

# INVARIANT EIGENDISTRIBUTIONS ON SEMISIMPLE LIE GROUPS

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1. Let  $M$  be an oriented separable differentiable manifold of dimension  $n$ . (We do not assume that  $M$  is connected.) Let  $C_c^\infty(M)$  denote the space of all complex-valued  $C^\infty$  functions on  $M$  with compact support. A distribution  $T$  on  $M$  is a linear mapping  $T: C_c^\infty(M) \rightarrow \mathbf{C}$  which is continuous in the topology of Schwartz. More explicitly, this means the following. Let  $U$  be any open and relatively compact set in  $M$ . Then we can select differential operators<sup>2</sup>  $D_1, \dots, D_r$  on  $M$  such that

$$|T(f)| \leq \sum_i \sup |D_i f| \quad (f \in C_c^\infty(U)).$$

Let  $G$  be a group acting on  $M$ . We denote by  $x^g$  the transform of  $x \in M$  by  $g \in G$ . We assume that, for a fixed  $g$ , the mapping  $x \rightarrow x^g$  of  $M$  is of class  $C^\infty$ . Then for any  $f \in C_c^\infty(M)$ , the function  $f^g: x \rightarrow f(x^{g^{-1}})$  is again in  $C_c^\infty(M)$  and if  $T$  is a distribution, the mapping  $T^g: f \rightarrow T(f^{g^{-1}})$  ( $f \in C_c^\infty(M)$ ) is also a distribution. We say  $T$  is invariant (under  $G$ ) if  $T^g = T$  for all  $g \in G$ .

Now  $G$  operates in a natural way on the spaces<sup>2</sup> of differential operators and differential forms on  $M$ . For example if  $D$  is a differential operator,  $D^g f = (Df^{g^{-1}})^g$  ( $f \in C_c^\infty(M)$ ,  $g \in G$ ). Fix a (real) differential form  $\omega$  on  $M$  of degree  $n$  which is invariant under  $G$  and which is everywhere positive (with respect to the given orientation of  $M$ ). Then for every differential operator  $D$  on  $M$ , we define its adjoint  $D^*$  to be the (unique) differential operator satisfying the relation

$$\int_M Df \cdot \phi \omega = \int_M f D^* \phi \cdot \omega$$

for all  $f, \phi \in C_c^\infty(M)$ . If  $T$  is a distribution, the mapping  $f \rightarrow T(D^* f)$  ( $f \in C_c^\infty(M)$ ) is also a distribution which we denote by  $DT$ . Now  $\omega$  defines a positive Borel measure  $\mu$  on  $M$ . For example if  $U$  is an open set in  $M$ ,

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<sup>2</sup> All differential operators and differential forms are meant to be  $C^\infty$  unless explicitly mentioned otherwise.

$$\mu(U) = \int_U \omega.$$

Let  $F$  be a function on  $M$  which is locally summable (with respect to  $\mu$ ). Then corresponding to  $F$ , we get a distribution

$$T_F: f \rightarrow \int fF d\mu = \int_M fF \cdot \omega \quad (f \in C_c^\infty(M)).$$

If  $T$  is a distribution, we say  $T = F$  if  $T = T_F$ .

2. Let  $G$  be a connected semisimple Lie group. Take  $M = G$ ,  $x^g = gxg^{-1}$  ( $x, g \in G$ ) and  $\omega$  the invariant differential form corresponding to the Haar measure  $dx$  on  $G$ . Let  $\mathfrak{Z}$  be the algebra of all differential operators on  $G$  which are invariant under both left and right translations of  $G$ . Then  $\mathfrak{Z}$  is abelian. Let  $l = \text{rank } G$ .  $t$  being an indeterminate, we denote by  $D(x)$  the coefficient of  $t^l$  in  $\det(t+1 - \text{Ad}(x))$  ( $x \in G$ ). Then  $D$  is an analytic function on  $G$  and an element  $x \in G$  is called regular if  $D(x) \neq 0$ . Let  $G'$  be the set of all regular elements in  $G$ . Then  $G'$  is an open and dense subset of  $G$  whose complement is of measure zero.

Let  $\Theta$  be a distribution on  $G$ . We say that it is invariant if  $\Theta^x = \Theta$  ( $x \in G$ ) and that it is an eigendistribution of  $\mathfrak{Z}$  if  $z\Theta = \chi(z)\Theta$  ( $z \in \mathfrak{Z}$ ) for some homomorphism  $\chi$  of  $\mathfrak{Z}$  into  $\mathbf{C}$ .

**THEOREM 1.** *Let  $\Theta$  be an invariant eigendistribution of  $\mathfrak{Z}$  on  $G$ . Then  $\Theta$  is a locally summable function which is analytic on  $G'$ .*

This answers, in particular, a question raised in [3, p. 396].

3. Now assume that the center of  $G$  is finite. Fix a maximal compact subgroup  $K$  of  $G$  and let  $\mathfrak{E}_K$  denote the set of all equivalence classes of irreducible finite-dimensional representations of  $K$ . For any  $\mathfrak{d} \in \mathfrak{E}_K$ , let  $\xi_{\mathfrak{d}}$  be the character of  $\mathfrak{d}$  and  $\mathfrak{d}^*$  the class contragradient to  $\mathfrak{d}$  so that<sup>3</sup>  $\xi_{\mathfrak{d}^*}(k) = \text{conj } \xi_{\mathfrak{d}}(k)$  ( $k \in K$ ). For any  $f \in C_c^\infty(G)$ , define

$$f_{\mathfrak{d}}(x) = d(\mathfrak{d}) \int_K \xi_{\mathfrak{d}}(k) f(kx) dk \quad (x \in G),$$

where  $d(\mathfrak{d})$  is the degree of any representation in the class  $\mathfrak{d}$  and  $dk$  is the normalized Haar measure of  $K$ . Then  $f_{\mathfrak{d}} \in C_c^\infty(G)$  and the series  $\sum_{\mathfrak{d} \in \mathfrak{E}_K} f_{\mathfrak{d}}$  converges in  $C_c^\infty(G)$  to  $f$ . If  $T$  is any distribution on  $G$ , the mapping  $f \rightarrow T(f_{\mathfrak{d}^*})$  ( $f \in C_c^\infty(G)$ ) is also a distribution, which we denote by  $T_{\mathfrak{d}}$ . Since

<sup>3</sup> conj  $c$  stands for the complex conjugate for  $c \in \mathbf{C}$ .

$$T(f) = \sum_{\mathfrak{b} \in \mathfrak{E}_K} T_{\mathfrak{b}}(f) \quad (f \in C_c^\infty(G)),$$

it is clear that  $T_{\mathfrak{b}} \neq 0$  for some  $\mathfrak{b} \in \mathfrak{E}_K$ , if  $T \neq 0$ .

Now suppose  $T$  is an eigendistribution of  $\mathfrak{Z}$  on  $G$ . Then the same holds for  $T_{\mathfrak{b}}$  ( $\mathfrak{b} \in \mathfrak{E}_K$ ). But since  $T_{\mathfrak{b}}$  transforms, under left translations by elements of  $K$ , according to  $\mathfrak{b}$ , it follows easily that it satisfies an elliptic differential equation on  $G$  with analytic coefficients. Therefore  $T_{\mathfrak{b}}$  is an analytic function.

4. Let  $\mathfrak{g}$  be the Lie algebra of  $G$  and  $\mathfrak{g}_c$  its complexification. Let  $G_c$  be the simply connected complex-analytic group corresponding to  $\mathfrak{g}_c$ . Assume that  $G$  is the real analytic subgroup of  $G_c$  corresponding to  $\mathfrak{g}$  and  $\text{rank } G = \text{rank } K$ . Fix a maximal connected abelian subgroup  $A$  of  $K$  and let  $\mathfrak{a}$  denote its Lie algebra. Then  $A$  is a Cartan subgroup of  $G$  and  $A' = A \cap G'$  is open and dense in  $A$ . Let  $\mathfrak{a}_c$  denote the complexification of  $\mathfrak{a}$ ,  $P$  the set of all positive roots (under some fixed order) and  $W$  the Weyl group of  $(\mathfrak{g}_c, \mathfrak{a}_c)$ . Then there exists an analytic function  $\Delta$  on  $A$  such that

$$\Delta(\exp H) = \prod_{\alpha \in P} (e^{\alpha(H)/2} - e^{-\alpha(H)/2}) \quad (H \in \mathfrak{a}).$$

Let  $\hat{A}$  denote the character group of  $A$ . For any  $\hat{a} \in \hat{A}$ , define

$$\sigma(\hat{a}) = \prod_{\alpha \in P} \langle \alpha, \lambda \rangle$$

where  $\lambda$  is the linear function on  $\mathfrak{a}_c$  such that  $\hat{a}(\exp H) = e^{\lambda(H)}$  ( $H \in \mathfrak{a}$ ) and  $\langle \alpha, \lambda \rangle$  denotes the usual scalar product defined under the Killing form of  $\mathfrak{g}_c$ .  $W$  operates on  $\hat{A}$  in a natural way by duality. An element  $\hat{a} \in \hat{A}$  is called regular if its transforms  $\hat{a}^s$  ( $s \in W$ ) are all distinct. Then  $\hat{a}$  is singular or regular according as  $\sigma(\hat{a}) = 0$  or not. Moreover  $\sigma(\hat{a}^s) = \epsilon(s)\sigma(\hat{a})$  ( $s \in W, \hat{a} \in \hat{A}$ ), where  $\epsilon(s) = 1$  or  $-1$  and is independent of  $\hat{a}$ .

If  $\Theta$  is an invariant eigendistribution of  $\mathfrak{Z}$  on  $G$ , one can, in view of Theorem 1, speak of the value  $\Theta(x)$  of  $\Theta$  at any point  $x \in G'$ . Define the function  $D$  as in §2.

**THEOREM 2.** *Fix a regular element  $\hat{a} \in \hat{A}$ . Then there exists exactly one invariant eigendistribution  $\Theta_{\hat{a}}$  of  $\mathfrak{Z}$  on  $G$  such that:*

- (1) *The function  $|D|^{1/2}\Theta_{\hat{a}}$  remains bounded on  $G'$ ;*
- (2)  *$\Theta_{\hat{a}} = (-1)^{q\sigma(\hat{a})}\Delta^{-1} \sum_{s \in W} \epsilon(s)\hat{a}^s$  pointwise on  $A'$ .*

Here  $q = \frac{1}{2}(\dim G - \dim K)$ .

For  $f, g \in C_c^\infty(G)$ , let  $f * g$  denote their convolution product so that

$$(f * g)(x) = \int_G f(y)g(y^{-1}x)dy \quad (x \in G).$$

Also let  $\tilde{f}(x) = \text{conj}(f(x^{-1}))$ .

**THEOREM 3.** Put  $\Theta = \Theta_{\hat{a}}$  for a fixed regular element  $\hat{a}$  in  $\hat{A}$ . Then  $\Theta(\tilde{f} * f) \geq 0$  for every  $f \in C_c^\infty(G)$ . Moreover the analytic functions  $\Theta_{\mathfrak{b}}$  ( $\mathfrak{b} \in \mathfrak{E}_K$ ) all lie in  $L_2(G)$ .

It is obvious from its definition that  $\Theta \neq 0$ . Hence we can choose  $\mathfrak{b} \in \mathfrak{E}_K$  such that  $\Theta_{\mathfrak{b}} \neq 0$ . Let  $V$  be the smallest closed subspace of  $L_2(G)$  containing  $\Theta_{\mathfrak{b}}$ , which is invariant under the left-regular representation  $\lambda$  of  $G$ . Then  $V \neq \{0\}$  and it is easy to show that  $V$  is the orthogonal sum of a finite number of subspaces which are all invariant and irreducible under  $\lambda$ . This shows that each of the corresponding irreducible representations belongs to the discrete series.

Define  $\Theta_{\hat{a}} = 0$  if  $\hat{a}$  is a singular element of  $\hat{A}$  and let  $\mathfrak{S}$  be the smallest closed subspace of  $L_2(G)$  which contains every  $C^\infty$  eigenfunction of  $\mathfrak{Z}$  lying in  $L_2(G)$ . For any  $f \in C_c^\infty(G)$  and  $x \in G$ , let  $f_x$  denote the function  $y \rightarrow f(yx)$  ( $y \in G$ ).

**THEOREM 4.** The series

$$\sum_{\hat{a} \in \hat{A}} \Theta^{\wedge}(f) \quad (f \in C_c^\infty(G))$$

converges absolutely and the function

$$f^\# : x \rightarrow \sum_{\hat{a} \in \hat{A}} \theta_{\hat{a}}^{\wedge}(f_x) \quad (x \in G)$$

lies in  $\mathfrak{S}$ . Moreover the Haar measure of  $G$  can be so normalized that  $f - f^\#$  is orthogonal to  $\mathfrak{S}$  for every  $f \in C_c^\infty(G)$ .

Theorem 4 shows that our method gives the entire discrete series.

5. The proofs of these results are rather long. We shall only give a brief outline of the main steps in the proofs of Theorems 1 and 2. As before, let  $\mathfrak{g}_c$  be the complexification of the Lie algebra  $\mathfrak{g}$  of  $G$  and  $S(\mathfrak{g}_c)$  the symmetric algebra over  $\mathfrak{g}_c$ .  $G$  operates on  $\mathfrak{g}_c$  by means of the adjoint representation. Let  $I(\mathfrak{g}_c)$  be the subalgebra of all invariants of  $G$  in  $S(\mathfrak{g}_c)$ . Now we take (in the set up of §1)  $M = \mathfrak{g}$  and  $\omega$  the differential form corresponding to the Euclidean measure  $dX$  on  $\mathfrak{g}$ . For  $p \in S(\mathfrak{g}_c)$ , define the differential operator  $\partial(p)$  on  $\mathfrak{g}$  as in [4, §2] and identify  $\mathfrak{g}_c$  with its dual under the Killing form  $\Omega$  given by  $\Omega(X) = \text{tr}(\text{ad } X)^2 (X \in \mathfrak{g}_c)$ . Let  $\mathfrak{g}'$  be the set of all regular elements of  $\mathfrak{g}$ . Then  $\mathfrak{g}'$  is open and dense in  $\mathfrak{g}$  and its complement is of measure zero.

A subset  $U$  of  $\mathfrak{g}$  is called completely invariant, if it satisfies the following condition.  $C$  being any compact subset of  $U$ ,  $\text{Cl}(C^G) \subset U$ . Here  $C^G = \bigcup_{x \in G} C^x$  and  $\text{Cl}$  denotes closure. If  $U$  is an open and completely invariant subset of  $\mathfrak{g}$ , we can take  $M = U$  in §1.

LEMMA 1. *Let  $T$  be a distribution on a completely invariant open subset  $U$  of  $\mathfrak{g}$  such that:*

- (1)  $T^x = T(x \in G)$ ,
- (2) *There exists an ideal  $\mathfrak{u}$  in  $I(\mathfrak{g}_c)$  such that  $\dim I(\mathfrak{g}_c)/\mathfrak{u} < \infty$  and  $\partial(u)T = 0$  for  $u \in \mathfrak{u}$ .*

*Then  $T$  is a locally summable function on  $U$ , which is analytic on  $U' = U \cap \mathfrak{g}'$ .*

This is proved by induction on  $\dim \mathfrak{g}$ . Let  $\mathfrak{X}$  be the set of all  $X \in \mathfrak{g}$  such that  $\text{ad } X$  is nilpotent. The most important step in the proof of Lemma 1 is the following result.

LEMMA 2. *Let  $T$  be an invariant distribution on  $\mathfrak{g}$  such that<sup>4</sup>  $\text{Supp } T \subset \mathfrak{X}$  and  $\partial(\Omega)T = 0$ . Then  $T = 0$ .*

The proof of this makes use of a result of Kostant [6, Corollary 3.7 and Lemma 5.1] from which it follows (see [2, 2.3]) that  $\mathfrak{X}$  is the union of a finite number of  $G$ -orbits.

In order to obtain Theorem 1, we have now to lift the result of Lemma 1 to the group. For this one needs the following fact.

LEMMA 3. *Let  $D$  be a polynomial differential operator [4, §2] on  $\mathfrak{g}$  such that  $D^x = D$  ( $x \in G$ ) and  $Dp = 0$  for  $p \in I(\mathfrak{g}_c)$ . Then  $DT = 0$  for every invariant distribution  $T$  on  $\mathfrak{g}$ .*

The proof again proceeds by induction on  $\dim \mathfrak{g}$ . The crucial part is the following lemma.

LEMMA 4. *Let  $T$  be a distribution and  $D$  a polynomial differential operator on  $\mathfrak{g}$ . We assume that:*

- (1)  $T^x = T$  ( $x \in G$ ),
- (2)  $D^x = D$  and  $Dp = 0$  ( $x \in G, p \in I(\mathfrak{g}_c)$ ),
- (3)  $\text{Supp } DT \subset \mathfrak{X}$ .

*Then  $DT = 0$ .*

First one shows that it is sufficient to consider the case when  $T$  is tempered. (This requires a result of Borel, according to which, we can always find a discrete subgroup  $\Gamma$  of  $G$  such that  $G/\Gamma$  is compact. See Remark (2) at the bottom of p. 582 of [1].) Now we use the

<sup>4</sup>  $\text{Supp } T$  denotes the support of  $T$ .

theory of Fourier transforms. Put  $B(X, Y) = \text{tr}(\text{ad } X \text{ ad } Y)(X, Y \in \mathfrak{g})$  and define

$$\hat{f}(Y) = \int e^{iB(Y, X)} f(X) dX \quad (f \in C_c^\infty(\mathfrak{g}), Y \in \mathfrak{g}).$$

Then for any tempered distribution  $\tau$ , its Fourier transform  $\hat{\tau}$  is defined by  $\hat{\tau}(f) = \tau(\hat{f})$  ( $f \in C_c^\infty(\mathfrak{g})$ ). Let  $J$  be the ideal of  $I(\mathfrak{g}_c)$  spanned by all homogeneous elements of degree  $\geq 1$ . Then  $\mathfrak{X}$  is exactly the set of zeros of  $J$  in  $\mathfrak{g}$ . Let  $p_1, \dots, p_r$  be an ideal basis for  $J$ . Then for every  $j$  ( $1 \leq j \leq r$ ), we can choose an integer  $m_j \geq 0$  such that  $p_j^{m_j} DT = 0$  around the origin. Since  $\text{Supp } DT \subset \mathfrak{X}$  and  $DT$  is invariant, it follows that  $p_j^{m_j} DT = 0$ . Let  $\mathfrak{u}$  be the ideal in  $I(\mathfrak{g}_c)$  generated by  $p_j^{m_j}$  ( $1 \leq j \leq r$ ). Then  $\dim I(\mathfrak{g}_c)/\mathfrak{u} < \infty$  and  $uDT = 0$  for  $u \in \mathfrak{u}$ . Hence we conclude from Lemma 1 that  $(DT)^\wedge$  is a locally summable function. Now define  $\hat{D}$  as in [4, p. 91]. Then  $(DT)^\wedge = \hat{D}\hat{T}$  and it is easy to see that  $\hat{D}$  also verifies condition (2) of Lemma 4. From this it follows without difficulty that  $\hat{D}\sigma = 0$  on  $\mathfrak{g}'$  for any invariant distribution  $\sigma$  on  $\mathfrak{g}$ . Hence  $\hat{D}\hat{T} = 0$  on  $\mathfrak{g}'$ . But since  $\hat{D}\hat{T}$  is a locally summable function, this implies that  $\hat{D}\hat{T} = 0$  and therefore  $DT = 0$ .

6. Now we come to Theorem 2. So assume that  $\text{rank } \mathfrak{g} = \text{rank } \mathfrak{k}$  where  $\mathfrak{k}$  is the Lie algebra of  $K$ . Put  $\alpha' = \alpha \cap \mathfrak{g}'$  and  $\pi = \prod_{\alpha \in P} \alpha$ . Then  $\pi$  is a polynomial function on  $\mathfrak{a}_\sigma$ .

LEMMA 5. Fix  $H_0 \in \alpha'$  and let  $T$  be a tempered and invariant distribution on  $\mathfrak{g}$  such that

$$\partial(p)T = p(iH_0)T \quad (p \in I(\mathfrak{g}_c)).$$

Then if<sup>6</sup>  $T(H) = 0$  for  $H \in \alpha'$ , we can conclude that  $T = 0$ .

LEMMA 6. Fix  $H_0 \in \alpha'$ . Then there exists exactly one tempered and invariant distribution  $T$  on  $\mathfrak{g}$  such that:

- (1)  $\partial(p)T = p(iH_0)T \quad (p \in I(\mathfrak{g}_c))$ ,
- (2)  $T(H) = \pi(H)^{-1} \sum_{s \in W} \epsilon(s) e^{iB(H_0, sH)} \quad (H \in \alpha')$ .

The uniqueness of  $T$  follows from Lemma 5. The existence is proved as follows. Put

$$\tau(f) = \pi(H_0) \sum_{s \in W} \int_{\mathfrak{g}} \hat{f}((sH_0)^x) dx \quad (f \in C_c^\infty(\mathfrak{g})).$$

Then  $\tau$  is a tempered and invariant distribution and  $\partial(p)\tau = p(iH_0)\tau$

<sup>6</sup> In view of Lemma 1, we can speak of the value  $T(X)$  of  $T$  at any point  $X$  in  $\mathfrak{g}'$ .

for  $p \in I(\mathfrak{g}_e)$  (see [5, pp. 225–226]). Moreover it can be shown that  $\tau$  satisfies condition (2) of Lemma 6 up to a nonzero constant factor.

Theorem 2 is obtained by lifting the result of Lemma 6 to the group.

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### CORRECTION TO ABSTRACT CLASS FORMATIONS<sup>1</sup>

BY K. GRANT AND G. WHAPLES

Professor Yukiyoji Kawada has kindly pointed out to us that our construction for an abstract class formation  $\{E(K)\}$  is wrong. Namely, we defined  $E(K)$  to be a direct limit of a family of groups  $\{M(K, N)\}$  under a mapping system  $\{\eta_{N',N}^K\}$ . These maps  $\eta_{N',N}^K$  induce on the second cohomology groups homomorphism whose kernel is not in general 0; hence it is in general not true that  $H^2(F, E(k)) = Z(\#F)Z$ . For details, see Theorem 2 of a paper by Kawada, forthcoming in Boletim da Sociedade de Matemática de São Paulo.

Our main theorem that a class formation does exist for every  $G_\infty$ , is however true: this is proved by Kawada in the paper just mentioned, using the same family of groups  $M(K, N)$  but taking an inverse limit.

After seeing Kawada's work, one of us has found a correct construction using a direct limit and replacing the  $\{\eta_{N',N}^K\}$  by a different system of maps. This will be explained in a paper to be published elsewhere.

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