

MAXIMUM OF CERTAIN FUNDAMENTAL LAGRANGE INTERPOLATION POLYNOMIALS¹

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This note extends some of the results obtained in a previous paper² which we shall designate as I. The notations are the same.

We are concerned with the polynomials

$$l_k^{(n)}(x) \equiv \frac{\phi_n(x)}{\phi_n'(x_k)(x - x_k)}, \quad k = 1, 2, \dots, n,$$

where $\phi_n(x) \equiv (x - x_1)(x - x_2) \cdots (x - x_n)$ is the Jacobi polynomial of degree n which satisfies the differential equation $(1 - x^2)\phi_n''(x) + [\alpha - \beta - (\alpha + \beta)x]\phi_n'(x) + n(n + \alpha + \beta - 1)\phi_n(x) = 0$. The parameters α, β are positive and n is a positive integer. It is known that $-1 < x_n < x_{n-1} < \cdots < x_1 < 1$. Throughout the paper, x is always restricted to the interval $-1 \leq x \leq 1$.

It was shown in I, for example, that, if $\alpha = \beta = \frac{3}{2}$, $\max |l_k^{(n)}(x)| < 2$ and $l_1^{(n)}(1) \rightarrow 2$ as $n \rightarrow \infty$.

Now we use³

$$\phi_n(1) = \frac{2^n \Gamma(n + \beta) \Gamma(n + \alpha + \beta - 1)}{\Gamma(\beta) \Gamma(2n + \alpha + \beta - 1)}$$

and the asymptotic expressions⁴

$$\begin{aligned} \phi_n(\cos \theta) &= \frac{2^n \Gamma(n + 1) \Gamma(n + \alpha + \beta - 1)}{(\pi n)^{1/2} \Gamma(2n + \alpha + \beta - 1)} \left(\sin \frac{\theta}{2} \right)^{1/2 - \beta} \left(\cos \frac{\theta}{2} \right)^{1/2 - \alpha} \\ &\quad \cdot \left\{ \cos [N\theta - (2\beta - 1)\pi/4] + (n \sin \theta)^{-1} O(1) \right\}, \\ \phi_n(\cos \theta) &= \frac{2^n \Gamma(n + 1) \Gamma(n + \alpha + \beta - 1)}{\Gamma(2n + \alpha + \beta - 1)} \left(\sin \frac{\theta}{2} \right)^{1 - \beta} \left(\cos \frac{\theta}{2} \right)^{1 - \alpha} \\ &\quad \cdot \left\{ \frac{\Gamma(n + \beta)}{\Gamma(n + 1)} \left(\frac{\theta}{\sin \theta} \right)^{1/2} \frac{J_{\beta-1}(N\theta)}{N^{\beta-1}} + \theta^{1/2} O(n^{-3/2}) \right\}, \end{aligned}$$

where $N = n + (\alpha + \beta - 1)/2$, $cn^{-1} \leq \theta \leq \pi - \epsilon$, c, ϵ positive constants and

¹ Presented to the Society, April 13, 1940.

² M. Webster, *Note on certain Lagrange interpolation polynomials*, this Bulletin, vol. 45 (1939), pp. 870-873.

³ C. Winston, *On mechanical quadratures formulae involving the classical orthogonal polynomials*, Annals of Mathematics, (2), vol. 35 (1934), pp. 658-677.

⁴ G. Szegő, *Orthogonal Polynomials*, American Mathematical Society Colloquium Publications, vol. 23, 1939, pp. 191-192, 121, 123.

where $J_m(x)$ is Bessel's function of order m . Since $\phi_n'(x; \alpha, \beta) = n\phi_{n-1}(x; \alpha+1, \beta+1)$, these yield immediately the following results:

LEMMA. For x_k such that $-1 + \epsilon \leq x_k \leq 1 - \epsilon$ and $|x - x_k| \geq \epsilon' > 0$, $\max |l_k^{(n)}(x)| \rightarrow 0$ as $n \rightarrow \infty$ even if $x \rightarrow \pm 1$ ($\alpha, \beta < \frac{3}{2}$; $\epsilon, \epsilon' > 0$).

THEOREM 1. For x_k such that $-1 + \epsilon \leq x_k \leq 1 - \epsilon$ and $|x - x_k| \geq \epsilon' > 0$, $\max |l_k^{(n)}(x)| = O(n^\gamma)$ as $n \rightarrow \infty$ where $\max(\alpha, \beta) = \gamma > \frac{3}{2}$; $\epsilon, \epsilon' > 0$. The exponent γ cannot be decreased.

The method used in the proof of Theorem 5 in I really gives the following slightly stronger result:

THEOREM 2. If $-1 + \epsilon \leq x_k \leq 1 - \epsilon$, $-1 + \epsilon' \leq x \leq 1 - \epsilon'$, $\max |l_k^{(n)}(x)| \rightarrow 1$ as $n \rightarrow \infty$ ($\epsilon, \epsilon' > 0$).

Combining Theorem 2 and the lemma, we obtain the following:

THEOREM 3. For x_k such that $-1 + \epsilon \leq x_k \leq 1 - \epsilon$, $\max |l_k^{(n)}(x)| \rightarrow 1$ as $n \rightarrow \infty$ ($\alpha, \beta < \frac{3}{2}$, $\epsilon > 0$).

This result is a considerable improvement over Theorem 5 in I. Moreover, if the hypothesis $-1 + \epsilon \leq x_k \leq 1 - \epsilon$ is removed, the theorem is not true as Erdős and Grünwald⁵ showed in case $\alpha = \beta = \frac{1}{2}$. In view of Theorems 1, 4, 5, 6, the restriction $\alpha, \beta < \frac{3}{2}$ is also necessary. In particular, this theorem holds for the case of Tschebycheff ($\alpha = \beta = \frac{1}{2}$) and Legendre ($\alpha = \beta = 1$) polynomials.

THEOREM 4. If $\alpha = \beta = \frac{3}{2}$ and $x_k \rightarrow t$ as $n \rightarrow \infty$, then $\max |l_k^{(n)}(x)| \rightarrow 1 + |t|$ as $n \rightarrow \infty$ ($-1 \leq t \leq 1$). This is also an upper bound if $|x_k| < |t|$ at least for large values of n .

PROOF. It was shown in I that $l_k^{(n)}(1) = 1 + x_k$ and for $x_{k+1} \leq x \leq x_{k-1}$, $\max |l_k^{(n)}(x)| < 1.87$. Since (I) $\max |l_k^{(n)}(x)|$ is attained either between x_{k+1} and x_{k-1} or at $x = \pm 1$, the theorem is valid for $t = 1$ and, by symmetry, for $t = -1$.

If $|t| < 1$, the preceding paragraph and Theorem 2 complete the proof. In fact, $\max |l_k^{(n)}(x)| = 1 + |x_k|$ at least for large n .

The next two theorems are obtained in a similar manner.

THEOREM 5. If $\alpha = \frac{1}{2}$, $\beta = \frac{3}{2}$ and $x_k \rightarrow t$ as $n \rightarrow \infty$, then $\max |l_k^{(n)}(x)| \rightarrow 4/\pi$ if $t = -1$, 1 if $-1 < t \leq -\frac{1}{2}$, $(2(1+t))^{1/2}$ if $-\frac{1}{2} \leq t \leq 1$.

THEOREM 6. If $\alpha = \frac{3}{2}$, $\beta = \frac{1}{2}$ and $x_k \rightarrow t$ as $n \rightarrow \infty$, then $\max |l_k^{(n)}(x)| \rightarrow [2(1-t)]^{1/2}$ if $-1 \leq t \leq \frac{1}{2}$, 1 if $\frac{1}{2} \leq t < 1$, $4/\pi$ if $t = 1$.

⁵ Erdős and Grünwald, *Note on an elementary problem of interpolation*, this Bulletin, vol. 44 (1938), pp. 515-518.

The $\max |l_1^{(n)}(x)|$ is attained at $x = \pm 1$ since⁴ (I) $\theta_{k+1} - \theta_k \leq 2\pi / (2n + \alpha + \beta - 1)$ provided $\frac{1}{2} \leq \alpha, \beta \leq \frac{3}{2}$ and $x_k \equiv \cos \theta_k$. Using the second asymptotic formula and the fact⁴ that $n\theta_k \rightarrow j_k$ as $n \rightarrow \infty$ where j_k is the k th positive zero of $J_{\beta-1}(x)$, we find that

$$|l_k^{(n)}(1)| \rightarrow (\frac{1}{2}j_k)^{\beta-2} |\Gamma(\beta)J_\beta(j_k)|^{-1} \quad \text{as } n \rightarrow \infty, k \text{ constant,}$$

$l_1^{(n)}(-1) \rightarrow 0$ which proves the theorem:

THEOREM 7. $\max |l_1^{(n)}(x)| \rightarrow (\frac{1}{2}j_1)^{\beta-2} |\Gamma(\beta)J_\beta(j_1)|^{-1}$ as $n \rightarrow \infty$ (where $\frac{1}{2} \leq \alpha, \beta \leq \frac{3}{2}, j_1$ is first positive zero of $J_{\beta-1}(x)$).

A similar result holds for $l_n^{(n)}(x)$ if β is replaced by α .

For Legendre polynomials ($\alpha = \beta = 1$) this limit is approximately 1.602. For $\alpha = \beta = \frac{1}{2}$ and $\alpha = \beta = \frac{3}{2}$ the limit of Theorem 7 is also an upper bound for $\max |l_1^{(n)}(x)|$ and $\max |l_k^{(n)}(x)|$. Whether this is true, in general, remains unanswered.

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AN INVARIANCE THEOREM FOR SUBSETS OF S^{n-1}

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The purpose of this paper is to establish the following.

INVARIANCE THEOREM. *Let A and B be two homeomorphic subsets of the n -sphere S^n . If the number of components of $S^n - A$ is finite, then it is equal to the number of components of $S^n - B$.*

In the case when A and B are closed this theorem is a very well known consequence of Alexander's duality theorem and its generalizations. In our case we also derive our result as a consequence of a duality theorem. However, the duality is established only for the dimension $n - 1$.

Given a metric space X we shall say that Γ^k is a k -cycle in X if there is a compact subset A of X such that Γ^k is a k -dimensional convergent (Vietoris) cycle in A with coefficients modulo 2. We shall write $\Gamma^k \sim 0$ if $\Gamma^k \sim 0$ holds in some compact subset of X . The homology group of X obtained this way will be denoted by $\mathcal{H}^k(X)$; the corresponding connectivity number, by $p^k(X)$. The number $p^k(X)$ can be either finite or ∞ .

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