

47. Extension of Jones' Projections

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(Communicated by Kiyosi ITÔ, M. J. A., Sept. 14, 1992)

Introduction. In the index theory for a pair of type II_1 -factors, Jones' projections play an important role. A family of Jones' projections is a sequence of projections $\{e_i; i = 1, 2, \dots\}$ satisfying the following condition which we call Jones' relations:

- (a) $e_i e_{i\pm 1} e_i = \lambda e_i$ for $i \geq 1$ with a fixed constant λ ($0 < \lambda < 1$),
- (b) $e_i e_j = e_j e_i$ for $|i - j| \geq 2$,
- (c) $\text{tr}(e_i \omega) = \lambda \text{tr}(\omega)$ for any word ω on e_1, \dots, e_{i-1} ,
where tr is the canonical trace on $\{e_i; i = 1, 2, \dots\}$.

In this paper, we extend such a family by adding some number of projections. A necessary and sufficient condition for the existence of such a family is given by Theorems 1 and 2. For a family of extended Jones' projections $\{e_i, f_j; i = 1, 2, \dots, 1 \leq j \leq m\}$, put $A = \{e_i, f_j; i = 1, 2, \dots, 1 \leq j \leq m\}$ and $B = \{e_i; i = 1, 2, \dots\}$. We calculate the index $[A : B]$ and show that the relative commutant $B' \cap A$ is trivial. Furthermore we specify the fixed point subalgebras $A^\sigma \subset A$ of automorphisms $\sigma : A \rightarrow A$, defined by permutations of $\{f_i; 1 \leq i \leq m\}$, and then calculate indices $[A : A^\sigma]$.

§1. Family of extended Jones' projections.

Definition 1. Let $m, n \in \mathbf{N}$ and $\{e_i, f_j; i \geq 1, 1 \leq j \leq m\}$ be a family of non-zero projections of M , a type II_1 -factor, such that

- (R-1) $e_i e_{i+1} e_i = \lambda e_i$ for $i \geq 1$,
- (R-2) $e_i e_{i-1} e_i = \lambda e_i$ for $i \geq 2$; $e_1 f_j e_1 = \alpha_j e_1$ for $1 \leq j \leq l$,
- (R-3) $e_i e_j = e_j e_i$ for $|i - j| \geq 2$; $e_i f_j = f_j e_i$ for $i \geq 2, 1 \leq j \leq l$,
- (R-4) $\text{tr}(e_i \omega) = \lambda \text{tr}(\omega)$ for any word ω on $1, f_1, \dots, f_m, e_1, \dots, e_{i-1}$,
where tr is the canonical trace on M ,
- (R-5) $\sum_j f_j = 1$,

where $\lambda^{-1} = 4 \cos^2(\pi/(n+2))$, $\alpha_j \in \mathbf{R}$, $0 < \alpha_1 \leq \alpha_2 \leq \dots \leq \alpha_m$. We call the above relations (R-1)~(R-5) the *extended Jones' relations*, and projections $\{e_i, f_j; i \geq 1, 1 \leq j \leq m\}$ *extended Jones' projections*.

Theorem 1. Let M be a type II_1 -factor. If there exists a family of extended Jones' projections corresponding to the data $(n; \alpha_1, \dots, \alpha_m)$, then $(n; \alpha_1, \dots, \alpha_m)$ is one of the following:

$$(n; \lambda_k, \lambda_{n-k-2}) \left(0 \leq k \leq \left[\frac{n-2}{2} \right] \right), (2k; \lambda_0, \lambda_0, \lambda_{k-2}) \quad (k \geq 2),$$

$$(10; \lambda_0, \lambda_1, \lambda_1), (16; \lambda_0, \lambda_1, \lambda_2), (28; \lambda_0, \lambda_1, \lambda_3),$$

where $\lambda_k = \sin(k+1)\theta_n / (2\cos\theta_n \sin(k+2)\theta_n)$ and $\theta_n = \pi/(n+2)$.

Proof. Since a sequence $\{f_i, e_1, e_2, \dots\}$ is a tower of projections corresponding to $\{\alpha_j, \lambda, \lambda, \dots\}$, α_j must be one of $\{\lambda_j; 0 \leq j \leq n-2\}$ in Cor. 2. 11 of [4], and $\lambda = \lambda_0 < \lambda_1 < \dots < \lambda_{n-1}$. So we have $\alpha_j \geq \lambda$. Moreover from relation (R-5), we get $1 = \sum_{j=1}^m \alpha_j \geq m\lambda$, hence $m \leq \lambda^{-1}$. Hence $m = 2$ or 3 and $\lambda^{-1} \geq m$.

(a) CASE OF $m = 2$: By simple calculation, we get $\lambda_k + \lambda_{n-k-2} = 1$. So we obtain $(n; \alpha_1, \alpha_2) = (n; \lambda_k, \lambda_{n-k-2})$ for some $k, 0 \leq k \leq \lfloor \frac{n-2}{2} \rfloor$.

(b) CASE OF $m = 3$: Since $\lambda^{-1} \geq m, \lambda^{-1} = 4 \cos^2(\pi/(n+2))$, we have $n \geq 4$. And $\alpha_1 \leq \alpha_j$ implies that $\alpha_1 \leq 1/3$. On the other hand $1/3 < \lambda_1 < \dots < \lambda_{n-1}$, so $\alpha_1 = \lambda_0$. By $\lambda_0 + \alpha_1 + \alpha_2 = 1$ and $\alpha_2 \leq \alpha_3$, we get $\alpha_2 \leq (1 - \lambda_0)/2$. Moreover $\lambda_2 > (1 - \lambda_0)/2$ and so $\alpha_2 = \lambda_0$ or λ_1 .

b₁) CASE OF $\alpha_2 = \lambda_0$: Since $\alpha_3 = 1 - 2\lambda_0 \in \{\lambda_i; 0 \leq i \leq n-1\}$, we have $\alpha_3 = \lambda_k$ for some $k, 0 \leq k \leq n-1$. Then $\lambda_k = 1 - 2\lambda_0$. By a simple calculation, $n = 2k + 4$.

b₂) CASE OF $\alpha_2 = \lambda_1$: Here $\alpha_3 = 1 - \lambda_0 - \lambda_1$. We obtain $\alpha_3 = \lambda_1, \lambda_2$ or λ_3 because $\lambda_4 > 1 - \lambda_0 - \lambda_1$. Assume that $\alpha_3 = \lambda_1$, then we get trigonometric equation

$$\frac{\sin \theta_n \sin 5\theta_n}{2 \cos \theta_n \sin 2\theta_n \sin 3\theta_n} = \frac{\sin 2\theta_n}{2 \sin \theta_n \sin 3\theta_n}.$$

Solving this equation, we obtain $n = 10$. Similarly $\alpha_3 = \lambda_2$ (resp. $\alpha_3 = \lambda_3$) implies $n = 16$ (resp. $n = 28$).

For any of the above data $(n; \alpha_1, \dots, \alpha_m)$, there exists a family of extended Jones' projections, or we have the following existence theorem.

Theorem 2. *Let M be a type II_1 -factor. Then for everyone of data $(n; \lambda_k, \lambda_{n-k-2})$ with $0 \leq k \leq \lfloor \frac{n-2}{2} \rfloor$, $(2k; \lambda_0, \lambda_0, \lambda_{k-2})$ with $k \geq 2$, $(10; \lambda_0, \lambda_1, \lambda_1)$, $(16; \lambda_0, \lambda_1, \lambda_2)$ or $(28; \lambda_0, \lambda_1, \lambda_3)$ there exists a family of extended Jones' projections corresponding to it.*

Actually we construct a family of extended Jones' projections by use of string algebra, as explained below.

Let G be an unoriented pointed graph. Moreover we require that G be bipartite, locally finite and accessible. Denote a distinguished point by $*$.

Definition 2 (cf. [3]). For $x, y \in G^{(0)}, n \in \mathbf{N}$, we put

$Path_x^{(n)}$ = the set of paths of length n with source x ,

$Path_{x,y}^{(n)} = \{\xi \in Path_x^{(n)}; r(\xi) = y\}$,

$String_x^{(n)}$ = the set of strings of length n with source x ,

H_n = Hilbert space with orthonormal basis $Path_*^{(n)}$.

For a string $\rho = (\rho_+, \rho_-) \in String_*^{(n)}$, we represent ρ on H_n by $\rho\xi = \delta(\rho_-, \xi)\rho_+$ for $\xi \in H_n$, and denote by A_n a finite dimensional C^* -algebra generated by $String_*^{(n)}$.

Let μ be a weight which is a map $G^{(0)} \rightarrow \mathbf{R}^+ = \{\lambda; \lambda > 0\}$ with $\mu(*) = 1$, and Λ be Laplacian of G . Assume that μ is harmonic i.e. $\Lambda\mu = \beta\mu$ with $\beta \in \mathbf{R}^+$ and define a trace tr on A_n by $tr(\rho) = \beta^{-n}\mu(r(\rho))\delta(\rho_+, \rho_-)$ for $\rho = (\rho_+, \rho_-) \in String_*^{(n)}$. For $n \in \mathbf{N}$ a projection $e_n \in A_{n+1}$ is defined by

$$e_n = \beta^{-1} \sum_{\alpha \in \text{Path}_{*}^{(n-1)}} \sum_{\xi, \eta \in \text{Path}_{r(\alpha)}^{(1)}} \frac{\sqrt{\mu(r(\xi))\mu(r(\eta))}}{\mu(r(\alpha))} (\alpha \circ \xi \circ \xi^{\sim}, \alpha \circ \eta \circ \eta^{\sim}) \in A_{n+1}.$$

Then it can be proved by calculations that the sequence $\{e_n; n = 1, 2, \dots\}$ satisfies the following relations (cf. [3]):

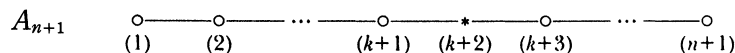
- (a) $e_n e_{n\pm 1} e_n = \beta^{-2} e_n$ for $n \in \mathbf{N}$;
- (b) $e_n e_m = e_m e_n$ for $|m - n| \geq 2$;
- (c) $\text{tr}(\omega e_{m+1}) = \beta^{-2} \text{tr}(\omega)$ for any word ω in e_1, \dots, e_m .

Moreover for an $x \in G^{(0)}$ such that $\text{Path}_{*,x}^{(1)} \neq \emptyset$, we define a projection $f_x \in A_1$ by $f_x = \sum_{\xi \in \text{Path}_{*,x}^{(1)}} (\xi, \xi)$. Then the next proposition gives the relations between f_x and e_n .

- Proposition 1.** (1) $e_1 f_x e_1 = \#(\text{Path}_{*,x}^{(1)}) \mu(x) \beta^{-1} e_1$,
 (2) $f_x e_n = e_n f_x$ for $n \geq 2$.

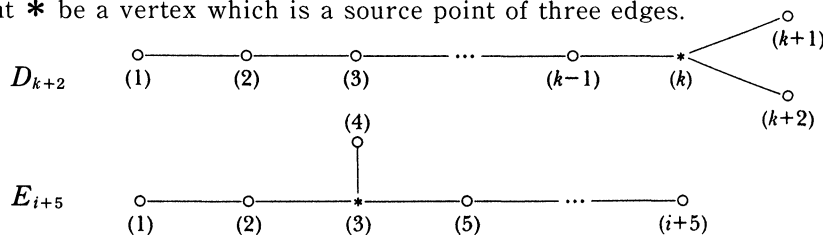
Let us now construct a family of extended Jones' projections.

1) CASE OF $(n; \lambda_k, \lambda_{n-k-2})$: Let G be a Dynkin diagram of type A_{n+1} and the distinguished point $*$ be a vertex with distance $k + 1$ from the end vertex.



Then $\beta = 2 \cos(\pi/(n + 2))$, $\mu((i)) = \sin i \theta_n / \sin(k + 2) \theta_n$. Take e_n, f_x with $x = (k + 1), (k + 3)$, and denote $f_{(k+1)}, f_{(k+3)}$ by f_1, f_2 respectively. From [3] and Proposition 1, we see that $\{e_n, f_1, f_2; n \geq 1\}$ is a family of extended Jones' projections corresponding to $(n; \lambda_k, \lambda_{n-k-2})$.

2) CASE OF $(2k; \lambda_0, \lambda_0, \lambda_{k-2})$ or $(n; \lambda_0, \lambda_1, \lambda_i) (1 \leq i \leq 3)$: Let G be a Dynkin diagram of type D_{k+2} or E_{i+5} respectively and the distinguished point $*$ be a vertex which is a source point of three edges.



Similarly we can construct a family of extended Jones' projections.

§2. The indicies of the pairs of II_1 -factors. Here for a pair of type II_1 -factors $A \supset B$ generated by a family of extended Jones' projections, we give index $[A : B]$ by using Wenzl's index formula.

Theorem 3. Let M be a type II_1 -factor, $\{e_i, f_j; i \geq 1, 1 \leq j \leq m\}$ be a family of extended Jones' projections in M corresponding to $(n; \alpha_1, \dots, \alpha_m)$ and $A = \{e_i, f_j; i \geq 1, 1 \leq j \leq m\}$, $B = \{e_i; i \geq 1\}$. Then A and B are hyperfinite type II_1 -factors and the index $[A : B]$ is given as follows:

- 1) Case of $(n; \alpha_1, \alpha_2) = (n; \lambda_k, \lambda_{n-k-2}) (0 \leq k \leq \lfloor \frac{n-2}{2} \rfloor)$:

$$[A : B] = \frac{\sin^2(k + 2) \theta_n}{\sin^2 \theta_n}, \text{ with } \theta_n = \frac{\pi}{n + 2}.$$

- 2) Case of $(n; \alpha_1, \alpha_2, \alpha_3) = (2k; \lambda_0, \lambda_0, \lambda_{k-2}) (k \geq 2)$: $[A : B] = 2 \cot^2 \theta_n$.

3) Case of $(n; \alpha_1, \alpha_2, \alpha_3) = (10; \lambda_0, \lambda_1, \lambda_1) : [A : B] = 18 + 10\sqrt{3}$.

4) Case of $(n; \alpha_1, \alpha_2, \alpha_3) = (16; \lambda_0, \lambda_1, \lambda_2) :$

$$[A : B] = 9 \left\{ 2 \sin^2 \theta_n \left(\frac{\sin^2 2 \theta_n}{\sin^2 4 \theta_n} + \frac{\sin^2 \theta_n}{\sin^2 3 \theta_n} + 1 \right) \right\}^{-1}.$$

5) Case of $(n; \alpha_1, \alpha_2, \alpha_3) = (28; \lambda_0, \lambda_1, \lambda_3) ;$

$$[A : B] = 15 \left\{ 2 \sin^2 \theta_n \left(\frac{\sin^2 \theta_n}{\sin^2 5 \theta_n} + \frac{\sin^2 3 \theta_n}{\sin^2 5 \theta_n} + \frac{\sin^2 \theta_n}{\sin^2 3 \theta_n} + 1 \right) \right\}^{-1}.$$

§3. Relative commutant $B' \cap A$.

Theorem 4. Let M be a type II_1 -factor, $\{e_i, f_j; i \geq 1, 1 \leq j \leq m\}$ be a family of extended Jones' projections in M corresponding to $(n; \alpha_1, \dots, \alpha_m)$ and $A = \{e_i; f_j; i \geq 1, 1 \leq j \leq m\}$, $B = \{e_i; i \geq 1\}$. Then relative commutant $B' \cap A$ is trivial.

Proof. Here we give the proof in case of $(n; \alpha_1, \alpha_2) = (n; \lambda_k, \lambda_{n-k-2}) (0 \leq k \leq \lfloor \frac{n-2}{2} \rfloor)$. Other cases can be treated similarly.

Let G be a Dynkin diagram of type A_{n+1} , the distinguished point $*$ be a vertex with distance $k + 1$ from the end vertex and $A(G)$ be a hyperfinite II_1 -factor generated by string algebras of G . Then we can construct a family of extended Jones' projections $\{e_i, f_j; i \geq 1, 1 \leq j \leq 2\}$ corresponding to $(n, \alpha_1, \alpha_2) = (n; \lambda_k, \lambda_{n-k-2})$ and put $A = \{e_i, f_j; i = 1, 2, \dots, 1 \leq j \leq m\}$ and $B = \{e_i; i = 1, 2, \dots\}$. From Theorem 3, we have $[A : B] = \sin^2(k + 2)\theta_n/(\sin^2\theta_n)$. On the other hand, $[A(G) : B] = \sin^2(k + 2)\theta_n/(\sin^2\theta_n)$ by Prop. 4. 5. 2 of [1]. Since $A(G) \supset A \supset B$, we obtain $A(G) = A$. So by $A(G) \cap B' = C$ it follows that $A \cap B' = C$.

§4. Fixed point subalgebras for permutations of f_j 's. For a family of extended Jones' projections $\{e_i, f_j; i \geq 1, 1 \leq j \leq 3\}$, we define von Neumann subalgebras $A(j)$ of A ($j = 1, 2, 3$) by $A(j) = \{e_i, f_j; i \geq 1\}$. Since $\{e_i, f_j; 1 - f_j; i \geq 1\}$ is a family of extended Jones' projections corresponding to $(n; \alpha_j, 1 - \alpha_j)$, we have, by Theorem 3, that $A(j)$ is a hyperfinite II_1 -factor and $[A(j) : B] = \sin^2(k_j + 2)\theta_n/(\sin^2\theta_n)$, where k_j is an integer such that $\lambda_{k_j} = \alpha_j$.

Since $[A : B] = [A : A(j)][A(j) : B]$, the next theorem follows by Theorem 3 and a simple calculation.

Theorem 5. Let A and $A(j)$ be as above. Then index for a pair $A \supset A(j)$ is given as follows.

1) Case of $(n; \alpha_1, \alpha_2, \alpha_3) = (2k; \lambda_0, \lambda_0, \lambda_{k-2}) (k \geq 2) :$

$$[A : A(1)] = [A : A(2)] = (2 \sin^2 \theta_n)^{-1}, [A : A(3)] = 2.$$

2) Case of $(n; \alpha_1, \alpha_2, \alpha_3) = (10; \lambda_0, \lambda_1, \lambda_1) :$

$$[A : A(1)] = 6 + 2\sqrt{3}, [A : A(2)] = [A : A(3)] = 3 + \sqrt{3}.$$

3) Case of $(n; \alpha_1, \alpha_2, \alpha_3) = (16; \lambda_0, \lambda_1, \lambda_2) :$

$$[A : A(j)] = 9\beta \{2 \sin^2(j + 1)\theta_n\}^{-1} (j = 1, 2, 3),$$

$$\text{where } \beta^{-1} = \frac{\sin^2 2 \theta_n}{\sin^2 4 \theta_n} + \frac{\sin^2 \theta_n}{\sin^2 3 \theta_n} + 1.$$

4) Case of $(n; \alpha_1, \alpha_2, \alpha_3) = (28; \lambda_0, \lambda_1, \lambda_3) :$

$$[A : A(j)] = 15\gamma \{2 \sin^2(k_j + 2)\theta_n\}^{-1} (j = 1, 2, 3),$$

where $\gamma^{-1} = \frac{\sin^2\theta_n}{\sin^25\theta_n} + \frac{\sin^23\theta_n}{\sin^25\theta_n} + \frac{\sin^2\theta_n}{\sin^23\theta_n} + 1$ and $(k_1, k_2, k_3) = (0, 1, 3)$.

Now let us consider automorphisms of A by permutations of $\{f_j; 1 \leq j \leq m\}$. If $\sigma \in \text{Aut}(A)$ and $\sigma(f_i) = f_j$, then $\text{tr}(f_j) = \text{tr}(\sigma(f_i)) = \text{tr}(f_i)$ i.e. $\alpha_i = \alpha_j$. So there exists such an automorphism, if and only if

$$(n; \alpha_1, \alpha_2) = (2k; \lambda_{k-1}, \lambda_{k-1}) \text{ with } k \geq 1, \text{ or}$$

$$(n; \alpha_1, \alpha_2, \alpha_3) = (2k; \lambda_0, \lambda_0, \lambda_{k-2}) \text{ with } k \geq 2, \text{ or } (10; \lambda_0, \lambda_1, \lambda_1).$$

Here we consider fixed point algebras in case of $(n; \alpha_1, \alpha_2) = (2k; \lambda_{k-1}, \lambda_{k-1})$ with $k \geq 2$ and $(n; \alpha_1, \alpha_2, \alpha_3) = (10; \lambda_0, \lambda_1, \lambda_1)$.

1) CASE OF $(n; \alpha_1, \alpha_2) = (2k; \lambda_{k-1}, \lambda_{k-1})$ for $k \geq 2$: Take $\sigma \in \text{Aut}(A)$ such that $\sigma(f_1) = f_2, \sigma(f_2) = f_1$ and $\sigma(e_i) = e_i$ for $i \geq 1$. Since $A \supset A^\sigma \supset B$ and $B' \cap A = C$, σ is an outer automorphism of A . Hence $[A : A^\sigma] = |\langle \sigma \rangle| = 2$. On the other hand, $[A : B] = (\sin^2\theta_n)^{-1}$ from Theorem 3. Since $[A : B] = (\sin^2\theta_n)^{-1} \neq 2 = [A : A^\sigma]$, we have $A^\sigma \cong B$ and $[A^\sigma : B] = (2 \sin^2\theta_n)^{-1}$. It follows that $(A^\sigma)' \cap A = C$ from $B' \cap A = C$.

2) CASE OF $(n; \alpha_1, \alpha_2, \alpha_3) = (10; \lambda_0, \lambda_1, \lambda_1)$: Define $\sigma \in \text{Aut}(A)$ by $\sigma(f_1) = f_1, \sigma(f_2) = f_3, \sigma(f_3) = f_2$ and $\sigma(e_i) = e_i$ for $i \geq 1$. Comparing indices, we have $A^\sigma \cong A(1)$ and $[A^\sigma : A(1)] = 3 + \sqrt{3}$.

From the above arguments, we obtain the next theorem.

Theorem 6. *Notations are as above.*

1) Case of $(n; \alpha_1, \alpha_2) = (2k; \lambda_{k-1}, \lambda_{k-1})$ with $k \geq 2$:

$$A^{S_2} \cong B, [A^{S_2} : B] = (2 \sin^2\theta_n)^{-1}, B' \cap A^{S_2} = C.$$

2) Case of $(n; \alpha_1, \alpha_2) = (10; \lambda_0, \lambda_1, \lambda_1)$:

$$A^{S_2} \cong A(1), [A^{S_2} : A(1)] = 3 + \sqrt{3}, A(1)' \cap A^{S_2} = C.$$

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