

# Exponential Clustering for Long-Range Integer-Spin Systems\*

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**Abstract.** By using Kirkwood-Salsburg equations for classical spin systems with unbounded integer values we prove exponential decay (resp. power law decay) for exponential (resp. power law) decaying potentials. We use these results to prove the mass gap in the two-dimensional Higgs-Villain model in the weak coupling region.

## 1. Introduction

In a previous paper [1] we have worked out a Kirkwood-Salsburg equation for unbounded integer spin systems whose Hamiltonians are positive definite quadratic forms and investigated the conditions under which it led to a unique equilibrium state expressed as a convergent series in powers of the “Kirkwood-Salsburg” operator. We applied these results to the two-dimensional Higgs-Villain model, which is reduced to such a system by duality transformations [2–6].

In the present paper we use the series expansion of the equilibrium state to prove exponential (resp. power law) decay of truncated correlation functions when the interaction potential decays exponentially (resp. with a power law). Similar results for lattice gas and continuum systems are obtained in [7] and [8]. In fact, our method, especially in Theorem 2, is very similar to that of [7]. The results of [8] apply to very general systems, but only with finite range interactions.

We apply our results once more to the two-dimensional lattice Higgs-Villain model to prove that in a certain range of parameters ( $g^2/T$  large and  $g^2$  small) truncated expectations of local observables exhibit exponential decay, i.e. there is a mass gap. Moreover our lower bound on the mass approaches the “bare mass”  $gqT^{-1/2}$  as  $T \rightarrow 0$  with  $g^2/T$  fixed. There is some question whether this mass gap should be interpreted as a Higgs mechanism. A massive photon would be associated with a Yukawa type potential between external charges, contradicting

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confinement [9]. Previous results on the Higgs mechanism in general lattice Higgs models were obtained in [10] using the cluster expansion. Further results are announced [4] and proved in detail in [11].

We recall notations and results on the Kirkwood-Salsburg equation for unbounded spin systems on the lattice [1]. The system has Hamiltonian  $H_A = \sum_{x, y \in A} s_x V(x-y) s_y$  with the spins  $s_x$  belonging to  $\mathbb{Z}$  and Gibbs measure  $e^{-\beta H_A}$ . The potential  $V$  is assumed to be real, symmetric, translation invariant (for the sake of simplicity) and positive definite with  $\sum_{x, y \in A} s_x V(x-y) s_y \geq \varepsilon \sum_{x \in A} s_x^2$  for some constant  $\varepsilon > 0$ .  $Q_X$  denotes a configuration  $\{s_x\}_{x \in X}$  defined on  $X$ , with all  $s_x \neq 0$ .  $\phi$  is the empty configuration. We write  $v(Q_X) = \sum_{x \in X} s_x^2$ . For any constant  $r > 0$ , a norm on complex-valued functions on  $\{Q_X\}$  is defined by  $\|\varphi\|_r = \sup_{X, Q_X} |\varphi(Q_X)| e^{rv(Q_X)}$ . The Banach space of functions  $\varphi(Q_X)$  with  $\|\varphi\|_r < \infty$  will be denoted by  $F_r$ .

The Kirkwood-Salsburg equation can be expressed as  $\varrho = \delta + K\varrho$  where  $\delta(\phi) = 1$ ,  $\delta(Q_X) = 0$  for nonempty  $Q_X$  and  $K\varrho(\phi) = 0$

$$K\varrho(Q_X) = e^{-\beta W^x(Q_X)} \sum_{T \subset X^c} \sum_{Q_T} k(s_x, Q_T) R_x \varrho(Q_X, Q_T), \quad (1.1)$$

where the activity  $z$  which appears in [1] is absorbed in  $V(0)$ . Above

$$W^x(Q_X) = V(0) s_x^2 + 2 \sum_{y \in X'} s_x V(x-y) s_y; \quad X' = X - \{x\}; x = x(Q_X) \in X \quad (1.2)$$

$$k(s_x, Q_T) = \prod_{y \in T} (e^{-2\beta s_x V(x-y) s_y} - 1); \quad k(s_x, \phi) = 1 \quad (1.3)$$

$$R_x \varrho(Q_Y) = \varrho(Q_Y) - \sum_{t \neq 0} \varrho(t_x Q_Y). \quad (1.4)$$

The proofs in this paper require the choice of the distinguished site  $x$  to be made in such a way that if  $x_0 = x(Q_X Q_Y) \in X$ , then also  $x_0 = x(Q_X)$ . It is not clear that our method of choice in the previous paper [1], leading to the bound  $W^x(Q_X) \geq (2\varepsilon - V(0)) s_x^2$ , can satisfy the requirement. Instead, we choose  $x(Q_X)$  to be the first point of  $X$  in some consistent ordering (e.g., lexicographic) for which  $|s_x| = \max\{|s_y| : y \in X\}$ . This leads to the bound

$$W^x(Q_X) \geq V(0) s_x^2 - 2 \sum_{y \in X} s_x V(x-y) s_y \geq (V(0) - 2S_1) s_x^2 \quad (1.5)$$

Therefore the following result is a slight modification of Theorem 2 in [1]:

**Theorem 1.** Let  $S_1 = \sum_{x \neq 0} |V(x)|$  and  $S_2 = \sum_{x \neq 0} V(x)^2$ . If  $r \geq 1$  and

$$\beta(V(0) - 2S_1) - r - \beta^2 \frac{S_2}{r} - 2\beta \frac{S_1}{r} > \log 2, \quad (1.6)$$

then  $K$  is an operator in  $F_r$  with norm  $\|K\|_r < 1$ . For  $1 \leq r \leq \beta\varepsilon$  the unique solution of the  $K-S$  equation gives the correlation functions of the theory.

## 2. Exponential Clustering and the Kirkwood-Salsburg Equation

Our basic strategy is to use the expansion  $\varrho = \sum_{n=0}^{\infty} K^n \delta$  so that

$$\varrho(Q_X Q_Y) - \varrho(Q_X) \varrho(Q_Y) = \sum_{n=2}^{\infty} [K^n \delta(Q_X Q_Y) - \sum_{j=1}^{n-1} K^j \delta(Q_X) K^{n-j} \delta(Q_Y)] \quad (2.1)$$

and to bound inductively each term on the right. In pulling out a factor such as  $e^{-\mu d(X,Y)}$  from each term (where  $d(X,Y) = \inf\{|x-y|:x \in X, y \in Y\}$ ) we obtain a quantity which can be bounded using the same estimates used in [1] to bound the operator  $K$ , but with a ‘‘comparison potential’’  $\tilde{V}$  instead of  $V$ . For example, we may take  $\tilde{V}(x) = e^{\mu|x|}V(x)$ .

**Theorem 2.** *Suppose  $\beta$ ,  $V$ , and  $r$  satisfy (1.6) and  $|V(x)| \leq \text{const. } e^{-m|x|}$  for some  $m > 0$  and  $|x|$  is some norm on  $\mathbb{R}^d$ . Then there exist constants  $C$  and  $\mu > 0$  such that*

$$|\varrho(Q_X Q_Y) - \varrho(Q_X)\varrho(Q_Y)| \leq C e^{-rv(Q_X Q_Y)} e^{-\mu d(X,Y)}. \quad (2.2)$$

*Proof.* For  $m > \mu \geq 0$  let  $\tilde{V}(x) = e^{\mu|x|}|V(x)|$  with  $\tilde{S}_1 = \sum_{x \neq 0} |\tilde{V}(x)|$  and  $\tilde{S}_2 = \sum_{x \neq 0} \tilde{V}(x)^2$ . By continuity we can take  $\mu > 0$  such that

$$\tilde{L} = \beta(V(0) - 2\tilde{S}_1) - r - \beta^2 \frac{\tilde{S}_2}{r} - 2\beta \frac{\tilde{S}_1}{r} > \log 2 \quad (2.3)$$

so that  $\tilde{B} \equiv 2e^{-\tilde{L}} < 1$ . This is the condition on  $\mu$  that we need for the proof.

As indicated above, it is enough to show that

$$|K^n \delta(Q_X Q_Y) - \sum_{j=1}^{n-1} K^j \delta(Q_X) K^{n-j} \delta(Q_Y)| \leq C_n e^{-rv(Q_X Q_Y)} e^{-\mu d(X,Y)} \quad (2.4)$$

with  $\sum_{n=1}^{\infty} C_n < \infty$ . The proof will be by induction. For  $n=1$   $K \delta(Q_X Q_Y) = 0$  so  $C_1 = 0$ .

Suppose (2.4) is true for  $n$ . Then (assuming  $x = x(Q_X Q_Y) \in X$ )

$$\begin{aligned} & K^{n+1} \delta(Q_X Q_Y) - \sum_{j=1}^n K^j \delta(Q_X) K^{n+1-j} \delta(Q_Y) \\ &= e^{-\beta W^x(Q_X Q_Y)} \sum_{T \subset (X \cup Y)^c} \sum_{Q_T} k(s_x, Q_T) R_x K^n \delta(Q_X' Q_T Q_Y) \\ &\quad - \sum_{j=0}^{n-1} e^{-\beta W^x(Q_X)} \sum_{T \subset X^c} \sum_{Q_T} k(s_x, Q_T) R_x K^j \delta(Q_X' Q_T) K^{n-j} \delta(Q_Y) \\ &= T_1 + T_2 + T_3 \end{aligned}$$

where

$$T_1 = (e^{-\beta W^x(Q_Y)} - 1) e^{-\beta W^x(Q_X)} \sum_{T \subset (X \cup Y)^c} \sum_{Q_T} k(s_x, Q_T) R_x K^n \delta(Q_X' Q_T Q_Y)$$

(with  $W^x(Q_Y) = 2 \sum_{y \in Y} s_x V(x-y) s_y$ )

$$\begin{aligned} T_2 &= e^{-\beta W^x(Q_X)} \sum_{T \subset (X \cup Y)^c} \sum_{Q_T} k(s_x, Q_T) [R_x K^n \delta(Q_X' Q_T Q_Y) \\ &\quad - \sum_{j=0}^{n-1} R_x K^j \delta(Q_X' Q_T) K^{n-j} \delta(Q_Y)] \end{aligned}$$

$$T_3 = - \sum_{j=0}^{n-1} e^{-\beta W^x(Q_X)} \sum_{\substack{T \subset X^c \\ T \cap Y \neq \emptyset}} \sum_{Q_T} k(s_x, Q_T) R_x K^j \delta(Q_X' Q_T) K^{n-j} \delta(Q_Y).$$

Using the inequality  $|e^{\alpha t} - 1| \leq \alpha(e^{|t|} - 1)$  for  $0 \leq \alpha \leq 1$ , we have

$$|e^{-\beta W^x(Q_Y)} - 1| \leq e^{-\mu d(X, Y)} e^{\beta \left| \sum_{y \in Y} s_x \tilde{V}(x-y) s_y \right|}$$

So from the estimate

$$e^{2\beta \left| \sum_{y \in Y} s_x \tilde{V}(x-y) s_y \right|} |e^{-\beta W^x(Q_X)}| \leq e^{-\beta(V(0) - 2\tilde{S}_1) s_x^2},$$

following the proof of Theorem 2 in [1] we get

$$|T_1| \leq \tilde{B} e^{-\mu d(X, Y)} e^{-r\nu(Q_X Q_Y)} \|K^n \delta\|_r.$$

If  $T \subset (X \cup Y)^c$  is nonempty, choose  $y_0 \in T$  such that  $|x - y_0| + d(X \cup T, Y) \geq d(X, Y)$ . In the estimate of  $T_2$ , we want to extract a factor  $e^{-\mu|x-y_0|}$  from  $k(s_x, Q_T)$  and  $e^{-\mu d(X \cup T, Y)}$  from

$$R_x K^n \delta(Q_X, Q_T, Q_Y) - \sum_{j=0}^{n-1} R_x K^j \delta(Q_X, Q_T) K^{n-j} \delta(Q_Y).$$

To do this we must consider together terms with  $s_{y_0}$  positive and negative. Let  $Q'_T$  be  $Q_T$  with the sign of  $s_{y_0}$  reversed. Then by the induction hypothesis (2.4), the definition (1.4) and the inequality  $|\sinh \alpha t| \leq \alpha |\sinh t|$  for  $0 \leq \alpha \leq 1$ , it follows that

$$\begin{aligned} & |k(s_x, Q_T)| |R_x K^n \delta(Q_X, Q_T, Q_Y) - \sum_{j=0}^{n-1} R_x K^j \delta(Q_X, Q_T) K^{n-j} \delta(Q_Y)| \\ & \quad + \text{same expression with } Q'_T \\ & \leq 4C_n |k(s_x, Q_{T \setminus \{y_0\}})| \sinh \beta |V(x - y_0) s_x s_{y_0}| e^{-\mu d(X \cup T, Y)} e^{-r\nu(Q_X, Q_T, Q_Y)} \\ & \leq 4C_n |k(s_x, Q_{T \setminus \{y_0\}})| \sinh \beta |\tilde{V}(x - y_0) s_x s_{y_0}| e^{-\mu d(X, Y)} e^{-r\nu(Q_X, Q_T, Q_Y)}. \end{aligned} \quad (2.5)$$

Following again [1], we obtain

$$|T_2| \leq \tilde{B} C_n e^{-\mu d(X, Y)} e^{-r\nu(Q_X Q_Y)}.$$

Similarly we can extract a factor  $e^{-\mu|x-y|}$  with  $y \in T \cap Y$  from  $k(s_x, Q_T)$  in each term of  $T_3$ , obtaining

$$|T_3| \leq (n-1) \tilde{B} e^{-\mu d(X, Y)} e^{-r\nu(Q_X Q_Y)} \|K^n \delta\|_r.$$

Thus we have (2.4) with  $C_{n+1} \leq \tilde{B}(n \|K\|_r^n + C_n)$ . Since  $\|K\|_r < \tilde{B} < 1$ , it is easily seen that  $\sum C_n < \infty$ .

The short distance behavior of  $V(x)$  will have a strong influence on the allowable values of  $\mu$  in Theorem 2. For example, in section 4 we will have  $V(x) = (-\Delta + m^2)^{-1}(x)$  which has the asymptotic form  $\text{const.} \frac{e^{-m|x|}}{\sqrt{|m|x|}}$ . However,  $V(1) \approx m^{-4} \approx m^{-2} V(0)$  for large  $m$ , so in order to have  $V(0) > 2\tilde{S}_1$ , as is necessary for (2.3) to hold, we will need  $\mu < 2 \log m$ . We would instead like to have  $\mu$  (the lower bound on the ‘‘physical mass’’) of the same order of magnitude as  $m$  (the ‘‘bare mass’’). In fact, we will show that (2.2) holds with any  $\mu < m$  if  $\beta$  is sufficiently large. The strategy is to modify the definition of  $\tilde{V}$  at short distances. This will make it necessary to have  $\tilde{B}$  small enough, which will be achieved for large enough  $\beta$ .

**Theorem 3.** Suppose  $\sum |V(x)|e^{\mu|x|} < \infty$  and  $V(0) - 2S_1 > 2\sqrt{S_2}$ . Then there are some  $\beta_0$  and  $r_0$  such that for  $\beta > \beta_0$  and  $r = \beta r_0$  (2.2) holds (with  $C \rightarrow 0$  as  $\beta \rightarrow \infty$ ).

*Proof.* The proof of this theorem is a combination of the proof above and that of the Corollary in [1]. Let us take  $r = \beta r_0$ . Then

$$\tilde{L} = \beta(V(0) - 2\tilde{S}_1 - r_0 - \tilde{S}_2/r_0) - 2\tilde{S}_1/r_0.$$

For any  $\mu$  satisfying  $\sum |V(x)|e^{\mu|x|} < \infty$ , we define  $\tilde{V}(x) = |V(x)|$  if  $|x| \leq R$  and  $\tilde{V}(x) = |V(x)|e^{\mu|x|}$  if  $|x| > R$ , where  $R$  is chosen so that the condition  $V(0) - 2\tilde{S}_1 > 2\sqrt{\tilde{S}_2}$  holds. Then there exists  $r_0$  such that  $V(0) - 2\tilde{S}_1 - r_0 - \tilde{S}_2/r_0 > 0$  and therefore a  $\beta_0$  such that, for  $\beta > \beta_0$ ,  $\tilde{B} = 2e^{-\tilde{L}} < e^{-\mu R}$ . Now we imitate the proof of Theorem 2. If  $d(X, Y) \leq R$  we use

$$\begin{aligned} |K^{n+1}\delta(Q_X Q_Y) - \sum_{j=1}^n K^j \delta(Q_X) K^{n+1-j} \delta(Q_Y)| &\leq (n+1) \|K\|_r^{n+1} e^{-rv(Q_X Q_Y)} \\ &\leq (n+1) \|K\|_r^{n+1} e^{\mu R} e^{-rv(Q_X Q_Y)} e^{-\mu d(X, Y)}. \end{aligned}$$

If  $d(X, Y) > R$  we have the same estimate on  $T_1$  and  $T_3$  as in Theorem 2. If there is  $y_0 \in T$  with  $|x - y_0| > R$ , we again have the estimate (2.5). If  $|x - y_0| \leq R$  for all  $y_0 \in T$ , then  $d(X \cup T, Y) \geq d(X, Y) - R$  so

$$\begin{aligned} |R_x K^n \delta(Q_{X'} Q_T Q_Y) - \sum_{j=0}^{n-1} R_x K^j \delta(Q_{X'} Q_T) K^{n-j} \delta(Q_Y)| \\ \leq 2C_n e^{-\mu d(X, Y)} e^{\mu R} e^{-rv(Q_{X'} Q_T Q_Y)} \end{aligned}$$

This leads to the estimate  $|T_2| \leq \tilde{B} C_n e^{\mu R} e^{-rv(Q_X Q_Y)} e^{-\mu d(X, Y)}$ . Thus

$$C_{n+1} \leq \max \{ \tilde{B}(n) \|K\|_r^n + e^{\mu R} C_n, (n+1) \|K\|_r^{n+1} e^{\mu R} \}.$$

It is easily seen that  $C_n \leq D \tilde{B}^n e^{n\mu R}$  for some  $D$  independent of  $\beta$ . So  $C = \sum_{n=2}^{\infty} C_n$  is finite if  $\tilde{B} < e^{-\mu R}$ , and as  $\beta \rightarrow \infty$  we have  $\tilde{B} \rightarrow 0$  and so  $C \rightarrow 0$ .

Similar results to Theorems 2 and 3 can be obtained for power law, rather than exponential, decays by using the metric  $\delta(x, y) = \log(1 + |x - y|)$  instead of  $|x - y|$ . Thus if  $V(0) - 2S_1 > 2\sqrt{S_2}$  and  $\sum |V(x)| |x|^\gamma < \infty$ , then for  $\beta$  sufficiently large  $|\varrho(Q_X Q_Y) - \varrho(Q_X) \varrho(Q_Y)| < C d(X, Y)^{-\gamma} e^{-rv(Q_X Q_Y)}$  for some  $C$  and  $r$ .

### 3. Exponential Clustering of Observables

Once we have obtained exponential clustering of the correlation functions  $\varrho(Q_X)$  by Theorem 2 or 3, we may look at cluster properties of more general observables. Suppose  $f$  is a function on the configuration space  $\mathbb{Z}^X$  for a region  $X$  on the lattice, with the property that

$$f(\{s_x\}) = 0 \quad \text{if any } s_x = 0 \quad \text{for } x \in X. \quad (3.1)$$

Then  $\langle fg \rangle = \sum_{Q_X} f(Q_X) \varrho(Q_X)$  (assuming that the sum converges absolutely; since  $\varrho \in F_r$  for some  $1 \leq r \leq \beta \varepsilon$ , this is a very mild condition on the growth of  $f$ ). For two such functions  $f$  and  $g$  on  $\mathbb{Z}^X$  and  $\mathbb{Z}^Y$  respectively, with  $X$  and  $Y$  disjoint,

$$\langle fg \rangle - \langle f \rangle \langle g \rangle = \sum_{Q_X} \sum_{Q_Y} f(Q_X) g(Q_Y) (\varrho(Q_X Q_Y) - \varrho(Q_X) \varrho(Q_Y))$$

so that if  $\varrho$  satisfies (2.2) we obtain

$$|\langle fg \rangle - \langle f \rangle \langle g \rangle| \leq C \left( \sum_{Q_X} f(Q_X) e^{-rv(Q_X)} \right) \left( \sum_{Q_Y} g(Q_Y) e^{-rv(Q_Y)} \right) e^{-\mu d(X, Y)}.$$

If  $f$  does not have the property (3.1) we can write  $f = \sum_{T \subset X} P_T f$  where  $P_T f$  depends only on the spins in  $T$ , and vanishes if any of these is zero. In fact  $P_T f(\{s_x\}) = \sum_{S \subset T} (-1)^{|T \setminus S|} f(\{0\}_{X \setminus S}, \{s_x\}_{x \in S})$ . For  $T = \phi$ ,  $P_\phi f$  is the constant  $f(\{0\})$ . From the clustering of  $P_{T_1} f$  and  $P_{T_2} g$ , we obtain that of  $f$  and  $g$ .

A particularly important example is where  $f = \exp\left(i \sum_{x \in X} a_x s_x\right)$  and  $g = \exp\left(i \sum_{y \in Y} b_y s_y\right)$ . Then  $P_T f = \prod_{x \in T} (e^{i a_x s_x} - 1)$ , and similarly for  $g$ . Thus if  $\varrho$  satisfies (2.2)

$$\begin{aligned} & |\langle fg \rangle - \langle f \rangle \langle g \rangle| \\ &= \left| \sum_{T_1 \subset X} \sum_{T_2 \subset Y} \sum_{Q_{T_1}} \sum_{Q_{T_2}} \prod_{x \in T_1} \prod_{y \in T_2} (e^{i a_x s_x} - 1) (e^{i b_y s_y} - 1) \right. \\ & \quad \left. \cdot (\varrho(Q_{T_1} Q_{T_2}) - \varrho(Q_{T_1}) \varrho(Q_{T_2})) \right| \\ &\leq C e^{-\mu d(X, Y)} \sum_{T_1 T_2} \sum_{Q_{T_1} Q_{T_2}} \left( \prod_{x \in T_1} |e^{i a_x s_x} - 1| e^{-rs_x^2} \right) \left( \prod_{y \in T_2} |e^{i b_y s_y} - 1| e^{-rs_y^2} \right) \\ &= C e^{-\mu d(X, Y)} \prod_{x \in X} \left( 1 + \sum_{s \neq 0} |e^{i a_x s_x} - 1| e^{-rs_x^2} \right) \prod_{y \in Y} \left( 1 + \sum_{s \neq 0} |e^{i b_y s_y} - 1| e^{-rs_y^2} \right) \\ &\leq C e^{\gamma \sum |a_x|} e^{\gamma \sum |b_y|} e^{-\mu d(X, Y)} \end{aligned} \quad (3.2)$$

where  $\gamma = 2 \sum_{s=1}^{\infty} |s| e^{-rs^2}$ .

We can accommodate sums and integrals of such functions. Suppose  $f = \int d\nu_1(a) e^{i \sum a_x s_x}$  and  $g = \int d\nu_2(b) e^{i \sum b_y s_y}$  for complex measures  $d\nu_1$  and  $d\nu_2$  on  $[-\pi, \pi]^X$  and  $[-\pi, \pi]^Y$  respectively. Then if  $\varrho$  satisfies (2.2)

$$|\langle fg \rangle - \langle f \rangle \langle g \rangle| \leq C \left( \int d\nu_1(a) e^{\gamma \sum |a_x|} \right) \left( \int d\nu_2(b) e^{\gamma \sum |b_y|} \right) e^{-\mu d(X, Y)}.$$

Finally, we note a further refinement that will be useful in the application to the Higgs-Villain model. Suppose again  $f = \exp(i \sum a_x s_x)$  and  $g = \exp(i \sum b_y s_y)$ , but instead of being strictly local they satisfy conditions  $\sum_x |a_x| e^{\mu d(x, X)} < \infty$  and

$\sum_y |b_y| e^{\mu d(y, Y)} < \infty$ . Let  $x_0 \in T_1$ ,  $y_0 \in T_2$  with  $d(T_1, T_2) = |x_0 - y_0|$ . Then

$$\sum_{T_1} \prod_{x \in T_1} |e^{i a_x s_x} - 1| e^{-rs_x^2} \leq e^{-\mu d(x_0, X)} \sum_{Q_{T_1}} \prod_{x \in T_1} |a_x e^{\mu d(x, X)} s_x| e^{-rs_x^2}.$$

Since  $d(Y, X) \leq d(x_0, X) + d(y_0, Y) + |x_0 - y_0|$  we obtain

$$|\langle fg \rangle - \langle f \rangle \langle g \rangle| \leq C \exp(\gamma \sum |a_x| e^{\mu d(x, X)} + \gamma \sum |b_y| e^{\mu d(y, Y)}) e^{-\mu d(X, Y)}. \quad (3.3)$$

#### 4. The Mass Gap in the Higgs-Villain Model

We want to use the results of the previous sections to prove exponential clustering of localized observables in the two-dimensional Higgs-Villain model. This model has angle variables  $\phi_x$  and  $A_{x\mu}$  associated with sites in  $\mathbb{Z}^2$  and nearest-neighbor bonds respectively ( $x\mu$  is the bond between  $x$  and  $x + \hat{\mu}$ ,  $\mu = 1$  or  $2$ , where  $\hat{1} = (1, 0)$  and  $\hat{2} = (0, 1)$ ). The partition function and Gibbs equilibrium states are  $Z = \int d\sigma$  and  $\langle\langle f \rangle\rangle = Z^{-1} \int f d\sigma$  respectively, with

$$d\sigma = \prod_{x\mu} \sum_{m_{x\mu} \in \mathbb{Z}} \exp - \frac{1}{2T} (\partial_\mu \phi_x - q A_{x\mu} - 2\pi m_{x\mu})^2 \\ \cdot \prod_x \sum_{m_x \in \mathbb{Z}} \exp - \frac{1}{2g^2} (\varepsilon_{\mu\nu} \partial_\mu A_{x\nu} - 2\pi m_x)^2 \prod_x \frac{d\phi_x}{2\pi} \prod_{x\mu} \frac{dA_{x\mu}}{2\pi}.$$

Here  $\partial_\mu$  is the finite difference operator  $\partial_\mu f(x) = f(x + \hat{\mu}) - f(x)$ ,  $\varepsilon_{\mu\nu}$  is the anti-symmetric tensor  $\begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix}$ , and repeated Greek indices are summed. Thus  $\varepsilon_{\mu\nu} \partial_\mu A_{x\nu}$  is the plaquette variable  $A_{x1} + A_{(x+\hat{1})2} - A_{(x+\hat{2})1} - A_{x2}$ . We start with a finite lattice and assume periodic boundary conditions, in order to ensure translation invariance. As in the above sections, our results hold as well in the thermodynamic limit. We will actually work not with  $\langle\langle \cdot \rangle\rangle$ , but with a  $\theta$  vacuum  $\langle\langle \cdot \rangle\rangle_\theta$ , where  $\theta$  is an integer from 0 to  $q-1$  [1].

Let  $X$  be a bounded region in  $\mathbb{R}^2$ , and  $f$  a function of the variables  $\phi_x$  and  $A_{x\mu}$  for  $x \in X$  and  $x\mu \subset X$  respectively. We will assume that  $f$  has an absolutely convergent Fourier series  $\sum c_{st} \exp i((s, \phi) + (t_\mu, A_\mu))$ . Here  $s_x$  and  $t_{x\mu}$  are integer-valued functions on sites and bonds respectively, and we write  $(g, \bar{h})$  for the  $l^2$  inner product  $\sum \bar{g}(x) h(x)$ . Unless  $(s, \phi) + (t_\mu, A_\mu)$  is gauge-invariant,  $\langle\langle \exp i((s, \phi) + (t_\mu, A_\mu)) \rangle\rangle_\theta = 0$ . Now the gauge-invariant terms  $(s, \phi) + (t_\mu, A_\mu)$  are the linear combinations with integer coefficients of  $\partial_\mu \phi_x - q A_{x\mu}$  and  $\varepsilon_{\mu\nu} \partial_\mu A_{x\nu}$ . This may involve terms with  $x \notin X$  unless we assume  $X$  is simply connected, and thus contains any site enclosed by a path in  $X$ . Discarding all but gauge-invariant terms, we may write  $f = \sum a_{uv} e_{uv}$  where  $e_{uv} = \exp i((u, \varepsilon_{\mu\nu} \partial_\mu A_\nu) + (v_\mu, \partial_\mu \phi - q A_\mu))$ . We let  $\|f\|_\zeta = \sum |a_{uv}| \exp \zeta((u, u) + (v_\mu, v_\mu))$  for  $\zeta > 0$ . We will prove the following theorem:

**Theorem 4.** Suppose (1.6) holds with  $V(x) = (-\Delta + m^2)^{-1}(x)$ ,  $\beta = \frac{2\pi^2}{T}$  and  $m^2 = \frac{g^2 q^2}{T}$ . Then there are positive numbers  $\mu, \zeta, C$  such that, for any functions  $f_X$  and  $f_Y$  of the variables in two disjoint simply-connected regions  $X$  and  $Y$ ,

$$|\langle\langle f_X f_Y \rangle\rangle_\theta - \langle\langle f_X \rangle\rangle_\theta \langle\langle f_Y \rangle\rangle_\theta| \leq C \|f_X\|_\zeta \|f_Y\|_\zeta e^{-\mu d(X, Y)}. \quad (4.1)$$

Moreover as  $T \rightarrow 0$  with  $m$  fixed, we may take  $\mu \rightarrow m$ .

*Proof.* It is sufficient to show that, for  $u$  and  $v_\mu$  (resp.  $u'$  and  $v'_\mu$ ) supported in  $X$  (resp.  $Y$ )

$$|\langle\langle e_{uv}e_{u'v'} \rangle\rangle_\theta - \langle\langle e_{uv} \rangle\rangle_\theta \langle\langle e_{u'v'} \rangle\rangle_\theta| \leq C e^{\zeta((u,u)+(u',u')+(v_\mu,v_\mu)+(v'_\mu,v'_\mu)-\mu d(X,Y))}. \quad (4.2)$$

Using the duality transformations of  $\{1\}$  we obtain

$$\begin{aligned} \langle\langle e_{uv} \rangle\rangle_\theta &= \exp\left(\frac{1}{2T}(w, (-\Delta + m^2)^{-1}w) - \frac{T}{2}(v_\mu, v_\mu) - \frac{g^2}{2}(u, u) + g^2\theta \sum u_x\right) \\ &\quad \cdot \left\langle \exp \frac{2\pi i}{T}(s, (-\Delta + m^2)^{-1}w) \right\rangle_\theta \end{aligned}$$

and similar expressions for  $\langle\langle e_{uv}e_{u'v'} \rangle\rangle_\theta$  and  $\langle\langle e_{u'v'} \rangle\rangle_\theta$ , where  $-\Delta = \partial_\mu^* \partial_\mu$ ,  $w = T\epsilon_{\mu\nu}\partial_\nu^* v_\mu + g^2 qu$ , and

$$\langle f \rangle_\theta = \frac{\sum f(s) \exp\left(\frac{2\pi^2}{T}(s, (-\Delta + m^2)^{-1}s) + \frac{2\pi i\theta}{q} \sum s_x\right)}{\sum \exp\left(\frac{2\pi^2}{T}(s, (-\Delta + m^2)^{-1}s) + \frac{2\pi i\theta}{q} \sum s_x\right)}.$$

The imaginary ‘‘external field’’ in this model can be dealt with by a slight modification of the definition of  $K$ , as in  $\{1\}$ , leaving unchanged all the estimates of the preceding sections. Thus by (3.3) we have

$$|\langle e^{i(s, \lambda + \lambda')} \rangle_\theta - \langle e^{i(s, \lambda)} \rangle_\theta \langle e^{i(s, \lambda')} \rangle_\theta| \leq C e^{-\mu d(X, Y)} e^{A(\Sigma|w_x| + \Sigma|w'_x|)} \quad (4.3)$$

with  $\lambda = \frac{2\pi}{T}(-\Delta + m^2)^{-1}w$ ,  $\lambda' = \frac{2\pi}{T}(-\Delta + m^2)^{-1}w'$ ,  $C$  and  $\mu$  as in Theorem 2 or

Theorem 3, and  $A = \frac{2\pi\gamma}{T}\tilde{S}_1$ , noting that

$$\sum_x |\lambda_x| e^{\mu d(x, X)} \leq \frac{2\pi}{T} \sum_{xy} |w_y V(x-y)| e^{\mu|x-y|} = \frac{2\pi}{T} \tilde{S}_1 \sum_x |w_x|.$$

Moreover, we can use the inequality  $|e^{\alpha t} - 1| \leq \alpha e^{|\alpha t|}$  for  $0 \leq \alpha \leq 1$  to prove

$$|e^{T^{-1}(w, (-\Delta + m^2)^{-1}w')} - 1| \leq e^{-\mu d(X, Y)} \exp \frac{1}{T} \sum_{xy} V(x-y) e^{\mu|x-y|} |w_x w'_y|$$

so that

$$\begin{aligned} &\left| \exp \frac{1}{2T}(w + w', (-\Delta + m^2)^{-1}(w + w')) \right. \\ &\quad \left. - \exp \frac{1}{2T}(w, (-\Delta + m^2)^{-1}w) + (w', (-\Delta + m^2)^{-1}w') \right| \\ &\leq \exp \frac{1}{2T}((w, (-\Delta + m^2)^{-1}w) + (w', (-\Delta + m^2)^{-1}w')) \\ &\quad + 2\tilde{S}_1(w, w)^{1/2}(w', w')^{1/2} e^{-\mu d(X, Y)} \\ &\leq \exp B((w, w) + (w', w')) e^{-\mu d(X, Y)} \end{aligned} \quad (4.4)$$



with  $B = \frac{1}{2T}(m^{-2} + \tilde{S}_1)$ . Putting together (4.3), (4.4) and easy bounds on  $w$  and  $w'$  in terms of  $u, v_\mu, u'$  and  $v'_\mu$ , and noting that  $\sum |u_x| \leq (u, u)$  because the  $u_x$  are integers (and similarly for  $u', v_\mu$  and  $v'_\mu$ ), we obtain (4.2).

For this model the condition (10.6) is true if  $m^2 > 10.4$  (so that  $V(0) - 2S_2 > 2\sqrt{S_2}$  holds) and  $g^{-2}$  is sufficiently large (with a bound approaching 0.2 as  $m \rightarrow \infty$ ).

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