

THE DENSEST LATTICE PACKING OF TETRAHEDRA

BY DOUGLAS J. HOYLMAN¹

Communicated by Victor Klee, May 21, 1969

The problem of finding the densest packing of tetrahedra was first suggested by Hilbert [3, p. 319]. Minkowski [4] attempted to find the densest lattice packing of tetrahedra, but his result is invalid due to the incorrect assumption that the difference body of a regular tetrahedron was a regular octahedron. A lower bound for the maximum density of such a packing has been given by Groemer [1] as $18/49$. The purpose of this paper is to announce the proof that $18/49$ is in fact the maximum possible density.

We shall use the term *convex body* to mean any compact, convex set in three dimensions with nonempty interior, and *lattice* to mean the collection of all points (vectors) $mA + nB + pC$, where m, n, p range over all integers and A, B, C are three fixed linearly independent vectors. If J is a convex body and Λ is a lattice such that, when x and y are distinct points of Λ , the bodies $x+J$ and $y+J$ have no interior points in common, then the collection of bodies $\Lambda+J = \{x+J: x \in \Lambda\}$ is said to form a *lattice packing*. If $\Delta(\Lambda) = |\det(A, B, C)|$, and $\text{Vol}(J)$ represents the volume of J , then the *density* of the packing is defined to be $\text{Vol}(J)/\Delta(\Lambda)$. Minkowski [4] showed that $\Lambda+J$ is a lattice packing if and only if there are no points of Λ other than the origin in the interior of the *difference body* $J-J = \{x-y: x, y \in J\}$. When the latter condition holds, Λ is said to be *admissible* for the difference body. A lattice is *critical* for a difference body if it is admissible and if no other admissible lattice has a smaller determinant. It follows that the problem of finding the densest lattice packing for a given convex body J is equivalent to that of finding a critical lattice for $J-J$. The following lemmas are also from Minkowski's paper.

LEMMA 1. *If $\{A, B, C\}$ is a basis for the lattice Λ , if A, B, C are on the boundary of the difference body R , and if none of the lattice points $A \pm B, A \pm C, B \pm C, A \pm B \pm C, 2A \pm B \pm C, A \pm 2B \pm C, A \pm B \pm 2C$ is interior to R , then Λ is admissible for R .*

LEMMA 2. *If Λ is a critical lattice for the difference body R , then Λ has a basis $\{A, B, C\}$ such that A, B, C , and either*

¹ This paper is based upon a doctoral dissertation submitted to the University of Arizona.

(I) $A - B$, $B - C$, and $C - A$ or

(II) $A + B$, $B + C$, and $C + A$

all lie on the boundary of R .

These results indicate the method for finding a critical lattice: we consider all lattices meeting the conditions of Lemma 2 and such that none of the lattice points listed in Lemma 1 is interior to the difference body, and find the one with the smallest determinant.

In particular, we consider the tetrahedron T with the vertices $(-1, 1, 1)$, $(1, -1, 1)$, $(1, 1, -1)$ and $(-1, -1, -1)$. It can then be shown that the difference body of T is the cubooctahedron K described by

$$|x| \leq 2, \quad |y| \leq 2, \quad |z| \leq 2, \quad \text{and} \quad |x| + |y| + |z| \leq 4$$

(see Hancock [2] or Groemer [1]). K has six square faces and eight triangular faces. The problem may now be divided into cases according to the distribution of the basis vectors A , B , C among the faces of K . It is easily shown that no two points of an admissible lattice can lie within the same triangular face of K . Using this fact and the symmetries of K , we find nineteen essentially different ways to assign A , B and C to the faces of K . Combining each of these with (I) or (II) from Lemma 2, we obtain thirty-eight cases. By means of computations which are much too long to reproduce here, it can be shown that in none of these cases can we obtain a lattice meeting the above conditions with a determinant less than $196/27$. This determinant is that of the lattice with basis

$$A = (2, -1/3, -1/3), \quad B = (-1/3, 2, -1/3), \quad C = (-1/3, -1/3, 2),$$

which consequently is a critical lattice for K . Since $\text{Vol}(T)$ is $8/3$, we obtain

THEOREM 1. *The greatest possible density for a lattice packing of the regular tetrahedron T is $18/49$; furthermore, the lattice which gives this packing is unique up to reflections in the coordinate planes, rotations of 90° about any of the coordinate axes, and combinations of these.*

Since any tetrahedron may be taken into T by an appropriate non-singular affine transformation, and since such a transformation does not change either the property of being a lattice packing or the density of a lattice packing, we have

COROLLARY. *The greatest possible density for a lattice packing of any tetrahedron is $18/49$.*

If a convex body is symmetric about the origin, then its difference body is obtained by multiplying each vector in the given body by 2. In particular, the difference body of $\frac{1}{2}K$ is K , so that the critical lattice for K given above will yield the densest lattice packing of $\frac{1}{2}K$ as well. Since $\text{Vol}(\frac{1}{2}K) = 20/3$, we obtain

THEOREM 2. *The greatest possible density for a lattice packing of cubooctahedra is $45/49$; furthermore, the lattice which gives this packing is unique up to reflections in the planes of symmetry of the cubooctahedron, rotations of 90° about its axes of symmetry, and combinations of these.*

REFERENCES

1. H. Groemer, *Über die dichteste gitterförmige Lagerung kongruenter Tetraeder*, Monatsh. Math. 66 (1962), 12–15.
2. H. Hancock, *Development of the Minkowski geometry of numbers*, Macmillan, New York, 1939.
3. D. Hilbert, "Mathematische Probleme", Archiv. Math. Phys. 3 (1901), no. 1 44–63; 213–237, in *Gesammelte Abhandlungen*. Vol. III, Chelsea, New York, 1965, pp. 290–329.
4. H. Minkowski, "Dichteste gitterförmige Lagerung kongruenter Körper", Nachr. K. Ges. Wiss. Göttingen, 1904, 311–355, in *Gesammelte Abhandlungen*. Vol. II, Teubner, Berlin, 1911, pp. 3–42.

UNIVERSITY OF ARIZONA, TUCSON, ARIZONA 85721