

## Derivatives of meromorphic functions and sine function

By Pai YANG,<sup>\*</sup> Xiaojun LIU<sup>\*\*</sup> and Xuecheng PANG<sup>\*\*\*</sup>

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**Abstract:** In the paper, we take up a new method to prove the following result. Let  $f$  be a meromorphic function in the complex plane, all of whose zeros have multiplicity at least  $k + 1$  ( $k \geq 2$ ) and all of whose poles are multiple. If  $T(r, \sin z) = o\{T(r, f(z))\}$  as  $r \rightarrow \infty$ , then  $f^{(k)}(z) - \sin z$  has infinitely many zeros.

**Key words:** Meromorphic function; normal family; sine function.

**1. Introduction.** In his excellent paper [1], W. K. Hayman proved the following result.

**Theorem A.** *Let  $f$  be a transcendental meromorphic function with finitely many zeros in  $\mathbf{C}$ . Then  $f^{(k)}$  assumes every finite non-zero value infinitely often.*

A natural problem arises: what can we say if “finite non-zero value” in Theorem A is replaced by a small function  $\alpha(z)$  with respect to  $f(z)$ ?

In 2008, Theorem A was generalized by the following theorem of Pang, Nevo and Zalcman [2].

**Theorem B.** *Let  $f$  be a transcendental meromorphic function in  $\mathbf{C}$ , all but finitely many of whose zeros are multiple, and let  $\alpha(\neq 0)$  be a rational function. Then  $f' - \alpha$  has infinitely many zeros.*

In 2008, Liu, Nevo and Pang proved the following result [3].

**Theorem C.** *Let  $f(z)$  be a transcendental meromorphic function of finite order in  $\mathbf{C}$ , and  $\alpha(z) = P(z) \exp Q(z) \neq 0$ , where  $P$  and  $Q$  are polynomials. Let also  $k \geq 2$  be an integer. Suppose that*

- (a) *all zeros of  $f$  have multiplicity at least  $k + 1$ , except possibly finitely many, and*
- (b)  $\overline{\lim}_{r \rightarrow \infty} \left( \frac{T(r, \alpha)}{T(r, f)} + \frac{T(r, f)}{T(r, \alpha)} \right) = \infty$ .

*Then the function  $f^{(k)}(z) - \alpha(z)$  has infinitely many zeros. Moreover, in the case that  $\rho(f) \notin \mathbf{N}$ , then the result holds with condition (b) only.*

Clearly,  $\alpha(z)$  has only finitely many zeros and poles in Theorem B and Theorem C. Chen, Pang

and Yang considered the case that  $\alpha(z)$  has infinitely many zeros and poles. In fact, the following result [4] was proved in 2015.

**Theorem D.** *Let  $f$  be a nonconstant meromorphic function in  $\mathbf{C}$ , all of whose zeros have multiplicity at least  $k + 1$  ( $k \geq 2$ ), except possibly finitely many. Let  $\alpha$  be a nonconstant elliptic function such that  $T(r, \alpha) = o\{T(r, f)\}$  as  $r \rightarrow \infty$ . Then  $f^{(k)} = \alpha$  has infinitely many solutions (including the possibility of infinitely many common poles of  $f$  and  $\alpha$ ).*

Noting that  $\alpha(z)$  is a certain class of double-periodic function in Theorem D, it is a very interesting work to consider the case  $\alpha(z)$  is a certain class of single-periodic function. In this direction, we prove the following results with some new ideas.

**Theorem 1.1.** *Let  $f$  be a meromorphic function of infinite order in  $\mathbf{C}$ . Suppose that*

- (a) *all zeros of  $f$  have multiplicity at least  $k + 1$  ( $k \geq 2$ ), except possibly finite many, and*
- (b) *all poles of  $f$  are multiple, except possibly finite many.*

*Then  $f^{(k)}(z) - \sin z$  has infinitely many zeros.*

**Theorem 1.2.** *Let  $f$  be a meromorphic function of finite order in  $\mathbf{C}$ . Suppose that*

- (a) *all zeros of  $f$  have multiplicity at least  $k + 1$  ( $k \geq 2$ ), except possibly finite many, and*
- (b)  $T(r, \sin z) = o\{T(r, f(z))\}$  as  $r \rightarrow \infty$  outside of a possible exceptional set of finite linear measure.

*Then  $f^{(k)}(z) - \sin z$  has infinitely many zeros.*

**Remark.** Theorem 1.1 and Theorem 1.2 still hold if  $\sin z$  is replaced by  $\cos z$ .

**Notation.** Let  $\mathbf{C}$  be the complex plane and  $D$  be a domain in  $\mathbf{C}$ . For  $z_0 \in \mathbf{C}$  and  $r > 0$ , we write  $\Delta(z_0, r) := \{z \mid |z - z_0| < r\}$ ,  $\Delta := \Delta(0, 1)$  and  $\Delta'(z_0, r) := \{z \mid 0 < |z - z_0| < r\}$ . Let  $V(z_0, \theta_0, A) :=$

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<sup>\*</sup>) College of Applied Mathematics, Chengdu University of Information Technology, Chengdu 610225, China.

<sup>\*\*</sup>) Department of Mathematics, University of Shanghai for Science and Technology, Shanghai 200093, China.

<sup>\*\*\*</sup>) Department of Mathematics, East China Normal University, Shanghai 200062, China.

$\{z \mid |\arg(z - z_0) - \theta_0| < A\}$ ,  $\bar{V}(z_0, \theta_0, A) := \{z \mid |\arg(z - z_0) - \theta_0| \leq A\}$  and  $\Gamma(z_0, r) := \{z \mid |z - z_0| = r\}$ . Let  $n(r, f)$  denote the number of poles of  $f(z)$  in  $\Delta(0, r)$  (counting multiplicity). We write  $f_n \xrightarrow{X} f$  in  $D$  to indicate that the sequence  $\{f_n\}$  converges to  $f$  in the spherical metric uniformly on compact subsets of  $D$  and  $f_n \Rightarrow f$  in  $D$  if the convergence is in the Euclidean metric.

For  $f$  meromorphic in  $D$ , set

$$f^\#(z) := \frac{|f'(z)|}{1 + |f(z)|^2} \text{ and } S(D, f) := \frac{1}{\pi} \iint_D [f^\#(z)]^2 dx dy.$$

The Ahlfors–Shimizu characteristic is defined by  $T_0(r, f) = \int_0^r \frac{S(t, f)}{t} dt$ . Let  $T(r, f)$  denote the usual Nevanlinna characteristic function. Since  $T(r, f) - T_0(r, f)$  is bounded as a function of  $r$ , we can replace  $T_0(r, f)$  with  $T(r, f)$  in the paper.

The order  $\rho(f)$  of the meromorphic function  $f$  is defined as

$$\rho(f) := \overline{\lim}_{r \rightarrow \infty} \frac{\log T(r, f)}{\log r} \text{ or } \rho(f) := \overline{\lim}_{r \rightarrow \infty} \frac{\log T_0(r, f)}{\log r}.$$

**2. Auxiliary results for the proof of Theorem 1.1.**

**Lemma 2.1.** *Let  $\mathcal{F}$  be a family of functions meromorphic in  $D$ , all of whose zeros have multiplicity at least  $k$ , and suppose that there exists  $A \geq 1$  such that  $|f^{(k)}(z)| \leq A$  whenever  $f(z) = 0$ . Then if  $\mathcal{F}$  is not normal at  $z_0 \in D$ , there exist, for each  $0 \leq \alpha \leq k$ ,*

- (a) *points  $z_n \in D$ ,  $z_n \rightarrow z_0$ ;*
- (b) *functions  $f_n \in \mathcal{F}$ ; and*
- (c) *positive numbers  $\rho_n \rightarrow 0$*

*such that  $\rho_n^{-\alpha} f_n(z_n + \rho_n \zeta) = g_n(\zeta) \xrightarrow{X} g(\zeta)$  in  $\mathbf{C}$ , where  $g$  is a nonconstant meromorphic function in  $\mathbf{C}$  such that  $g^\#(\zeta) \leq g^\#(0) = kA + 1$ . In particular,  $g$  has order at most 2.*

This is the local version of [5, Lemma 2] (cf. [6, Lemma 1]; [7, pp. 216–217]). The proof consists of a simple change of variable in the result cited from [5]; cf. [8, pp. 299–300].

**Lemma 2.2** ([9, p. 12]). *Let  $f(z)$  be a meromorphic function of infinite order in  $\mathbf{C}$ . Then there exist points  $a_n \rightarrow \infty$  and positive numbers  $\delta_n \rightarrow 0$  such that  $f^\#(a_n) \rightarrow \infty$  and  $S(\Delta(a_n, \delta_n), f) \rightarrow \infty$ .*

**Lemma 2.3** ([10, Theorem 1' on p. 67]). *Let  $k \geq 2$  be an integer and let  $\{f_n\}$  be a family of meromorphic functions in  $D$ , all of whose poles are*

*multiple and whose zeros all have multiplicity at least  $k + 1$ . Let  $\{h_n\}$  be a sequence of holomorphic functions in  $D$  such that  $h_n \Rightarrow h$  in  $D$ , where  $h \not\equiv 0$  in  $D$ . Suppose that for each  $n$ ,  $h$  and  $h_n$  have the same zeros with the same multiplicity and  $f_n^{(k)}(z) \neq h_n(z)$  for  $z \in D$ . Then  $\{f_n\}$  is normal in  $D$ .*

**Lemma 2.4** ([11, Theorem 1]). *Let  $f$  be a meromorphic function in  $\Delta$ , and let  $a_1, a_2, a_3$  be three distinct complex numbers. Assume that the number of zeros of  $\prod_{i=1}^3 (f(z) - a_i)$  in  $\Delta$  is  $\leq n$ , where multiple zeros are counted only once. Then*

$$S(r, f) \leq n + \frac{A}{1 - r}, \quad 0 \leq r < 1,$$

*where  $A > 0$  is a constant, which depends on  $a_1, a_2, a_3$  only.*

**Lemma 2.5.** *Let  $\{f_n\}$  be a family of meromorphic functions in  $\Delta(z_0, r)$ . Suppose that*

- (a)  *$f_n \xrightarrow{X} f$  in  $\Delta'(z_0, r)$ , where  $f(\not\equiv 0)$  may be  $\infty$  identically, and*
- (b) *there exists  $M_0 > 0$  such that  $n(\Delta(z_0, r), \frac{1}{f_n}) \leq M_0$  for sufficiently large  $n$ .*

*Then there exists  $M > 0$  such that  $S(\Delta(z_0, r/4), f_n) < M$  for sufficiently large  $n$ .*

*Proof.* Without loss of generality, we may assume that  $r = 2$  and  $z_0 = 0$ .

We consider the following two cases.

**Case 1.**  $f \not\equiv 1$  and  $f \not\equiv 2$  in  $\Delta'(0, 2)$ .

Obviously,  $\frac{1}{f_n} - 1 \xrightarrow{X} \frac{1}{f} - 1$  in  $\Delta'(0, 2)$  and  $\frac{1}{f} - 1 \not\equiv 0, \infty$  in  $\Delta'(0, 2)$ . Thus there exists  $s \in (1, 2)$  such that  $\frac{1}{f} - 1$  has no poles and zeros on  $\Gamma(0, s)$ . For sufficiently large  $n$ , we have

$$\begin{aligned} n\left(s, \frac{1}{f_n - 1}\right) - n\left(s, \frac{1}{f_n}\right) &= n\left(s, \frac{1}{\frac{1}{f_n} - 1}\right) - n\left(s, \frac{1}{f_n} - 1\right) \\ &= \frac{1}{2\pi i} \int_{\Gamma(0, s)} \frac{(\frac{1}{f_n} - 1)'}{\frac{1}{f_n} - 1} dz \rightarrow \frac{1}{2\pi i} \int_{\Gamma(0, s)} \frac{(\frac{1}{f} - 1)'}{\frac{1}{f} - 1} dz. \end{aligned}$$

Observing that  $\frac{1}{2\pi i} \int_{\Gamma(0, s)} \frac{(\frac{1}{f_n} - 1)'}{\frac{1}{f_n} - 1} dz$  is an integer, we have for sufficiently large  $n$ ,

$$\frac{1}{2\pi i} \int_{\Gamma(0, s)} \frac{(\frac{1}{f_n} - 1)'}{\frac{1}{f_n} - 1} dz = \frac{1}{2\pi i} \int_{\Gamma(0, s)} \frac{(\frac{1}{f} - 1)'}{\frac{1}{f} - 1} dz.$$

Set  $M_1 := \frac{1}{2\pi i} \int_{\Gamma(0, s)} \frac{(\frac{1}{f} - 1)'}{\frac{1}{f} - 1} dz + M_0$ . We have for sufficiently large  $n$

$$\left(1, \frac{1}{f_n - 1}\right) \leq n\left(s, \frac{1}{f_n - 1}\right)$$

$$= \frac{1}{2\pi i} \int_{\Gamma(0,s)} \frac{(\frac{1}{f}-1)'}{\frac{1}{f}-1} dz + n\left(s, \frac{1}{f_n}\right) < M_1.$$

Obviously,  $\frac{1}{f_n} - \frac{1}{2} \xrightarrow{\lambda} \frac{1}{f} - \frac{1}{2}$  in  $\Delta'(0, 2)$  and  $\frac{1}{f} - \frac{1}{2} \neq 0, \infty$  in  $\Delta'(0, 2)$ . Thus there exists  $t \in (1, 2)$  such that  $\frac{1}{f} - \frac{1}{2}$  has no poles and zeros on  $\Gamma(0, t)$ . For sufficiently large  $n$ , we have

$$\begin{aligned} & n\left(t, \frac{1}{f_n-2}\right) - n\left(t, \frac{1}{f_n}\right) \\ &= n\left(t, \frac{1}{\frac{1}{f_n}-\frac{1}{2}}\right) - n\left(t, \frac{1}{f_n} - \frac{1}{2}\right) \\ &= \frac{1}{2\pi i} \int_{\Gamma(0,t)} \frac{(\frac{1}{f_n}-\frac{1}{2})'}{\frac{1}{f_n}-\frac{1}{2}} dz \rightarrow \frac{1}{2\pi i} \int_{\Gamma(0,t)} \frac{(\frac{1}{f}-\frac{1}{2})'}{\frac{1}{f}-\frac{1}{2}} dz. \end{aligned}$$

Similarly to the previous paragraph, there exists  $M_2 > 0$  such that for sufficiently large  $n$ ,  $n(1, \frac{1}{f_n-2}) < M_2$ . By Lemma 2.4, there exists  $A > 0$  depending on 0, 1, 2 only such that for sufficiently large  $n$ ,

$$\begin{aligned} S\left(\frac{1}{2}, f_n\right) &\leq n\left(1, \frac{1}{f_n}\right) + n\left(1, \frac{1}{f_n-1}\right) \\ &\quad + n\left(1, \frac{1}{f_n-2}\right) + 2A < M_3, \end{aligned}$$

where  $M_3 = M_0 + M_1 + M_2 + 2A$ .

**Case 2.**  $f \equiv 1$  or  $f \equiv 2$  in  $\Delta'(0, 2)$ .

Clearly,  $f \not\equiv 3$  and  $f \not\equiv 4$  in  $\Delta'(0, 2)$ . Then as shown in Case 1, there exists  $M_4 > 0$  such that  $S(\frac{1}{2}, f_n) \leq M_4$  for sufficiently large  $n$ .

Set  $M := \max\{M_3, M_4\}$ . Clearly,  $S(\frac{1}{2}, f_n) \leq M$  for sufficiently large  $n$ .  $\square$

**3. Proof of Theorem 1.1.** We argue by contradiction. Suppose that  $f^{(k)}(z) - \sin z$  has at most finitely many zeros.

Set  $g(z) := \frac{f(z)}{\sin z}$ . Clearly,  $f(z)$  and  $\sin z$  have finitely many common zeros (otherwise, by the assumptions,  $f^{(k)}(z) - \sin z$  has infinitely many zeros), and thus all zeros of  $g(z)$  have multiplicity at least  $k + 1$ , except possibly finite many. Since the order of  $f$  is infinite, the order of  $g$  is also infinite. By Lemma 2.2, there exist points  $a_n \rightarrow \infty$  and positive numbers  $\varepsilon_n \rightarrow 0$  such that

$$(3.1) \quad g^\#(a_n) \rightarrow \infty \text{ and } S(\Delta(a_n, \varepsilon_n), g) \rightarrow \infty.$$

We write  $a_n = x_n + iy_n$ . Taking a subsequence and renumbering, we may assume that  $y_n \rightarrow y^*$ .

We consider the following two cases.

**Case 1.**  $y^* \neq \pm\pi$ .

Set  $b_n := x_n + iy^*$  and  $\tau_n := |b_n - a_n| + \varepsilon_n$ .

Clearly,  $\Delta(a_n, \varepsilon_n) \subset \Delta(b_n, \tau_n)$ ,  $b_n \rightarrow \infty$  and  $\tau_n \rightarrow 0$ . By (3.1), we have

$$(3.2) \quad S(\Delta(b_n, \tau_n), g) \rightarrow \infty \text{ as } n \rightarrow \infty.$$

There exist integers  $j_n$  and points  $\hat{x}_n \in (-\pi, \pi]$  such that  $\hat{x}_n = x_n - 2\pi j_n$ . Taking a subsequence and renumbering, we may assume that  $\hat{x}_n \rightarrow \hat{x}^*$ . Clearly,  $\hat{x}^* \in [-\pi, \pi]$ . Set

$$(3.3) \quad \begin{aligned} f_n(z) &:= f(z + b_n - \hat{x}_n) \text{ and} \\ g_n(z) &:= g(z + b_n - \hat{x}_n) \end{aligned}$$

for  $z \in E$ , where

$$E := \{z \mid \operatorname{Re} z \in (-2\pi, 2\pi) \text{ and } \operatorname{Im} z \in (-2\pi, 2\pi)\}.$$

By (3.2) and (3.3), we have

$$(3.4) \quad S(\Delta(\hat{x}_n, \tau_n), g_n) \rightarrow \infty \text{ as } n \rightarrow \infty.$$

Set  $\tau_n^* := \tau_n + |\hat{x}_n - \hat{x}^*|$ . Clearly,  $\Delta(\hat{x}_n, \tau_n) \subset \Delta(\hat{x}^*, \tau_n^*)$  and  $\tau_n^* \rightarrow 0$ . By (3.4),

$$(3.5) \quad S(\Delta(\hat{x}^*, \tau_n^*), g_n) \rightarrow \infty \text{ as } n \rightarrow \infty.$$

Now, we have for sufficiently large  $n$ ,

- (a1) all zeros of  $f_n$  have multiplicity at least  $k + 1$  and all poles of  $f_n$  are multiple in  $E$ ,
- (a2)  $f_n^{(k)}(z) \neq \sin(z + iy^*)$  in  $E$ .

In fact, by (a), (b) and (3.3), (a1) holds for sufficiently large  $n$ . Since  $f^{(k)}(z) - \sin z$  has at most finitely many zeros, (a2) holds for sufficiently large  $n$  by (3.3).

By Lemma 2.3,  $\{f_n\}$  is normal in  $E$ . Taking a subsequence and renumbering, we may assume that  $f_n \xrightarrow{\lambda} f^*$  in  $E$ .

**Subcase 1.1.**  $f^* \not\equiv 0$ .

Clearly, there exists  $M_0 > 0$  such that  $n(\Delta(\hat{x}^*, 2), 1/f^*) < M_0$ . By Hurwitz' Theorem,  $n(\Delta(\hat{x}^*, 1), 1/f_n) < M_0$  for sufficiently large  $n$ . Thus,  $n(\Delta(\hat{x}^*, 1), 1/g_n) < M_0$  for sufficiently large  $n$ . Let  $\delta \in (0, 1)$  such that  $\sin(z + iy^*) \neq 0$  in  $\Delta'(\hat{x}^*, \delta)$ . Thus,  $g_n \xrightarrow{\lambda} \frac{f^*}{\sin(z + iy^*)}$  in  $\Delta'(\hat{x}^*, \delta)$ . By Lemma 2.5, there exists  $M > 0$  such that  $S(\Delta(\hat{x}^*, \delta/4), g_n) < M$  for sufficiently large  $n$ . This contradicts (3.5).

**Subcase 1.2.**  $f^* \equiv 0$ .

We see that for sufficiently large  $n$ ,

$$0 \neq f_n^{(k)}(z) - \sin(z + iy^*) \Rightarrow -\sin(z + iy^*) \text{ in } E.$$

By Hurwitz' Theorem,  $\sin(z + iy^*) \neq 0$  in  $E$ . Thus,

$$g_n(z) = \frac{f_n(z)}{\sin(z + iy^*)} \Rightarrow \frac{f^*(z)}{\sin(z + iy^*)} = 0 \text{ in } E.$$

Clearly,  $g_n^\#(z) \Rightarrow 0$  in  $E$ , and hence

$$S(\Delta(\hat{x}^*, 1), g_n) = \frac{1}{\pi} \iint_{\Delta(\hat{x}^*, 1)} [g_n^\#(z)]^2 dx dy \rightarrow 0.$$

This contradicts (3.5)

**Case 2.**  $y^* = \pm\infty$ .

We claim that there exists points  $t_n$  such that

$$(3.6) \quad \text{Im } t_n \rightarrow \infty, \frac{f(t_n)}{\sin t_n} \rightarrow 0 \text{ and } \frac{f^{(k)}(t_n)}{\sin t_n} \rightarrow \infty.$$

Set

$$(3.7) \quad g_n(z) := g(z + a_n) \text{ for } z \in \Delta.$$

Since all zeros of  $g(z)$  have multiplicity at least  $k + 1$  (except possibly finite many), we have for sufficiently large  $n$ , all zeros of  $g_n$  have multiplicity at least  $k + 1$  in  $\Delta$ . By (3.1), we have

$$(3.8) \quad g_n^\#(0) \rightarrow \infty \text{ as } n \rightarrow \infty.$$

Thus, no subsequence of  $\{g_n\}$  is normal at 0. Using Lemma 2.1 for  $\alpha = k - (1/2)$ , there exist points  $z_n \rightarrow 0$ , positive numbers  $\rho_n \rightarrow 0$ , and a subsequence of  $\{g_n\}$  (still denoted by  $\{g_n\}$ ) such that

$$G_n(\zeta) = \frac{g_n(z_n + \rho_n \zeta)}{\rho_n^{k-(1/2)}} \xrightarrow{\chi} G(\zeta) \text{ in } \mathbf{C},$$

where  $G$  is a nonconstant meromorphic function in  $\mathbf{C}$ , all of whose zeros have multiplicity at least  $k + 1$ .

We claim that  $G^{(k)}(\zeta) \not\equiv 0$ . Otherwise,  $G(\zeta) = c_{k-1}\zeta^{k-1} + c_{k-2}\zeta^{k-2} + \dots + c_0$ , where  $c_0, c_1, \dots, c_{k-1}$  are constants. Thus, either  $G \equiv 0$ , or all zeros of  $G$  have multiplicity at most  $k - 1$ . A contradiction.

Let  $\zeta_0$  be not a zero or pole of  $G^{(k)}(\zeta)$ , and set  $t_n := a_n + z_n + \rho_n \zeta_0$ . Noting that  $G_n^{(i)}(\zeta_0) \rightarrow G^{(i)}(\zeta_0)$  as  $n \rightarrow \infty$ , we see that

$$g^{(i)}(t_n) = g_n^{(i)}(z_n + \rho_n \zeta_0) = \rho_n^{k-i-(1/2)} G_n^{(i)}(\zeta_0) \rightarrow \begin{cases} 0 & \text{for } i = 0, 1, \dots, k-1. \\ \infty & \text{for } i = k. \end{cases}$$

Clearly,  $\frac{f(t_n)}{\sin t_n} = g(t_n) \rightarrow 0$ . Since  $y_n \rightarrow \infty$  and  $|t_n - a_n| \rightarrow 0$ , we have  $\text{Im } t_n \rightarrow \infty$ , and hence  $1/2 < |\frac{\sin^{(k-i)}(t_n)}{\sin t_n}| < 2$  for sufficiently large  $n$ . Thus we have

$$\begin{aligned} \frac{f^{(k)}(t_n)}{\sin t_n} &= \left. \frac{(g(z) \sin z)^{(k)}}{\sin t_n} \right|_{z=t_n} \\ &= \left. \frac{\sum_{i=0}^{i=k} C_k^i g^{(i)}(z) \sin^{(k-i)}(z)}{\sin t_n} \right|_{z=t_n} \end{aligned}$$

$$= \sum_{i=0}^{i=k} C_k^i g^{(i)}(t_n) \frac{\sin^{(k-i)} t_n}{\sin t_n} \rightarrow \infty.$$

Without loss of generality, we may assume that  $\text{Im } t_n \rightarrow +\infty$ . Set  $F_n(z) := \frac{f(z+t_n)}{\sin t_n}$  for  $z \in \Delta$ . Now, we have for sufficiently large  $n$ ,

(b1) all zeros of  $F_n$  have multiplicity at least  $k + 1$  and all poles of  $F_n$  are multiple in  $\Delta$ ,

(b2)  $F_n^{(k)}(z) \neq \frac{\sin(z+t_n)}{\sin t_n} \Rightarrow \cos z - i \sin z$  in  $\Delta$ .

In fact, (b1) holds by (a) and (b). Since  $f^{(k)}(z) - \sin z$  has at most finitely many zeros, (b2) holds for sufficiently large  $n$ .

By Lemma 2.3,  $\{F_n\}$  is normal in  $\Delta$ . However by (3.6), we have

$$F_n(0) = \frac{f(t_n)}{\sin t_n} \rightarrow 0 \text{ and } F_n^{(k)}(0) = \frac{f^{(k)}(t_n)}{\sin t_n} \rightarrow \infty.$$

Hence, no subsequence of  $\{F_n\}$  is normal at  $z = 0$ . This is a contradiction.

#### 4. Auxiliary results for the proof of Theorem 1.2.

**Lemma 4.1** ([12, Theorem 1.2]). *Let  $k \geq 2$  be an integer and  $f$  be a meromorphic function of finite order in  $\mathbf{C}$ . If  $f$  has infinitely many poles, then  $f^{(k)}$  has infinitely many zeros.*

**Lemma 4.2.** *Let  $f$  be a meromorphic function in  $\mathbf{C}$ , let  $R(\not\equiv 0)$  be a rational function, and let  $Q(z) = -z^m + c_{m-1}z^{m-1} + \dots + c_0$ , where  $m \geq 2$  is an integer and  $c_0, c_1, \dots, c_{m-1}$  are constants. Suppose that  $f^{(k)}(z) = R(z) \exp(Q(z))$ , where  $k \geq 2$  be an integer. Then for any given constant  $\delta \in (0, \frac{3\pi}{2m})$*

$$f^{(k-1)}(z) = (1 + r(z)) \frac{R(z) \exp(Q(z))}{Q'(z)} + d_0,$$

$$f^{(k-2)}(z) = (1 + s(z)) \frac{R(z) \exp(Q(z))}{[Q'(z)]^2} + d_1 z + d_2$$

in  $V(0, 0, \frac{3\pi}{2m} - \delta)$ , where  $r(z)$  and  $s(z)$  are meromorphic in  $V(0, 0, \frac{3\pi}{2m} - \delta)$  and converge uniformly to 0 as  $z \rightarrow \infty$ ,  $d_0, d_1$  and  $d_2$  are constants.

**Remark.** Lemma 4.2 is stated explicitly in [3, pp. 523–528], so we omit the proof.

**5. Proof of Theorem 1.2.** We consider the following two cases.

**Case 1.**  $f$  has infinitely many poles.

Clearly,  $f(z) - \sin(z - k\pi/2)$  has infinitely many poles. Thus by Lemma 4.1,  $f^{(k)}(z) - \sin z = (f(z) - \sin(z - k\pi/2))^{(k)}$  has infinitely many zeros.

**Case 2.**  $f$  has finitely many poles.

Suppose that, to the contrary,  $f^{(k)}(z) - \sin z$  has only finitely many zeros. Clearly,  $f^{(k)}(z) - \sin z$

has finitely many poles, so we have

$$(5.1) \quad (f(z) - \sin(z - k\pi/2))^{(k)} = f^{(k)}(z) - \sin z \\ = T(z)e^{P(z)},$$

where  $T(z) (\neq 0)$  is a rational function and  $P(z)$  is a polynomial. By the condition (b) of Theorem 1.2,  $P(z)$  is a polynomial of degree  $\geq 2$ .

We claim that  $f$  has infinitely many zeros. Otherwise, suppose that  $f$  has finitely many zeros. Then  $f(z) = T_0(z)e^{P_1(z)}$  and hence  $f^{(k)}(z) = T_1(z)e^{P_1(z)}$ , where  $T_0(z) (\neq 0)$  and  $T_1(z) (\neq 0)$  are rational functions,  $P_1(z)$  is a polynomial. By (5.1),

$$(5.2) \quad T(z)e^{P(z)} + \sin z = T_1(z)e^{P_1(z)}.$$

Since  $P(z)$  is a polynomial of degree  $\geq 2$ , by (5.2),  $P_1(z)$  must have the same degree and the leading coefficient as  $P(z)$ . We write (5.2) in the form

$$(5.3) \quad T(z) + \sin z e^{-P(z)} = T_1(z)e^{P_1(z)-P(z)}.$$

By standard results in Nevanlinna theory and (5.3), we have

$$\rho(T(z) + \sin z e^{-P(z)}) = \rho(e^{-P(z)}) = \deg P(z) \\ > \deg(P_1(z) - P(z)) = \rho(T_1(z)e^{P_1(z)-P(z)}).$$

This is a contradiction.

Set  $\lambda := \sqrt[m]{\frac{1}{a_m}}$ , where  $a_m$  is the leading coefficient of  $P(z)$ . Substituting  $z = \lambda\xi$  into (5.1), we obtain that

$$(5.4) \quad (g(\xi) - \sin(\lambda\xi - k\pi/2))^{(k)} \\ = g^{(k)}(\xi) - \lambda^k \sin \lambda\xi = R(\xi)e^{Q(\xi)},$$

where  $g(\xi) = f(\lambda\xi)$ ,  $Q(\xi) = P(\lambda\xi)$  and  $R(\xi) = \lambda^k T(\lambda\xi)$ . Thus  $Q(\xi)$  has the following form

$$Q(\xi) = -\xi^m + c_{m-1}\xi^{m-1} + \dots + c_0,$$

where  $m \geq 2$  is an integer and  $c_0, c_1, \dots, c_{m-1}$  are constants.

Since  $f$  has infinitely many zeros, we can assume that  $g$  has infinitely many zeros  $\{\xi_n\}$ , and all of them are of multiplicity at least  $k+1$ . Thus we get

$$(5.5) \quad g(\xi_n) = g'(\xi_n) = \dots = g^{(k)}(\xi_n) = 0.$$

Let  $S$  be a subsequence of  $\{\xi_n\}$  (denote it also by  $\{\xi_n\}$ ) such that  $\arg(\xi_n)$  converges to  $\alpha$ . By (5.4) and (5.5), we have for all  $n$

$$(5.6) \quad g^{(k)}(\xi_n) = R(\xi_n) \exp(Q(\xi_n)) + \lambda^k \sin \lambda\xi_n = 0.$$

If  $\alpha \notin \bigcup_{j=0}^{m-1} [\frac{2\pi j}{m} - \frac{\pi}{2m}, \frac{2\pi j}{m} + \frac{\pi}{2m}]$ , then  $R(\xi_n)e^{Q(\xi_n)} + \lambda^k \sin \lambda\xi_n \rightarrow \infty$ , which contradicts (5.6). Without

loss of generality, we may assume that  $\alpha \in [-\frac{\pi}{2m}, \frac{\pi}{2m}]$ .

By (5.4) and Lemma 4.2,

$$(5.7) \quad g^{(k-1)}(\xi_n) = (1 + r(\xi_n)) \frac{R(\xi_n) \exp(Q(\xi_n))}{Q'(\xi_n)} \\ + d_1 - \lambda^{k-1} \cos \lambda\xi_n = 0,$$

$$(5.8) \quad g^{(k-2)}(\xi_n) = (1 + s(\xi_n)) \frac{R(\xi_n) \exp(Q(\xi_n))}{Q'^2(\xi_n)} \\ + d_2\xi_n + d_3 - \lambda^{k-2} \sin \lambda\xi_n = 0,$$

where  $r(\xi)$  and  $s(\xi)$  are meromorphic in  $V(0, 0, \frac{\pi}{m})$  and converge uniformly to 0 as  $\xi \rightarrow \infty$ ,  $d_1, d_2$  and  $d_3$  are constants. Eliminating  $\sin \lambda\xi_n$  from (5.6) and (5.8), we have for all  $n$

$$(5.9) \quad R(\xi_n) \exp(Q(\xi_n)) = -\frac{\lambda^2(d_2\xi_n + d_3)Q'^2(\xi_n)}{Q'^2(\xi_n) + \lambda^2 + t(\xi_n)},$$

where  $t(\xi) = \lambda^2 s(\xi)$ . Clearly,  $t(\xi)$  are meromorphic in  $V(0, 0, \frac{\pi}{m})$  and converge uniformly to 0 as  $\xi \rightarrow \infty$ . Noting  $\sin^2 \lambda\xi_n + \cos^2 \lambda\xi_n = 1$ , we have by (5.6) and (5.7),

$$(5.10) \quad \lambda^2 \left[ (1 + r(\xi_n)) \frac{R(\xi_n) \exp(Q(\xi_n))}{Q'(\xi_n)} + d_1 \right]^2 \\ + [R(\xi_n) \exp(Q(\xi_n))]^2 = \lambda^{2k}$$

for all  $n$ . Eliminating  $R(\xi_n) \exp(Q(\xi_n))$  from (5.9) and (5.10), we have for all  $n$

$$(5.11) \quad [\lambda(d_2\xi_n + d_3)Q'^2(\xi_n)]^2 \\ + [\lambda^2(1 + r(\xi_n))(d_2\xi_n + d_3)Q'(\xi_n) \\ - d_1(Q'^2(\xi_n) + \lambda^2 + t(\xi_n))]^2 \\ - \lambda^{2k-2}[Q'^2(\xi_n) + \lambda^2 + t(\xi_n)]^2 = 0.$$

The coefficient of the highest power of  $\xi_n$  in (5.11) is  $\lambda^2 d_2^2 m^4$ , so we have  $d_2 = 0$ . Thus (5.11) has been reduced into the following form

$$(5.12) \quad [\lambda d_3 Q'^2(\xi_n)]^2 + [\lambda^2 d_3 (1 + r(\xi_n)) Q'(\xi_n) \\ - d_1 (Q'^2(\xi_n) + \lambda^2 + t(\xi_n))]^2 \\ - \lambda^{2k-2} [Q'^2(\xi_n) + \lambda^2 + t(\xi_n)]^2 = 0.$$

The coefficient of the highest power of  $\xi_n$  in (5.12) is  $(d_1^2 + \lambda^2 d_3^2 - \lambda^{2k-2})m^4$ , so we have

$$(5.13) \quad d_1^2 + \lambda^2 d_3^2 - \lambda^{2k-2} = 0.$$

Thus we have for all  $n$

$$(5.14) \quad -2\lambda^2 d_1 d_3 (1 + r(\xi_n)) Q'^3(\xi_n) \\ + [\lambda^4 d_3^2 (1 + r(\xi_n))^2 + 2d_1^2 (\lambda^2 + t(\xi_n))]$$

$$\begin{aligned}
& -2\lambda^{2k-2}(\lambda^2 + t(\xi_n))Q'^2(\xi_n) \\
& -2\lambda^2 d_1 d_3 (1 + r(\xi_n))(\lambda^2 + t(\xi_n))Q'(\xi_n) \\
& + (d_1^2 - \lambda^{2k-2})(\lambda^2 + t(\xi_n))^2 = 0.
\end{aligned}$$

The coefficient of the highest power of  $\xi_n$  in (5.14) is  $-2\lambda^2 d_1 d_3 (1 + r(\xi_n))$ , so we have

$$(5.15) \quad d_1 d_3 (1 + r(\xi_n)) = 0 \text{ for all } n.$$

Noting that  $d_2 = 0$  and  $R(\xi_n) \exp(Q(\xi_n)) \neq 0$  for sufficiently large  $n$ , we have  $d_3 \neq 0$  by (5.9). Since  $1 + r(\xi_n) \rightarrow 1$  as  $n \rightarrow \infty$ , we get  $d_1 = 0$  by (5.15). Thus (5.14) has been reduced into the following form

$$(5.16) \quad [\lambda^4 d_3^2 (1 + r(\xi_n))^2 - 2\lambda^{2k-2}(\lambda^2 + t(\xi_n))]Q'^2(\xi_n) - \lambda^{2k-2}(\lambda^2 + t(\xi_n))^2 = 0.$$

Clearly, we must have

$$(5.17) \quad \lambda^4 d_3^2 (1 + r(\xi_n))^2 - 2\lambda^{2k-2}(\lambda^2 + t(\xi_n)) \rightarrow \lambda^4 d_3^2 - 2\lambda^{2k} = 0.$$

Thus  $d_3^2 = 2\lambda^{2k-4}$  and then  $d_1^2 + \lambda^2 d_3^2 - \lambda^{2k-2} = \lambda^{2k-2} \neq 0$ , which contradicts (5.13).

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