

## 49. Singular Variation of Non-linear Eigenvalues. II

By Tatsuzo OSAWA <sup>\*)</sup> and Shin OZAWA <sup>\*\*)</sup>

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Let  $M$  be a bounded domain in  $R^3$  with smooth boundary  $\partial M$ . Let  $w$  be a fixed point in  $M$ . Removing an open ball  $B(\varepsilon; w)$  of radius  $\varepsilon$  with the center  $w$  from  $M$ , we get  $M_\varepsilon = M \setminus \overline{B(\varepsilon; w)}$ . For  $p > 1$  and  $\varepsilon > 0$  let  $\lambda(\varepsilon)$  denote the positive number defined by

$$(1.1)_\varepsilon \quad \lambda(\varepsilon) = \inf_{X_\varepsilon} \int_{M_\varepsilon} |\nabla u|^2 dx,$$

where  $X_\varepsilon = \{u \in H_o^1(M_\varepsilon) : \|u\|_{L^{p+1}(M_\varepsilon)} = 1, u \geq 0\}$ .

We consider the asymptotic behaviour of  $\lambda(\varepsilon)$  as  $\varepsilon$  tends to 0. It is well known that there exists at least one positive solution  $u_\varepsilon$  which attains (1.1)<sub>ε</sub> in case of  $p \in (1, 5)$ . We note that the minimizer satisfies  $-\Delta u_\varepsilon = \lambda(\varepsilon) u_\varepsilon^p$  in  $M_\varepsilon$  and  $u_\varepsilon = 0$  on  $\partial M_\varepsilon$ . We put

$$\lambda = \inf_X \int_M |\nabla u|^2 dx,$$

where  $X = \{u \in H_o^1(M) : \|u\|_{L^{p+1}(M)} = 1, u \geq 0\}$ .

In this paper we show the following

**Theorem 1.** Assume that the positive solution of  $-\Delta u = \lambda u^p$  in  $M$  under the Dirichlet condition on  $\partial M$  is unique. Then, there exists a constant  $p^*(M) > 1$  such that for any  $p \in (1, p^*(M))$  we have

$$(1.2) \quad \lambda(\varepsilon) - \lambda = 4\pi\varepsilon u(w)^2 + o(\varepsilon)$$

as  $\varepsilon$  tends to zero.

**Example.**  $M = B(r)$ , the ball of radius  $r$ , satisfies the assumption of Theorem 1, as is seen in Gidas-Ni-Nirenberg [1, Theorem 1 and p. 224, 2.9]. See also Dancer [2, Theorem 5].

Theorem 1 follows from the following Theorems 2 and 3.

**Theorem 2** (Ozawa [5]). Fix  $p \in (1, 5)$ . Assume that the positive solution of  $-\Delta u = \lambda u^p$  in  $M$  under the Dirichlet condition on  $\partial M$  is unique. Moreover assume that  $\text{Ker}(A + \lambda p u^{p-1}) = \{0\}$ , where we denote  $A$  by the linear operator  $H^2(M) \cap H_o^1(M) \ni u \rightarrow \Delta u \in L^2(M)$ . Then, (1.2) holds.

**Theorem 3.** Assume that the positive solution of  $-\Delta u = \lambda u^p$  in  $M$  under the Dirichlet condition on  $\partial M$  is unique. Then, there exists  $p^*(M) > 1$  such that  $\text{Ker}(A + \lambda p u^{p-1}) = \{0\}$  holds for  $p \in (1, p^*(M))$ .

We consider the eigenvalue problem (1.3).

$$(1.3) \quad \begin{aligned} -\Delta \varphi &= \mu u^{p-1} \varphi && \text{in } M \\ \varphi &= 0 && \text{in } \partial M. \end{aligned}$$

Let  $\mu_1^{(p)}$  ( $\mu_2^{(p)}$ , respectively) be the first (the second, respectively) eigenvalue of (1.3). Let  $\varphi_1^{(p)}$  be the first eigenfunction of (1.3) which is normalized as

<sup>\*)</sup> Integrated Information Network System Group, Fujitsu Limited.

<sup>\*\*)</sup> Department of Mathematics, Faculty of Science, Tokyo Institute of Technology.

$$\int_M u^{p-1} (\varphi_1^{(p)})^2 dx = 1, \quad (\varphi_1^{(p)})(x) > 0, \quad x \in M.$$

We know that  $0 < \mu_1^{(p)} < \mu_2^{(p)}$ . Theorem 3 is a consequence of the following result.

**Proposition 1.** *If  $p > 1$  is sufficiently close to one, then*

$$(1.4) \quad \mu_1^{(p)} = \lambda.$$

And

$$(1.5) \quad \lim_{p \rightarrow 1} \mu_2^{(p)} = \mu_2.$$

Here  $\mu_2$  is the second eigenvalue of the Laplacian  $-\Delta$  in  $M$  under the Dirichlet condition on  $\partial M$ .

Theorem 3 follows from the inequality  $\mu_1^{(p)} < p\lambda < \mu_2^{(p)}$ . If  $p$  is sufficiently close to 1, the above inequality holds and  $p\lambda$  is not an eigenvalue.

*Proof of Proposition 1.* We want to show  $\mu_1^{(p)} = \lambda$ . We know that

$$\begin{aligned} \mu_1^{(p)} &= \inf_{\varphi \neq 0} \left( \int_M |\nabla \varphi|^2 dx \right) \left( \int_M u^{p-1} \varphi^2 dx \right)^{-1} \\ &= \inf_{\|\varphi\|_{L^{p+1}(M)}=1} \text{(the same term as above)} \\ &\geq \inf_{\|\varphi\|_{L^{p+1}(M)}=1} \int_M |\nabla \varphi|^2 dx = \lambda \end{aligned}$$

by using

$$\int_M u^{p-1} \varphi^2 dx \leq \|u\|_{p+1}^{(p-1)/(p+1)} \|\varphi\|_{p+1}^{2/(p+1)} = 1,$$

where  $\|\cdot\|_q$  denotes the  $L^q(M)$  norm. On the other hand,

$$\begin{aligned} \mu_1^{(p)} &\leq \left( \int_M |\nabla u|^2 dx \right) \left( \int_M u^{p+1} dx \right)^{-1} \\ &= \int_M |\nabla u|^2 dx = \lambda. \end{aligned}$$

Therefore, we get (1.4).

We can prove (1.5) by using the standard perturbation theory of linear operators. See Kato [3]. Thus, we get Proposition 1.

### References

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