

ON NONSEPARABLE REFLEXIVE BANACH SPACES

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The purpose of this paper is to show that certain known results concerning separable spaces hold also for nonseparable reflexive Banach spaces. Our main result (Theorem 1) proves a special case of a conjecture of H. H. Corson and the author [1] while the corollary proves some conjectures of V. Klee (see for example [2]). In order to state Theorem 1 we introduce the following notation: Let Γ be a set; by $c_0(\Gamma)$ we denote the Banach space of scalar valued functions f on Γ , such that $\{\gamma; |f(\gamma)| > \epsilon\}$ is finite for every $\epsilon > 0$, with the sup norm.

THEOREM 1. *Let X be a reflexive Banach space. Then there is a one to one bounded linear operator from X into $c_0(\Gamma)$ for a suitable set Γ .*

This theorem was proved in [3] for spaces X which have the metric approximation property (M.A.P.) introduced by Grothendieck. We shall show here how to modify the proof in [3] so that it will not depend on the assumption concerning the M.A.P. As noted in [3] the following corollary is an easy consequence of Theorem 1 and known results.

COROLLARY 1. *Let X be a reflexive Banach space. Then*

- (i) *X has an equivalent strictly convex norm.*
- (ii) *X has an equivalent smooth norm.*
- (iii) *The norm of X is Gateaux differentiable at a dense subset of X .*
- (iv) *If K is a bounded closed convex subset of X then K is the closed convex hull of its exposed points.*

We pass to the proof of Theorem 1. It is clearly enough to consider only real spaces. Our first lemma holds for a general Banach space.

LEMMA 1. *Let X be a Banach space and let B be a finite-dimensional subspace of X . Let k be an integer and let $\epsilon > 0$. Then there is a finite-dimensional subspace Z of X containing B such that for every subspace Y of X containing B with $\dim Y/B = k$ there is a linear operator $T: Y \rightarrow Z$ with $\|T\| \leq 1 + \epsilon$ and $Tb = b$ for every $b \in B$.*

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PROOF. Let P be a bounded linear projection from X onto B and let $U = (I - P)X$. Let M be a positive number such that

$$(1) \quad (M + k)/(M - k) < 1 + \epsilon, \quad k^2(2k + 3)\|I - P\| < \epsilon M.$$

Let $\{b_\mu\}_{\mu=1}^m$ be a finite set in B such that for every $b \in B$ with $\|b\| \leq M$ there is a μ such that $\|b - b_\mu\| < M^{-1}$. Let \sum_k be the sphere of radius 1 in the k dimensional space R^k , that is $\sum_k = \{\lambda = (\lambda_1, \dots, \lambda_k); \sum_{i=1}^k \lambda_i^2 = 1\}$. Let $\{\lambda^j\}_{j=1}^p$ be a finite subset of \sum_k such that for every $\lambda \in \sum_k$ there is a j with $\|\lambda^j - \lambda\| < M^{-1}$ (in R^k we take the norm $(\sum \lambda_i^2)^{1/2}$). Let $S_U = \{u; u \in U, \|u\| \leq 1\}$ and let

$$f: \overbrace{S_U \times S_U \times \dots \times S_U}^k \rightarrow R^{mp}$$

be defined by

$$f_{\mu,j}(u_1, \dots, u_k) = \left\| \sum_{i=1}^k \lambda_i^j u_i + b_\mu \right\|, \quad 1 \leq \mu \leq m, \quad 1 \leq j \leq p.$$

We choose now qk elements $\{u_i^\gamma\}, 1 \leq \gamma \leq q, 1 \leq i \leq k$, in S_U such that for every $(u_1, u_2, \dots, u_k) \in S_U \times S_U \times \dots \times S_U$ there is a γ such that

$$(2) \quad |f_{\mu,j}(u_1, \dots, u_k) - f_{\mu,j}(u_1^\gamma, \dots, u_k^\gamma)| < M^{-1}, \quad 1 \leq \mu \leq m, \quad 1 \leq j \leq p.$$

Let Z be the subspace of X spanned by B and the $\{u_i^\gamma\}, 1 \leq \gamma \leq q, 1 \leq i \leq k$. We claim that this subspace has the required properties.

Take any $Y \subset X$ with $Y \supset B$ and $\dim Y/B = k$. Then there are vectors $\{u_i\}_{i=1}^k$ in U such that $\|u_i\| = 1$ for every i ,

$$(3) \quad \left\| \sum_{i=1}^k \lambda_i u_i \right\| \geq \left(\sum_{i=1}^k \lambda_i^2 \right)^{1/2} / k^2 \quad \text{for every choice of real } \{\lambda_i\}_{i=1}^k$$

and $Y = \text{span} \{B, u_1, u_2, \dots, u_k\}$. Let now γ be such that (2) holds for these u_1, \dots, u_k . Define $T: Y \rightarrow Z$ by $T(\sum \lambda_i u_i + b) = \sum \lambda_i u_i^\gamma + b$. We claim that T has the required properties, that is, that for every $\lambda = (\lambda_1, \dots, \lambda_k) \in \sum_k$ and every $b \in B$

$$(4) \quad \left\| \sum_{i=1}^k \lambda_i u_i^\gamma + b \right\| \leq (1 + \epsilon) \left\| \sum_{i=1}^k \lambda_i u_i + b \right\|.$$

Assume first that $\|b\| > M$. Then $\|\sum \lambda_i u_i^\gamma + b\| \leq \|b\| + k$ and $\|\sum_{i=1}^k \lambda_i u_i + b\| \geq \|b\| - k$ and (4) follows from the first inequality of (1).

Assume next that $\|b\| \leq M$ and let μ and j be such that $\|b_\mu - b\| < M^{-1}$ and $\|\lambda^j - \lambda\| < M^{-1}$. Then

$$(5) \quad \left\| \sum_{i=1}^k \lambda_i u_i^\gamma + b \right\| \leq f_{\mu,j}(u_1^\gamma, \dots, u_k^\gamma) + (k+1)/M$$

and

$$(6) \quad \left\| \sum_{i=1}^k \lambda_i u_i + b \right\| \geq f_{\mu,j}(u_1, \dots, u_k) - (k+1)/M.$$

Therefore, by (2),

$$(7) \quad \left\| \sum_{i=1}^k \lambda_i u_i^\gamma + b \right\| \leq \left\| \sum_{i=1}^k \lambda_i u_i + b \right\| + (2k+3)/M.$$

Also, by (3)

$$(8) \quad 1 = \sum_{i=1}^k \lambda_i^2 \leq k^2 \left\| \sum_{i=1}^k \lambda_i u_i \right\| \leq k^2 \|I - P\| \left\| \sum_{i=1}^k \lambda_i u_i + b \right\|.$$

The inequality (3) follows now from (7), (8), and the second inequality of (1). This concludes the proof of the lemma.

LEMMA 2. *Let X be a reflexive Banach space and let B be a finite dimensional subspace of X . Then there exists a linear operator $T: X \rightarrow X$ such that $\|T\| = 1$, the range of T is separable and $Tb = b$ for $b \in B$.*

PROOF. Let $Z_n \supset B$, $n = 1, 2, \dots$, be subspaces of X given by Lemma 1 for $k = n$ and $\epsilon = n^{-1}$. Let Z be the subspace of X spanned by $\bigcup_{n=1}^\infty Z_n$. Let E be a finite-dimensional subspace of X containing B with $\dim E/B = n$. Then there is a linear operator $T_E: E \rightarrow Z$ such that $T_E b = b$, $b \in B$, and $\|T_E\| \leq 1 + n^{-1}$. We extend T_E to a map (not linear) $\tilde{T}_E: X \rightarrow Z$ by putting $\tilde{T}_E x = 0$ for $x \in X \sim E$. In the space of maps from X to Z we take the topology of pointwise convergence and Z is taken in the w topology. By Tychonoff's theorem the net $\{\tilde{T}_E\}$ (the spaces E are ordered by inclusion) has a subnet converging to a map $T: X \rightarrow Z$. It is easily verified that T is linear, $\|T\| = 1$ and $Tb = b$ for every $b \in B$.

From Lemma 2 we easily get (see the proof of Lemma 1 in [3]) that the following stronger version of it is true.

LEMMA 3. *Let X be a reflexive Banach space, let $\{x_i\}_{i=1}^n$ be a finite set in X , let $\{f_j\}_{j=1}^m$ be a finite set in X^* and let $\epsilon > 0$. Then there is a linear operator $T: X \rightarrow X$ with $\|T\| = 1$ such that $Tx_i = x_i$ for every i , $\|T^*f_j - f_j\| < \epsilon$ for every j , and TX is separable.*

We are now ready to prove

PROPOSITION 1. *Let X be a reflexive Banach space and let Y and Z be separable subspaces of X and X^* respectively. Then there is a separable subspace W of X containing Y and a linear projection P from X onto W such that $\|P\| = 1$ and $P^*f = f$ for every $f \in Z$.*

PROOF. Let $\{f_j\}_{j=1}^\infty$ be a dense subset of Z . By Lemma 3 we can construct, inductively, a sequence $\{Y^n\}_{n=1}^\infty$ of separable subspaces of X and a sequence T^n of linear operators $T^n: X \rightarrow Y^n$ such that

$$(9) \quad \|T^n\| = 1, \quad n=1, 2, \dots$$

$$(10) \quad \|T^n * f_j - f_j\| \leq n^{-1}, \quad 1 \leq j \leq n, \quad n = 1, 2, \dots$$

$$(11) \quad T^n x_i^k = x_i^k \quad \text{for } 1 \leq i \leq n, \quad 0 \leq k \leq n-1, \quad \text{and } n=1, 2, \dots$$

where $\{x_i^k\}_{i=1}^\infty$ is a dense subset of Y^k and $Y^0 = Y$.

It is easily verified that $W = \text{span } \bigcup_{n=0}^\infty Y^n$ and $P =$ the limit of a convergent subnet $\{T^n\}_{n=1}^\infty$ have the required properties.

The proof that Proposition 1 implies Theorem 1 is given in [3]. The M.A.P. is used in [3] only in order to prove Proposition 1.

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