

## RESEARCH ANNOUNCEMENTS

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[*Note.* The criteria described above are new; in the transition period they will not necessarily be met by the announcements appearing in this issue.]

### PRIME PI-RINGS

BY S. A. AMITSUR AND LANCE W. SMALL<sup>1</sup>

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In this note we introduce and sketch a new method for studying the behavior of prime ideals in prime rings satisfying a polynomial identity. If  $R$  is a prime PI-ring of  $\text{pid}(R) = n$  then  $R$  has a ring of quotients,  $Q(R)$ , which is a central simple algebra of dimension  $n^2$  over its center. Each element of  $R$ , thus, has a (reduced) characteristic polynomial when considered as an element of  $Q(R)$ .  $T_R$  (or, simply,  $T$  if there is no ambiguity) will denote the ring generated by the coefficients of the characteristic polynomials of the elements of  $R$ . Our principal interest is the ring  $TR$  generated by  $R$  and  $T$ .  $TR$  is a central extension of  $R$  with the same quotient ring and, in general, more closely linked to its center than  $R$  is to its center as shown in

THEOREM 1. (a)  $TR$  is integral over  $T$ .

(b) If  $R = A[x_1, \dots, x_n]$  where  $A$  is a commutative Noetherian ring, then  $TR$  is a finite  $T$ -module and  $T = A[t_1, \dots, t_v]$ .

Theorem 1 follows from a theorem of Shirshov [4] and a result of independent interest:

THEOREM 2. Let  $\Omega$  be a commutative ring and  $R$  an  $\Omega$ -algebra. Assume every prime homomorphic image of  $R$  satisfies a polynomial identity. If every element of  $R$  is a sum of elements integral over  $\Omega$ , then  $R$  itself is integral over  $\Omega$ .

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Our principal result and the means of passing from  $R$  to  $TR$  is

**THEOREM 3.** *Let  $R$  be a prime ring such that  $\text{pid}(R) = n$ . Then there exists an ideal  $V$  of  $R$  such that  $TV \subseteq V$  and if  $P$  is a prime containing  $V$  then  $\text{pid}(R/P) < n$ .*

Theorem 3 extends and simplifies results of Razmyslov [3] who considered the case when  $R$  is an algebra over a field of characteristic 0, and a somewhat different  $T$  when the characteristic of  $R$  is not 0.

The relationship between many of the primes of  $R$  and many of those of  $TR$  follows from the following general proposition.

**PROPOSITION 4.** *Suppose  $R \subseteq S$  are rings and there is an ideal  $V$  of  $S$  which is contained in  $R$ . Then if  $P$  is a prime ideal of  $S$ ,  $P \not\supseteq V$ ,  $P \cap R$  is a prime ideal of  $R$  and every prime ideal of  $R$  not containing  $V$  is obtained this way. Furthermore, if  $P_1 \not\supseteq P_2$  and  $P_1 \not\supseteq V$  are primes in  $S$  then*

$$P_1 \cap R \not\supseteq P_2 \cap R.$$

We remark that for a prime PI-ring  $R$  given any prime  $P \subseteq R$  there exists a nonzero prime  $Q$  in  $TR$  such that  $Q \cap R \subseteq P$  and  $Q \cap R$  is prime.

Decisive results can be obtained in the important case of generic matrices [2, p. 61]. Let  $R_{(v,n)}$  be the ring of  $v \times n$  generic matrices and  $\mathcal{R}_{(v,n)} = TR_{(v,n)}$ . We have

**THEOREM 5.** (a) *If  $P$  is a prime in  $R_{(v,n)}$ , then there is a prime  $\mathcal{P} \subseteq \mathcal{R}_{(v,n)}$  such that  $\mathcal{P} \cap R_{(v,n)} = P$ .*

(b) *If  $U$  is a prime ideal of  $\mathcal{R}_{(v,n)}$  then  $\text{rank } U = \text{rank}(U \cap R_{(v,n)})$ .*

Examples show that this result does *not* hold for arbitrary finitely generated rings. Furthermore, "going-up" does not hold for the prime ideals of the rings  $R_{(v,n)}$  and  $\mathcal{R}_{(v,n)}$ .

As an application of Theorem 5 we do have the principal ideal theorem:

**THEOREM 6.** *Let  $R_{(m,n)} = k[X_1, \dots, X_m]$  be the ring of  $m$  generic  $n \times n$  matrices. If  $z$  is a central nonunit of  $R_{(m,n)}$ , then any prime minimal over  $z$  is rank 1.*

For Noetherian prime PI-rings Theorem 1 can be improved. Indeed, we obtain

**THEOREM 7.** *Let  $R$  be a prime PI-ring which satisfies the ascending chain on centrally-generated ideals. Then  $TR$  can be generated as an  $R$ -module by finitely many central elements and also satisfies ACC on centrally-generated ideals. Thus, if  $R$  is Noetherian  $TR$  is also Noetherian.*

As an application of Theorem 7 we consider Noetherian  $G$ -rings. A prime PI-ring is a  $G$ -ring if its quotient ring can be obtained by inverting a single central element. Generalizing the commutative case [1, p. 107] is

**THEOREM 8.** *If  $R$  is a Noetherian  $G$ -ring, then  $R$  has only finitely prime ideals each of which is maximal.*

Complete proofs and additional applications will appear elsewhere.

W. Schelter informs us that he has obtained some of these results independently.

#### REFERENCES

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DEPARTMENT OF MATHEMATICS, HEBREW UNIVERSITY, JERUSALEM,  
ISRAEL

DEPARTMENT OF MATHEMATICS, UNIVERSITY OF CALIFORNIA, LA JOLLA,  
CALIFORNIA 92037