

45. Majorizations and Quasi-Subordinations for Certain Analytic Functions

By Osman ALTINTAS^{*)} and Shigeyoshi OWA^{**)}

(Communicated by Kiyosi ITÔ, M. J. A., Sept. 14, 1992)

Two subclasses $A(\alpha, \beta)$ and $R(p)$ of certain analytic functions in the open unit disk U are introduced. For these classes a majorization problem and a quasi-subordination problem of analytic functions in U are discussed.

1. Introduction. Let $A(\alpha, \beta)$ be the class of functions of the form

$$(1.1) \quad h(z) = 1 - \sum_{n=1}^{\infty} c_n z^n \quad (c_n \geq 0)$$

which are analytic in the open unit disk $U = \{z : |z| < 1\}$ and satisfy

$$(1.2) \quad \operatorname{Re}\{h(z) + \alpha zh'(z)\} > \beta \quad (z \in U),$$

where $\operatorname{Re}(\alpha) \geq 0$ and $0 \leq \beta < 1$. The class $A(\alpha, \beta)$ for real $\alpha \geq 0$ was studied by Altintas [1].

Also, let $R(p)$ denote the class of functions

$$(1.3) \quad g(z) = z + \sum_{n=2}^{\infty} b_n z^n$$

which are analytic in U and satisfy

$$(1.4) \quad \operatorname{Re} \left\{ \sqrt[p]{\frac{g(z)}{s(z)}} \right\} > \frac{1}{2} \quad (z \in U)$$

for some function $s(z)$ is analytic and univalent in U with $s(0) = 0$ and $s'(0) = 1$, where $p \geq 1$.

Let $f(z)$ and $g(z)$ be analytic in U . Then $f(z)$ is said to be subordinate to $g(z)$ if there exists an analytic function $w(z)$ in U satisfying $w(0) = 0$, $|w(z)| \leq |z|$ ($z \in U$) and $f(z) = g(w(z))$. We denote this subordination by

$$(1.5) \quad f(z) \prec g(z) \quad (z \in U) \quad (\text{cf. [4, p. 226]}).$$

Further, $f(z)$ is said to be quasi-subordinate to $g(z)$ if there exists an analytic function $w(z)$ such that $f(z)/w(z)$ is analytic in U ,

$$(1.6) \quad \frac{f(z)}{w(z)} \prec g(z) \quad (z \in U),$$

and $|w(z)| \leq 1$ ($z \in U$). We also denote this quasi-subordination by

$$(1.7) \quad f(z) \prec_q g(z) \quad (z \in U).$$

Note that the quasi-subordination (1.7) is equivalent to

$$(1.8) \quad f(z) = w(z)g(\phi(z)),$$

where $|w(z)| \leq 1$ ($z \in U$) and $|\phi(z)| \leq |z|$ ($z \in U$) (cf. [5]).

In the quasi-subordination (1.7), if $w(z) \equiv 1$, then (1.7) becomes the subordination (1.5).

1991 Mathematics Subject Classification. Primary 30C45.

^{*)} Department of Mathematics, Hacettepe University, Turkey.

^{**)} Department of Mathematics, Kinki University, Japan.

For analytic functions $f(z)$ and $g(z)$ in U , we say that $f(z)$ is majorized by $g(z)$ if there exists an analytic function $w(z)$ in U satisfying $|w(z)| \leq 1$ and $f(z) = w(z)g(z)$ ($z \in U$). We denote this majorization by

$$(1.9) \quad f(z) \ll g(z) \quad (z \in U) \quad (\text{cf. [3]}).$$

If we take $\phi(z) = z$ in (1.8), then the quasi-subordination (1.7) becomes the majorization (1.9).

2. A majorization problem. To complete the proof of our result for majorization, we need the following lemmas.

Lemma 1. *If $h(z)$ defined by (1.1) is in the class $A(\alpha, \beta)$, then*

$$(2.1) \quad \sum_{n=1}^{\infty} c_n \leq \frac{1 + \beta}{1 + \text{Re}(\alpha)}.$$

Proof. We note that $h(z) \in A(\alpha, \beta)$ gives

$$(2.2) \quad \text{Re}(1 - \sum_{n=1}^{\infty} (1 + \alpha n) c_n z^n) > \beta \quad (z \in U).$$

Letting $z \rightarrow 1^-$ along the real axis, we find that

$$(2.3) \quad \sum_{n=1}^{\infty} (1 + n\text{Re}(\alpha)) c_n \leq 1 - \beta,$$

and, since $c_n \geq 0$ and $\text{Re}(\alpha) \geq 0$,

$$(2.4) \quad (1 + \text{Re}(\alpha)) \sum_{n=1}^{\infty} c_n \leq \sum_{n=1}^{\infty} (1 + n\text{Re}(\alpha)) c_n \leq 1 - \beta.$$

This gives the coefficient inequality (2.1).

Lemma 2. *If $h(z)$ defined by (1.1) is in the class $A(\alpha, \beta)$, then*

$$(2.5) \quad 1 - \frac{1 - \beta}{1 + \text{Re}(\alpha)} |z| \leq \text{Re}(h(z)) \leq |h(z)| \leq 1 + \frac{1 - \beta}{1 + \text{Re}(\alpha)} |z|$$

for $z \in U$.

Proof. Since

$$(2.6) \quad |h(z)| \leq 1 + |z| \sum_{n=1}^{\infty} c_n,$$

Lemma 1 leads to

$$(2.7) \quad |h(z)| \leq 1 + \frac{1 - \beta}{1 + \text{Re}(\alpha)} |z|.$$

On the other hand, we have

$$(2.8) \quad \begin{aligned} \text{Re}(h(z)) &= 1 - \text{Re}\left\{\sum_{n=1}^{\infty} c_n z^n\right\} \geq 1 - \left|\sum_{n=1}^{\infty} c_n z^n\right| \\ &\geq 1 - |z| \sum_{n=1}^{\infty} c_n \geq 1 - \frac{1 - \beta}{1 + \text{Re}(\alpha)} |z|. \end{aligned}$$

Now we prove

Theorem 1. *Let $f(z) = a_1 z - \sum_{n=2}^{\infty} a_n z^n$ ($a_1 \neq 0, a_n \geq 0$) be analytic in U . If $f(z) \ll g(z)$ and $zg'(z)/g(z) \in A(\alpha, \beta)$, then*

$$(2.9) \quad |f'(z)| \leq |g'(z)| \quad (|z| \leq r(\alpha, \beta)),$$

where $r(\alpha, \beta)$ is the root of the cubic equation

$$(2.10) \quad \begin{aligned} (1 - \beta)r^3 - (1 + \text{Re}(\alpha))r^2 \\ + (\beta - 2\text{Re}(\alpha) - 3)r + 1 + \text{Re}(\alpha) = 0 \end{aligned}$$

contained in the interval $(0, 1)$.

Proof. For $g(z)$ such that $zg'(z)/g(z) \in A(\alpha, \beta)$, we have from Lemma 2 that

$$(2.11) \quad \left| \frac{zg'(z)}{g(z)} \right| \geq 1 - \frac{1-\beta}{1+\operatorname{Re}(\alpha)} r \quad (|z|=r),$$

or

$$(2.12) \quad |g(z)| \leq \frac{(1+\operatorname{Re}(\alpha))r}{1+\operatorname{Re}(\alpha)-(1-\beta)r} |g'(z)| \quad (|z|=r).$$

Since $f(z) \ll g(z)$, there exists an analytic function $w(z)$ such that $f(z) = w(z)g(z)$ and $|w(z)| \leq 1$ ($z \in U$). Thus we have

$$(2.13) \quad f'(z) = w(z)g'(z) + w'(z)g(z).$$

Noting that $w(z)$ satisfies

$$(2.14) \quad |w'(z)| \leq \frac{1-|w(z)|^2}{1-|z|^2} \quad (z \in U) \quad (\text{cf. [4, p. 168]}),$$

we see that

$$(2.15) \quad \begin{aligned} & |f'(z)| \\ & \leq \left\{ |w(z)| + \frac{1-|w(z)|^2}{1-r^2} \frac{(1+\operatorname{Re}(\alpha))r}{1+\operatorname{Re}(\alpha)-(1-\beta)r} \right\} |g'(z)| \\ & = \frac{-(1+\operatorname{Re}(\alpha))rX^2 + (1-r^2)(1+\operatorname{Re}(\alpha)-(1-\beta)r)X + (1+\operatorname{Re}(\alpha))r}{(1-r^2)(1+\operatorname{Re}(\alpha)-(1-\beta)r)} \\ & \quad \times |g'(z)|, \end{aligned}$$

where $X = |w(z)|$. Note that the function $H(X)$ defined by

$$H(X) = -(1+\operatorname{Re}(\alpha))rX^2 + (1-r^2)(1+\operatorname{Re}(\alpha)-(1-\beta)r)X + (1+\operatorname{Re}(\alpha))r \quad (0 \leq X \leq 1)$$

takes its maximum value at $X = 1$ with the condition (2.10). Let $r(\alpha, \beta)$ ($0 < r(\alpha, \beta) < 1$) be the root of the equation (2.10). If $0 \leq a \leq r(\alpha, \beta)$, then the function

$$(2.16) \quad \begin{aligned} \psi(X) &= -(1+\operatorname{Re}(\alpha))aX^2 \\ &+ (1-a^2)(1+\operatorname{Re}(\alpha)-(1-\beta)a)X + (1+\operatorname{Re}(\alpha))a \end{aligned}$$

increases in the interval $0 \leq X \leq 1$ so that $\psi(X)$ does not exceed $\psi(1) = (1-a^2)(1+\operatorname{Re}(\alpha)-(1-\beta)a)$. Therefore, from this fact, (2.15) gives the inequality (2.9).

3. A quasi-subordination problem. Our result of quasi-subordinations for the class $R(p)$ contained in

Theorem 2. Let $f(z) = z + \sum_{n=2}^{\infty} a_n z^n$ be analytic in U and $g(z) \in R(p)$. If $f(z) \prec_q g(z)$, then

$$(3.1) \quad |a_n| \leq \frac{(p+n)!}{(p+1)!(n-1)!} \quad (n \geq 2).$$

Equality in (3.1) is attained for the function $f(z)$ given by

$$(3.2) \quad f(z) = \frac{z}{(1-z)^{p+2}}.$$

Proof. It follows from $f(z) \prec_q g(z)$ that

$$(3.3) \quad f(z) \stackrel{q}{=} w(z)g(\phi(z)),$$

where $w(z)$ is analytic in U with $|w(z)| \leq 1$ ($z \in U$) and $\phi(z)$ is analytic in U with $|\phi(z)| \leq |z|$ ($z \in U$). Define the function $h(z)$ by

$$(3.4) \quad \sqrt[p]{\frac{g(z)}{s(z)}} = h(z)$$

with a function $s(z)$ analytic and univalent in U . Then $g(z) \in R(p)$ gives

$\text{Re}(h(z)) > 1/2$ ($z \in U$), that is,

$$(3.5) \quad h(z) < \frac{1}{1-z} \quad (z \in U).$$

Let

$$H = \{h(z) : h(z) < 1/(1-z)\}$$

and

$$H^p = \{h(z)^p : h(z) \in H\}.$$

Then from [6, p. 16], we have

$$(3.6) \quad \text{exc}\bar{o} H^p = \{u(z) : u(z) = 1/(1-\eta z)^p, |\eta| = 1\},$$

where $\text{exc}\bar{o} H^p$ means the set of extreme points of the closed convex hull of H^p . If we take $g(z)/s(z) = k(z)$, then we have

$$(3.7) \quad f(z) = w(z)s(\phi(z))k(\phi(z)).$$

Letting $Q(z) = w(z)s(\phi(z))$ and $R(z) = k(\phi(z))$, we get

$$(3.8) \quad Q(z) <_q s(z) \quad (z \in U)$$

and

$$(3.9) \quad R(z) < k(z) \quad (z \in U).$$

Since $Q(z)$ is of the form $\sum_{n=1}^{\infty} q_n z^n$ and $s(z)$ is analytic and univalent in U , using [5] and [2], we have $|q_n| \leq n(n \geq 2)$.

Noting that $k(z) = h(z)^p \in H^p$, it follows from (3.9) that $R(z)$ is subordinate to a function belonging to $\text{exc}\bar{o} H^p$. This gives

$$(3.10) \quad R(z) < \frac{1}{(1-\eta z)^p} \quad (z \in U; |\eta| = 1)$$

or

$$(3.11) \quad R(z) < \frac{1}{(1-\eta\phi(z))^p} \quad (|\eta| = 1).$$

Without a loss of generality, we can take $\eta = 1$, so $R(z) = 1/(1-\phi(z))^p$. Since

$$\frac{1}{1-\phi(z)} < \frac{1}{1-z} \quad (z \in U),$$

the modulus of every coefficient of $1/(1-\phi(z))^p$ does not exceed the corresponding coefficient of $1/(1-z)^p$ (cf. [6, p. 17]). Therefore, we have

$$(3.12) \quad |r_n| \leq \frac{(p+n-1)!}{n!(p-1)!},$$

where $R(z) = 1 + r_1z + r_2z^2 + \dots$. Since

$$(3.13) \quad \begin{aligned} f(z) &= Q(z)R(z) \\ &= (q_1z + q_2z^2 + \dots)(1 + r_1z + r_2z^2 + \dots), \end{aligned}$$

that is,

$$(3.14) \quad a_n = q_n + q_{n-1}r_1 + q_{n-2}r_2 + \dots + q_1r_{n-1},$$

with the help of $|q_n| \leq n$ ($n \leq 2$) and (3.12), we obtain

$$(3.15) \quad \begin{aligned} |a_n| &\leq n + (n-1)p + (n-2) \frac{p(p+1)}{2!} \\ &\quad + \dots + \frac{p(p+1)\dots(p+n-2)}{(n-1)!} \\ &= \frac{(p+n)!}{(p+1)!(n-1)!}. \end{aligned}$$

Finally, for the equality in (3.1), taking $w(z) = 1$, $\phi(z) = z$, $s(z) = z/(1 - z)^2$, and $k(z) = 1/(1 - z)^{\rho}$ in (3.7), we get $f(z) = z/(1 - z)^{\rho+2}$. This completes the assertion of Theorem 2.

References

- [1] O. Altintas: On majorization by univalent functions. Ph. D. Thesis, Hacettepe University (1979).
- [2] L. de Branges: A proof of the Bieberbach conjecture. Acta Math., **154**, 137–152 (1985).
- [3] T. H. MacGregor: Majorization by univalent functions. Duke Math. J., **34**, 95–102 (1967).
- [4] Z. Nehari: Conformal Mappings. McGraw-Hill, New York (1952).
- [5] M. S. Robertson: Quasi-subordination and coefficients conjectures. Bull. Amer. Math. Soc., **76**, 1–9 (1970).
- [6] G. Schober: Univalent Functions Selected Topics. Springer-Verlag, Berlin, Heidelberg, New York (1975).