

## A STRUCTURE THEOREM FOR COMPLETE NONCOMPACT HYPERSURFACES OF NONNEGATIVE CURVATURE

BY H. WU<sup>1</sup>

Communicated by I. Singer, May 20, 1971

The convexity theorem of Sacksteder-van Heijenoort [4] states that if  $M$  is a  $C^\infty$   $n$ -dimensional ( $n > 1$ ) complete orientable Riemannian manifold of nonnegative sectional curvature with positive curvature at one point, then every isometric immersion  $x: M \rightarrow \mathbf{R}^{n+1}$  is an imbedding and  $x(M)$  bounds an open convex subset of  $\mathbf{R}^{n+1}$ ; furthermore  $M$  is diffeomorphic to either  $\mathbf{R}^n$  or  $S^n$  (unit  $n$ -sphere). The purpose of this note is to announce a structure theorem that complements the above result of Sacksteder and van Heijenoort. Full details will appear in a forthcoming monograph on convexity and rigidity of hypersurfaces.

**THEOREM.** *Let  $M$  be a  $C^\infty$  hypersurface in  $\mathbf{R}^{n+1}$  ( $n > 1$ ) which is complete, noncompact, orientable with nonnegative sectional curvature, which is in addition all positive at one point, then:*

(1) *The spherical image of  $M$  in the unit sphere  $S^n$  has a geodesically convex closure, which lies in a closed hemisphere.*

(2) *The total curvature of  $M$  (cf. Chern-Lashof [2]) does not exceed one.*

(3)  *$M$  is a pseudograph (see below for definition) over one of its tangent planes.*

(4)  *$M$  has infinite volume.*

**COROLLARY.** *Suppose the sectional curvature of  $M$  is in fact everywhere positive, then:*

(5) *The spherical map is a diffeomorphism onto a geodesically convex open subset of  $S^n$ . Consequently the spherical image lies in an open hemisphere.*

(6) *Coordinates in  $\mathbf{R}^{n+1}$  can be so chosen that  $M$  is tangent to the hyperplane  $x_{n+1} = 0$  at the origin, and there is a nonnegative strictly convex function (i.e. its Hessian is everywhere positive definite)  $f(x_1, \dots, x_n)$  defined in a convex domain of  $\{x_{n+1} = 0\}$  such that  $M$  is exactly the graph of  $f$ .*

**REMARKS.** (A) A  $C^\infty$  convex hypersurface  $M$  (i.e.  $M$  is the full boundary of an open convex set) in  $\mathbf{R}^{n+1}$  is said to form a *pseudograph*

---

AMS 1969 subject classifications. Primary 5374; Secondary 5375.

<sup>1</sup> Sloan Fellow. Also partially supported by the National Science Foundation.

Copyright © American Mathematical Society 1971

over the tangent plane  $H$  if and only if:

(a)  $M$  lies above  $H$ , i.e. designating a closed half-space of  $H$  as being above  $H$ , we have that  $M$  lies in this half-space.

(b) Let  $\pi: \mathbf{R}^{n+1} \rightarrow H$  be the orthogonal projection and let  $A = \pi(M)$ . Then over the interior  $A^\circ$  (of  $A$  as a subset of  $H$ ),  $M$  is the graph of a  $C^\infty$  function.

(c) For every  $a \in A - A^\circ$ ,  $M \cap \pi^{-1}(a)$  is a closed semi-infinite straight line segment.

(d) Every hyperplane strictly above  $H$  intersects  $M$  at a diffeomorph of the unit  $(n-1)$ -sphere  $S^{n-1}$ .

(B) When  $n=2$  and the curvature of  $M$  is everywhere positive, this theorem (as well as the theorem of Sacksteder-van Heijenoort) was first proved by Stoker [5].

(C) Assertions (2)–(6) above all follow from assertion (1). We actually prove a more general result than (1):

**PROPOSITION.** *Let  $C$  be an open convex subset of  $\mathbf{R}^{n+1}$  ( $n \geq 1$ ) with connected boundary  $M$  and let  $\gamma: M \rightarrow S^n$  be the spherical map (in the sense of Alexandrov, see Busemann [1]). Then the closure of  $\gamma(M)$  is geodesically convex.*

The proof of this Proposition is achieved quite simply by employing the concept of the *barrier cone* of a convex set. See Rockafellar [3].

(D) Neither (1) nor the Proposition is true if the word "closure" is deleted. (Cf. Busemann [1, p. 25, (4.4)].)

(E) The Proposition has applications in the theory of convex surfaces, e.g. Alexandrov's theory of spherical measures on an open convex surface (Busemann [1, p. 31]) or the rigidity and nonrigidity theorems of Pogorelov and Olovyanishnikov on open convex surfaces (Busemann [1, pp. 167–168]).

#### BIBLIOGRAPHY

1. H. Busemann, *Convex surfaces*, Interscience Tracts in Pure and Appl. Math., no. 6, Interscience, New York, 1958. MR 21 #3900.
2. S. S. Chern and R. K. Lashof, *On the total curvature of immersed manifolds. II*, Michigan Math. J. 5 (1958), 5–12. MR 20 #4301.
3. R. T. Rockafellar, *Convex analysis*, Princeton Univ. Press, Princeton, N.J., 1970.
4. R. Sacksteder, *On hypersurfaces with nonnegative sectional curvatures*, Amer. J. Math. 82 (1960), 609–630. MR 22 #7087.
5. J. J. Stoker, *Über die Gestalt der positiv gekrümmten offenen Flächen*, Compositio Math. 3 (1936), 55–88.

UNIVERSITY OF CALIFORNIA, BERKELEY, CALIFORNIA 94720

PRINCETON UNIVERSITY, PRINCETON, NEW JERSEY 08540