugate along the constant functions.

4. Criteria for the KMS Property

Combining the duality results of Sect. 2 (specifically Corollary 2.6) with the density results of Sect. 3, we now obtain criteria for the KMS nature of invariant states of

¹¹ For the full expression of this expansion in the general case, see the Appendix

C^* -systems with varying degrees of clustering. For weakly clustering states we have

Theorem 4.1. *Let ω , with the notation of Definition 3.2, be an α -invariant state of the C^* -system $\{\mathfrak{A}, G, \alpha\}$, with G abelian and $\text{Sp}U = \hat{G}$. Assume that ω is extremal τ -invariant for an action τ of an amenable group H on \mathfrak{A} which is ω -asymptotically abelian and commutes with α . Denote by \mathcal{A} either $L^\infty(G)$ topologized by $\sigma(L^\infty(G), L^1(G))$ or $B(G)$ topologized by $\sigma(B(G), \mathcal{C}_\infty(\hat{G}))$. If there is a closable linear operator T_0 in \mathcal{A} , with domain the linear span of $\mathcal{F}(\mathfrak{f})$ ¹², such that $T_0 f_{AB} = G_{AB}(T_0 f_{AB} = g_{AB})$ for all $A, B \in \mathfrak{A}$, then ω is KMS for some continuous one-parameter subgroup of G ¹³.*

*Proof*¹⁴. The conclusion will follow if we show that the closure T of T_0 can be taken as the operator T in Corollary 2.6: indeed, from (3.5) and (3.6) we have that T commutes with $f \rightarrow f^*$, where $f^*(s) = \bar{f}(-s)$, $s \in G$, entailing $s_0 = 0$ in the conclusion of Corollary 2.7.

Let \mathcal{D}_T be the domain of T : since it includes the linear span of $\mathcal{F}(\mathfrak{f})$, it is dense in \mathcal{A} by Propositions 3.4 and 3.7. On the other hand, it is clear from (3.7) that \mathcal{D}_T is stable under all translations by elements of G and that T commutes with these translations. Thus we are left with the proof that \mathcal{D}_T is closed for products and that T is multiplicative. For this (and later arguments) we need the following general fact:

Remark 4.2. Let \mathcal{A} be a topological space with $(f_1, f_2) \in \mathcal{A} \times \mathcal{A} \rightarrow f_1 \circ f_2 \in \mathcal{A}$ a separately continuous map. Let $T_0: \mathcal{S} \subset \mathcal{A} \rightarrow \mathcal{A}$ be a closable operator and assume that the closure T of T_0 fulfills $\mathcal{S} \circ \mathcal{S} \subset \mathcal{D}_T$ and $T(f_1 \circ f_2) = (T_0 f_1) \circ (T_0 f_2)$, $f_1, f_2 \in \mathcal{S}$. One then has for all $\varphi_1, \varphi_2 \in \mathcal{D}_T$, $\varphi_1 \circ \varphi_2 \in \mathcal{D}_T$ with $T(\varphi_1 \circ \varphi_2) = (T\varphi_1) \circ (T\varphi_2)$.

The proof of this fact is immediate: let, for $\varphi_1, \varphi_2 \in \mathcal{D}_T$, $\{\varphi_1^\sigma\}$ and $\{\varphi_2^\tau\}$ be generalized sequences in \mathcal{S} such that

$$\left. \begin{array}{l} \varphi_1^\sigma \xrightarrow{\sigma} \varphi_1 \quad \text{whilst} \quad T_0 \varphi_1^\sigma \xrightarrow{\sigma} T\varphi_1 \\ \varphi_2^\tau \xrightarrow{\tau} \varphi_2 \quad \text{whilst} \quad T_0 \varphi_2^\tau \xrightarrow{\tau} T\varphi_2 \end{array} \right\} \quad (4.1)$$

By assumption $\varphi_1^\sigma \circ \varphi_2^\tau \in \mathcal{D}_T$ with $T(\varphi_1^\sigma \circ \varphi_2^\tau) = (T_0 \varphi_1^\sigma) \circ (T_0 \varphi_2^\tau)$. Thus (4.1) implies successively, by separate continuity

$$\varphi_1^\sigma \circ \varphi_2^\tau \xrightarrow{\sigma} \varphi_1 \circ \varphi_2^\tau \quad \text{whilst} \quad T(\varphi_1^\sigma \circ \varphi_2^\tau) \xrightarrow{\sigma} (T\varphi_1) \circ (T_0 \varphi_2^\tau) \quad (4.2)$$

for each fixed τ , whence $\varphi_1 \circ \varphi_2^\tau \in \mathcal{D}_T$ with $T(\varphi_1 \circ \varphi_2^\tau) = (T\varphi_1) \circ (T_0 \varphi_2^\tau)$, and

$$\varphi_1 \circ \varphi_2^\tau \xrightarrow{\tau} \varphi_1 \circ \varphi_2 \quad \text{whilst} \quad T(\varphi_1 \circ \varphi_2^\tau) \xrightarrow{\tau} (T\varphi_1) \circ (T\varphi_2). \quad (4.3)$$

We now resume our proof, which by the preceding Remark (with \mathcal{S} the linear span $[\mathcal{F}]$ of \mathcal{F} ($[\mathfrak{f}]$ of \mathfrak{f}) and $f_1 \circ f_2 = f_1 f_2$) boils down to the proof of

$$f_1 f_2 \in \mathcal{D}_T \quad \text{with} \quad T(f_1 f_2) = (T_0 f_1) \circ (T_0 f_2), \quad f_1, f_2 \in [\mathcal{F}] \text{ (} [\mathfrak{f}] \text{)}. \quad (4.4)$$

12 The theorem remains valid if one replaces $\mathcal{F}(\mathfrak{f})$ by the set $\mathcal{F}_0(\mathfrak{f}_0)$ obtained by restricting A, B to a translation invariant, norm dense $*$ -subalgebra \mathfrak{A}_0 of \mathfrak{A}

13 If $G = \mathbb{R}$, the additive group of reals, the conclusion means that ω is β -KMS for some real β

14 The proof is effected at the same time for the two choices $\mathcal{A} = L^\infty(G)$ and $\mathcal{A} = B(G)$

By linearity, this reduces to

$$F_{A_1 B_1} \cdot F_{A_2 B_2} \in \mathcal{D}_T \quad \text{with} \quad T(F_{A_1 B_1} \cdot F_{A_2 B_2}) = G_{A_1 B_1} \cdot G_{A_2 B_2} \quad A_1, A_2, B_1, B_2 \in \mathfrak{A}, \quad (4.5)$$

$$f_{A_1 B_1} \cdot f_{A_2 B_2} \in \mathcal{D}_T \quad \text{with} \quad T(f_{A_1 B_1} \cdot f_{A_2 B_2}) = g_{A_1 B_1} \cdot g_{A_2 B_2}, \quad (4.5a)$$

a property which will result from asymptotic abelianness and clustering. To show this, we consider again the functions (3.19), (3.20), together with their counterparts

$$\left. \begin{aligned} \Psi_u &= G_{A_1 \tau_u(A_2), B_1 \tau_u(B_2)} \\ \psi_u &= g_{A_1 \tau_u(A_2), B_1 \tau_u(B_2)}, \end{aligned} \right\} \quad A_1, A_2, B_1, B_2 \in \mathfrak{A}, u \in H, \quad (4.6)$$

and

$$\left. \begin{aligned} \Psi_\sigma &= \int_H \varphi_u \eta_\sigma(u) du \\ \psi_\sigma &= \int_H \psi_u \eta_\sigma(u) du, \end{aligned} \right\} \quad (4.7)$$

whereby the η_σ are now chosen continuous with compact support [possible, since one can approximate weakly the state η of $L^\infty(H)$] using the dense subset $\mathcal{C}_K(H)$ of $L^2(H)$. This allows us, approximating the integrals (3.20) and (4.7) by finite sums¹⁵, to write

$$\left. \begin{aligned} \Phi_\sigma &= \lim_n \Phi_n, & \Psi_\sigma &= \lim_n T_0 \Phi_n \\ \varphi_\sigma &= \lim_n \varphi_n, & \psi_\sigma &= \lim_n T_0 \varphi_n, \end{aligned} \right\} \quad (4.8)$$

where the sequences at the right consist of uniformly bounded elements of $[\mathcal{F}]$ resp. $[\mathfrak{f}]$, and the limits can thus be taken in the weak* topology of \mathcal{A} : from this follows that

$$\left. \begin{aligned} \Phi_\sigma &\in \mathcal{D}_T, & T\Phi_\sigma &= \Psi_\sigma \\ \varphi_\sigma &\in \mathcal{D}_T, & T\varphi_\sigma &= \psi_\sigma. \end{aligned} \right\} \quad (4.9)$$

On the other hand ω -asymptotic abelianness and weak τ -clustering of ω entail that, for all A_1, A_2, B_1, B_2 .

$$\left. \begin{aligned} \Phi_\sigma &\xrightarrow{\tau} F_{A_1 B_1} \cdot F_{A_2 B_2} \\ \Psi_\sigma &\xrightarrow{\sigma} G_{A_1 B_1} \cdot G_{A_2 B_2}, \end{aligned} \right\} \quad (4.10)$$

and

$$\left. \begin{aligned} \varphi_\sigma &\xrightarrow{\sigma} f_{A_1 B_1} \cdot f_{A_2 B_2} + \omega(A_2)\omega(B_2)f_{A_1 B_1} + \omega(A_1)\omega(B_1)f_{A_2 B_2} \\ \psi_\sigma &\xrightarrow{\sigma} g_{A_1 B_1} \cdot g_{A_2 B_2} + \omega(A_2)\omega(B_2)g_{A_1 B_1} + \omega(A_1)\omega(B_1)g_{A_2 B_2}, \end{aligned} \right\} \quad (4.10a)$$

whence (4.5), (4.5a) since these limits again hold in the weak* topology of \mathcal{A} because the nets at the left are uniformly bounded.

¹⁵ Observe that the Dirac measures are total in $M(H)$

Theorem 4.1 can be sharpened as follows if ω is assumed τ -clustering:

Theorem 4.3. *The conclusion of Theorem 4.1 is maintained under the following changes in the assumptions*

- (i) ω is assume τ -invariant and τ -clustering (instead of only extremal τ -invariant) ;
- (ii) T_0 is merely defined on $\mathcal{F}(\mathfrak{f})$ and closable in \mathcal{A} (the linearity assumption for T_0 , and its domain, is suppressed).

Proof. We reduce this result to Theorem 4.1 by showing that the τ -clustering assumption automatically implies that \mathcal{D}_T is linear and T linear on it. Homogeneity is trivially shown. And the proof of linearity is reduced by Remark 4.2 (with \mathcal{A} and \mathcal{S} unchanged but $f_1 \circ f_2 = f_1 + f_2$) to the proof of

$$\left. \begin{aligned} F_{A_1B_1} + F_{A_2B_2} \in \mathcal{D}_T \quad \text{with} \\ T(F_{A_1B_1} + F_{A_2B_2}) = G_{A_1B_1} + G_{A_2B_2}, \end{aligned} \right\} A_1, A_2, B_1, B_2 \in \mathfrak{A}, \tag{4.11}$$

resp. the same fact for the f_{AB} instead of the F_{AB} . To establish this, we use the functions in (3.15)–(3.17) and their counterparts. The τ -clustering of ω entails

$$\left. \begin{aligned} F_{A_1 + \tau_u(A_2), B_1 + \tau_u(B_2)} &\xrightarrow{u = \infty} F_{A_1B_1} + \lambda \mathbb{1} \\ G_{A_1 + \tau_u(A_2), B_1 + \tau_u(B_2)} &\xrightarrow{u = \infty} G_{A_1B_1} + \lambda \mathbb{1}, \end{aligned} \right\} A_1, B_1 \in \mathfrak{A} \tag{4.12}$$

with the choice of A_2, B_2 made in the proof of Proposition 3.4(ii) in order to match a given $\lambda \in \mathbb{C}$ on the r.h.s.; further

$$\left. \begin{aligned} F_{A_1 + \tau_u(A_2), B_1 + \tau_u(B_2)} - [\omega(A_1)\omega(B_2) + \omega(B_1)\omega(A_2)]\mathbb{1} &\xrightarrow{u = \infty} F_{A_1B_1} + F_{A_2B_2} \\ G_{A_1 + \tau_u(A_2), B_1 + \tau_u(B_2)} - [\omega(A_1)\omega(B_2) + \omega(B_1)\omega(A_2)]\mathbb{1} &\xrightarrow{u = \infty} G_{A_1B_1} + G_{A_2B_2} \end{aligned} \right\} \tag{4.13}$$

and

$$\left. \begin{aligned} f_{A_1 + \tau_u(A_2), B_1 + \tau_u(B_2)} &\xrightarrow{u = \infty} f_{A_1B_1} + f_{A_2B_2} \\ g_{A_1 + \tau_u(A_2), B_1 + \tau_u(B_2)} &\xrightarrow{u = \infty} g_{A_1B_1} + g_{A_2B_2} \end{aligned} \right\} \tag{4.13a}$$

for all $A_1, B_1, A_2, B_2 \in \mathfrak{A}$: (4.13a) directly implies the counterpart of (4.11) for the f_{AB} . As for (4.11) itself, it follows from the combination of (4.13) and

$$F_{A_1B_1} + \lambda \mathbb{1} \in \mathcal{D}_T \quad \text{with} \quad T(F_{A_1B_1} + \lambda \mathbb{1}) = G_{A_1B_1} + \lambda \mathbb{1}, \quad A_1, B_1 \in \mathfrak{A}, \tag{4.14}$$

obtained from (4.12).

Our last criterion applies to states clustering of order 4 (without having to assume asymptotic abelianness).

Theorem 4.4. *Let ω , with the notation in Definition 3.2, be an α -invariant state of a C^* -system $\{\mathfrak{A}, G, \alpha\}$, with G abelian and \mathfrak{A} unital. Let $\text{Sp} U = \hat{G}$, and assume that ω is α -clustering of order 4. If there is a closable linear operator T_0 on $\mathcal{C}_\infty(G)$ (with its sup norm) such that $T_0 f_{AB} = g_{AB}$ for all $A, B \in \mathfrak{A}$, ω is KMS for some continuous one-parameter subgroup of G .*

Proof. We now use the $\mathcal{C}_\infty(G)$ version of Corollary 2.6, T now denoting the closure of T_0 for the sup norm. The fact that \mathcal{D}_T is dense in $\mathcal{C}_\infty(G)$ follows from Proposition

3.4(iii). The proof that T commutes with translations (\mathcal{D}_T being translation invariant), and that of the vanishing of s_0 are as above. And Remark 4.2 with $\mathcal{A} = \mathcal{C}_\infty(G)$, \mathcal{S} the linear span of \mathcal{F} , and $f_1 \circ f_2 = f_1 f_2$ now applies to reduce the rest of the proof to showing that¹⁶

$$f_{A_1 A_1^*} \cdot f_{A_2 A_2^*} \in \mathcal{D}_T \quad \text{with} \quad T(f_{A_1 A_1^*} \cdot f_{A_2 A_2^*}) = g_{A_1 A_1^*} \cdot g_{A_2 A_2^*}, \quad A_1, A_2 \in \mathfrak{A}, \quad (4.14)$$

To establish this, we consider the following functions [analogous to the function in (3.28) but now chosen of positive type]

$$\begin{aligned} \varphi''_u &= f_{A_1 \alpha_u(A_2), \alpha_u(A_2^*) A_1^*} \\ \psi''_u &= g_{A_1 \alpha_u(A_2), \alpha_u(A_2^*) A_1^*} \quad \text{where} \quad \left\{ \begin{array}{l} A'_1 = A_1 - \omega(A_1) \mathbb{1} \\ A'_2 = A_2 - \omega(A_2) \mathbb{1} \end{array} \right\}, \quad A_1, A_2 \in \mathfrak{A} \end{aligned} \quad (4.15)$$

for which expanding in truncated functions now yields

$$\left. \begin{aligned} \varphi''_u(g) &= f_{A_1 A_1^*} \cdot f_{A_2 A_2^*} + \omega_{(4)}^T(\alpha_u(A_2^*), A_1^*, \alpha_g(A_1), \alpha_{g+u}(A_2)) \\ &\quad + \omega_{(2)}^T(A_1^*, \alpha_{g+u}(A_2)) \omega_{(2)}^T(A_2^*, \alpha_{g-u}(A_1)) \\ \psi''_u(g) &= g_{A_1 A_1^*} \cdot g_{A_2 A_2^*} + \omega_{(4)}^T(\alpha_g(A_1), \alpha_{g+u}(A_2), \alpha_u(A_2^*), A_1^*) \\ &\quad + \omega_{(2)}^T(\alpha_{g+u}(A_2), A_1^*) \omega_{(2)}^T(\alpha_{g-u}(A_1), A_2^*). \end{aligned} \right\} \quad (4.16)$$

The fact that ω is α -clustering of order 4 now implies that

$$\left. \begin{aligned} \varphi''_u &\xrightarrow{u=\infty} f_{A_1 A_1^*} \cdot f_{A_2 A_2^*} \\ \psi''_u &\xrightarrow{u=\infty} g_{A_1 A_1^*} \cdot g_{A_2 A_2^*} \end{aligned} \right\} \quad (4.17)$$

in a manner dominated by a fixed $\mathcal{C}_\infty(G)$ -function of g .

We now prove (4.14), whereby we can assume that neither $f_{A_1 A_1^*}$ nor $f_{A_2 A_2^*}$ vanishes since otherwise the result is trivial. Now (4.14) follows from (4.17) if the convergence there is uniform, which will be the case if it is uniform on compacts, since φ''_u and ψ''_u are $\mathcal{C}_\infty(G)$ -dominated. Now simple convergence and domination imply convergence in the $\sigma(L^\infty(G), L^1(G))$ topology by the dominated convergence theorem, and $\sigma(L^\infty(G), L^1(G))$ convergence implies in turn convergence on compacts for positive type functions bounded above and below in sup norm. Thus it suffices to check that the functions φ''_u and ψ''_u are bounded below in norm by a positive constant. But this follows from simple convergence since the latter implies

$$\left. \begin{aligned} \|\varphi''_u\|_\infty &= \varphi''_u(0) \xrightarrow{u=\infty} \|f_{A_1 A_1^*}\|_\infty \cdot \|f_{A_2 A_2^*}\|_\infty \\ \|\psi''_u\|_\infty &= \psi''_u(0) \xrightarrow{u=\infty} \|g_{A_1 A_1^*}\|_\infty \cdot \|g_{A_2 A_2^*}\|_\infty \end{aligned} \right\} \quad (4.18)$$

where the first expression on the r.h.s. does not vanish by assumption, this holding also for the last from the existence of T_0 .

We conclude with an alternative proof, along the lines of this paper, of a result first stated in [11] and first fully established in [9]¹⁷.

¹⁶ We used polarization to restrict ourselves to elements of \mathfrak{f}^+

¹⁷ The gap in [11], inherited from [12] and [7] consists in assuming the existence of a $\hat{g}_{AB}(E) \neq 0$ for all $E \in \mathbb{R}$ without motivating this assumption

Theorem 4.5. *Let $\{\mathfrak{A}, \mathbb{R}, \alpha\}$, with \mathfrak{A} unital, be a C^* -system L^1 -asymptotically abelian on a norm-dense, translation invariant $*$ -subalgebra \mathfrak{A}_0 of \mathfrak{A} . Let ω be an α -invariant state of \mathfrak{A} and assume ω (i) stable for local perturbation of the dynamics¹⁸, (ii) L^1 -clustering of order 4 on \mathfrak{A}_0 . Then ω is either a ground or ceiling state (i.e. $\text{Sp}U$ is one-sided) or it is β -KMS for some real β .*

Proof. From stability one reaches as in [7], VI the “two-fold relation”

$$f_{A_1 B_1} \cdot f_{A_2 B_2} dt = \int g_{A_1 B_1} \cdot g_{A_2 B_2} dt, \quad A_1, A_2, B_1, B_2 \in \mathfrak{A}_0. \quad (4.19)$$

Let then the net A_1^σ, A_2^σ be such that

$$\left. \begin{aligned} f_{A_1^\sigma B_1^\sigma} &\xrightarrow{\sigma} 0 \\ g_{A_1 A_1^\sigma} &\xrightarrow{\sigma} g, \end{aligned} \right\} \quad (4.20)$$

in $\sigma(L^\infty(\mathbb{R}, L^1(\mathbb{R}))$. By dominated convergence we then have

$$\int g g_{A_2 B_2} dt = 0, \quad A_2, B_2 \in \mathfrak{A}_0, \quad (4.21)$$

which implies by Theorem 3.8 that $g=0$ since \mathcal{F}_0 if total in $B(\mathbb{R})$ by the norm-density of \mathfrak{A}_0 in \mathfrak{A} . The map $f_{AB} \rightarrow g_{AB}$, $A, B \in \mathfrak{A}_0$, thus fulfills the assumptions of Theorem 4.1 (see footnote there).

One could also, proceeding as in [7], VI, infer from (4.20) the relation

$$\hat{f}_{A_1 B_1}(E) \hat{g}_{A_2 B_2}(E) = \hat{f}_{A_2 B_2}(E) \hat{g}_{A_1 B_1}(E), \quad E \in \mathbb{R}, \quad (4.22)$$

and use Theorem 3.8 to infer the existence of a continuous function Φ such that

$$\hat{f}_{AB}(E) = \Phi(E) \hat{g}_{AB}(E), \quad E \in \mathbb{R}, \quad (4.23)$$

from which one immediately deduces the assumptions of Theorem 4.1 for the map $f_{AB} \rightarrow g_{AB}$.

Appendix

The following expansions are valid for arbitrary A_1, A_2, B_1, B_2 :

$$\begin{aligned} & f_{A_1 \alpha_u(A_2), B_1 \alpha_u(B_2)}(g) - f_{A_1 B_1}(g) f_{A_2 B_2}(g) - \omega(A_1) \omega(B_1) f_{A_2 B_2}(g) - \omega(A_2) \omega(B_2) f_{A_1 B_1}(g) \\ &= \omega(A_1) \omega(B_2) \omega_{(2)}^T(B_1, \alpha_{g+u}(A_2)) + \omega(A_2) \omega(B_1) \omega_{(2)}^T(B_2, \alpha_{g-u}(A_1)) \\ & \quad + \omega_{(2)}^T(B_1, \alpha_{g+u}(A_2)) \omega_{(2)}^T(B_2, \alpha_{g-u}(A_1)) + \omega_{(4)}^T(B_1, \alpha_u(B_2), \alpha_g(A_1), \alpha_{g+u}(A_2)) \\ & \quad + \omega(B_1) \omega_{(3)}^T(\alpha_u(B_2), \alpha_g(A_1), \alpha_{g+u}(A_2)) + \omega(B_2) \omega_{(3)}^T(B_1, \alpha_g(A_1), \alpha_{g+u}(A_2)) \\ & \quad + \omega(A_1) \omega_{(3)}^T(B_1, \alpha_u(B_2), \alpha_{g+u}(A_2)) + \omega(A_2) \omega_{(3)}^T(B_1, \alpha_u(B_2), \alpha_g(A_1)), \\ & f_{A_1 \alpha_u(A_2), [A_1 \alpha_u(A_2)]^*}(g) - f_{A_1 A_1^*}(g) f_{A_2 A_2^*}(g) - |\omega(A_1)|^2 f_{A_2 A_2^*} - |\omega(A_2)|^2 f_{A_1 A_1^*} \\ &= \omega(A_1) \overline{\omega(A_2)} \omega_{(2)}^T(A_1^*, \alpha_{g+u}(A_2)) + \overline{\omega(A_1)} \omega(A_2) \omega_{(2)}^T(A_2^*, \alpha_{g-u}(A_1)) \\ & \quad + \omega_{(2)}^T(A_1^*, \alpha_{g+u}(A_2)) \omega_{(2)}^T(A_2^*, \alpha_{g-u}(A_1)) + \omega_{(4)}^T(\alpha_u(A_2^*), A_1^*, \alpha_g(A_1), \alpha_{g+u}(A_2)) \\ & \quad + \overline{\omega(A_2)} \omega_{(3)}^T(A_1^*, \alpha_g(A_1), \alpha_{g+u}(A_2)) + \overline{\omega(A_1)} \omega_{(3)}^T(\alpha_u(A_2^*), \alpha_g(A_1), \alpha_{g+u}(A_2)) \\ & \quad + \omega(A_1) \omega_{(3)}^T(\alpha_u(A_2^*), A_1^*, \alpha_{g+u}(A_2)) + \omega(A_2) \omega_{(3)}^T(\alpha_u(A_2^*), A_1^*, \alpha_g(A_1)). \end{aligned}$$

¹⁸ For the definition of this see [7], Definition 6.2

The terms in the lines containing the equality signs are those motivating the introduction of the A'_i instead of the A_i in the proofs of Proposition 3.8, resp. Theorem 4.4. L^1 -clustering, resp. clustering, of order 4 makes the remaining terms tend to zero in a dominated manner as $u \rightarrow \infty$.

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