

ON APPROXIMATION BY POLYNOMIALS TO A  
FUNCTION ANALYTIC IN A SIMPLY  
CONNECTED REGION\*

BY O. J. FARRELL

In a previous paper† the writer studied expansions in series of polynomials of a function  $f(z)$  analytic in a limited simply connected region  $G$  where  $f(z)$  is known either to be bounded in  $G$  or such that the double integral over  $G$  of the  $p$ th power ( $p > 0$ ) of the modulus of  $f(z)$  exists.‡ The present note contains an extension of each of the two theorems obtained in the earlier paper. The extended theorems now read as follows.

**THEOREM A.** *Let  $G$  be a limited simply connected region of the  $z$  plane. Then in order that corresponding to every function  $f(z)$  analytic and bounded in  $G$  there shall exist a sequence of polynomials  $\{p_n(z)\}$  which converge to  $f(z)$  in  $G$  as  $n \rightarrow \infty$  and at the same time such that*

$$(1) \quad \overline{\lim}_{n \rightarrow \infty} [ |p_n(z)|, z \text{ in } G ] \leq \overline{\text{bound}} [ |f(z)|, z \text{ in } G ],$$

*it is necessary and sufficient that the boundary of  $G$  be also the boundary of an infinite region.*

**THEOREM B.** *In the  $z$  plane let  $G$  be a limited simply connected region whose boundary is also the boundary of an infinite region. Let  $f(z)$  be analytic in  $G$  and such that*

$$(2) \quad \iint_G |f(z)|^p dS, \quad (p > 0),$$

*exists. Then there exists a sequence of polynomials  $\{p_n(z)\}$  such that*

\* Presented to the Society, September 4, 1934.

† This Bulletin, vol. 40 (1934), pp. 908–914.

‡ The writer is indebted to Professor J. L. Walsh for having suggested a study of these two problems and also to Professor Torsten Carleman for sending a reprint of his paper on approximation to analytic functions by linear aggregates of prescribed powers (Arkiv för Matematik, Astronomi och Fysik, vol. 17 (1923), pp. 1–30).

$$(3) \quad \lim_{n \rightarrow \infty} \int \int_G |f(z) - p_n(z)|^p dS = 0.$$

It will be noticed that in the first of these theorems we no longer say that there exist polynomials  $\{p_n(z)\}$  which converge to  $f(z)$  continuously in  $G$ , but merely that there exist polynomials  $\{p_n(z)\}$  which converge to  $f(z)$  in  $G$ . For if polynomials  $\{p_n(z)\}$  converge to  $f(z)$  in  $G$  so that (1) holds, these polynomials are uniformly bounded in  $G$  and thus form in  $G$  a normal family of analytic functions from which can be chosen a subsequence converging to  $f(z)$  continuously in  $G$ . Hence, whenever there exists a sequence  $\{p_n(z)\}$  converging to  $f(z)$  in  $G$  so that (1) holds, there exists also a sequence which fulfills (1) and converges to  $f(z)$  continuously in  $G$ . It will be seen too that in the second theorem we no longer say that there exist polynomials  $\{p_n(z)\}$  which converge to  $f(z)$  continuously in  $G$  and for which (3) holds, but merely that there exist polynomials  $\{p_n(z)\}$  for which (3) holds. This is because we have since found in the literature a lemma by Walsh\* giving assurance that if (3) holds, the polynomials  $\{p_n(z)\}$  do converge to  $f(z)$  continuously in  $G$ , so that specific mention of the convergence may be omitted.

The proof of Theorems A and B requires only a slight modification of the proof of the two corresponding theorems in the previous paper. This modification is brought about by observing that if  $G$  is any limited simply connected region whose boundary also bounds an infinite region, then there exists a sequence of regions  $\{G_n\}$ , each of which is a Jordan region lying interior to its predecessor and which are all such that the sequence  $\{G_n\}$  converges to  $G$  as kernel.† If we use such a sequence of regions  $\{G_n\}$ , the proofs of Theorems 1 and 2 of the previous paper apply to Theorems A and B, respectively, of the present note. It is to be remarked, however, that uniform approximation to  $f_n(z)$  or  $F_n(z)$  in  $\bar{G}$  by a polynomial with arbitrarily small error does not now follow directly from the theorem of Walsh that was

\* Transactions of this Society, vol. 33 (1931), pp. 370–388, Lemma on p. 387.

† Compare Carathéodory, *Mathematische Annalen*, vol. 72 (1912), pp. 107–144, Chapter 3; or Walsh, *Transactions of this Society*, vol. 32 (1930), pp. 335–390, proof of Theorem X.

used before, but does follow indirectly from it, since  $f_n(z)$  or  $F_n(z)$ , being analytic in the closed Jordan region  $\overline{G}_{n+1}$ , can be uniformly approximated with arbitrarily small error in  $\overline{G}_{n+1}$  by a polynomial, and hence can be so approximated in  $\overline{G}$ . Indeed, Runge's classical theorem on polynomial approximation could be applied here and for that matter could have been used in the previous paper. For the closed region  $\overline{G}$  is interior to every region  $G_n$  and hence the function  $f_n(z)$  or  $F_n(z)$  can be approximated as closely as desired in  $\overline{G}$  by a polynomial in  $z$ .

The proof of Theorem B and the proof of the sufficiency of the condition of Theorem A are the same from this point on as for the corresponding theorems in the earlier paper. And the proof of the necessity of the condition of Theorem A is also contained there in §5 as "A Remark on Theorem 1."

The writer hopes in a forthcoming paper to be able to determine the most general type of limited simply connected region to which Theorem B can be extended. That *this theorem does not hold for an arbitrary finite simply connected region* is shown by the following simple example.

Let  $G$  be taken as the region bounded by the two circles  $|z|=a$ ,  $|z|=b$ ,  $b>a$ , and by the line segment  $a \leq z \leq b$ . Let  $f(z)=1/z$ . Denote by  $Q$  the doubly connected region bounded by the two circles. If now there existed a positive number  $p$  together with a sequence of polynomials  $\{p_n(z)\}$  such that

$$\lim_{n \rightarrow \infty} \iint_G |p_n(z) - 1/z|^p dS = 0,$$

it would follow that

$$(4) \quad \lim_{n \rightarrow \infty} \iint_Q |p_n(z) - 1/z|^p dS = 0.$$

Consequently the polynomials  $\{p_n(z)\}$  would converge\* to  $1/z$  in  $Q$  and the convergence would be uniform on any closed point set lying in  $Q$ , say on a circle  $|z|=c$ ,  $a < c < b$ . Hence, the polynomials  $\{p_n(z)\}$  would converge uniformly on and within the circle  $|z|=c$  to a limit function analytic within this circle. But

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\* This convergence would follow by the lemma of Walsh to which reference was made above in the paragraph immediately following the statement of Theorem B.

such a function could not be equal to  $1/z$  in the ring region between the circles  $|z| = a$  and  $|z| = c$ .

The main feature of this example is the use of the lemma of Walsh whereby we know that if in a finite region  $R$  we have a sequence of polynomials  $\{p_n(z)\}$  for which

$$\lim_{n \rightarrow \infty} \iint_R |p_n(z) - f(z)|^p dS = 0, \quad (p > 0),$$

where  $f(z)$  is a given function analytic in  $R$ , then the polynomials  $\{p_n(z)\}$  converge to  $f(z)$  continuously in  $R$ . The converse is not always true, as was shown by an example in §4 of our previous paper. There is, however, a qualified form of converse which does hold for an arbitrary limited region and for an arbitrary function analytic therein. We may state this result as follows.

**THEOREM C.** *Let  $R$  be a limited region of the  $z$  plane, and let  $f(z)$  be analytic in  $R$  and such that*

$$\iint_R |f(z)|^p dS, \quad (p > 0),$$

*exists. If polynomials  $\{p_n(z)\}$  exist which converge to  $f(z)$  continuously in  $R$  and for which*

$$\lim_{n \rightarrow \infty} \iint_R |p_n(z)|^p dS = \iint_R |f(z)|^p dS,$$

*then*

$$\lim_{n \rightarrow \infty} \iint_R |f(z) - p_n(z)|^p dS = 0.$$

The proof of this theorem is already contained in the latter part of the proof of Theorem 2 in our previous paper.

We close this note with a result closely connected with Theorem A.

**THEOREM D.** *Let  $G$  denote a limited simply connected region whose boundary does not bound an infinite region. Let  $B$  denote the boundary of the infinite region among the regions into which the closed region  $\bar{G}$  separates the plane, and let  $\Gamma$  denote the region (also simply connected) consisting of all the points which can be joined to an arbitrary fixed point of  $G$  by a Jordan arc containing*

no point of  $B$ . Let  $f(z)$  be analytic and bounded in  $G$ . A necessary and sufficient condition for the existence of polynomials  $\{p_n(z)\}$  which converge to  $f(z)$  in  $G$  so that (1) holds is that there exist a function analytic and bounded in  $\Gamma$  and equal to  $f(z)$  in  $G$ .

The proof of this theorem is much the same as for Theorem A taken together with the remark of §5 in the earlier paper and is therefore omitted.

The conclusion of Theorem D simply means of course that  $f(z)$  shall be analytically extensible throughout  $\Gamma$ .

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## A GENERALIZED PARSEVAL'S RELATION

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A function  $\phi(x)$  which is non-negative, convex, and satisfies the conditions  $\phi(0) = 0$  and  $(\phi(x)/x) \rightarrow \infty$  as  $x \rightarrow \infty$  will be called a Young's function. Given such a function  $\phi(x)$ , a second function,  $\psi(x)$ , with the same properties can be found such that Young's inequality,  $ab \leq \phi(a) + \psi(b)$ , holds for every  $a, b \geq 0$ . The functions  $\phi(x)$  and  $\psi(x)$  are then said to be complementary in the sense of Young.†

If  $x(t)$  is such that  $\int_a^b \phi(|x|) dt$  exists,  $x(t)$  is said to belong to the space  $L_\phi(a, b)$ . This space is not necessarily linear.‡ For this reason we denote by  $L_\phi^*(a, b)$  the class of all functions  $x(t)$ ,  $a \leq t \leq b$ , such that the product  $x(t)y(t)$  is integrable for every  $y(t) \in L_\psi(a, b)$ . If we put

$$\|x\|_\phi = \sup_y \left| \int_a^b x(t)y(t) dt \right|$$

for all  $y(t)$  with

$$\rho_y \equiv \int_a^b \psi(|y|) dt \leq 1,$$

then  $L_\phi^*$  is a linear metric, and complete space.§ A function

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† W. H. Young, Proceedings Royal Society, (A), vol. 87 (1912), pp. 225-229.

‡ W. Orlicz, Über eine gewisse Klasse von Räumen vom Typus B, Bulletin, Académie Polonaise, (A), Cracovie (1932).

§ A. Zygmund, Trigonometrical Series, 1935, pp. 95-100.