

SEMI-GROUPS OF OPERATORS AND THE WEIERSTRASS THEOREM

NELSON DUNFORD AND I. E. SEGAL

In this note we give a short proof of the generalization¹ of M. H. Stone's representation of groups of unitary operators in Hilbert space and show how it yields the theorem of Weierstrass on uniform approximation by polynomials. This classical result in turn yields fairly easily the more recent algebraic-topological formulation of the Weierstrass theorem as given by M. H. Stone for real algebras and by I. Gelfand and G. Šilov² for complex algebras.

For $0 \leq s < \infty$ let T_s be a linear operation in the real or complex Banach space X satisfying the conditions

$$(1) \quad T_{s+t} = T_s T_t, \quad T_0 = I, \quad |T_s| \leq 1, \quad \lim_{s \rightarrow t} T_s x = T_t x, \quad x \in X.$$

Let $A_h = h^{-1}[T_h - I]$ and $D(A)$ be the domain of definition of the operator $Ax \equiv \lim_{h \rightarrow 0} A_h x$.

THEOREM 1. *If T_s , $0 \leq s < \infty$, is a semi-group of operators in X satisfying (1) then $D(A)$ is dense in X and uniformly for s in any finite interval we have*

$$(2) \quad T_s x = \lim_{h \rightarrow 0} e^{sA_h} x, \quad x \in X.$$

Note that $x_s \equiv s^{-1} \int_0^s T_u x du \rightarrow x$ and that

$$A_h x_s = (sh)^{-1} \left[\int_s^{s+h} T_u x du - \int_0^h T_u x du \right] \rightarrow A_s x \quad \text{as } h \rightarrow 0.$$

Thus $D(A)$ is dense in X . Since $|e^{sA_h}| = |e^{(s/h)T_h} e^{-s/h}| \leq e^{s/h} e^{-s/h} = 1$ it suffices to prove (2) for $x \in D(A)$. If $x \in D(A)$ we have

Received by the editors June 10, 1946.

¹ See I. Gelfand, C. R. (Doklady) Acad. Sci. URSS. vol. 25 (1939) pp. 713-718; M. Fukamiya, Proc. Imp. Acad. Tokyo vol. 16 (1940) pp. 262-265; E. Hille, Proc. Nat. Acad. Sci. U.S.A. vol. 28 (1942) pp. 175-178; E. Hille, *ibid.* pp. 421-424. The particular form of the theorem given here is that of E. Hille.

² M. H. Stone, Trans. Amer. Math. Soc. vol. 41 (1937) pp. 375-481; I. Gelfand and G. Šilov, Rec. Math. (Mat. Sbornik) N.S. vol. 9 (1941) pp. 25-39. Our result differs from theirs in applying to spaces which are locally compact, and to subalgebras which are not assumed to contain a unit.

$$\begin{aligned} |T_s x - e^{sA} x| &= \left| \int_0^s \frac{d}{du} (e^{(s-u)A} T_u x) du \right| \\ &= \left| \int_0^s e^{(s-u)A} T_u (Ax - A_h x) du \right| \\ &\leq s |Ax - A_h x| \rightarrow 0, \quad \text{as } h \rightarrow 0, \end{aligned}$$

which completes the proof.³

There is an n -parameter analogue of Theorem 1. Suppose that E_n^+ is the set of points $s = (s_1, \dots, s_n)$ in Euclidean n -space whose coordinates s_i are non-negative. Let $T_s, s \in E_n^+$, be a linear operator in X satisfying (1). Let $h_i = (0, \dots, 0, h, 0, \dots, 0)$ be the vector in E_n^+ with $h > 0$ in the i th place and zeros elsewhere. Let $A(h, i) = h^{-1}[T_{h_i} - I]$ and $D(A_i)$ be the domain of definition of the operator $A_i x = \lim_{h \rightarrow 0} A(h, i)x$.

THEOREM 2. *If $T_s, s \in E_n^+$, is a semi-group of operators in X satisfying (1) then $\bigcap_i D(A_i)$ is dense in X and uniformly for s in a bounded closed set we have*

$$(3) \quad T_s x = \lim_{h \rightarrow 0} \exp (s_1 A(h, 1) + \dots + s_n A(h, n)) x, \quad x \in X.$$

Let C be the cube $0 \leq s_i \leq \delta$ and $x_\delta = \delta^{-n} \int_C T_u x du$. Then $x_\delta \rightarrow x$ as $\delta \rightarrow 0$. Just as before it follows that $x_\delta \in \bigcap_i D(A_i)$ so that this set is dense in X . Since $\exp (\sum_i s_i A(h, i)) = \prod_i \exp (s_i A(h, i))$ equation (3) follows from Theorem 1.

THEOREM 3. *Every real or complex continuous function $x(s)$ defined on a bounded closed subset E of Euclidean space is the uniform limit of polynomials in the coordinates s_1, \dots, s_n of s .*

Let X be the Banach space of real or complex bounded uniformly continuous functions $x(\lambda)$ defined on E_n ($|x| \equiv \sup |x(\lambda)|$). We may and shall assume that $E \subset E_n^+$ and that the function $x(s) = x$ of the theorem is a point of X . For $s \in E_n^+$ define $T_s x = x(\lambda + s)$. By (3) then we have uniformly for $\lambda \in E_n$ and $s \in E$

$$x(\lambda + s) = \lim_{h \rightarrow 0} \sum_{\nu=0}^{\infty} \frac{1}{\nu!} (s_1 A(h, 1) + \dots + s_n A(h, n))^\nu x.$$

Since $A(h, i)x$ is independent of s and the series $\sum_{\nu=0}^{\infty}$ converges uniformly for $s \in E$ the desired conclusion follows by placing $\lambda = 0$.

³ In case T_s is continuous in s in the uniform topology of operators, obvious modifications of the above proof show that A is a bounded operator with $|A_h - A| \rightarrow 0$ and $T_s = e^{sA}$.

THEOREM 4. *Let \mathfrak{A} be a closed (in the topology $|x| = \sup|x(\gamma)|$) sub-algebra of the Banach algebra $C(\Gamma)$ of all continuous (real or complex) functions on the locally compact space which vanish at infinity.⁴ In the case of the complex algebra, suppose also that if $x \in \mathfrak{A}$ then there exists a y in \mathfrak{A} such that $\bar{x}(\gamma) = y(\gamma)$.⁵ If for every $\gamma_1 \neq \gamma_2$ there is an $x \in \mathfrak{A}$ such that $x(\gamma_1) \neq 0$, $x(\gamma_2) = 0$, then $\mathfrak{A} = C(\gamma)$.*

To begin with, suppose that the algebra is real and that Γ is compact. In view of the known form of the general linear functional on $C(\Gamma)$, it suffices to show that a regular countably additive numerically-valued set function μ on the Borel sets of Γ is identically zero provided

$$(4) \quad \int_{\Gamma} x(\gamma) d\mu(\gamma) = 0, \quad x \in \mathfrak{A}.$$

Let Γ_1 be Γ with the neighborhood system defined for $\epsilon > 0$ and $x_i \in \mathfrak{A}$ with $x_i(\gamma_0) = 0$ by

$$(5) \quad N(\gamma_0) = [\gamma \mid |x_i(\gamma)| < \epsilon, i = 1, \dots, k].$$

Such neighborhoods are, by the continuity of x_i , open in Γ and hence Γ_1 is compact. By hypothesis these neighborhoods separate points and since a continuous 1-1 map between compact spaces is a homeomorphism, we see that the neighborhoods $N(\gamma_0)$ form a basis for Γ . Let $F(s) = F(s_1, \dots, s_n)$ be a bounded uniformly continuous function defined for $s = (s_1, \dots, s_n) \in E_n$. Let x_1, \dots, x_n be elements of \mathfrak{A} . If $F(x_1, \dots, x_n)$ is defined by the equation

$$F(x_1, \dots, x_n)(\gamma) = F(x_1(\gamma), \dots, x_n(\gamma)), \quad \gamma \in \Gamma,$$

it is plain that $F(x_1, \dots, x_n) \in C(\Gamma)$. If $F(s)$ coincides with a polynomial (with $F(0) = 0$) in s_1, \dots, s_n on the set $(x_1(\Gamma), \dots, x_n(\Gamma))$, then clearly $F(x_1, \dots, x_n) \in \mathfrak{A}$. But since \mathfrak{A} is closed, Theorem 3 shows that $F(x_1, \dots, x_n) \in \mathfrak{A}$ for an arbitrary continuous function $F(s)$ such that $F(0) = 0$. Let N be the neighborhood in E_n defined by the inequalities $|s_i| < \epsilon$, $i = 1, \dots, n$, and let $\Phi(s)$ be the characteristic function of its complement. Clearly $(x_1(\gamma), \dots, x_n(\gamma)) \in N$ if and only if γ is in the neighborhood $N(\gamma_0)$ given by (5). Let $F_\nu(s)$ be a uniformly bounded sequence of continuous functions such that $F_\nu(s) \rightarrow \Phi(s)$, $s \in E_n$. Then $F_\nu(x_1, \dots, x_n)(\gamma)$ approaches 1 for $\gamma \notin N(\gamma_0)$ and 0 for $\gamma \in N(\gamma_0)$ and so

⁴ A function x vanishes at infinity if for $\epsilon > 0$ there is a compact set outside of which $|x(\gamma)| < \epsilon$.

⁵ $\bar{x}(\gamma)$ is the complex conjugate of $x(\gamma)$.

$$\mu(\Gamma - N(\gamma_0)) = \lim_v \int_{\Gamma} F_v(x_1, \dots, x_n)(\gamma) d\mu(\gamma) = 0,$$

from which it readily follows that $\mu = 0$.

In case \mathfrak{A} is complex the proof is the same with the exception that $N(\gamma_0)$ is defined by

$$N(\gamma_0) = [\gamma \mid |x_i(\gamma)|^2 < \epsilon, i = 1, 2, \dots, n].$$

The proof for the case of Γ locally compact and not compact can be reduced to the case of Γ compact by compactification with a single point and addition of the constants to \mathfrak{A} , with removal of the point and the constants after the result just obtained has been applied.

YALE UNIVERSITY AND
INSTITUTE FOR ADVANCED STUDY