

**THE NUMBER OF SOLUTIONS OF A TRINOMIAL  
CONGRUENCE INVOLVING A  $k$ TH POWER  
AND A SQUARE**

BY J. T. CROSS

Communicated by G. B. Huff, September 28, 1962

Let  $K$  denote a finite extension of the rational number field and  $D$  the domain of algebraic integers of  $K$ . Let  $P$  be a prime ideal of  $D$  having norm  $N(P) = p^h = q$ , where  $h$  is a positive integer and  $p$  is an odd rational prime number. This announcement is concerned with the number of solutions of the trinomial congruence,

$$(1) \quad X^k + \alpha Y^2 \equiv \rho \pmod{Pr},$$

where  $\alpha$  and  $\rho$  are in  $D$  with  $\rho$  arbitrary and  $(\alpha, P) = 1$ ,  $r$  is a positive integer,  $k$  is a positive integer such that  $(k, p) = 1$ , and  $d = (k, q-1) > 1$ . Let  $C$  denote an ideal of  $D$  such that  $(P, C) = 1$  and  $PC = (\theta)$  is principal, and let  $b$  be the greatest integer  $n$  such that  $0 \leq n \leq r$  and  $P^n \mid \rho$ . Then we may put

$$(2) \quad \rho \equiv \eta \theta^b \pmod{Pr}, \quad (\eta, P) = 1,$$

where  $\eta$  is uniquely determined  $\pmod{Pr^{-b}}$  if  $b < r$ .

In Theorems 1-8 we give formulas for the number  $Q_r(\rho)$  of solutions of (1). Solvability criteria are obtained as corollaries of these theorems. (We remark that if  $\rho \equiv 0 \pmod{Pr}$ , then (1) has the trivial solution  $(0, 0)$ .) The formulas given in this note follow directly from more general theorems proved for congruences  $\pmod{Pr}$  involving a  $k$ th power and an arbitrary number of squares [2].

If  $r = 1$ , the congruence (1) amounts to an equation in a Galois field of order  $q$ . For discussions of general trinomial congruences in a finite field, particular reference is made to Vandiver [7] who has published several pertinent papers in recent years. A number of authors have considered the special case of (1) with  $r = 1$  and  $K$  the rational field; in particular we mention Frattini [3], E. Lehmer [5], and Manin [6]. For a discussion of trinomial congruences in algebraic number fields, see Cohen's paper [1].

We need the following notation:

$$(3) \quad b = Lk + I \quad (0 \leq I < k); \quad \zeta = (-\alpha/P), \quad \tau = (-\eta/P),$$

where  $(\beta/P)$  denotes the Legendre symbol in  $D$ .

Let  $Q(\eta) = Q_1(\eta)$  denote the number of solutions of

$$(4) \quad X^k + \alpha Y^2 \equiv \eta \pmod{P}, \quad (\eta, P) = 1.$$

Theorems 1-4 and 7-8 below contain explicit formulas for the number of solutions of (1), while Theorems 5 and 6 are reduction formulas which give the number of solutions of (1) in terms of the number of solutions of (4). Theorems 5 and 6 apply if  $d > 2$ ,  $\rho \not\equiv 0 \pmod{P^r}$ ,  $b \equiv 0 \pmod{k}$ , and  $bk$  is even; under these conditions it is not possible to give explicit formulas for  $Q_r(\rho)$ . Davenport and Hasse [4] have shown that  $Q(\eta) \geq q - (d-1)\sqrt{q}$ , a result which we utilize in Corollary 7.

**THEOREM 1.** *If  $k$  is odd and  $r > b \not\equiv 0 \pmod{k}$ , then  $Q_r(\rho)(q^{k-2} - 1)/q^{r-1} = (q-1)(q^{(k/2-1)L+k-2} - 1)$  for  $L$  even,  $I$  odd or for  $L$  even,  $I$  even,  $\tau\zeta = -1$ ;  $(q-1)(q^{(k/2-1)L+k-2} - 1) + 2(q^{k-2} - 1)q^{(k/2-1)L+I/2}$  for  $L$  even,  $I$  even,  $\tau\zeta = 1$ ;  $(q-1)(q^{(k/2-1)(L+1)} - 1)$  for  $L$  odd,  $I$  even, or for  $L$  odd,  $I$  odd,  $\tau\zeta = -1$ ;  $(q-1)(q^{(k/2-1)(L+1)} - 1) + 2(q^{k-2} - 1)q^{(k/2-1)L+I/2}$  for  $L$  odd,  $I$  odd,  $\tau\zeta = 1$ .*

**COROLLARY 1.** *If  $k$  is odd and  $b \not\equiv 0 \pmod{k}$ , then (1) is solvable.*

**THEOREM 2.** *If  $k=2$  and  $r > b \not\equiv 0 \pmod{2}$ , then  $Q_r(\rho)/q^{r-1} = 0$  for  $\zeta = -1$ ;  $2(q-1)(L+1)$  for  $\zeta = 1$ . If  $k$  is even,  $k > 2$ , and  $r > b \not\equiv 0 \pmod{k}$ , then  $Q_r(\rho)(q^{k/2-1} - 1)/q^{r-1} = 0$  for  $I$  odd,  $\zeta = -1$ , or for  $I$  even,  $\zeta = -1 = -\tau$ ;  $2(q-1)(q^{(k/2-1)(L+1)} - 1)$  for  $I$  odd,  $\zeta = 1$ , or for  $I$  even,  $\zeta = 1 = -\tau$ ;  $2q^{(k/2-1)L+I/2}(q^{k/2-1} - 1)$  for  $I$  even,  $\zeta = -1 = \tau$ ;  $2(q-1)(q^{(k/2-1)(L+1)} - 1) + 2q^{(k/2-1)L+I/2}(q^{k/2-1} - 1)$  for  $I$  even,  $\zeta = 1 = \tau$ .*

**COROLLARY 2.** *If  $k$  is even and  $r > b \not\equiv 0 \pmod{k}$ , then (a) If  $I$  is odd, the congruence (1) is insolvable  $\Leftrightarrow \zeta = -1$ .*

(b) *If  $I$  is even, the congruence (1) is insolvable  $\Leftrightarrow \zeta = -1 = -\tau$ .*

**THEOREM 3.** *If  $k = 2$  and  $r > b \equiv 0 \pmod{2}$ , then  $Q_r(\rho)/q^{r-1} = (q-1)(1+2L)$  for  $\zeta = 1$ ;  $q+1$  for  $\zeta = -1$ . If  $d = 2 < k$  and  $r > b \equiv 0 \pmod{k}$ , then*

$$Q_r(\rho)(q^{k/2-1} - 1)/q^{r-1} = (q-1)(q^{(k/2-1)(L+1)} + q^{(k/2-1)L} - 2)$$

for  $\zeta = 1$ ;  $(q+1)q^{(k/2-1)L}(q^{k/2-1} - 1)$  for  $\zeta = -1$ .

**COROLLARY 3.** *If  $d = 2$  and  $b \equiv 0 \pmod{k}$ , then (1) is solvable.*

**THEOREM 4.** *If  $k$  is odd,  $b$  is odd and  $r > b \equiv 0 \pmod{k}$ , then  $Q_r(\rho)(q^{k-2} - 1)/q^{r-1} = (q-1)(q^{(k/2-1)(L+1)} - 1)$  for  $\eta$  not a  $k$ th power  $\pmod{P}$ ;  $(q-1)(q^{(k/2-1)(L+1)} - 1) + dq^{(k/2-1)L+1/2}(q^{k-2} - 1)$  for  $\eta$  a  $k$ th power  $\pmod{P}$ .*

**COROLLARY 4.** *If  $k$  is odd,  $b$  is odd, and  $b \equiv 0 \pmod{k}$ , then (1) is solvable.*

**THEOREM 5.** *If  $k$  is even,  $d > 2$ , and  $r > b \equiv 0 \pmod{k}$ , then  $Q_r(\rho)/q^{r-1} = q^{(k/2-1)L}Q(\eta)$  for  $\zeta = -1$  and*

$$Q_r(\rho)(q^{k/2-1} - 1)/q^{r-1} = q^{(k/2-1)L}\{q^{k/2} + q - 2 + (Q(\eta) - q) \cdot (q^{k/2-1} - 1)\} - 2(q - 1) \text{ for } \zeta = 1.$$

**COROLLARY 5.** *If  $k$  is even,  $d > 2$ , and  $r > b \equiv 0 \pmod{k}$ , then*

- (a) *If  $\zeta = -1$ ,  $Q_r(\rho) = 0 \Leftrightarrow Q(\eta) = 0$ .*
- (b) *If  $\zeta = 1$ ,  $Q_r(\rho) = 0 \Leftrightarrow Q(\eta) = 0$  and  $L = 0$ .*

**THEOREM 6.** *If  $k$  is odd,  $b$  is even and  $r > b \equiv 0 \pmod{k}$ , then*

$$Q_r(\rho)(q^{k-2} - 1)/q^{r-1} = 1 - q + q^{(k/2-1)L}\{q^{k-1} - 1 + (Q(\eta) - q)(q^{k-2} - 1)\}.$$

**COROLLARY 6.** *If  $k$  is odd,  $b$  is even, and  $r > b \equiv 0 \pmod{k}$ , then  $Q_r(\rho) = 0 \Leftrightarrow Q(\eta) = 0$  and  $L = 0$ .*

Since  $Q(\eta) \geq q - (d - 1)\sqrt{q}$ , one obtains from Corollaries 5 and 6,

**COROLLARY 7.** *If  $d > 2$ ,  $bk$  is even, and  $r > b \equiv 0 \pmod{k}$ , then (1) is solvable if  $q > (d - 1)^2$ ; moreover, (1) is solvable for arbitrary  $q$  if  $L \neq 0$  and  $k$  is odd, or if  $L \neq 0$  and  $\zeta = 1$ .*

For completeness, the following formulas in the case  $b = r$  ( $\rho \equiv 0 \pmod{Pr}$ ) are also included.

**THEOREM 7.** *If  $k = 2$  and  $b = r$ , then  $Q_r(\rho)/q^{r-1} = q + (q - 1)r$  for  $\zeta = 1$ ;  $q$  for  $\zeta = -1$ ,  $r$  even;  $1$  for  $\zeta = -1$ ,  $r$  odd. If  $k$  is even,  $k > 2$  and  $b = r$ , then  $Q_r(\rho)/q^{r-1} = q^{(k/2-1)L+1}$  for  $I = 0$ ,  $\zeta = -1$ ;*

$$\begin{aligned} & \{q^{(k/2-1)L}(q^{k/2} + q - 2) - 2(q - 1)\}/(q^{k/2-1} - 1) \text{ for } I = 0, \zeta = 1; \\ & q^{(k/2-1)L+I/2} \text{ for } I \text{ even, } I > 0, \zeta = -1; q^{(k/2-1)L+(I-1)/2} \text{ for } I \text{ odd, } \zeta = -1; \\ & \{q^{(k/2-1)L}(q^{k/2} + q - 2) - 2(q - 1)\}/(q^{k/2-1} - 1) + q^{(k/2-1)L}(q^{I/2} + q - 2) \text{ for } \\ & I \text{ even, } I > 0, \zeta = 1; \{q^{(k/2-1)L}(q^{k/2} + q - 2) - 2(q - 1)\}/(q^{k/2-1} - 1) \\ & + q^{(k/2-1)L}(q^{(I-1)/2} + q - 2) \text{ for } I \text{ odd, } \zeta = 1. \end{aligned}$$

**THEOREM 8.** *If  $k$  is odd and  $b = r$ , then*

$$\begin{aligned} Q_r(\rho)/q^{r-1} &= \{q^{(k/2-1)L}(q^{k-1} - 1) - q + 1\}/(q^{k-2} - 1) \\ &+ q^{(k/2-1)L}(q^{I/2} - 1) \text{ for } L \text{ even, } I \text{ even;} \\ &\{q^{(k/2-1)L}(q^{k-1} - 1) - q + 1\}/(q^{k-2} - 1) + q^{(k/2-1)L}(q^{(I-1)/2} - 1) \text{ for } L \text{ even,} \\ &I \text{ odd;} \{q^{(k/2-1)L}(q^{k-3/2} + q^{k/2} - q^{k/2-1} - q^{1/2}) - q + 1\}/(q^{k-2} - 1) \text{ for } L \text{ odd,} \\ &I = 0; \end{aligned}$$

$$\begin{aligned} & \{q^{(k/2-1)L}(q^{k-3/2} + q^{k/2} - q^{k/2-1} - q^{1/2}) - q + 1\} / (q^{k-2} - 1) \\ & \quad + q^{(k/2-1)L+1/2}(q^{I/2-1} - 1) \text{ for } L \text{ odd, } I \text{ even, } I > 0; \\ & \{q^{(k/2-1)L}(q^{k-3/2} + q^{k/2} - q^{k/2-1} - q^{1/2}) - q + 1\} / (q^{k-2} - 1) \\ & \quad + q^{(k/2-1)L+1/2}(q^{(I-1)/2} - 1) \text{ for } L \text{ odd, } I \text{ odd.} \end{aligned}$$

We now apply the formulas to a few examples, letting  $K$  be the rational field.  $X^3 + Y^2 \equiv 2 \cdot 7^3 \pmod{7^4}$  has 2,058 solutions by Theorem 4;  $X^4 + 2Y^2 \equiv 25 \pmod{125}$  has no solutions by Corollary 2;  $X^4 + Y^2 \equiv 3 \cdot 5^4 \pmod{5^5}$  has 5,000 solutions by Theorem 5;  $X^6 + Y^2 \equiv 6 \cdot 7^6 \pmod{7^7}$  has no solutions by Corollary 5.

#### BIBLIOGRAPHY

1. Eckford Cohen, *Binary congruences in algebraic number fields*, Proc. Nat. Acad. Sci. **42** (1956), 120–122.
2. J. T. Cross, *The number of solutions of certain types of congruences in algebraic number fields*, (to appear).
3. G. Frattini, *Intorno ad un teorema di Lagrange*, Atti Reale Accad. Lincei Rend. **1** (1885), 136–142.
4. H. Davenport and H. Hasse, *Die Nullstellen der Kongruenz-zetafunktionen in gewissen zyklischen Fällen*, J. Reine Angew. Math. **172** (1935), 151–182.
5. Emma Lehmer, *On the number of solutions of  $u^b + D = w^2 \pmod{p}$* , Pacific J. Math. **5** (1955), 103–118.
6. Yu. I. Manin, *On cubic congruences to a prime modulus*, Amer. Math. Soc. Transl. (2) **13** (1960), 1–7.
7. H. S. Vandiver, *On the number of solutions of certain non-homogeneous trinomial equations in a finite field*, Proc. Nat. Acad. Sci. **31** (1945), 170–175.

THE UNIVERSITY OF TENNESSEE AND  
THE UNIVERSITY OF THE SOUTH