

ANALYTIC STUDY OF RATIONAL QUINTIC SURFACES HAVING NO MULTIPLE CURVES

BY H. N. HUBBS

1. *Introduction.* The purpose of this paper is to derive the equations of certain of the rational quintic surfaces without multiple curves discussed synthetically by Montesano.* The equations of the surfaces are found by applying Cremona transformations to certain well known rational surfaces of order three or four.

2. *Surface of Order Five with Four Triple Points.* This surface is the transform by the cubic transformation T_{tet} † of a general cubic surface ϕ_3 through the vertices of the tetrahedron. The equation of the surface is

$$\begin{aligned} \phi_5 \equiv & y_1^2 [y_2^2 u + y_3^2 u' + y_4^2 u'' + A y_2 y_3 y_4] \\ & + y_1 [y_4^2 \phi_2 + y_2 y_3 (B y_3 y_4 + y_2 u''')] \\ & + y_2 y_3 y_4 [C y_3 y_4 + D y_2 y_4 + E y_2 y_3] = 0, \end{aligned}$$

where u, u', u'', u''' are linear in $(y_3, y_4), (y_2, y_4), (y_2, y_3), (y_3, y_4)$, respectively, and ϕ_2 is quadratic in (y_2, y_3) , and where A, B, C, D and E are constants. The points whose coordinates are $(1, 0, 0, 0), (0, 1, 0, 0), (0, 0, 1, 0), (0, 0, 0, 1)$ are triple points, with non-composite tangent cones at each of the points.

3. *The Surface ϕ_5 with Three Ordinary Triple Points and a Tacnode.* The surface ϕ_5 is the transform by T_{tet} of a quartic surface with a double conic passing through three of the vertices of the tetrahedron and having the fourth vertex at a general point of the surface. The section of ϕ_5 by a plane through the tacnode and two triple points is a straight line and a pair of conics passing through these points.

The equation of ϕ_4 with a double conic is

$$\begin{aligned} \phi_4 \equiv & [\sum a_i x_j x_k]^2 - 4x_4^2 [\psi_2 + x_4 \psi_1] = 0, \\ & (i, j, k = 1, 2, 3, 4, \dots, i \neq j \neq k), \end{aligned}$$

* Montesano, Napoli Rendiconti, (3), vol. 7 (1901), pp. 67-106.

† Hudson, *Cremona Transformations in Plane and Space*, Cambridge University Press, 1927, pp. 301-303.

where ψ_1 and ψ_2 are linear and quadratic, respectively, in the variables (x_1, x_2, x_3) . The surface ψ_5 is

$$\phi_5 \equiv y_4^3 \phi_1^2 - 4y_4\psi_4 - 4y_1y_2y_3\phi_2 = 0,$$

where ϕ_1 is linear in (y_1, y_2, y_3) , ψ_4 is quadratic, and ϕ_2 linear in (y_2y_3, y_1y_3, y_1y_2) . The point $(0, 0, 0, 1)$ is a tacnode on ϕ_5 , and $(0, 0, 1, 0)$, $(0, 1, 0, 0)$, $(1, 0, 0, 0)$ are triple points with non-composite tangent cones.

4. *The Surface ϕ_5 with Three Ordinary Triple Points and a Tacnode, the Tacnode lying with one of the Triple Points on a Line of ϕ_5 Situated in the Tangent Plane at the Tacnode.* This surface is the transform by T_{tet} of a rational surface ϕ_4 of order four, having a double line and two double points in a plane through the double line. One fundamental point of the transformation is on the double line, two at the double points, and the fourth a general point of ϕ_4 . The plane of the double points and double line is tangent to ϕ_4 along the line joining the double points. The transform of ϕ_4 by T_{tet} is

$$\phi_5 \equiv (y_2 - y_1)^2 y_4^3 + (y_2 - y_1)^2 y_4 \psi_2 + y_4 \psi_4 + \psi_5 = 0,$$

where ψ_2 , ψ_4 , and ψ_5 are forms in (y_1, y_2, y_3) of the order of their subscripts. The triple points are then $(1, 0, 0, 0)$, $(0, 1, 0, 0)$, $(0, 0, 1, 0)$, and the tacnode is $(0, 0, 0, 1)$.

5. *The Surface ϕ_5 with Two Triple Points and Two Tacnodes.* A space Cremona transformation of order three is defined by the web of surfaces of order three passing through three fixed conics k_2, k_2', k_2'' which lie in distinct planes, have one point in common, and meet by pairs in three points. The conjugate system is defined by cubic surfaces having in common three non-concurrent coplanar lines and a space cubic curve meeting each line once.

The inverse of this transformation carries a quadric surface through one of the lines and the intersection of the other two into a surface ψ_5 of order five having two of the fundamental conics as double curves and the third a simple curve. The transformation T_{tet} with fundamental points at the intersections of these conics carries ψ_5 into a surface ϕ_5 which has two triple points and two tacnodes. The tacnodes are the images of the double conics of ψ_5 .

The equation ϕ_5 obtained by the above procedure is

$$\begin{aligned} \phi_5 \equiv & y_4^2 [y_2 y_3 u_1 + A y_1 y_3 u_2 + B y_1 y_2 u_3 + C y_1 y_2 y_3 \\ & + u_1 (D y_3 u_2 + D y_2 u_3 + E y_2 y_3)] \\ & + y_4 [y_2 y_3 u_4 + A y_1 y_3 u_5 + B y_1 y_2 u_6 + u_1 (F y_3 u_5 + D y_2 u_6) \\ & + u_4 (F y_3 u_2 + D y_2 u_3 + E y_2 y_3)] + u_4 u_5 u_6 = 0, \end{aligned}$$

where

$$\begin{aligned} u_1 &\equiv b_4 y_2 + \frac{b_3 c_4}{c_2} y_3, & u_4 &\equiv y_2 (b_1 y_1 + b_3 y_3) + \frac{b_3 c_1}{c_2} y_1 y_3, \\ u_2 &\equiv a_4 y_1 + \frac{a_3 c_4}{c_1} y_3, & u_5 &\equiv y_1 (a_2 y_2 + a_3 y_3) + \frac{a_3 c_2}{c_1} y_2 y_3, \\ u_3 &\equiv a_4 y_1 + \frac{a_2 b_4}{b_1} y_2, & u_6 &\equiv y_1 (a_2 y_2 + a_3 y_3) + \frac{a_2 b_3}{b_1} y_2 y_3; \end{aligned}$$

and the double conics of the first transformation are

$$\begin{aligned} x_1 &= 0, & \sum a_i x_j x_k &= 0, & (i, j, k = 2, 3, 4, \dots, i \neq j \neq k), \\ x_2 &= 0, & \sum b_i x_j x_k &= 0, & (i, j, k = 1, 3, 4, \dots, i \neq j \neq k), \\ x_3 &= 0, & \sum c_i x_j x_k &= 0, & (i, j, k = 1, 2, 4, \dots, i \neq j \neq k). \end{aligned}$$

The points $(0, 1, 0, 0)$ and $(0, 0, 1, 0)$ are tacnodes and the points $(1, 0, 0, 0)$, $(0, 0, 0, 1)$ are triple points. The line $y_2 = 0$, $y_3 = 0$ lies on the surface.

6. The Surface ϕ_5 with One Triple Point and Three Tacnodes.

The inverse of the first transformation of §5 carries a quadric surface through the intersections of the fundamental straight lines into a surface ψ_6 of order six having the fundamental conics as double curves, their common intersection a four-fold point, and the three points of intersection of these conics by pairs three-fold points. The transformation T_{tet} , with fundamental points at these multiple points, carries ψ_6 into a ϕ_5 with one triple point and three tacnodes. The procedure described above gives

$$\begin{aligned} \phi_5 \equiv & y_4^2 [y_1 y_2 y_3 + u_1 (y_3 u_2 + y_2 u_3 + y_2 y_3) \\ & + y_1 u_2 (u_3 + y_3) + y_1 y_2 u_3] + y_4 [u_1 (y_3 u_5 + y_2 u_6) \\ & + u_4 (y_3 u_2 + y_2 u_3 + y_2 y_3) + y_1 u_2 u_6 + y_1 u_5 (u_3 + y_3) \\ & + y_1 y_2 u_6] + u_4 (y_3 u_5 + y_2 u_6) + y_1 u_5 u_6 = 0, \end{aligned}$$

where u_i are as indicated in §5. The point $(0, 0, 0, 1)$ is an ordinary triple point and the points $(1, 0, 0, 0)$, $(0, 1, 0, 0)$, $(0, 0, 1, 0)$ are tacnodes. The tangent cones at the tacnodes are, respectively,

$$(a_2y_2 + a_3y_3 + a_4y_4)^2 = 0, \quad (b_1y_1 + b_3y_3 + b_4y_4)^2 = 0, \\ (c_1y_1 + c_2y_2 + c_4y_4)^2 = 0.$$

7. *The Surface ϕ_5 with an Ordinary Triple Point and a Tacnodal Triple Point.* A Cremona transformation is defined by the web of quadric surfaces containing a fixed conic and an arbitrary point. A special case of this transformation arises when the point is on the fixed conic.

If the conic of this web is tangent to a rational quartic surface with a double line at a general point of the line, the transformation defined by the web carries the quartic surface into a quintic having an ordinary triple point and a triple point with an adjacent infinitesimal double line,* or tacnodal triple point, both lying on the fundamental conic.

The equation of the quintic obtained by the above transformation is

$$\phi_5 \equiv y_4^2 y_3^2 \psi_1(y_1, y_2) + y_4 y_3 \phi_1(y_1, y_2, y_3) \cdot \phi_2(y_1, y_2) + y_3^2 y_1 \psi_2(y_1, y_2) \\ + y_3 \psi_4(y_1, y_2) + \psi_5(y_1, y_2) = 0.$$

The point $(0, 0, 1, 0)$ is an ordinary triple point and $(0, 0, 0, 1)$ a tacnodal triple point. In the plane $y_3 = 0$ are five straight lines on the surface, images of the residual intersections of the fundamental conic and the quartic surface. On ϕ_5 are eighteen conics passing through the triple points and lying by pairs in nine planes through the triple points.

8. *The Surface ϕ_5 with One Tacnodal Triple Point and One Tacnode.* In the following the vertices of the tetrahedron of reference are the points A_i , those of the conjugate system B_i , ($i = 1, 2, 3, 4$).

A rational surface of order four of the third type of Noether has a double point A_4 which is a cusp in a general plane section through it. The surface has a simple line passing through the double point; a general section through this line is a cubic curve

* Segre, *Annali di Matematica*, (2), vol. 25 (1896), pp. 1-53.

having the line as inflectional tangent at A_4 . The tangent cone at A_4 is the plane p taken twice; this is tangent to ϕ_4 along the line. A section by this plane is a conic tangent to the line at A_4 .

In the transformation of §7, let the conic be tangent to ϕ_4 at A_4 . $T \equiv [F_2 \equiv C_2 A_4 p, F'_2 \equiv C'_2 B_4 p']$. Under $T, \phi_4 \sim \phi_5 :: B_3^2 B_4^3$, where B_3 is a tacnode, and B_4 is a tacnodal triple point. The conic C'_2 is on ϕ_5 . As in (7), the point B_4 is a tacnodal triple point, the tangent planes being p' taken twice, and p'_1 containing C'_2 .

The equation of the quintic surface obtained by this method is

$$\begin{aligned} \phi_5 \equiv & y_1 y_3^2 y_4^2 + 2y_3 y_4 [y_1 y_3 \phi_1 + \phi_3 - y_1 (y_1 y_2 + y_1^2 + y_2^2)] \\ & + (y_1 y_2 + y_1^2 + y_2^2) [y_1 (y_1 y_2 + y_1^2 + y_2^2) - 2(y_1 y_3 \phi_1 + \phi_3)] \\ & - y_1^2 y_3^3 + y_1 y_3^2 C_2 + y_1 y_3 C_3 + y_1 C_4 = 0, \end{aligned}$$

where ϕ_1, ϕ_3 , and C_i are forms in (y_1, y_2) of the order of their subscripts.

The point $(0, 0, 0, 1)$ is a tacnodal triple point and $(0, 0, 1, 0)$ is a tacnode lying on a line of ϕ_5 situated in the simple tangent plane at the triple point. The section of ϕ_5 by the simple tangent plane at the triple point is the conic $y_3 y_4 - y_2^2 = 0$ and the line $y_2 = 0$ counted three times; this line is the image of the simple line of ϕ_4 .

9. *The Surface ϕ_5 with an Ordinary Triple Point and an Oscnode.* A quadratic Cremona transformation is defined by the quadric surfaces F_2 having in common two generators and osculating at their point of intersection.* Let the generators be l_1 and l_2 and their point of intersection A_1 . The transformation is

$$T \equiv [F_2 :: l_1, l_2, A_1, F'_2 \equiv l'_1, l'_2, B_1].$$

Let A_1 be a generic point on a monoidal quartic surface ϕ_4 , and let l_1, l_2 each osculate ϕ_4 at A_1 . Let the triple point of ϕ_4 be A_4 . Under $T, \phi_4 \sim \phi_5$ with an ordinary triple point at B_4 and an oscnode at B_1 .

A general straight line through A_1 has three residual intersections with ϕ_4 ; hence the image straight line has three intersections with ϕ_5 not at B_1 . A general straight line meets ϕ_4 in

* Hudson, loc. cit., pp. 197-198.

four points; hence its image conic has four points in common with ϕ_5 not at B_1 ; that is, at B_1 are three consecutive double points on the image conic or B_1 is an oscnode on ϕ_5 . The equation of ϕ_4 is

$$\phi_4 \equiv \psi_3 x_4 + \psi_4 = 0,$$

where

$$\begin{aligned} \psi_3 &\equiv A x_1^3 + w_1 x_1^2 + w_2 x_1 + w_3, \\ \psi_4 &\equiv B x_1^2 x_2 x_3 + u_3 x_1 + u_4, \end{aligned}$$

and where w_i, u_i are binary forms in (x_2, x_3) of order i . The line $x_2 = 0, x_4 = 0$ osculates ϕ_4 at $(1, 0, 0, 0)$. The equations of transformation are

$$\rho x_1 = B(y_1 y_4 - y_2 y_3), \rho x_2 = A y_2 y_4, \rho x_3 = y_3 y_4, \rho x_4 = y_4^2.$$

The equation of the resulting quintic is

$$\begin{aligned} B^2(y_1 y_4 - y_2 y_3)^2 [A B y_1 + w_1] \\ + B(y_1 y_4 - y_2 y_3) [w_2 y_4 + u_3] + y_4 [w_3 y_4 + u_4] = 0, \end{aligned}$$

where w_i, u_i are the above forms in (y_2, y_3) . The point $(0, 0, 0, 1)$ is a triple point and $(1, 0, 0, 0)$ is an oscnode.

10. *The Surface ϕ_5 with an Oscnode and a Tacnode.* Applying the transformation of §9 to a quartic surface of the first type of Noether, we find

$$\begin{aligned} \phi_5 \equiv B^2(y_1 y_4 - y_2 y_3)^2 [A B y_1 + y_4 + u_1] \\ + B(y_1 y_4 - y_2 y_3) [u_2 y_4 + u_3] + u_4 y_4 = 0, \end{aligned}$$

where u_i are binary forms in the variables (y_2, y_3) of order i . The point $(0, 0, 0, 1)$ is a tacnode and $(1, 0, 0, 0)$ an oscnode.

11. *The Surface ϕ_5 with an Oscnode and a Double Point of the First Order.* Such a surface is the transform by the above transformation of a quartic of the second type of Noether. The equation of this quintic is

$$\begin{aligned} \phi_5 \equiv \phi_1^2 y_4^3 + 2 y_4^2 [y_3 \phi_1 (y_3 + \psi_1) + \phi_3] \\ + y_4 \{ y_3^4 + 2 y_3^3 \psi_1 - [D \psi_1 + F \phi_1 + D(2F y_1 + G y_2) \\ + B K_1 y_1 + K_2 y_2] y_2 y_3^2 + y_2 y_3 \phi_2 + y_2^3 \phi_1' \} \\ + y_2 y_3 \psi_3 + A B^3 y_1 y_2^2 y_3^2 = 0, \end{aligned}$$

where

$$D = b_1 B, \quad E = b_2 A, \quad F = c_1 B, \quad G = c_2 A, \quad \phi_1 = D y_1 + E y_2,$$

and where

$$\psi_1 = F y_1 + (G - D) y_2,$$

$$\phi_3 = A B^3 y_1^3 + B^2 K_3 y_1^2 y_2 + B K_4 y_1 y_2^2 + K_5 y_2^3,$$

$$\phi_2 = -2 A B^3 y_1^2 + B(K_6 - 2 B K) y_1 y_2 - B K_4 y_2^2,$$

$$\phi'_1 = B K_7 y_1 + (K_8 - B K_4) y_2,$$

$$\psi_3 = (2 D F - B K_1) y_2 y_3^2 + B(B K_3 - K_6) y_2^2 y_3 - 2 F y_3^3 - B K_7 y_3^3.$$

The point $(1, 0, 0, 0)$ is an oscnode, and $(0, 0, 0, 1)$ is a double point of the first order.

12. *The Surface ϕ_5 with an Oscnode and a Double Point of the Second Order.* Such a surface is the transform of a quartic surface of the third type of Noether. The equation of this quintic is

$$\begin{aligned} \phi_5 \equiv & B^2(y_1 y_4 - y_2 y_3)^2 \phi_1 + B(y_1 y_4 - y_2 y_3)[\phi_3 + y_2 y_4 \psi_1] \\ & + y_2^2 y_4 [A y_2 y_4 + \phi_2] = 0, \end{aligned}$$

where ϕ_1 is linear in all variables, and where ψ_1, ϕ_2, ϕ_3 are forms in (y_2, y_3) of the order of their subscripts. The point $(1, 0, 0, 0)$ is an oscnode, and $(0, 0, 0, 1)$ is a double point of the second order.

13. *The Surface ϕ_5 as a General Member of a Homaloidal Family of a Cremona Space Transformation.* The quintic surfaces discussed above are all rational and their $(1, 1)$ representations on a plane π are known. Cremona* has shown that the set of space transformations having ϕ as a general member of the first homaloidal family corresponds to a set of plane Cremona transformations in π .

If, by a Cremona transformation, ϕ_n is the transform of a rational ψ_n which is a general member of the homaloidal families of k Cremona transformations, then ϕ_n will serve as the general member of the homaloidal families of k Cremona transformations.

* Cremona, Istituto Lombardo Rendiconti, (2), vol. 4 (1871), pp. 269-279; and Annali di Matematica, (2), vol. 5 (1871), pp. 131-162.

14. *Conclusion.* Each of the rational quintic surfaces discussed above can serve as a general member of the homaloidal family of a Cremona transformation. The surfaces of §§5, 6, 9 are the transforms, by Cremona transformations, of a general quadric surface. The surface of §2 is the transform of a general ϕ_3 ; that of §10 is the transform of a quartic surface of the first type of Noether which is the transform of a general ϕ_3 . The surfaces of §§8, 12 are the transforms of a quartic surface of the third type of Noether which is the transform of a special quartic of the first type of Noether with a double point in the tangent plane at the tacnode; this plane is tangent to the surface along the line joining the double points,* and this surface is a transform of a general ϕ_3 . The surfaces of §§7, 11 are transforms of a quartic with a double line.† The surface of §4 is the transform of a quartic with a double line and two double points coplanar with the double line; the homaloidal family of which this ϕ_4 is a general member have in common the double line and also have contact along the line joining the double points. The surface of §3 is the transform of a quartic with a double conic.‡

CORNELL UNIVERSITY

* Noether, *Mathematische Annalen*, (3), vol. 33 (1889), pp. 546–571.

† Montesano, *Roma Rendiconti*, (4), vol. 5–2 (1889), pp. 123–130.

‡ Aroldi, *Giornale di Matematiche*, (3), vol. 11 (1920), pp. 175–192.