

HOMOLOGY USING CHOW VARIETIES

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We introduce “Lawson homology groups” $L_r H_{2r+i}(X, \mathbb{Z}_l)$ associated to an arbitrary projective algebraic variety X over an algebraically closed field k of characteristic $p \geq 0$ and a prime $l \neq p$. Our work is directly inspired by recent work of Blaine Lawson (cf. [5, 6]), consisting in part of an algebraization of Lawson’s geometric ideas and analytic arguments.

The Lawson homology group $L_0 H_i(X, \mathbb{Z}_l)$ is the i th étale l -adic homology group of X , whereas $L_r H_{2r}(X, \mathbb{Z}_l)$ is the group of algebraic r -cycles on X modulo algebraic equivalence (see Theorem 5 below). More generally, $L_r H_{2r+i}(X, \mathbb{Z}_l)$ should be viewed as an l -adic homology group of X involving “ r algebraic dimensions and i topological dimensions.” As we describe below, these groups are interesting algebraic invariants with good properties which should prove useful in the study of algebraic cycles. Moreover, the author and Barry Mazur construct maps $L_r H_{2r+i}(X, \mathbb{Z}_l) \rightarrow L_{r-1} H_{2r+i}(X, \mathbb{Z}_l)$ whose iterates determine the cycle map relating algebraic cycles to étale homology.

We gratefully thank Blaine Lawson for sharing his recent results with us while still in their formative stages. We also acknowledge our great debt to Ofer Gabber whose insights were essential to our early understanding of Lawson’s work. Proofs of results announced below, as well as statements and proofs of further results being developed in collaboration with Blaine Lawson and Barry Mazur, will appear elsewhere.

1. Definitions. The starting point of our work is the Chow variety $C_{r,d}(X, j)$ of effective (homogeneous, of dimension equal to) r cycles of degree d on the projective space \mathbb{P}^N supported on the variety X , where X has given a closed embedding $j: X \subset \mathbb{P}^N$. For example, $C_{N-1,d}(\mathbb{P}^N, \text{id})$ is the projective space of dimension $\binom{N+d}{d}$ whose points correspond to homogeneous forms in $N + 1$ variables of degree d . Our Lawson homology groups $L_r H_{2r+i}(X, \mathbb{Z}_l)$ arise by considering the group completion of the algebraic monoid $\coprod_{d \geq 0} C_{r,d}(X, j)$ of effective r cycles on X .

We require a functor from algebraic varieties to topological spaces. We use the following composition of four functors:

$$|\cdot| = \text{Re}(\cdot) \circ \text{holim}(\cdot) \circ (\mathbb{Z}/l)_\infty(\cdot) \circ (\cdot)_{\text{et}},$$

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where $(\cdot)_{\text{et}}$ is the étale topological type functor from varieties to inverse systems of simplicial sets (cf. [4]), $(\mathbb{Z}/l)_{\infty}(\cdot)$ is the Bousfield-Kan \mathbb{Z}/l -completion functor (cf. [1]), $\text{holim}(\cdot)$ is the Bousfield-Kan homotopy inverse limit functor (cf. [1]), and $\text{Re}(\cdot)$ is the geometric realization functor from simplicial sets to topological spaces.

DEFINITION 1. Let X be a projective algebraic variety with a given closed embedding $j: X \subset \mathbb{P}^N$, and let r denote a nonnegative integer $\leq \dim(X)$. Applying the functor $|\cdot|$ and the bar construction to the algebraic monoid $\coprod_{d \geq 0} C_{r,d}(X, j)$, we obtain the simplicial space

$$* = \coprod_{a \in A} |C_a| \equiv \coprod_{a, b \in A} |C_a \times C_b| \equiv \coprod_{a, b, c \in A} |C_a \times C_b \times C_c| \cdots$$

where A denotes the monoid of connected components of $\coprod_{d \geq 0} C_{r,d}(X, j)$ and C_a denotes the component associated to $a \in A$. We denote by $\mathcal{B}_r(X)$ the space obtained as the geometric realization of this simplicial space and we define the *stable Chow space of r -cycles*, denoted $\Omega_{\mathcal{B}_r}(X)$, to be the loop space of $\mathcal{B}_r(X)$.

PROPOSITION 2. *The spaces $\mathcal{B}_r(X)$ and $\Omega_{\mathcal{B}_r}(X)$ are infinite loop spaces. Moreover, there is a natural map of H -spaces $\coprod_{a \in A} |C_a| \rightarrow \Omega_{\mathcal{B}_r}(X)$ which is a topological group completion.*

If we restrict our attention to complex varieties and if we replace the functor $|\cdot|$ by the functor $(\cdot)^{\text{an}}$ which associates to a complex variety its underlying topological space with the analytic topology, then the above construction yields a space $\Omega_{\mathcal{B}_r}(X^{\text{an}})$, the *analytic stable Chow space of r -cycles*. In the special case in which $X = \mathbb{P}^N$, the space $\mathcal{E}_r(\mathbb{P}^N)$ considered by Lawson is homotopy equivalent to the identity component of $\Omega_{\mathcal{B}_r}(X^{\text{an}})$. More generally, $\Omega_{\mathcal{B}_r}(X^{\text{an}})$ entails the stabilization of $\coprod_{d \geq 0} C_{r,d}(X^{\text{an}}, j)$ with respect to all its connected components, whereas Lawson's original construction involved stabilization with respect to addition of multiples of a chosen linear subspace assumed to lie in X [5]. Lawson's proof of his main theorem (Theorem 2 of [5]; our algebraic version is Theorem 6 below) taken in conjunction with the topological group completion property of Proposition 2 applies to prove an analogous theorem for $\Omega_{\mathcal{B}_r}(X^{\text{an}})$ valid for any complex projective variety X and including a nontrivial statement concerning connected components. The homotopy groups $\pi_*(\Omega_{\mathcal{B}_r}(X^{\text{an}}))$ are an integral form for $\pi_*(\Omega_{\mathcal{B}_r}(X))$ whose complexification $\pi_*(\Omega_{\mathcal{B}_r}(X^{\text{an}})) \otimes \mathbb{C}$ admits the structure of a colimit of mixed Hodge structures respected by many of our algebraic arguments.

In what follows, we ignore this finer structure provided when $k = \mathbb{C}$ by $\Omega_{\mathcal{B}_r}(X^{\text{an}})$, choosing to concentrate on the more algebraic $\Omega_{\mathcal{B}_r}(X)$.

DEFINITION 3. The Lawson homology group $L_r H_{2r+i}(X, \mathbb{Z}_l)$ is the i th homotopy group of the stable Chow variety space $\Omega_{\mathcal{B}_r}(X)$ for some embedding $j: X \subset \mathbb{P}^N$:

$$L_r H_{2r+i}(X, \mathbb{Z}_l) = \pi_i(\Omega_{\mathcal{B}_r}(X)).$$

2. Basic properties. In the following proposition, we state basic formal properties of the Lawson homology groups. The homotopy groups of $\Omega\mathcal{B}_r(X^{\text{an}})$ also satisfy properties 4(a), 4(c), and 4(d), but not 4(b).

PROPOSITION 4. (a) *The Lawson homology groups $L_r H_{2r+i}(X, \mathbb{Z}_l)$ are independent (up to natural isomorphism) of the embedding $j: X \subset \mathbb{P}^N$ used to define them.*

(b) *If X can be defined as the zero locus in some projective space of homogeneous equations all of whose coefficients lie in some subfield F of k (i.e., X is defined over F), then $\text{Gal}(k/F)$ naturally acts on $L_r H_{2r+i}(X, \mathbb{Z}_l)$ for any $r \leq \dim(X)$, $i \geq 0$.*

(c) *Any map $f: X \rightarrow Y$ naturally induces a homomorphism*

$$f_*: L_r H_{2r+i}(X, \mathbb{Z}_l) \rightarrow L_r H_{2r+i}(Y, \mathbb{Z}_l)$$

for any $0 \leq r \leq \dim(X)$, $0 \leq i$.

(d) *Any flat map $g: X \rightarrow Y$ induces a homomorphism*

$$g^*: L_r H_{2r+i}(Y, \mathbb{Z}_l) \rightarrow L_{r+c} H_{2r+2c+i}(X, \mathbb{Z}_l)$$

for any $0 \leq r \leq \dim(Y)$, $0 \leq i$, where $c = \dim(X) - \dim(Y)$.

The proofs of properties 4(a), (c), (d) use *rational continuous maps*, our name for correspondences sufficiently like morphisms that they induce continuous maps via the functor $|\cdot|$. Property 4(b) is a consequence of the algebraic nature of the definition of Lawson homology groups.

As mentioned earlier, the special cases $r = 0$ and $i = 0$ yield familiar invariants.

THEOREM 5. *Assume X is connected. Then*

$$L_0 H_i(X, \mathbb{Z}_l) = \begin{cases} \mathbb{Z}, & i = 0, \\ \varprojlim H_i(X_{\text{et}}, \mathbb{Z}/l^n), & i > 0, \end{cases}$$

where the inverse limit is indexed by pairs arising from the indexing category of the pro-simplicial set X_{et} (i.e., the étale topological type of X) and the natural numbers $n > 0$. Moreover,

$$L_r H_{2r}(X, \mathbb{Z}_l) = (r\text{-cycles on } X) / \text{algebraic equivalence}.$$

The proof that $L_0 H_i(X, \mathbb{Z}_l)$ equals l -adic étale homology relies upon an analysis by P. Deligne [2] of the étale cohomology of symmetric products of varieties as well as the classical Dold-Thom theorem [3]. The fact that $L_r H_{2r}(X, \mathbb{Z}_l)$ equals algebraic r -cycles modulo algebraic equivalence is proved using the group completion assertion of Proposition 2 and an understanding of the connected components of $\coprod_{d \geq 0} C_{r,d}(X, j)$.

3. Algebraic suspension. Following Lawson [5], we consider the “algebraic suspension” operation $\Sigma(\cdot)$ sending a closed algebraic subvariety Y of \mathbb{P}^n to its cone ΣY in \mathbb{P}^{n+1} (defined by the same homogeneous equations not involving the new homogeneous coordinate). Theorem 6 is our algebraic generalization of Lawson’s main theorem [5, Theorem 2].

THEOREM 6. *Algebraic suspension $\Sigma(\cdot)$ induces a homotopy equivalence $\Sigma: \mathcal{B}_r(X) \rightarrow \mathcal{B}_{r+1}(\Sigma X)$. Consequently, Σ induces isomorphisms*

$$\Sigma_*: L_r H_{2r+i}(X, \mathbb{Z}_l) \rightarrow L_{r+1} H_{2r+2+i}(\Sigma X, \mathbb{Z}_l)$$

for any $0 \leq r \leq \dim(X)$, $0 \leq i$.

The proof of Theorem 6 places in the context of correspondences and Chow coordinates (cf. [7]) Lawson's proof of his suspension theorem [6]. Namely, we consider the open subset $T_{r+1,d}(\Sigma X)$ of $C_{r+1,d}(\Sigma X)$ consisting of those cycles each component of which meets $X \subset \Sigma X$ properly. We exhibit a rational continuous map which provides a homotopy deformation retraction for the subspace $|\Sigma(C_{r,d}(X))| \simeq C_{r,d}(X)$ inside $|T_{r+1,d}(\Sigma X)|$. Furthermore, for integers $e \gg 0$, we construct another rational continuous map which provides a homotopy relating multiplication of cycles on ΣX by e , $|C_{r+1,d}(\Sigma X)| \rightarrow |C_{r+1,de}(\Sigma X)|$, to a map which factors through $|T_{r+1,de}(\Sigma X)|$ and restricts to multiplication by e on $|T_{r+1,d}(\Sigma X)|$. We complete the proof by interpreting the topological group completion of $\coprod_{d \geq 0} |C_{r+1,d}(\Sigma X)|$ as an H -space homologically equivalent to a mapping telescope.

4. Computations. Theorems 5 and 6 easily imply the following.

COROLLARY 7.

$$L_r H_{2r+i}(\mathbb{P}^N, \mathbb{Z}_l) = \begin{cases} \mathbb{Z}, & i = 0, \\ \mathbb{Z}_l, & i = 2, 4, \dots, 2N - 2r, \\ 0, & \text{otherwise.} \end{cases}$$

Finally, we describe the Lawson homology groups associated to codimension 1 cycles on a smooth projective algebraic variety X .

THEOREM 8. *Let X be a smooth projective algebraic variety of dimension n . Then*

$$L_{n-1} H_{2n-2+i}(X, \mathbb{Z}_l) = \begin{cases} \text{NS}(X), & i = 0, \\ \lim H_1(\text{Pic}_0(X)_{\text{et}}, \mathbb{Z}/l^n), & i = 1, \\ \mathbb{Z}_l, & i = 2, \\ 0, & \text{otherwise,} \end{cases}$$

where $\text{NS}(X)$ denotes the Neron-Severi group of X and $\text{Pic}_0(X)$ denotes the connected component of the Picard variety of X .

The proof of Theorem 8 entails an investigation of the natural map from a sufficiently general component of codimension 1 cycles to $\text{Pic}_0(X)$. This enables us to verify that the homotopy type of the fiber of the map from $|\Omega \mathcal{B}_{n-1}(X)| \rightarrow |\text{Pic}(X)|$ is essentially the l -adic homotopy type of infinite complex projective space.

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