

COMPACTLY GENERATED ALGEBRAS OVER DISCRETE FIELDS¹

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The structure of locally compact vector spaces over complete division rings topologized by a proper absolute value (or, more generally, over complete division rings of type V) is summarized in the now classical theorem that such spaces are necessarily finite-dimensional and possess the cartesian product topology ([2], [5, Theorems 5 and 7], [1, pp. 27–31]).

Here we present some results on locally compact vector spaces and algebras over infinite discrete fields. Since any topological vector space over a topological division ring K remains a topological vector space if K is retopologized with the discrete topology, some restriction needs to be imposed, and the most natural restriction is straightness: A topological vector space E over a topological division ring K is *straight* if for every nonzero $a \in E$, $\lambda \rightarrow \lambda a$ is a homeomorphism from K onto the one-dimensional subspace generated by a . Thus if K is discrete, a straight K -vector space is one all of whose one-dimensional subspaces are discrete.

A category argument establishes the following theorem:

THEOREM 1. *If K is an infinite discrete field, a straight locally compact K -vector space of countable dimension is discrete.*

A field K is *algebraic* if it has prime characteristic and if it is an algebraic extension of its prime subfield.

THEOREM 2. *If K is an infinite discrete division ring, then there exists an indiscrete straight locally compact K -vector space if and only if K is an algebraic field.*

The proof depends on a theorem of Gleason [3, Lemma 1.4.2] concerning the existence of one-parameter subgroups in locally compact groups having no small subgroups and on Jacobson's theorem [4, p. 183] that algebraic division algebras over finite fields are commutative.

A theorem of Pontrjagin [7, p. 153] and Theorem 2 then establish that a straight locally compact vector space over an infinite discrete field is totally disconnected.

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The variety of locally compact spaces is indicated by the fact that if K is a discrete infinite algebraic field, there is an indiscrete straight locally compact K -vector space E each of whose finite-dimensional subspaces is discrete and whose topological dual E^* is total, and there is also an indiscrete straight locally compact K -vector space F that contains a dense two-dimensional subspace and admits no nonzero continuous linear forms.

A topological vector space is *compactly generated* if it contains a compact set of generators. The proof of the following theorem is immediate:

THEOREM 3. *A straight locally compact vector space over an infinite discrete field is the topological direct sum of a compactly generated subspace and a discrete subspace.*

Thus the topology of a straight locally compact space is of significance only on compactly generated subspaces. The extent of familiar algebras carrying straight locally compact topologies is suggested by the following theorem:

THEOREM 4. *If E is a straight locally compact vector space over an infinite discrete field K , then the K -algebra of all continuous linear operators on E , equipped with the compact-open topology, is a straight locally compact K -algebra if and only if E is compactly generated.*

The analogue of Theorem 3 fails for algebras, as Theorem 4 and the following theorem show:

THEOREM 5. *Let K be an infinite topological field, and let A be a locally compact primitive K -algebra that contains minimal left ideals. If A is compactly generated, then A is isomorphic to the K -algebra of all linear operators on a finite-dimensional vector space over a locally compact division ring D that algebraically is an extension of K .*

The proof is based on that part of Jacobson's theorem concerning primitive rings with minimal left ideals [4, pp. 75–77] which asserts that if e is a primitive idempotent, multiplication is a nondegenerate bilinear form on $eA \times Ae$, where eA is regarded as a left and Ae a right vector space over eAe .

It is possible to give a fairly concrete description of commutative, semisimple, straight locally compact algebras over infinite discrete fields. Henceforth, Ω is the algebraic closure of the field \mathbf{Z}_p of integers modulo p , and K is an infinite subfield of Ω , equipped with the discrete topology. We shall say that $(K_\gamma)_{\gamma \in \Gamma}$, $(\Gamma_n)_{n \geq 0}$, $(F_n)_{n \geq 0}$, $(\sigma_\gamma)_{\gamma \in \Gamma}$ is a *family of K -ingredients* if the following conditions hold:

- 1°. Each K_γ is an extension field of K , and every element of K_γ algebraic over Z_p belongs to Ω .
- 2°. $(\Gamma_n)_{n \geq 0}$ is a partition of the index set Γ .
- 3°. For each $n \geq 0$ and each $\gamma \in \Gamma_n$, F_n is a finite subfield of K_γ , and σ_γ is an automorphism of F_n .
- 4°. For each $\alpha \in K$ there exists $m \geq 0$ such that for all $n > m$, $\alpha \in F_n$ and $\sigma_\gamma(\alpha) = \alpha$ for all $\gamma \in \Gamma_n$.

Given a family of K -ingredients, we let $V = \{(x_\gamma) \in \prod_{\gamma \in \Gamma} K_\gamma : \text{there exists } (a_n) \in \prod_{n \geq 0} F_n \text{ such that for every } n \geq 0, \sigma_\gamma(a_n) = x_\gamma \text{ for all } \gamma \in \Gamma_n\}$, and we let $A = \{(x_\gamma) \in \prod_{\gamma \in \Gamma} K_\gamma : \text{there exists } (a_n) \in \prod_{n \geq 0} F_n \text{ such that for all but finitely many } n \geq 0, \sigma_\gamma(a_n) = x_\gamma \text{ for all } \gamma \in \Gamma_n\}$. Then A is a commutative semisimple K -algebra, and V is a subring of A that generates A as an ideal; equipped with the topology for which $\{\lambda_1 V \cap \lambda_2 V \cap \dots \cap \lambda_n V : \lambda_1, \lambda_2, \dots, \lambda_n \in K^*\}$ is a fundamental system of neighborhoods of zero, A is an indiscrete straight locally compact K -algebra, and V is a compact open subring of A . We shall call a subalgebra B of A a *topological algebra defined by the family of K -ingredients* $(K_\gamma)_{\gamma \in \Gamma}, (\Gamma_n)_{n \geq 0}, (F_n)_{n \geq 0}, (\sigma_\gamma)_{\gamma \in \Gamma}$ if B contains V and if for every finite subset Δ of Γ and every $(x_\gamma) \in A$, there exists $(y_\gamma) \in B$ such that $y_\gamma = x_\gamma$ for all $\gamma \in \Delta$; the algebra A is called the *full topological algebra defined by this family of K -ingredients*.

THEOREM 6. *If A is an indiscrete straight locally compact K -algebra, then A is commutative and semisimple if and only if A is the topological direct product of a discrete commutative semisimple K -algebra and a K -algebra isomorphic to a topological algebra defined by a family of K -ingredients.*

The proof is based on a theorem of Kaplansky [6, Theorem 12] and the theorem of Pontryagin, van Dantzig, and Jacobson that the topology of a locally compact field is defined by an absolute value. The latter theorem is used to show that if A contains a compact open subring V that generates A as an ideal and if \mathfrak{m} is a closed regular maximal ideal of A , then $V \cap \mathfrak{m}$ is a regular maximal ideal of V . The corresponding statement for noncommutative algebras (where "regular maximal" is replaced either by "primitive" or by "regular maximal left") is not in general true, even if \mathfrak{m} is open.

For compactly generated algebras we may make the description of Theorem 6 more precise:

THEOREM 7. *If A is an indiscrete straight locally compact K -algebra, then A is commutative, semisimple, and compactly generated if and only if A is isomorphic to the full topological algebra defined by a family of*

K -ingredients $(K_\gamma)_{\gamma \in \Gamma}$, $(\Gamma_n)_{n \geq 0}$, $(F_n)_{n \geq 0}$, $(\sigma_\gamma)_{\gamma \in \Gamma}$ satisfying the following conditions:

- 1°. For each $n \geq 0$, $\gamma \rightarrow \sigma_\gamma$ is an injection from Γ_n into $\text{Aut } F_n$; hence Γ_n is finite for each $n \geq 0$.
- 2°. $K_\gamma = K(F_n)$ for all $\gamma \in \Gamma_n$, all $n \geq 0$.
- 3°. For each $n \geq 0$, if α and β are distinct members of Γ_n , then K and F_n are not linearly disjoint over the intersection of K with the fixed field of $\sigma_\alpha^{-1}\sigma_\beta$.

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