

## HEREDITARILY PYTHAGOREAN FIELDS, INFINITE HARRISON-PRIMES AND SUMS OF $2^n$ th POWERS

BY EBERHARD BECKER

Communicated by Olga Taussky Todd, July 21, 1977

In [3] W. D. Geyer studied (infinite) algebraic number fields having an absolute Galoisgroup which is solvable as an abstract group. In particular he showed that for a real number field  $K$  of this type the absolute Galoisgroup  $G(\bar{K}|K(i))$  must be abelian (we denote the algebraic closure of a field  $k$  by  $\bar{k}$ ). Geyer's work may therefore be considered as a generalization of the well-known characterization of real-closed fields given by E. Artin and O. Schreier. This note reports on the work [1] originated in an attempt to carry over Geyer's results to arbitrary formally real fields  $K$  (= real fields). We investigate those fields with abelian Galoisgroup  $G(\bar{K}|K(i))$  which may be regarded as substitutes for real-closed fields. The orderings of real-closed fields are to be replaced by certain infinite Harrison-primes, and the study of sums of squares by orderings can be extended with help of these Harrison-primes to sums of  $2^n$ th powers.

**1. Hereditarily pythagorean fields.** A real field  $K$  is called *pythagorean* if  $K^2 + K^2 = K^2$  holds, *hereditarily pythagorean* (= h. p.) if any real algebraic extension is pythagorean. Let  $Z_p$  be the compact additive group of the  $p$ -adic integers,  $\delta_{ij}$  the Kronecker-symbol and  $\text{Br}(K)$  the Brauergroup of the real field  $K$ .

**THEOREM 1.**  $K$  is a h.p. field iff  $G(\bar{K}|K(i))$  is abelian. If  $K$  is h.p., then

(i)  $G(\bar{K}|K) = \langle \sigma \rangle \times G(\bar{K}|K(i))$ ,  $\sigma^2 = 1$ ,  $\sigma$  operates by inversion on  $G(\bar{K}|K(i))$ ,

(ii)  $G(\bar{K}|K(i)) \cong \prod_p Z_p^{\alpha_p}$  with  $\alpha_p = -\delta_{2p} + \dim_{\mathbb{F}_p} K^\times / K^{\times p}$ ,

(iii)  $\text{Br}(K)$  has exponent 2,  $\dim_{\mathbb{F}_2} \text{Br}(K) = \alpha_2 + \binom{\alpha_2}{2} + 1$

H.p. fields can further be characterized by the Haar-measure of the set of involutions in  $G(\bar{K}|K)$  [1], by the existence of a certain henselian valuation [2] (both due to L. Bröcker), by the existence of a Kummer-theory for all algebraic extensions [1] (F. Halter-Koch) or by torsion properties of the Witttring of  $K(X)$  [1].

**2. Infinite Harrison-primes.** An infinite Harrison-prime  $P$  [4] of  $K$  is called an *ordering of type  $n \in \mathbb{N}$*  if  $K^{2^n} \subset P$  and of *exact type  $n$*  if  $K^{2^n} \subset P$ ,  $K^{2^{n-1}} \not\subset P$ . Orderings of type 1 are the usual orderings. Let  $Q_n$  be the subset of all sums of  $2^n$ th powers in  $K$ . Then  $Q_n = \bigcap P$  where  $P$  ranges over all orderings of type  $n$ , the case  $n = 1$  is due to E. Artin.

*AMS (MOS) subject classifications* (1970). Primary 12D15, 12E99.

Let  $L|K$  be a field extension,  $P, \tilde{P}$  orderings of higher type of  $K, L$  respectively.  $(L, \tilde{P})$  extends  $(K, P)$  if  $\tilde{P} \cap K = P$  and  $P$  and  $\tilde{P}$  have the same exact type. In [1] an extension theory is established, for example: (i) the number  $r$  of extensions to  $L$  is less than or equal to  $[L: K]$ , (ii)  $r = 0$  or  $r = [L: K]$  if  $L|K$  is a Galois-extension, in the latter case all extensions are conjugate. The extension-theory applies to the real closures  $(R, \tilde{P})$  (= the maximal algebraic extensions) of  $(K, P)$ . Let  $P$  be of exact type  $n \geq 2$ , the case  $n = 1$  is due to E. Artin and O. Schreier.

**THEOREM 2.** (i) *A real closure  $(R, \tilde{P})$  is a h.p. field with  $G(\bar{R}|R(i)) \cong \mathbf{Z}_2$ , has a henselian valuation with real-closed residue-class-field, two orderings of type 1 and a single ordering of exact type  $m$  for  $m \geq 2$ ,*

(ii) *two real closures  $(R_i, P_i), i = 1, 2$ , of  $(K, P)$  are isomorphic iff  $R_1^{2^m} \cap K = R_2^{2^m} \cap K$  for all  $m \in \mathbf{N}$ .*

Different from the usual Artin-Schreier-Theory there are in general infinitely many nonisomorphic real closures of a given  $(K, P)$ . The proofs are essentially carried out by valuation theory since for an ordering  $P$  of higher type the set  $\mathfrak{o}(P) = \{a \in K | n \pm a \in P \text{ for some } n \in \mathbf{N}\}$  is a valuation-ring [5]. Furthermore  $P$  can be constructed from an archimedean ordering of type 1 of the residue-class-field by means of a certain character of the value-group of  $\mathfrak{o}(P)$ .

**3. Sums of  $2^n$ th powers.** The starting points for the applications to sums of  $2^n$ th powers are the result  $Q_n = \bigcap P$  and the facts about  $\mathfrak{o}(P)$  just mentioned. Let  $K$  be an infinite not necessarily real field,  $n \in \mathbf{N}$ .

**THEOREM 3.** *If  $-1 \in Q_1$  (i.e.  $K$  is not real), then  $-1 \in Q_n$ .*

This was also proved by Joly [7].

**THEOREM 4.** *The following statements are equivalent: (i) any valuation-ring of  $K$  with a real residue-class-field has a 2-divisible value-group, (ii)  $Q_1 = Q_n$  for some  $n$ , (iii)  $Q_1 = Q_n$  for all  $n$ .*

Theorem 4 applies to number fields, more generally to algebraic extensions of a real field with a single ordering of type 1.

**THEOREM 5.** *For all  $x_1, \dots, x_r \in K, n, m \in \mathbf{N}$ , there exist  $y_1, \dots, y_s \in K$  such that*

$$(x_1^{2^n} + \dots + x_r^{2^n})^{2^m} = y_1^{2^{n+m}} + \dots + y_s^{2^{n+m}}.$$

Theorem 5 applied to  $\mathbf{Q}(X_1, \dots, X_r)$  generalizes in a certain sense an identity of Hilbert used in his solution of the Waring-problem [6].

## REFERENCES

1. E. Becker, *Hereditarily pythagorean fields and orderings of higher types*, IMPA-Lecture Notes, Rio de Janeiro (to appear).
2. L. Bröcker, *Characterization of fans and hereditarily pythagorean fields*, *Math. Z.* **151** (1976), 149–163.
3. W. D. Geyer, *Unendliche algebraische Zahlkörper, über denen jede Gleichung auflösbar von beschränkter Stufe ist*, *J. Number Theory* **1** (1969), 346–374.
4. D. K. Harrison, *Finite and infinite primes for rings and fields*, *Mem. Amer. Math. Soc.* **68** (1966), 1–62.
5. D. K. Harrison and H. Warner, *Infinite primes of fields and completions*, *Pacific J. Math.* **45** (1973), 201–216.
6. D. Hilbert, *Beweis für die Darstellbarkeit der ganzen Zahlen durch eine feste Zahl  $n$ -ter Potenz* (Waring'sches Problem), *Math. Ann.* **67** (1909), 281–300.
7. J.-R. Joly, *Sommes des puissances  $d$ -ièmes dans un anneau commutatif*, *Acta Arith.* **17** (1970), 37–114.

MATHEMATISCHES INSTITUT DER UNIVERSITÄT, WEYERTAL 86–90, 5000  
KÖLN 41, FEDERAL REPUBLIC OF GERMANY