

## HOMOTOPY RIGIDITY OF LINEAR ACTIONS: CHARACTERS TELL ALL

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Our aim is to present a striking rigidity phenomenon in unitary representations of compact groups. Let  $U = U(n)$  be a unitary group and  $H$  a closed subgroup of  $U$ . The homogeneous space  $U/H$  is a smooth manifold with a smooth action  $\lambda$  of  $U$  induced by left multiplication. If  $\alpha: G \rightarrow U$  is a representation of the compact group  $G$ , then  $\lambda \circ (\alpha \times 1): G \times U/H \rightarrow U/H$  is an action of  $G$  on  $U/H$ , and we denote this  $G$ -structure by  $(U/H, \alpha)$ . Such actions of  $G$  on  $U/H$  are called *linear actions*. We shall give a complete description of the  $G$ -homotopy types of linear actions on  $U/H$  for a certain class of  $H$ . To motivate our results we shall first examine some obvious  $G$ -equivalences of linear actions.

If  $X$  is a  $U$ -space, then the set of  $U$ -maps  $\text{Map}_U(U/H, X)$  is in one-to-one correspondence with elements  $x \in X$  such that  $U_x \supset H$ , where  $U_x = \{u \in U \mid ux = x\}$  is the isotropy group of the action at  $x$ . For example, if  $a \in U$  then the element  $aH$  in  $U/H$  has isotropy group  $aHa^{-1}$  and the  $U$ -map  $f: U/aHa^{-1} \rightarrow U/H$  given by  $f(uaHa^{-1}) = uaH$  is a  $U$ -equivalence. Indeed if  $H$  and  $K$  are closed subgroups of  $U$  then  $U/H$  and  $U/K$  are  $U$ -equivalent if and only if  $K = aHa^{-1}$  for a suitable  $a \in U$ . Suppose  $\alpha, \gamma: G \rightarrow U$  are representations such that there exists an  $a \in U$  such that  $\gamma(g) = a\alpha(g)a^{-1}$  for all  $g \in G$  (we say that  $\gamma$  is *similar* to  $\alpha$ ). The map  $k: (U/H, \alpha) \rightarrow (U/H, \gamma)$  given by  $k(uH) = auH$  is a  $G$ -equivalence. Indeed,  $k$  is the composition of the  $G$ -equivalence  $(U/H, \alpha) \rightarrow (U/aHa^{-1}, \gamma)$  induced by conjugation with  $a$  in  $U$  and the  $U$ -equivalence (hence  $G$ -equivalence!)  $f: (U/aHa^{-1}, \gamma) \rightarrow (U/H, \gamma)$ . Thus similarity of representations gives us  $G$ -equivalences of the associated linear actions on  $U/H$ . Here is another obvious way of obtaining  $G$ -equivalences: let  $c: U \rightarrow U$  be conjugation by unitary matrices  $c(a) = \bar{a}$ ; then if  $c(H) = H$ , we obtain a  $G$ -equivalence  $c: (U/H, \alpha) \rightarrow (U/H, \bar{\alpha})$  where  $\bar{\alpha} = c \circ \alpha$  is the representation conjugate to  $\alpha$ .

It is too much to hope that  $(U/H, \alpha)$  is  $G$ -homotopy equivalent to  $(U/H, \beta)$  if and only if  $\beta$  or  $\bar{\beta}$  is similar to  $\alpha$ . For example, if  $H$  is a subgroup of maximal rank in  $U$  and  $C$  is the center of  $U$  then  $C \subset H$  and  $C$  acts trivially on  $U/H$ , so if we let  $P(U) = U/C$  be the projective unitary group (with  $q: U \rightarrow P(U)$  the quotient map), then the standard left action  $\lambda$  of  $U$  on  $U/H$  induces an action of  $P(U)$  on  $U/H$ , and it is the similarity class of the projective representation  $q \circ \alpha: G \rightarrow P(U)$  which matters. We have: if  $\alpha, \beta: G \rightarrow U$  are representations and  $\chi: G \rightarrow S^1 = C$  is a homomorphism such that  $\beta$  or  $\bar{\beta}$  is similar to  $\chi\alpha$  then  $(U/H, \alpha)$  is  $G$ -equiva-

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lent to  $(U/H, \beta)$ , and indeed through a map which is induced by an  $R$ -linear map of  $R^{2n}$  (the underlying real vector space of the complex vector space  $C^n$  on which  $U = U(n)$  acts in the standard way). The reader would expect to find more  $G$ -equivalences of linear actions if we drop linearity, and yet more  $G$ -homotopy equivalences. The surprise is that if we make a mild restriction on  $H$  then we find that linear actions of  $G$  on  $U/H$  are *rigid under homotopy*:  $(U/H, \alpha)$  is  $G$ -homotopy equivalent to  $(U/H, \beta)$  if and only if they are  $G$ -equivalent through an  $R$ -linear map. Here is a sample result:

**THEOREM 1 (HOMOTOPY RIGIDITY OF LINEAR ACTIONS).** *If  $H$  is a subgroup of  $U = U(n)$  conjugate to  $U(n - k) \times T^k$ , where  $T^k$  is the  $k$ -torus and  $n \geq 2k$ ,  $\alpha, \beta: G \rightarrow U$  representations of a compact group  $G$ , then a  $G$ -map  $f: (U/H, \alpha) \rightarrow (U/H, \beta)$  exists with  $f: U/H \rightarrow U/H$  a homotopy equivalence if and only if there is a linear character  $\chi: G \rightarrow S^1$  and  $\beta$  or  $\bar{\beta}$  is similar to  $\chi\alpha$ .*

We should point out that the condition  $n \geq 2k$  is not necessary: for example, homotopy rigidity of linear actions holds for  $U(5)/U(2) \times T^3$  and for  $U(6)/U(2) \times T^4$ , but the proof is much more involved. Similarly, the condition that  $H$  be conjugate to  $U(n - k) \times T^k$  is too strong: in [13] we show homotopy rigidity of linear actions on  $U(m + n + 1)/U(m) \times U(n) \times U(1)$  for  $mn \geq m + n + 1$ . The right level of generality for our current approach seems to be the following: let us call a subgroup  $H$  of  $U$  *friendly* if  $H$  is closed, connected, of maximal rank in  $U = U(n)$  and there exists a nonzero vector  $v \in C^n$  such that  $hv = \lambda(h)v$  for some linear character  $\lambda: h \rightarrow S^1$ ; indeed we assume  $H$  is conjugate to a subgroup  $U(n_1) \times \cdots \times U(n_k) \subset U(n)$  with  $n_1 \geq \cdots \geq n_k = 1$  and  $n_1 + \cdots + n_k = n$  (see Borel and Siebenthal [7]). We shall outline a strategy for proving

**CONJECTURE A.** If  $H$  is a friendly subgroup of  $U$  then linear actions of a compact group  $G$  on  $U/H$  are rigid under homotopy.

Indeed one can conjecture that linear actions of  $G$  are rigid for  $U/H$  where  $H$  is connected of maximal rank. This is work in progress with Wu-Yi Hsiang.

An immediate consequence of our homotopy rigidity result is that the  $G$ -homotopy type of  $(U/H, \alpha)$  can be read off from the character table of  $G$  (characters tell all). For example, if  $\alpha, \beta: G \rightarrow U$  are representations and  $|\text{Trace } \alpha(g)| \neq |\text{Trace } \beta(g)|$  for some element  $g \in G$ , then  $(U/H, \alpha)$  and  $(U/H, \beta)$  have distinct  $G$ -homotopy types. An example of such a situation is given by the alternating group on five letters  $A_5$ : let  $\alpha$  and  $\beta$  be the distinct irreducible 3-dimensional unitary representations,  $g = (12345)$ , then

$$\text{Tr } \alpha(g) = \frac{1 + \sqrt{5}}{2} \quad \text{and} \quad \text{Tr } \beta(g) = \frac{1 - \sqrt{5}}{2},$$

so  $(U/H, \alpha)$  and  $(U/H, \beta)$  are not  $A_5$ -homotopy equivalent for any friendly subgroup  $H$  of  $U = U(3)$ . Here, of course, there are no nontrivial linear characters and all characters of  $A_5$  take real values, so two linear actions  $(U/H, \gamma), (U/H, \delta)$  of  $A_5$  on  $U/H$  (with  $H$  a friendly subgroup of  $U$ ) are  $A_5$ -homotopy equivalent if and only if  $\gamma$  is similar to  $\delta$ . The case of  $\alpha$  and  $\beta$  is especially interesting since there is an outer automorphism  $\varphi: A_5 \rightarrow A_5$  with  $\varphi^*\alpha = \beta$ . Even the cyclic group of order two  $G = Z/2Z$  gives entertaining examples: if we let 1 denote the trivial representation of  $G$  then there exist linear actions  $\alpha, \beta, \gamma, \delta$  on  $CP^n$  such that  $(CP^n, \alpha) \approx (CP^n, \beta)$  but  $(CP^n, \alpha$

$+ 1) \not\approx (CP^n, \beta + 1)$ , and  $(CP^n, \gamma + 1) \approx (CP^n, \delta + 1)$  but  $(CP^n, \gamma) \not\approx (CP^n, \delta)$ , where we have used  $\approx$  to indicate  $Z/2Z$ -homotopy equivalence.

In Theorem 1,  $f$  is not assumed to be a  $G$ -homotopy equivalence, that is, although there is a homotopy inverse  $f': U/H \rightarrow U/H$ , we are not saying that such an  $f'$  can be found which is a  $G$ -map  $f': (U/H, \beta) \rightarrow (U/H, \alpha)$ . Indeed, Petrie [15] exhibits a  $G$ -space  $Y$ , a linear action  $\gamma$  on  $U/H = CP^k$  and a  $G$ -map  $h: Y \rightarrow (CP^k, \gamma)$  which is a homotopy equivalence such that the induced map is equivariant  $K$ -theory

$$h^!: K_G(CP^k, \gamma) \rightarrow K_G(Y)$$

is not an isomorphism—this means that although  $h$  is a homotopy equivalence it is *not* a  $G$ -homotopy equivalence. Our approach is based on the fact that this sort of pathology cannot occur if  $Y$  is a complex projective space with a linear action (see [11]): given a  $G$ -map  $h: (CP^n, \alpha) \rightarrow (CP^n, \beta)$  such that  $h: CP^n \rightarrow CP^n$  is a homotopy equivalence, there exists an  $R$ -linear  $G$ -equivalence  $k: (CP^n, \alpha) \rightarrow (CP^n, \beta)$  such that  $h^! = k^!$  (so, in particular,  $h^!$  is an isomorphism).

This report is organized as follows: in the second section, we present an exact sequence on Picard groups of  $G$ -line bundles and popularize some work of Graeme Segal [19] on cohomology of topological groups. In the third section we examine the case  $U/H = CP^n$  and show how equivariant  $K$ -theory allows us to prove the homotopy rigidity theorem for this case. We also examine the general case of  $H$  a friendly subgroup of  $U$  and show how a result on cohomology automorphisms of  $U/H$  implies the homotopy rigidity theorem. The fourth section is devoted to proving the result on automorphisms of  $H^*(U/H, Z)$ , where  $H$  is as in Theorem 1.

A few words about the background of the problem. There is an extensive literature about  $G$ -maps of spheres with linear action: de Rham [16], Atiyah and Tall [5], Lee and Wasserman [10], Meyerhoff and Petrie [14]. The current project is the result of numerous consultations with Ted Petrie. Thanks also go to J. F. Adams, J. Dupont, H. Glover, W.-Y. Hsiang, P. Landrock, I. Madsen, G. Segal, R. Stong and J. Tornehave for their helpful comments.

**2. An exact sequence of Picard groups.** Let  $X$  be a  $G$ -space,  $\text{Pic}_G(X)$  the set of isomorphism classes of complex  $G$ -line bundles over  $X$ . We give  $\text{Pic}_G(X)$  the structure of a group by using the tensor product of line bundles as multiplication. If  $X$  is a CW complex, then  $H^1(X; Z) \cong [X, S^1]$  and

$$H^2(X; Z) \cong [X, CP^\infty] \cong \text{Pic}_E(X),$$

where  $E \subset G$  is the subgroup consisting of the identity element.

**THEOREM 2.** *If  $X$  is a nonempty connected  $G$ -space and  $H^1(X; Z) = 0$  then the following sequence is exact:*

$$\text{Pic}_G(*) \xrightarrow{c^!} \text{Pic}_G(X) \xrightarrow{i^!} \text{Pic}_E(X),$$

where  $c: X \rightarrow *$  is the collapsing map onto a point,  $i: E \subset G$  the inclusion of the identity subgroup.

**PROOF.** We shall use the technique of Segal's cohomology of groups [19]: if  $A$  is an abelian  $G$ -group ( $G$  compact,  $A$  has the compactly generated

topology) then cohomology groups  $H_G^i(A)$  are defined for all  $i \geq 0$ . The group  $H_G^1(A)$  is the quotient of the group of all crossed homomorphisms  $\varphi: G \rightarrow A$  (functions which satisfy  $\varphi(gg') = \varphi(g) + g \cdot \varphi(g')$  for all  $g, g'$  in  $G$ ) modulo principal crossed homomorphisms (those which have the form  $\varphi(g) = g \cdot a - a$  for a fixed  $a$  in  $A$ ). The pleasant thing about Segal's cohomology is that a short exact sequence  $0 \rightarrow A' \rightarrow A \rightarrow A'' \rightarrow 0$  (meaning that  $A$  is a principal  $A'$ -bundle with  $A''$  as base) produces a long exact sequence

$$\dots \rightarrow H_G^i(A) \rightarrow H_G^i(A'') \xrightarrow{\delta} H_G^{i+1}(A') \rightarrow H_G^{i+1}(A) \rightarrow \dots$$

If  $V$  is a vector space over  $R$  then  $H_G^i(V) = 0$  for all  $i > 0$ . Given our CW space  $X$  we first notice that  $H_G^1(\text{Map}(X, S^1))$  is precisely the set of isomorphism classes of  $G \times S^1$ -structures on the projection  $\pi_1: X \times S^1 \rightarrow X$ , that is,  $H_G^1(\text{Map}(X, S^1)) = \text{Ker } i^1$ . Since  $H^1(X; Z) = 0$  we obtain an exact sequence

$$0 \rightarrow \text{Map}(X, Z) \rightarrow \text{Map}(X, R) \rightarrow \text{Map}(X, S^1) \rightarrow 1,$$

$\text{Map}(X, Z) = Z$  since  $X$  is connected, and the collapsing map  $c: X \rightarrow *$  induces a map of exact sequences

$$\begin{array}{ccccccc} 0 & \longrightarrow & Z & \longrightarrow & R & \longrightarrow & S^1 \longrightarrow 1 \\ & & \downarrow = & & \downarrow & & \downarrow \\ 0 & \longrightarrow & Z & \longrightarrow & \text{Map}(X, R) & \longrightarrow & \text{Map}(X, S^1) \longrightarrow 1 \end{array}$$

which in turn induces maps of long exact sequences of cohomology groups. We have

$$\begin{array}{ccccccc} H_G^1(R) & \longrightarrow & H_G^1(S^1) & \xrightarrow{\delta} & H_G^2(Z) & \longrightarrow & H_G^2(R) \\ \downarrow & & \downarrow c^* & & \downarrow = & & \downarrow \\ H_G^1(V) & \longrightarrow & H_G^1(\text{Map}(X, S^1)) & \xrightarrow{\delta'} & H_G^2(Z) & \longrightarrow & H_G^2(V) \end{array}$$

where  $V = \text{Map}(X, R)$  is a vector space over  $R$ , so in both exact sequences the extreme terms are zero, hence  $\delta$  and  $\delta'$  are isomorphisms; thus  $c^*: H_G^1(S^1) \rightarrow H_G^1(\text{Map}(X, S^1)) = \text{Ker } i^1$  is an isomorphism, but  $H_G^1(S^1) \cong \text{Pic}_G(*) \cong \text{Hom}(G, S^1)$ , and under the isomorphism  $c^*$  corresponds to  $c^1$ , so Theorem 2 is proved.

Notice that  $\text{Pic}_E(Y) \cong H^2(Y; Z)$  under the isomorphism which assigns to a line bundle  $\lambda$  its first Chern class  $c_1(\lambda)$ .

**COROLLARY 3.** *Let  $f: X \rightarrow Y$  be a  $G$ -map,  $X$  connected,  $H^1(X; Z) = 0$ ,  $s$  a  $G$ -line bundle over  $X$ ,  $t$  a  $G$ -line bundle over  $Y$ . Suppose  $f^*c_1(i^1t) = c_1(i^1s)$ , then there exists a homomorphism  $\chi: G \rightarrow S^1$  such that  $f^1t = \chi s$ .*

**PROOF.** Contemplate  $s^{-1} \cdot f^1t$ . We have

$$c_1(i^1(s^{-1} \cdot f^1t)) = -c_1(i^1s) + c_1(i^1f^1t) = -c_1(i^1s) + f^*c_1(i^1t) = 0,$$

so  $s^{-1} \cdot f^1t$  is in the kernel of  $i^1$ , hence in the image of  $c^1$ —there exists a linear character  $\chi: G \rightarrow S^1$  with  $c^1\chi = \chi \cdot 1 = s^{-1} \cdot f^1t$ , or  $f^1t = \chi s$ , as claimed.

**3. The strategy of proof.** Let  $CP^{n-1}$  be a complex projective  $(n - 1)$ -dimensional space,  $s: S^{2n-1} \rightarrow CP^{n-1}$  the Hopf bundle over  $CP^{n-1}$ . If  $\gamma: G \rightarrow U = U(n)$  is a representation,  $s = s(\gamma): (S^{2n-1}, \gamma) \rightarrow (CP^{n-1}, \gamma)$  defines an element in  $\text{Pic}_G(CP^{n-1}, \gamma)$ , hence an element in  $K_G(CP^{n-1}, \gamma)$  which we still call  $s$ . Let  $R(G) = K_G(*)$  be the complex representation ring of  $G$ , then [3], [18]  $K_G(CP^{n-1}, \gamma)$  is a free  $R(G)$ -module with  $1, \dots, s^{n-1}$  as basis and

$$s^n - \gamma s^{n-1} + (\Lambda^2 \gamma) s^{n-2} - \dots + (-1)^n \Lambda^n \gamma = 0,$$

where  $\Lambda^i \gamma$  denotes the  $i$ th exterior power of  $\gamma$ .

**PROPOSITION 4.** *Let  $\varphi: K_G(CP^{n-1}, \beta) \rightarrow K_G(CP^{n-1}, \alpha)$  be a homomorphism of  $R(G)$ -algebras with  $\varphi(s(\beta)) = \chi s(\alpha)$  for some linear character  $\chi: G \rightarrow S^1$ . Then  $\beta$  is similar to  $\chi \alpha$ .*

**PROOF.** Let  $s(\alpha) = s, s(\beta) = t$ . Then  $t$  satisfies

$$t^n - \beta t^{n-1} + \dots + (-1)^n \Lambda^n \beta = 0.$$

Hence applying  $\varphi$  we have

$$\chi^n s^n - \beta \chi^{n-1} s^{n-1} + \dots + (-1)^n \Lambda^n \beta = 0,$$

and multiplying with  $\chi^{-n}$  we obtain

$$s^n - \beta \chi^{-1} s^{n-1} + \dots + (-1)^n \Lambda^n (\beta \chi^{-1}) = 0.$$

But

$$s^n - \alpha s^{n-1} + \dots + (-1)^n \Lambda^n \alpha = 0$$

and  $K_G(CP^{n-1}, \alpha)$  is  $R(G)$ -free on  $1, \dots, s^{n-1}$ . Comparing the coefficients of  $s^{n-1}$  we obtain  $\beta \chi^{-1} = \alpha$  in  $R(G)$  as claimed.

We shall now show how homotopy rigidity of linear actions on  $CP^{n-1}$  follows (compare [11], [12]). Let  $f: (CP^{n-1}, \alpha) \rightarrow (CP^{n-1}, \beta)$  be a  $G$ -map so that  $f^*: H^*(CP^{n-1}; \mathbb{Z}) \rightarrow H^*(CP^{n-1}; \mathbb{Z})$  is an isomorphism. Let  $u = c_1(s) = c_1(i^!s(\alpha))$ , the first Chern class of the Hopf bundle  $s$ . Then  $f^*u = u$  or  $-u$  since  $f^*$  is an isomorphism and  $H^2(CP^{n-1}; \mathbb{Z})$  is generated by  $u$ . If  $f^*u = -u$ , we replace  $f$  by  $c \circ f$  and  $\beta$  by  $\bar{\beta}$  (where  $c: CP^{n-1} \rightarrow CP^{n-1}$  is induced by conjugation in  $U = U(n)$ ), so we may assume  $f^*u = u$ , that is  $f^*c_1(i^!t) = c_1(i^!s)$ . We apply Corollary 3: there exists a linear character  $\chi: G \rightarrow S^1$  such that  $f^!t = \chi s$ . Applying Proposition 4 to  $\varphi = f^!$  we obtain that  $\beta$  is similar to  $\chi \alpha$ . Recalling that we may have had to replace our original  $\beta$  by  $\bar{\beta}$  to obtain  $f^*u = u$  we obtain the homotopy rigidity result for linear actions on  $CP^{n-1}$ .

We build our approach to linear actions on  $U/H$  on this special case of  $CP^{n-1}$ . Suppose  $H$  is a friendly subgroup of  $U = U(n)$ ; there exists a nonzero vector  $v \in C^n$  such that  $hv = \lambda(h)v$  for all  $h \in H$  for some linear character  $\lambda$ . We define a map  $\pi: U/H \rightarrow CP^{n-1}$  by  $\pi(uH) = [uv]$ . If  $\alpha: G \rightarrow U$  is a representation then  $\pi$  is a  $G$ -map  $\pi_\alpha: (U/H, \alpha) \rightarrow (CP^{n-1}, \alpha)$ .

**PROPOSITION 5.** *If  $H$  is a friendly subgroup of  $U = U(n)$  and  $\pi_\alpha$  is as above, then  $\pi'_\alpha: K_G(CP^{n-1}, \alpha) \rightarrow K_G(U/H, \alpha)$  is a monomorphism.*

PROOF. We may as well assume  $H = U(n_1) \times U(n_2) \times \dots \times U(n_k)$  with  $n_k = 1$  and  $v = \varepsilon_n$ , the last vector in the standard basis of  $C^n$ , then  $\pi$  is induced by the inclusion  $H \subset U(n-1) \times U(1)$ . Let  $T = U(1) \times \dots \times U(1)$  be the standard  $n$ -torus of  $U$  consisting of diagonal matrices, then  $T \subset H \subset U$  induces a commutative diagram of projections

$$\begin{array}{ccc} (U/T, \alpha) & \longrightarrow & (U/H, \alpha) \\ & \searrow \rho & \downarrow \pi_\alpha \\ & & (CP^{n-1}, \alpha) \end{array}$$

and since  $\rho^!$  is a monomorphism (see [18]), so is  $\pi_\alpha^!$ .

Now let  $\alpha, \beta: G \rightarrow U$  be representations,  $s = s(\alpha)$ ,  $t = s(\beta)$  the  $G$ -Hopf bundles on  $(CP^{n-1}, \alpha)$  and  $(CP^{n-1}, \beta)$ , respectively. Let  $f: (U/H, \alpha) \rightarrow (U/H, \beta)$  be a  $G$ -map such that  $f: U/H \rightarrow U/H$  is a homotopy equivalence. Let  $u = c_1(i^! \pi_\alpha^! s) = c_1(i^! \pi_\beta^! t)$ . If  $f^* u = u$ , then as before Corollary 3 says that there exists a linear character  $\chi: G \rightarrow S^1$  such that  $f^! \pi_\beta^! t = \chi \pi_\alpha^! s = \pi_\alpha^! (\chi s)$ . Thus  $f^!$  maps the image of  $\pi_\beta^!$  into the image of  $\pi_\alpha^!$ . Since  $\pi_\alpha^!$  is a monomorphism, we may define

$$\varphi = (\pi_\alpha^!)^{-1} f^! \pi_\beta^!: K_G(CP^{n-1}, \beta) \rightarrow K_G(CP^{n-1}, \alpha)$$

which, of course, is a map of  $R(G)$ -algebras and  $\varphi(t) = \chi s$ , so Proposition 4 says that  $\beta$  is similar to  $\chi\alpha$ . The catch, of course, is that there is no reason to expect that  $f^* u$  is equal to  $u$ , so we have to do more work.

The group of  $U$ -maps  $\text{Map}_U(U/H, U/H)$  is isomorphic to  $N_U(H)/H$ , where  $N_U(H) = \{a \in U \mid aHa^{-1} = H\}$  is the normalizer of  $H$  in  $U$  (see Bredon [8], Samelson [17]). If  $\gamma: G \rightarrow U$  is a representation and  $k: U/H \rightarrow U/H$  is a  $U$ -map, then  $k: (U/H, \gamma) \rightarrow (U/H, \gamma)$  is a  $G$ -map. Let  $c: U \rightarrow U$  be given by  $c(u) = \bar{u}$ , the matrix with complex conjugate entries. We have chosen  $H$  in its conjugacy class so that  $c(H) = H$ , hence  $c: (U/H, \gamma) \rightarrow (U/H, \bar{\gamma})$  is a  $G$ -map. We have a homomorphism

$$\psi: N_U(H)/H \times Z/2Z \rightarrow \text{Aut}(H^*(U/H; Z))$$

given by  $\psi(k, t) = k^* \circ c^{t*}$ . Stated in another way: the homomorphism  $\psi$  defines an action of  $N_U(H)/H \times Z/2Z$  on  $H^*(U/H; Z)$ . Of course the group  $\text{Homeq}(U/H)$  of all homotopy classes of homotopy equivalences of  $U/H$  also acts on  $H^*(U/H; Z)$  by taking induced homomorphisms in cohomology. We now state several related conjectures.

CONJECTURE B. Let  $H$  be a friendly subgroup of  $U = U(n)$ ,  $\pi: U/H \rightarrow CP^{n-1}$  the standard map,  $u = \pi^* c_1(s)$ , where  $s$  is the Hopf bundle on  $CP^{n-1}$ , then the orbit of  $u$  under  $N_U(H)/H \times Z/2Z$  is the same as the orbit of  $u$  under  $\text{Homeq}(U/H)$ .

PROPOSITION 6. Conjecture B implies Theorem 1 (homotopy rigidity of linear actions on  $U/H$ ).

PROOF. We keep the notation of our earlier discussion: let  $\alpha, \beta: G \rightarrow U = U(n)$  be representations,  $f: (U/H, \alpha) \rightarrow (U/H, \beta)$  a  $G$ -map such that  $f:$

$U/H \rightarrow U/H$  is a homotopy equivalence,  $u = \pi^*c_1(s)$ . According to Conjecture B there exists an element  $k$  of  $N_U(H)/H \times Z/2Z$  such that  $k^*f^*u = u$ . Replace  $f$  by  $f \circ k$  (here we may have to replace  $\alpha$  by  $\bar{\alpha}$  if conjugation is involved). Then  $f^*u = u$ , so  $i^!f^!\pi_\beta^!t = i^!\pi_\alpha^!s$ , and since  $U/H$  is connected and simply connected, we obtain from Corollary 3 a linear character  $\chi: G \rightarrow S^1$  such that  $f^!\pi_\beta^!t = \chi\pi_\alpha^!s$ . So now letting  $\varphi = \pi_\alpha^! - !f^!\pi_\beta^!$  we can apply Proposition 4 to conclude that  $\beta$  is similar to  $\chi\alpha$ .

We shall prove an even stronger result for a multitude of subgroups of  $U$ :

**CONJECTURE C.** The map  $\psi$  is an isomorphism of  $N_U(H)/H \times Z/2Z$  onto the group of all algebra isomorphisms of  $H^*(U/H; Z)$  if  $H$  is a friendly subgroup of  $U = U(n)$  and  $n \geq 3$ .

Notice that  $U(2)/T^2 \approx S^2$ , and in this case  $\psi$  has a cyclic group of order 2 as a kernel. If  $n \geq 3$ ,  $\psi$  is a monomorphism.

Let us boldly walk even further on the limb: the following algebraic conjecture implies Conjecture B (and Conjecture C in a lot of cases).

**CONJECTURE D.** Let  $T$  be the standard torus of  $U = U(n)$ ,  $\{\varepsilon_1, \varepsilon_2, \dots, \varepsilon_n\}$  the standard basis for  $C^n$ , let  $\pi_i: U/T \rightarrow CP^{n-1}$  for  $i = 1, \dots, n$  be given by  $\pi_i(uT) = [u\varepsilon_i]$ ,  $s$  the Hopf bundle on  $CP^{n-1}$ , let  $x_i = \pi_i^*c_1(s)$ . If  $x \in H^2(U/T; Z)$  and  $x^n = 0$  then there exists an integer  $a$  and an  $i$  in  $\{1, 2, \dots, n\}$  such that  $x = ax_i$ .

The algebraic data are easy to state:  $H^*(U/T; Z) = Z[x_1, \dots, x_{n-1}]$  modulo the ideal  $I_n = (h_2, \dots, h_n)$ , where  $h_i$  is the sum of all monomials of degree  $i$  in  $x_1, \dots, x_{n-1}$  (see Borel [6])—for example, for  $n = 4$ ,  $h_2 = x_1^2 + x_1x_2 + x_1x_3 + x_2^2 + x_2x_3 + x_3^2$ . It is important to notice that  $n - 1$  appears above, not  $n$ —indeed  $x_n = -x_1 - x_2 - \dots - x_{n-1}$ . The group  $N_U(T)/T$  is  $S_n$ , the symmetric group on  $n$  letters which acts on  $H^*(U/T; Z)$  by permuting the  $x_1, \dots, x_n$ . Conjecture D is trivial to prove for  $n = 3$ . For  $n = 4$ , the algebra is already delightfully complicated and a hint is helpful: examine the solutions of  $x^4 = 0$  first over  $Z/3Z$  and then exploit the fact that multiplication by  $x_1$  from  $H^6(U(4)/T^4; Z/3Z)$  to  $H^8(U(4)/T^4; Z/3Z)$  has kernel of dimension one to show that if  $x_1 + y$  is a solution of  $x^4 = 0$  over  $Z$  and  $y = bx_2 + cx_3$  then for all natural numbers  $k$  we have  $3^k|y$  implies  $3^{k+1}|y$ , so  $y = 0$ .

The limb is beginning to creak ominously, but let's take one more step:

**CONJECTURE E.** If  $H$  is a connected subgroup of maximal rank of  $U = U(n)$  and  $\text{Homeq}(U/H)$  is the group of homotopy classes of homotopy equivalences of  $U/H$  then  $N_U(H)/H \times Z/2Z$  is a normal subgroup of  $\text{Homeq}(U/H)$  if  $n \geq 3$ .

One reason for thinking wishfully about Conjecture E is that it would give a beautifully simple proof of Conjecture C for  $H = T$ , the maximal torus of  $U(n)$  and  $\text{Homeq}(U/H)$  is the group of homotopy classes of homotopy equivalences of  $U/H$  then  $N_U(H)/H \times Z/2Z$  is a normal subgroup of  $\text{Homeq}(U/H)$  if  $n \geq 3$ .

**4. Algebra automorphisms of  $H^*(U/H; Z)$ .** We shall prove Conjecture C for  $U = U(n)$ ,  $H = U(n - k) \times T^k$ ,  $n \geq \max\{2k, k + 2\}$ . As before, let  $\{\varepsilon_1, \varepsilon_2, \dots, \varepsilon_n\}$  be the standard basis of  $C^n$  and let  $\pi_i: U/H \rightarrow CP^{n-1}$  be the projection  $\pi_i(uH) = [u\varepsilon_{n-k+i}]$  for  $i = 1, \dots, k$ . Let  $y \in H^2(CP^{n-1}; Z)$  be the Chern class of the Hopf bundle and let  $x_i = \pi_i^*(y)$ ; then  $H^*(U/H;$

$Z) = Z[x_1, \dots, x_k]/I$ , where the ideal  $I = (h_{n-k+1}, \dots, h_n)$  and  $h_j$  is the sum of all monomials of degree  $j$  in  $x_1, \dots, x_k$ . A free basis for  $H^*$  is given by  $x^E = x_1^{e_1} x_2^{e_2} \dots x_k^{e_k}$ , where  $0 \leq e_i < n - k + i$  (see Borel [6]). The group  $N_U(H)/H$  is  $S_k$ , the symmetric group on  $k$  letters, and it acts on  $H^*(U/H; Z)$  by permuting  $x_1, \dots, x_k$ . We examine the case of  $k = 2$  more closely.

LEMMA 7. *If  $u = ax_1 + bx_2$  is an element in  $H^2(U(m+2)/U(m) \times T^2; Z)$  with  $u^{2m} = 0$ , then either  $a = 0$  or  $b = 0$ .*

PROOF. We first claim that if both  $a$  and  $b$  are nonzero and  $u^{2m+1} = 0$  then  $a = b$ . Notice that  $x_1^{m+2} = x_2^{m+2} = 0$  (since both come from  $CP^{m+1}$ ) and  $H^{4m+2}$  has  $x_1^m x_2^{m+1}$  as basis. Moreover,  $x_1^{m+1} x_2^m = -x_1^m x_2^{m+1}$ . We have

$$\begin{aligned} 0 &= u^{2m+1} = (ax_1 + bx_2)^{2m+1} \\ &= \binom{2m+1}{m+1} a^{m+1} b^m x_1^{m+1} x_2^m + \binom{2m+1}{m} a^m b^{m+1} x_1^m x_2^{m+1}, \end{aligned}$$

so  $a \neq 0, b \neq 0$  implies  $a = b$ . If now, in addition,  $u^{2m} = 0$ , then we have

$$\begin{aligned} 0 &= \binom{2m}{m+1} a^{2m} x_1^{m+1} x_2^{m-1} + \binom{2m}{m} a^{2m} x_1^m x_2^m \\ &\quad + \binom{2m}{m-1} a^{2m} x_1^{m-1} x_2^{m+1}, \end{aligned}$$

but  $H^{4m}$  has  $\{x_1^m x_2^m, x_1^{m-1} x_2^{m+1}\}$  as basis and

$$x_1^{m+1} x_2^{m-1} = -x_1^m x_2^m - x_1^{m-1} x_2^{m+1},$$

so the above sum reduces to

$$0 = \left\{ \binom{2m}{m} - \binom{2m}{m+1} \right\} a^{2m} x_1^m x_2^m,$$

so since  $\binom{2m}{m} \neq \binom{2m}{m+1}$  for all  $m$  it follows that  $a = 0$ , a contradiction to our temporary hypothesis that  $a \neq 0$  and  $b \neq 0$ .

COROLLARY 8. *Let  $v \in H^2(U(m+k)/U(m) \times T^k; Z)$  be an element such that  $v^{m+k} = 0$ . If  $m \geq k$  then  $v = ax_i$  for some  $i$  in  $\{1, \dots, k\}$ .*

PROOF. By applying a suitable element of  $S_k$  we can assume that the coefficient of  $x_1$  is nonzero. We wish to show that the coefficient of  $x_i$  for  $i \neq 1$  is zero—and, of course, it is sufficient to prove this for  $i = 2$ . Consider the standard map

$$j: U(m+2)/U(m) \times T^2 \rightarrow U(m+k)/U(m) \times T^k$$

induced by the standard inclusion  $C^{m+2} \subset C^{m+k}$  under which  $j^* x_1 = x_1, j^* x_2 = x_2, j^* x_i = 0$  for  $i > 2$ . Inspect  $u = j^* v$ ; then  $u^{m+k} = 0, m+k \leq 2m$ ; hence  $a \neq 0$  implies that the coefficient of  $x_2$  is zero.

We are now ready to prove Conjecture C for  $U(m+k)/U(m) \times T^k$ .

THEOREM 9. *If  $n \geq \max\{2k, k+2\}$ ,  $U = U(n)$ ,  $H = U(n-k) \times T$ , then the map  $\psi$  is an isomorphism of  $N_U(H)/H \times Z/2Z$  onto the group of all algebra isomorphisms of  $H^*(U/H; Z)$ .*

PROOF. We first prove that  $\psi$  is onto. Let  $\varphi: H^*(U/H; Z) \rightarrow H^*(U/H; Z)$



