

MODULI OF VECTOR BUNDLES ON CURVES WITH PARABOLIC STRUCTURES

BY C. S. SESHADRI

Communicated by Stephen S. Shatz, April 8, 1976

Let H be the upper half plane and Γ a discrete subgroup of $\text{Aut}H$. Suppose that $H \bmod \Gamma$ is of *finite measure*. This work stems from the question whether there is an algebraic interpretation for the moduli of unitary representations of Γ similar to the case when $H \bmod \Gamma$ is *compact* (cf. [3], [4], [5]). We show that this is indeed the case via the moduli of vector bundles on the compactification of $H \bmod \Gamma$, provided with some additional structures which we propose to call *parabolic structures*. The idea of parabolic structures is inspired from A. Weil's work [6, §2, Chapter I, p. 56].

Let X be a *smooth, irreducible, projective curve* defined, say, over an algebraically closed field k . By *vector bundles* on X we understand algebraic vector bundles.

DEFINITION 1. Let V be a vector bundle on X and $Q \in X$. Then a *quasi-parabolic structure* of V at Q is giving a flag on the fibre V_Q of V at Q , i.e., giving linear subspaces $F^i V_Q$ of V_Q ,

$$V_Q = F^1 V_Q \supset F^2 V_Q \supset \cdots \supset F^r V_Q; \quad \dim F^i V_Q = l_i; \quad l_1 > l_2 > \cdots > l_r.$$

We call $l = (l_1, \dots, l_r)$ the *type* (or flag type) of the quasi-parabolic structure. Let $k_1 = l_1 - l_2, k_2 = l_2 - l_3, \dots, k_{r-1} = l_{r-1} - l_r, k_r = l_r$; then k_i are called the *multiplicities* of the quasi-parabolic structure.

DEFINITION 2. Let V be a vector bundle on X and $Q \in X$. Then a *parabolic structure* of V at Q is giving

(i) a quasi-parabolic structure of V at Q ; say $l = (l_1, \dots, l_r)$ is its type and $\{k_i\}$ its multiplicities, and

(ii) constants $\alpha = (\alpha_1, \dots, \alpha_n)$ called the *weights* of the parabolic structure such that $0 \leq \alpha_1 \leq \alpha_2 \leq \cdots \leq \alpha_n < 1$ and there are r distinct elements among α , say $\alpha' = (\alpha'_1, \dots, \alpha'_r), 0 \leq \alpha'_1 < \alpha'_2 < \cdots < \alpha'_r < 1$, such that α'_1 occurs k_1 times, α'_2 occurs k_2 times, \dots , α'_r occurs k_r times among α . We call α'_i the *weight* of $F^i V_Q$. Note that $l_1 = n = rk$.

Let V, W be vector bundles on X with *quasi-parabolic* structures at Q . An isomorphism $f: V \rightarrow W$ of vector bundles is said to be a *quasi-parabolic isomorphism* if the types of V, W at Q are the same and $f_Q(F^i V_Q) = F^i W_Q$ (f_Q :

AMS (MOS) subject classifications (1970). Primary 14H20, 14H99; Secondary 32G13.

Copyright © 1977, American Mathematical Society

isomorphism induced by f on the fibers of V, W at Q). Suppose, moreover, we are given *parabolic structures* of V, W at Q consistent with the given quasi-parabolic structures; we say that f is a *parabolic isomorphism* if f is a quasi-parabolic isomorphism and $\text{weight of } F^i V_Q = \text{weight of } F^i W_Q$.

DEFINITION 3. Let V be as in Definition 2. Then the *parabolic degree* of V is defined by

$$\text{par deg } V = \text{deg } V + \sum_{i=1}^n \alpha_i \quad (\text{deg } V = \text{degree } V).$$

Also we write $\text{par } \mu(V)$ for the expression

$$\text{par } \mu(V) = \mu(V) + \left(\sum \alpha_i \right) / \text{rk } V; \quad \mu(V) = (\text{deg } V) / \text{rk } V.$$

We give similar definitions when we are given parabolic structures at a finite number of points of X .

DEFINITION 4. Let W, V be vector bundles on X with parabolic structures at $Q \in X$. We say that W is a *parabolic subbundle* of V if

- (i) W is a subbundle of V in the usual sense;
- (ii) given $i_0, F^{i_0} W \subset F^j V$ for some j . Let j_0 be such that $F^{j_0} W \subset F^{j_0} V$ and $F^{i_0} W \not\subset F^{j_0+1} V$; then $\text{weight of } F^{j_0} V = \text{weight of } F^{j_0} W$.

We define similarly the notion of a *parabolic quotient bundle* of V . Note that given an ordinary subbundle W of V (resp. quotient bundle), there exists a canonical structure of a parabolic subbundle (resp. quotient bundle) on W .

Following Mumford (cf. [1]), we introduce

DEFINITION 5. Let V be a vector bundle on X with parabolic structures at a finite number of points of X . We say that V is *parabolic stable* (resp. *semi-stable*) if \forall proper parabolic subbundle W of V , we have $\text{par } \mu(W) < \text{par } \mu(V)$ (resp. \leq).

PROPOSITION 1. Let V be a vector bundle on X with parabolic structures at a finite number of points of X . Suppose that V is parabolic semistable. Then \exists a filtration of V by parabolic subbundles $V_i, V = V_1 \supset V_2 \supset \dots$, such that

- (i) $\text{par } \mu(V_i) = \text{par } \mu(V)$ and V_i is parabolic semistable,
- (ii) V_i/V_{i+1} (with the canonical parabolic structure) is parabolic stable, and
- (iii) $\text{gr } V = \bigoplus V_i/V_{i+1}$ is well determined, i.e., $\text{gr } V$ (up to parabolic isomorphism) is independent of the filtration $\{V_i\}$ of V with properties (i) and (ii).

Let $VB(d, \alpha)$ denote the category of parabolic semistable vector bundles V on X with a parabolic structure at a single point $Q \in X$ (we assume this for simplicity of notation) of fixed weight $\alpha = (\alpha_1, \dots, \alpha_n)$ and fixed ordinary degree d . Let \sim denote the equivalence relation in $VB(d, \alpha), V_1 \sim V_2$ if $\text{gr } V_1 = \text{gr } V_2$. Let $M(d, \alpha)$ be the set of equivalence classes under this equivalence relation.

THEOREM 1. *Suppose that $g = \text{genus of } X \geq 2$. Then there is a natural structure of a normal projective variety on $M(d, \alpha)$ of dimension $n^2(g-1) + \delta$ where δ is the dimension of the variety of flags in an n -dimensional vector space of type given by the type of the underlying quasi-parabolic structure. Further $M(d, \alpha)$ is smooth at the points V where V is parabolic stable.*

Suppose now that the base field $k = \mathbf{C}$ and $X - Q = H \bmod \Gamma$ where H is the upper half plane and Γ is a discrete subgroup of $\text{Aut } H$. Fix a parabolic fixed point $Q', Q' \in \bar{H}$ of Γ (\bar{H} being the usual $H \cup$ certain boundary points). Let Γ_0 be the isotropy subgroup of Γ at Q' . Fix a generator γ_0 of Γ_0 ($\Gamma_0 \approx \mathbf{Z}$). Let $R(\alpha)$ denote the equivalence classes of unitary representations χ of Γ such that $\chi(\gamma_0)$ is conjugate to the diagonal matrix with entries $(e^{2\pi i \alpha_1}, \dots, e^{2\pi i \alpha_n})$, $\alpha = (\alpha_1, \dots, \alpha_n)$, $0 \leq \alpha_1 \leq \alpha_2 \leq \dots \leq \alpha_n < 1$.

THEOREM 2. *Suppose that $g \geq 2$. Then there is a canonical identification of $R(\alpha)$ with the underlying topological space of $M(d, \alpha)$ with $d = -\sum_{i=1}^n \alpha_i$ (or equivalently $\text{par deg } V = 0, V \in M(d, \alpha)$).*

REFERENCES

1. D. Mumford, *Projective invariants of projective structures and applications*, Proc. Internat. Congr. Mathematicians (Stockholm, 1962), Inst. Mittag-Leffler, Djursholm, 1963, pp. 526–530. MR 31 # 175.
2. ———, *Geometric invariant theory*, Academic Press, New York; Springer-Verlag, Berlin, 1965. MR 35 # 5451.
3. M. S. Narasimhan and C. S. Seshadri, *Stable and unitary vector bundles on a compact Riemann surface*, Ann. of Math. (2) 85 (1965), 540–567. MR 32 # 1725.
4. C. S. Seshadri, *Space of unitary vector bundles on a compact Riemann surface*, Ann. of Math. (2) 85 (1967), 303–336. MR 38 # 1693.
5. ———, *Moduli of π -vector bundles on an algebraic curve*, Questions on Algebraic Varieties (C.I.M.E., III Ciclo, Varenna, 1969), Edizioni Cremonese, Rome, 1970, pp. 139–260. MR 43 # 6216.
6. A. Weil, *Généralisation des fonctions abéliennes*, J. Math. Pures Appl. 17 (1938), 47–87.

TATA INSTITUTE OF FUNDAMENTAL RESEARCH, BOMBAY, INDIA

INSTITUTE FOR ADVANCED STUDY, SCHOOL OF MATHEMATICS, PRINCETON,
NEW JERSEY 08540