

# THE CORONA CONJECTURE FOR A CLASS OF INFINITELY CONNECTED DOMAINS

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1. **Statement of results.** Let  $D$  be a domain obtained from the open unit disk  $\Delta$  by deleting a sequence of disjoint closed disks  $\Delta_n$  converging to 0. We assume that the centers  $c_n$  and radii  $r_n$  of the  $\Delta_n$  satisfy the following two conditions:

- (i) 
$$\frac{|c_{n+1}|}{|c_n|} \leq a < 1 \quad \text{for all } n \geq 1, \text{ and}$$
- (ii) 
$$\sum_{n=1}^{\infty} \frac{r_n}{|c_n|} < \infty.$$

Let  $H^\infty(D)$  be the uniform algebra of bounded analytic functions on  $D$  and let  $\mathfrak{M}(H^\infty(D))$  be the maximal ideal space of  $H^\infty(D)$ . The Gleason parts of  $H^\infty(D)$  are the equivalence classes in  $\mathfrak{M}(H^\infty(D))$  defined by the relation  $\|\phi - \psi\| < 2$ , where  $\|\cdot\|$  is the norm in the dual of  $H^\infty(D)$ .

With the above assumptions on  $D$  we have the following results.

**THEOREM 1.**  *$D$  is dense in the maximal ideal space of  $H^\infty(D)$ .*

**THEOREM 2.** *The Gleason parts of  $H^\infty(D)$  are all one-point parts or analytic disks, with the exception of the part containing  $D$ .*

The set of homomorphisms  $\phi$  of  $H^\infty(D)$  for which  $\phi(z) = 0$ , where  $z$  is the coordinate function on  $D$ , is called the "fiber over 0," and is designated by  $\mathfrak{M}_0$ .  $\mathfrak{M}_0$  contains the "distinguished homomorphism"  $\phi_0$  defined by

$$\phi_0(f) = \frac{1}{2\pi i} \int_{bD} \frac{f(z) dz}{z}.$$

If  $z$  tends to zero in such a way that

$$\lim_{N \rightarrow \infty} \left( \liminf_{z \rightarrow 0, n \geq N} \frac{|z - c_n|}{r_n} \right) = \infty$$

then  $f(z)$  tends to  $\phi_0(f)$  for all  $f \in H^\infty(D)$ , that is,  $z$  tends to  $\phi_0$  in  $\mathfrak{M}(H^\infty(D))$ .  $\phi_0$  is in the same Gleason part as  $D$  (cf. [5]).

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Let  $N$  be the set of nonnegative integers,  $\beta N$  its Čech compactification, and  $\tilde{\beta}N = \beta N \setminus N$ .

**THEOREM 3.** *The Gleason part containing  $D$  is the union of  $D$  and a subset  $E$  of the fiber over zero. The set  $E$  is homeomorphic to the quotient space obtained from  $\tilde{\beta}(N) \times \Delta$  by identifying  $\tilde{\beta}(N) \times \{0\}$  to the point  $\phi_0$ . Each of the functions in  $H^\infty(D)$  is analytic on each slice of  $\tilde{\beta}(N) \times \Delta$ .*

The remainder of this note will be devoted to indicating how these theorems are proved, and how they can be extended to more general domains.

**2. The algebra  $H^\infty(\Delta \times N)$ .** The algebra  $H^\infty(\Delta \times N)$  of bounded functions on  $\Delta \times N$  which are analytic on each slice  $\Delta \times \{n\}$  becomes a Banach algebra, when endowed with the supremum norm.

**LEMMA 1.**  *$\Delta \times N$  is dense in the maximal ideal space  $\mathfrak{M}(H^\infty(\Delta \times N))$  of  $H^\infty(\Delta \times N)$ .*

**PROOF.** Suppose  $f_1, \dots, f_n \in H^\infty(\Delta \times N)$  satisfy  $|f_1| + \dots + |f_n| \geq \delta > 0$  on  $\Delta \times N$ . We must find  $g_1, \dots, g_n \in H^\infty(\Delta \times N)$  satisfying  $\sum f_j g_j = 1$ . By Carleson's solution of the corona conjecture for the unit disc  $\Delta$ , there are functions  $g_{1m}, \dots, g_{nm} \in H^\infty(\Delta \times \{m\})$ , such that  $\sum_{j=1}^n f_j g_{jm} = 1$  on  $\Delta \times \{m\}$ , and such that  $|g_{jm}| \leq M$ , where  $M$  depends only on  $\delta$ . The  $g_{jm}$  then determine functions  $g_j \in H^\infty(\Delta \times N)$  which do the trick.

Now  $H^\infty(\Delta)$  can be considered a subalgebra of  $C(Y)$ , where  $Y$  is the maximal ideal space of  $L^\infty(b\Delta, d\theta)$ . In fact,  $H^\infty(\Delta)$  is a strongly logmodular algebra on  $Y$ , in the sense that every  $u \in C_R(Y)$  is equal to  $\log |f|$ , for some  $f \in H^\infty(\Delta)$ . Regarding  $H^\infty(\Delta \times \{m\})$  as a subalgebra of  $C(Y \times \{m\})$ , we see that  $H^\infty(\Delta \times N)$  becomes an algebra on the Čech compactification  $\beta(Y \times N)$  of  $Y \times N$ .

**LEMMA 2.**  *$H^\infty(\Delta \times N)$  is a strongly logmodular algebra on  $\beta(Y \times N)$ .*

**PROOF.** Let  $u \in C_R(\beta(Y \times N))$ , and let  $u_m$  be the restriction of  $u$  to  $Y \times \{m\}$ . There is  $f_m \in H^\infty(\Delta \times \{m\})$  such that  $\log |f_m| = u_m$ , regarded as functions on  $Y \times \{m\}$ . The  $f_m$  determine a function  $f \in H^\infty(\Delta \times N)$  such that  $\log |f| = u$  on  $Y \times N$ , and hence on  $\beta(Y \times N)$ . That does it.

Now consider the function  $Z \in H^\infty(\Delta \times N)$ , defined by  $Z(\lambda, n) = \lambda$ . Then  $\|Z\| = 1$ . The Gelfand transform of  $Z$  will be denoted by  $\hat{Z}$ .

**LEMMA 3.** *The subset of  $\mathfrak{M}(H^\infty(\Delta \times N))$  on which  $|\hat{Z}| < 1$  is homeomorphic to  $\Delta \times \beta(N)$ .*

**PROOF.** To each pair  $(\lambda, p) \in \Delta \times \beta(N)$  corresponds the homo-

morphism of  $H^\infty(\Delta \times N)$  which assigns to  $f = \{f_j\}_{j=1}^\infty \in H^\infty(\Delta \times N)$  the value of the bounded sequence  $\{f_j(\lambda)\}_{j=1}^\infty$  at  $p$ . This correspondence is easily seen to be the desired homeomorphism.

Now the closure of each  $\Delta \times \{m\}$  is an open subset of  $\mathfrak{M}(H^\infty(\Delta \times N))$ . Let  $X$  be the space obtained from  $\mathfrak{M}(H^\infty(\Delta \times N))$  by deleting the closures of the  $\Delta \times \{m\}$ ,  $m \geq 1$ , and by identifying  $\hat{Z}^{-1}(0)$  to a point. Let  $A$  be the subalgebra of  $C(X)$  obtained by restricting to  $X$  the functions in  $H^\infty(\Delta \times N)$  which are constant on  $\hat{Z}^{-1}(0)$ . In other words,  $A$  is the linear span of  $ZH^\infty(\Delta \times N)$  and the constants, regarded as continuous functions on  $X$ .

**LEMMA 4.** *A is a uniform algebra on X, whose maximal ideal space is X. The set  $E \subseteq X$  on which  $|\hat{Z}| < 1$  is homeomorphic to  $\Delta \times \beta(N)$ , with  $\{0\} \times \beta(N)$  identified to a point. It forms a Gleason part of A. The remaining Gleason parts of A are either points or analytic disks.*

**PROOF.** This lemma is easy to verify. The statement concerning the Gleason parts follows from the logmodularity of  $H^\infty(\Delta \times N)$ , and the embedding theorem for analytic disks (cf. [3]).

Note that  $E$  is not dense in  $X$ . In fact, the function  $f \in H^\infty(\Delta \times N)$ , defined by  $f(z, n) = z^n$ , vanishes identically on  $E$ , while  $|f| = 1$  on  $\beta(Y \times N)$ , and hence on the Shilov boundary  $\beta(Y \times N)$  of  $A$ .

**3. The isomorphism of the fiber and the fringe.** The pairwise disjoint sequence of disks  $D_n^c$  with centers  $c_n$  and radii  $((1-a)/2)c_n$  have the property that every  $f \in H^\infty(\Delta_n^c)$  for which  $\|f\| \leq 1$  satisfies  $|f| \leq (2/(1-a)) r_n/|c_n|$  in  $D_n$ . This, together with condition (ii), gives

**LEMMA 5.** *If  $\epsilon > 0$  and  $M > 0$  are given, then there exists an integer  $Q$  such that: If  $f_n \in H^\infty(\Delta_n^c)$ ,  $f_n(\infty) = 0$ , and  $\|f_n\| \leq M$ , then*

$$\begin{aligned} \sum \{ |f_m(z)| : m \geq Q \} &< \epsilon \quad \text{if } z \in \cup \{ D_n : n \geq Q \}, \\ \sum \{ |f_m(z)| : m \geq Q, m \neq n \} &< \epsilon \quad \text{if } z \in D_n. \end{aligned}$$

In particular,  $\sum f_n$  converges uniformly on compact subsets of  $D$  to a function  $f \in H^\infty(D)$ .

For  $f \in H^\infty(D)$  define

$$(P_n f)(z) = \frac{1}{2\pi i} \int_{\partial \Delta_n^c} \frac{f(\xi) d\xi}{\xi - z}, \quad z \in \Delta_n^c.$$

Then  $P_n$  is a projection of  $H^\infty(D)$  onto the functions in  $H^\infty(\Delta_n^c)$  which vanish at  $\infty$ . Moreover  $P_j P_k = 0$  if  $j \neq k$  and  $\sup_n \|P_n\| < \infty$ . If  $\{f_n\}$  is

as in Lemma 5, then  $P_n(\sum f_m) = f_n$ . Applying Lemma 5 to  $\{P_n f\}$ , we have that  $\sum P_n f$  converges uniformly on compact subsets of  $D$  to  $f$  and  $f(z) \rightarrow \phi_0(f)$  as  $z \rightarrow 0, z \in D \setminus \cup D_n$ . Further,

LEMMA 6. *Given  $\epsilon > 0$ , there is a  $Q$  such that for all  $f \in H^\infty(D)$   $|f(z) - (P_n f)(z) - \phi_0(f)| < \epsilon$  for  $n \geq Q$  and  $z \in D_n \setminus \Delta_n$ .*

Let  $L_n(z) = r_n / (z - c_n)$ , and define

$$\Psi(f)(z, n) = (P_n f)(L_n^{-1}(z)) + \phi_0(f), \quad f \in H^\infty(D).$$

Then  $\Psi$  is a continuous linear isomorphism of  $H^\infty(D)$  and those functions in  $H^\infty(\Delta \times N)$  which are constant on  $\hat{Z}^{-1}(0)$ . Moreover, if  $f \in H^\infty(D)$  vanishes on the fiber over 0, then  $\Psi(f)$  vanishes on the "fringe"

$$\mathfrak{N}(H^\infty(\Delta \times N)) \setminus \left( \bigcup_{n=1}^\infty \overline{\Delta \times \{n\}} \right).$$

Hence  $\Psi$  determines a continuous linear operator  $\Theta$  from  $H^\infty | \mathfrak{N}_0$  to the algebra  $A$  defined in Lemma 4.

LEMMA 7. *The map  $\Theta$  from  $H^\infty(D) | \mathfrak{N}_0$  to  $A$  is an isometric (algebra) isomorphism.*

PROOF. By Lemma 6, there is a  $Q$  for which

$$| P_n(fg) + \phi_0(fg) - (P_n f + \phi_0(f))(P_n g + \phi_0(g)) | < \epsilon$$

if  $n \geq Q$  and  $z \in D_n \setminus \Delta_n$ . Composing with  $L_n^{-1}$  and using the maximum modulus principle in  $\Delta$  we have that

$$| \Psi(fg)(z, n) - \Psi(f)(z, n)\Psi(g)(z, n) | < \epsilon$$

for large  $n$ , and hence that  $\Theta$  is multiplicative. That  $\Theta$  is isometric follows easily from Lemma 6, the fact that  $f(z) \rightarrow \phi_0(f)$  as  $z \rightarrow 0, z \in D \setminus \cup D_n$ , and the fact [5] that  $\|\hat{f}\|_{\mathfrak{N}_0} = \limsup_{D \ni z \rightarrow 0} |f(z)|$  for all  $f \in H^\infty(D)$ . As was noted after Lemma 5,  $P_n(\sum f_m) = f_n$ , so that the image of  $\Psi$  covers  $ZH^\infty(\Delta \times N)$  and hence  $\Theta$  is onto  $A$ .

PROOF OF THEOREM 1. Let  $\phi$  be a homomorphism of  $H^\infty(D)$ . If  $\phi$  is not in the fiber at 0 then Carleson's corona theorem can be used to show that  $\phi$  is in the closure of  $D$ . Also,  $\phi_0$  is the closure of  $D$ . Assume that  $\phi \neq \phi_0$  is in the fiber over 0. By Lemma 7,  $\phi$  defines a homomorphism of  $A$  and hence a homomorphism  $\tilde{\phi}$  of  $H^\infty(\Delta \times N)$ .  $\tilde{\phi}$  is characterized by the fact that  $\tilde{\phi}(\Psi(f)) = \phi(f)$  for all  $f \in H^\infty(D)$ . Recall that  $Z \in H^\infty(\Delta \times N)$  is the function defined by  $Z(\lambda, n) = \lambda$ . For  $p \in N$ ,

define  $I_p \in H^\infty(\Delta \times N)$  by setting  $I_p(\lambda, n) = 1$  if  $n \geq p$ , and  $I_p(\lambda, n) = 0$  if  $n < p$ .  $\phi$  will satisfy  $\phi(Z) \neq 0$  and, for each  $p \in N$ ,  $\phi(I_p) = 1$ .

Let  $f_1, \dots, f_k \in H^\infty(D)$  and  $0 < \epsilon < |\phi(Z)|/2$  be given. We will show there is a point  $z \in D$  for which  $|f_i(z) - \phi(f_i)| < 2\epsilon$  for  $i = 1, \dots, k$ . Let  $p \in N$ . By the density of  $\Delta \times N$  in  $\mathfrak{M}(H^\infty(\Delta \times N))$  there is a  $(\lambda, n) \in \Delta \times N$  with  $|\Psi(f_i)(\lambda, n) - \phi(\Psi(f_i))| < \epsilon$  for  $1 \leq i \leq k$ ,  $|Z(\lambda, n) - \phi(Z)| < \epsilon$  and,  $|I_p(\lambda, n) - \phi(I_p)| < \epsilon$ . In particular,  $|\lambda| > |\phi(Z)|/2$ , and  $n \geq p$ . If  $p$  was chosen sufficiently large, the last two inequalities guarantee that  $L_n^{-1}(\lambda) \in D_n \setminus \Delta_n$ . Hence, if  $p$  is also larger than the  $Q$  of Lemma 6, then  $L_n^{-1}(\lambda) \in D$  and

$$\begin{aligned} & |f_i(L_n^{-1}(\lambda)) - \phi(f_i)| \\ &= |f_i(L_n^{-1}(\lambda)) - (P_n f_i)(L_n^{-1}(\lambda)) - \phi_0(f_i) + \Psi(f_i)(\lambda, n) - \phi(\Psi(f_i))| \\ &\leq 2\epsilon \quad \text{for } i = 1, \dots, k. \end{aligned}$$

**4. Results for more general domains.** These same techniques can be used to prove the following corona theorem.

**THEOREM.** *Let  $E$  be a domain for which  $E$  is dense in  $\mathfrak{M}(H^\infty(E))$ . Let  $D$  be a domain obtained from  $E$  by excising a sequence of disjoint closed disks  $\Delta(c_n; r_n)$ , which satisfy the following conditions:*

(i) *There exists a disjoint sequence of disks  $\Delta(c_n; s_n)$  contained in  $E$  with  $\sum r_n/s_n < \infty$ ,*

(ii)  *$bE$  contains all the limit points of  $\{c_n\}$ .*

*Then  $D$  is dense in  $\mathfrak{M}(H^\infty(D))$ .*

Note that this theorem includes Theorem 1 by taking  $E = \Delta \setminus \{0\}$ . The proof involves describing the maximal ideal spaces of the fibers of  $H^\infty(D)$  over  $\partial D$ . These fibers become immensely more complicated, though, in the general case, than in the simple case we have described.

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