

A SELF-UNIVERSAL CRUMPLED CUBE WHICH IS NOT UNIVERSAL

BY CHARLES D. BASS¹ AND ROBERT J. DAVERMAN²

Communicated by Steve Armentrout, January 23, 1970

C. E. Burgess and J. W. Cannon [2, §10] have asked whether each self-universal crumpled cube is universal. In this note we give a negative answer to their question by showing that the familiar solid Alexander horned sphere K is not universal. Casler has shown that K is self-universal [3].

A *crumpled cube* C is a space homeomorphic to the union of a 2-sphere S topologically embedded in the 3-sphere S^3 and one of its complementary domains. The *boundary* of C , denoted $\text{Bd } C$, is the image of S under the homeomorphism. A *sewing* h of two crumpled cubes C and C^* is a homeomorphism of $\text{Bd } C$ to $\text{Bd } C^*$. The space $C \cup_h C^*$ given by a sewing h is the identification space obtained from the (disjoint) union of C and C^* by identifying each point p in $\text{Bd } C$ with $h(p)$ in $\text{Bd } C^*$.

A crumpled cube C is *universal* if, for each crumpled cube C^* and each sewing h of C and C^* , the space $C \cup_h C^*$ is topologically equivalent to S^3 . Similarly, a crumpled cube C is *self-universal* if $C \cup_f C = S^3$ for each sewing f of C to itself.

1. A bad sewing. In order to define the desired sewing of the solid Alexander horned sphere K to another crumpled cube K^* , we describe an upper semicontinuous decomposition of S^3 into points and almost tame arcs.

Let H_1 and H_2 denote the upper and lower half spaces of E^3 , and P the xy -plane. Let A_0 denote a solid double torus embedded in E^3 as shown in Figure 1 such that A_0 intersects P in two disks D_1 and D_2 . Letting T_1 and T_2 denote solid double tori embedded in A_0 as shown in Figure 1, we define A_1 as $T_1 \cup T_2$. Assuming sets A_0, A_1, \dots, A_{n-1} have been defined, we let A_n be the union of 2^n solid double tori contained in A_{n-1} such that each double torus T of A_{n-1} contains exactly two components of A_n , which are embedded in T just as T_1 and T_2 are embedded in A_0 .

AMS Subject Classifications. Primary 5478; Secondary 5701.

Key Words and Phrases. Crumpled cube, sewing of crumpled cubes, universal crumpled cube, self-universal crumpled cube, upper semicontinuous decomposition, tame arcs, slicing homeomorphisms.

¹ Supported by a NASA Traineeship.

² Partially supported by NSF Grant GP 8888.

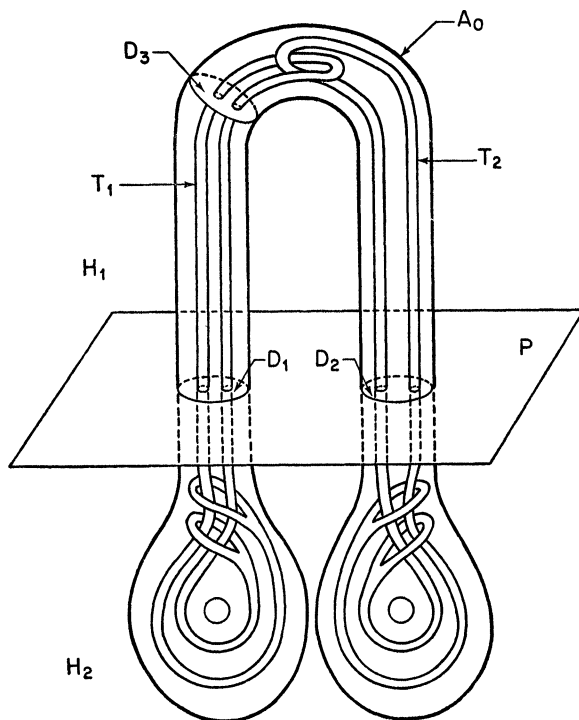


FIGURE 1

Let G' denote the upper semicontinuous decomposition of E^3 whose nondegenerate elements are the components of $\bigcap_{j=1}^{\infty} A_j$. By requiring that the components of A_n become skinny as n gets large, we force the nondegenerate elements of G' to be arcs which are locally tame except at their lower end points.

With the addition of an ideal point ∞ , G' extends to a decomposition G of S^3 .

THEOREM 1. *The decomposition space S^3/G is not homeomorphic to S^3 .*

The proof of Theorem 1 is discussed in the next section.

THEOREM 2. *Let K denote the solid Alexander horned sphere. There exists a sewing h of K to a crumpled cube K^* such that $K \cup_h K^*$ is not homeomorphic to S^3 .*

PROOF. Let π denote the natural projection of S^3 to S^3/G , and let $H_i^* = H_i \cup \{ \infty \}$ ($i = 1, 2$). Note that $\pi(H_1^*)$ is topologically equivalent

to K , and $\pi(H_2^*)$ is a crumpled cube K^* . The required sewing h is the one induced by π such that $K \cup_h K^*$ and S^3/G are homeomorphic.

REMARK. The procedure for defining K^* is suggested by Stallings' crumpled cube [4].

2. **Slicing homeomorphisms.** Let k be a nonnegative integer. A homeomorphism h of $\text{Bd } A_0 \cup D_1 \cup D_2 \cup D_3$ into A_0 such that $h|_{\text{Bd } A_0} = \text{identity}$ is said to be *slicing at stage k* if, for each solid double torus T of A_k , each component of $T \cap h(D_i)$ ($i = 1, 2, 3$) is a disk embedded in T just like a component of $T \cap P$.

A homeomorphism h slicing at stage k is said to satisfy Property P_k if for some double torus T of A_k there exist components X_1, X_2 , and X_3 of $T \cap h(\cup D_i)$ such that

- (a) $X_1 \cup X_2 \subset h(D_{i_1} \cup D_{i_2})$,
- (b) $X_3 \cap h(D_{i_1} \cup D_{i_2}) = \emptyset$,
- (c) X_3 separates X_1 from X_2 in T .

Theorem 1 is an immediate consequence of [1, Theorem 2] and the following lemmas.

LEMMA 1. *If h is a homeomorphism slicing at stages k and $k+1$ and satisfying Property P_k , then h satisfies Property P_{k+1} .*

LEMMA 2. *If h is a homeomorphism slicing at stage $k+1$, then there exists a homeomorphism h^* slicing at stages k and $k+1$ such that for each component T of A_{k+1} , $T \cap h(D_i) = \emptyset$ implies $T \cap h^*(D_i) = \emptyset$ ($i = 1, 2, 3$).*

LEMMA 3. *Every homeomorphism slicing at stage k satisfies Property P_k .*

LEMMA 4. *If there exists a nonnegative integer k and a homeomorphism g of $\text{Bd } A_0 \cup D_1 \cup D_2 \cup D_3$ into A_0 such that $g|_{\text{Bd } A_0} = \text{identity}$ and each component T of A_k intersects at most one of the disks $g(D_i)$, then there exists a homeomorphism h slicing at stage k that fails to satisfy Property P_k .*

REFERENCES

1. S. Armentrout, *Decompositions of E^3 with a compact 0-dimensional set of non-degenerate elements*, Trans. Amer. Math. Soc. **123** (1966), 165–177. MR **33** #3279.
2. C. E. Burgess and J. W. Cannon, *Embeddings of surfaces in E^3* , Rocky Mountain J. Math. (to appear).
3. B. G. Casler, *On the sum of two solid Alexander horned spheres*, Trans. Amer. Math. Soc. **116** (1965), 135–150. MR **32** #3049.
4. J. Stallings, *Uncountably many wild disks*, Ann. of Math. (2) **71** (1960), 185–186. MR **22** #1871.