

76. On the Asymptotic Remainder Estimate for the Eigenvalues of Operators Associated with Strongly Elliptic Sesquilinear Forms

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§ 1. Introduction and main result. This present note is devoted to the supplementary result to be added to the previous paper [5].

Let Ω be a bounded domain in the n -dimensional Euclidean space \mathbf{R}^n . For a nonnegative integer m and $p > 1$ we denote by $W_p^m(\Omega)$ with the norm $\|\cdot\|_{m,p}$ the space of functions whose distributional derivatives of order up to m belong to $L_p(\Omega)$, and by $W_{p,0}^m(\Omega)$ the closure of $C_0^\infty(\Omega)$ in $W_p^m(\Omega)$. In particular we set $H^m(\Omega) = W_2^m(\Omega)$, $\|\cdot\|_m = \|\cdot\|_{m,2}$ and $H_0^m(\Omega) = W_{2,0}^m(\Omega)$. Let B be an integro-differential symmetric sesquilinear form of order m with bounded coefficients:

$$B[u, v] = \int_{\Omega} \sum_{|\alpha|, |\beta| \leq m} a_{\alpha\beta}(x) D^\alpha u(x) \overline{D^\beta v(x)} dx,$$

$$\alpha = (\alpha_1, \dots, \alpha_n), \quad D^\alpha = (-\sqrt{-1})^{|\alpha|} (\partial/\partial x_1)^{\alpha_1} \dots (\partial/\partial x_n)^{\alpha_n},$$

which is coercive on $H_0^m(\Omega)$:

$$B[u, u] \geq \delta \|u\|_m^2 - C_0 \|u\|_0^2, \quad \delta > 0, \quad C_0 \geq 0 \quad \text{for any } u \in H_0^m(\Omega).$$

Let A be the operator associated with the variational triple $\{B, H_0^m(\Omega), L_2(\Omega)\}$. That is, $u \in H_0^m(\Omega)$ belongs to $D(A)$, the domain of A if and only if there exists $f \in L_2(\Omega)$ such that $B[u, v] = (f, v)_{L_2(\Omega)}$ for any $v \in H_0^m(\Omega)$ and we define $Au = f$. As is known, A is a self-adjoint operator and the spectrum of A consists of eigenvalues accumulating only at $+\infty$. For a real number t let $N(t; A)$ or simply $N(t)$ denote the number of eigenvalues of A not exceeding t . We put

$$a(x, \xi) = \sum_{|\alpha|=|\beta|=m} a_{\alpha\beta}(x) \xi^{\alpha+\beta},$$

$$\mu_A(x) = (2\pi)^{-n} \int_{a(x, \xi) < 1} d\xi, \quad \mu_A(\Omega) = \int_{\Omega} \mu_A(x) dx.$$

For $\tau = k + \sigma > 0$ with an integer k and $0 < \sigma \leq 1$ let $\mathcal{B}^\tau(\Omega)$ denote the space of functions u in Ω such that $D^\alpha u$ are bounded and continuous for $|\alpha| \leq k$ and $|D^\alpha u(x) - D^\alpha u(y)|/|x - y|^\sigma$ ($x, y \in \Omega, x \neq y$) are bounded for $|\alpha| = k$.

In [5] we investigated the remainder estimate in the asymptotic formula for the eigenvalues of A with $a_{\alpha\beta} \in \mathcal{B}^\tau(\Omega)$ ($|\alpha|=|\beta|=m$) for $\tau > 0$. But we could not give any assertion for $0 < \tau < m$ when $2m \leq n$. In this note we settle this case.

Theorem. *Let $\tau > 0$. Suppose that $a_{\alpha\beta} \in \mathcal{B}^\tau(\Omega)$ ($|\alpha|=|\beta|=m$) and that the boundary $\partial\Omega$ is in C^{2m} -class. Then we have*

$$N(t) = \mu_A(\Omega) t^{n/2m} + O(t^{(n-\theta)/2m}) \quad \text{as } t \rightarrow \infty,$$

with $\theta = \tau/(\tau + 1)$.

Remark. Theorem has already been obtained by Métivier [4] when $0 < \tau \leq 1$ and by the author when $2m > n$ ([5, Theorem 1]) or $\tau \geq m$ ([5, Theorem 3]). In addition, when $2m > n$ or $0 < \tau \leq 1$, Theorem remains valid under much weaker conditions on the smoothness of $\partial\Omega$. Hence our result obtained in Theorem is new for the case of $2m \leq n$ and $1 < \tau < m$.

Theorem will be proved essentially along the same line as that of [5, Theorem 3] in which we have proceeded as follows: First we approximate A by operators A_ε ($\varepsilon > 0$) with smooth coefficients, and estimate the kernel of the resolvent $(A_\varepsilon - \lambda)^{-1}$ by using the L_p -theory or following the argument of Tanabe [7] which goes back to Beals [2]. Then applying Tsujimoto's theorem to a family of operators $\{A_\varepsilon\}_{\varepsilon > 0}$, we get the asymptotic behavior of the spectral function of A_ε , from which we finally obtain the asymptotic formula for $N(t)$.

But in the proof of Theorem we need to change the above course a little, because $D^\alpha a_{\alpha\beta}^\varepsilon(x)$, defined below, cannot necessarily be estimated by a constant independent of ε when $0 < \tau < m$. The resolvent kernel will be estimated not for $|\lambda| \geq C$ but for $|\lambda| \geq C\varepsilon^{-2m}$ with an appropriate constant C independent of ε . Hence we must consider $A_\varepsilon + C\varepsilon^{-2m}$ instead of A_ε when we apply Tsujimoto's theorem.

Let

$$M = \max_{|\alpha|+|\beta| \leq 2m} \sup_{x \in \Omega} |a_{\alpha\beta}(x)| + \max_{|\alpha|=|\beta|=m} \max_{|r|=k} \sup_{x,y \in \Omega, x \neq y} \frac{|D^\alpha a_{\alpha\beta}(x) - D^\alpha a_{\alpha\beta}(y)|}{|x-y|^\sigma} + \delta^{-1}.$$

In the following we denote by C [resp. C'] positive constants which may differ from each other and which depend only on n, m, Ω and M [resp. n, m, Ω, M and p]. When we distinguish these constants C [resp. C'], we write C_1, C_2, \dots [resp. C'_1, C'_2, \dots].

§ 2. The estimate for the resolvent kernel. First we construct the operator A_ε approximating A . For $\tau = k + \sigma > 0$ with an integer k and $0 < \sigma \leq 1$ we take a function $\varphi \in C_0^\infty(\mathbf{R}^n)$ with $\text{supp } \varphi \subset \{x \in \mathbf{R}^n; |x| < 1\}$ satisfying

$$\int_{\mathbf{R}^n} \varphi(x) dx = 1, \quad \int_{\mathbf{R}^n} x^\alpha \varphi(x) dx = 0 \quad (1 \leq |\alpha| \leq k)$$

([5, Lemma 5.1]), and put $\varphi_\varepsilon(x) = \varepsilon^{-n} \varphi(x/\varepsilon)$.

For $\varepsilon > 0$ we consider the form

$$B_\varepsilon[u, v] = \int_\Omega \sum_{|\alpha|=|\beta|=m} a_{\alpha\beta}^\varepsilon(x) D^\alpha u(x) \overline{D^\beta v(x)} dx,$$

where

$$a_{\alpha\beta}^\varepsilon(x) = \varphi_\varepsilon * a_{\alpha\beta}(x).$$

Here the above convolution is well-defined, because $\mathcal{B}^\tau(\Omega) \subset \mathcal{B}^\tau(\mathbf{R}^n)$ ([5, Lemma 5.2]). It follows that

$$|a_{\alpha\beta}^\varepsilon(x) - a_{\alpha\beta}(x)| \leq C\varepsilon^\tau, \quad |D^\alpha a_{\alpha\beta}^\varepsilon(x)| \leq C\varepsilon^{-2m+|\alpha|+|\beta|-|\alpha|},$$

and that B_ε is coercive for sufficiently small ε . Let A_ε be the operator associated with the variational triple $\{B_\varepsilon, H_0^m(\Omega), L_2(\Omega)\}$.

We define $\mathcal{A}_\varepsilon, \mathcal{A}'_\varepsilon$ and $a_\alpha^\varepsilon(x)$ by

$$\mathcal{A}_\varepsilon = \mathcal{A}_\varepsilon(x, D) = \sum_{|\alpha|=1, |\beta|=m} D^\beta (a_{\alpha\beta}^\varepsilon D^\alpha \cdot) = \sum_{m \leq |\alpha| \leq 2m} a_\alpha^\varepsilon(x) D^\alpha, \quad \mathcal{A}'_\varepsilon = \sum_{|\alpha|=2m} a_\alpha^\varepsilon(x) D^\alpha.$$

For $p > 1$ we define $A_{\varepsilon,p}$ by

$D(A_{\varepsilon,p}) = W_p^{2m}(\Omega) \cap W_{p,0}^m(\Omega)$, $(A_{\varepsilon,p}u)(x) = \mathcal{A}_\varepsilon(x, D)u(x)$ for $u \in D(A_{\varepsilon,p})$, and have $A_\varepsilon = A_{\varepsilon,2}$ from the regularity theorem.

Lemma 1. *There exist $C'_1 > 0, C'_2 > 0$ and $0 < \varepsilon_0 < 1$ such that*

$$\|u\|_{2m,p} + |\lambda| \|u\|_{0,p} \leq C'_1 \|(A_{\varepsilon,p} - \lambda)u\|_{0,p} \quad \text{for } u \in D(A_{\varepsilon,p}),$$

when $0 < \varepsilon < \varepsilon_0, |\lambda| \geq C'_2 \varepsilon^{-2m}$ and $|\arg(-\lambda)| \leq 3\pi/4$.

Proof. It is known that there exist $C'_3 > 0, C'_4 > 0$ and $0 < \varepsilon_0 < 1$ such that

$$(1) \quad \|u\|_{2m,p} + |\lambda| \|u\|_{0,p} \leq C'_3 \|(\mathcal{A}'_\varepsilon - \lambda)u\|_{0,p} \quad \text{for } u \in D(A_{\varepsilon,p}),$$

when $0 < \varepsilon < \varepsilon_0, |\lambda| \geq C'_4$ and $|\arg(-\lambda)| \leq 3\pi/4$ (I1).

Using the interpolation inequality

$$\varepsilon^j \|u\|_{j,p} \leq C'(\gamma \varepsilon^{2m} \|u\|_{2m,p} + \gamma^{-j/(2m-j)} \|u\|_{0,p}), \quad 0 \leq j \leq 2m-1$$

for $\varepsilon > 0$ and $\gamma > 0$, we have

$$(2) \quad \begin{aligned} \|(A_{\varepsilon,p} - \mathcal{A}'_\varepsilon)u\|_{0,p} &\leq \sum_{m \leq |\alpha| \leq 2m-1} \|a_\alpha^\varepsilon(x) D^\alpha u\|_{0,p} \\ &\leq C' \sum_{j=m}^{2m-1} \varepsilon^{-2m+j} \|u\|_{j,p} \\ &\leq C'(\gamma \|u\|_{2m,p} + \gamma^{1-2m} \varepsilon^{-2m} \|u\|_{0,p}) \end{aligned}$$

for any $\gamma > 0$. In view of (1) and (2) we get

$$\|u\|_{2m,p} + |\lambda| \|u\|_{0,p} \leq C'_3 \|(A_{\varepsilon,p} - \lambda)u\|_{0,p} + C'_5(\gamma \|u\|_{2m,p} + \gamma^{1-2m} \varepsilon^{-2m} \|u\|_{0,p}).$$

Taking γ so that $C'_5 \gamma \leq 1/2$ and putting $C'_2 = \max\{2C'_3 \gamma^{1-2m}, C'_4\}$ and $C'_1 = 2C'_3$, we get the lemma. Q.E.D.

For $\eta = (\eta_1, \dots, \eta_n) \in \mathbb{C}^n$, we define $A_{\varepsilon,p}^\eta$ by

$$\begin{aligned} D(A_{\varepsilon,p}^\eta) &= D(A_{\varepsilon,p}) = W_p^{2m}(\Omega) \cap W_{p,0}^m(\Omega), \\ (A_{\varepsilon,p}^\eta u)(x) &= e^{-x\eta} \mathcal{A}_\varepsilon(x, D)(e^{x\eta} u(x)) \quad \text{for } u \in D(A_{\varepsilon,p}^\eta). \end{aligned}$$

Lemma 2. *There exist $C'_6 > 0, C'_7 > 0$ and $0 < \varepsilon_0 < 1$ such that*

$$\|u\|_{2m,p} + |\lambda| \|u\|_{0,p} \leq C'_6 \|(A_{\varepsilon,p}^\eta - \lambda)u\|_{0,p} \quad \text{for } u \in D(A_{\varepsilon,p}^\eta),$$

when $0 < \varepsilon < \varepsilon_0, |\lambda| \geq C'_7 |\eta|^{2m} \geq C'_7 \varepsilon^{-2m}$ and $|\arg(-\lambda)| \leq 3\pi/4$.

Proof. When $\varepsilon^{-1} \leq |\eta|$, we have for $\gamma > 0$

$$\begin{aligned} \|(A_{\varepsilon,p}^\eta - A_{\varepsilon,p})u\|_{0,p} &\leq \sum_{m \leq |\alpha| \leq 2m} \|a_\alpha^\varepsilon(x) \{(D - i\eta)^\alpha - D^\alpha\} u\|_{0,p} \\ &\leq C' \sum_{k=m}^{2m} \varepsilon^{-2m+k} \sum_{j=1}^k |\eta|^j \|u\|_{k-j,p} \\ &\leq C'(\gamma \|u\|_{2m,p} + \gamma^{1-2m} |\eta|^{2m} \|u\|_{0,p}). \end{aligned}$$

This combined with Lemma 1 gives

$$\|u\|_{2m,p} + |\lambda| \|u\|_{0,p} \leq C'_1 \|(A_{\varepsilon,p}^\eta - \lambda)u\|_{0,p} + C'_8(\gamma \|u\|_{2m,p} + \gamma^{1-2m} |\eta|^{2m} \|u\|_{0,p}),$$

when $0 < \varepsilon < \varepsilon_0, |\lambda| \geq C'_2 \varepsilon^{-2m}, |\arg(-\lambda)| \leq 3\pi/4$ and $\varepsilon^{-1} \leq |\eta|$. Taking γ so that $C'_8 \gamma \leq 1/2$ and putting $C'_7 = \max\{2C'_1 \gamma^{1-2m}, C'_3\}$ and $C'_6 = 2C'_1$, we get the lemma. Q.E.D.

Lemma 3. *There exist $C'_9 > 0, C'_{10} > 0$ and $0 < \varepsilon_0 < 1$ such that $\lambda \in \rho(A_{\varepsilon,p}^\eta)$, the resolvent set of $A_{\varepsilon,p}^\eta$ and*

$$\|(A_{\varepsilon,p}^\eta - \lambda)^{-1} f\|_{0,p} \leq C'_9 |\lambda|^{-1} \|f\|_{0,p}, \quad \|(A_{\varepsilon,p}^\eta - \lambda)^{-1} f\|_{2m,p} \leq C'_9 \|f\|_{0,p}$$

for $f \in L_p(\Omega)$, when $0 < \varepsilon < \varepsilon_0, |\lambda| \geq C'_{10} |\eta|^{2m} \geq C'_{10} \varepsilon^{-2m}$ and $|\arg(-\lambda)| \leq 3\pi/4$.

Proof. Since Lemma 2 shows that $A_{\varepsilon,p}^\eta - \lambda$ is one-to-one, it remains to

prove that $A_{\varepsilon,p}^\eta - \lambda$ is onto. For this proof we follow the argument of Tanabe [6, pp. 84–87]. We map Ω into a C^∞ -domain $\tilde{\Omega}$ by a C^{2m} -diffeomorphism, which transform $A_{\varepsilon,p}^\eta$ into $\tilde{A}_{\varepsilon,p}^\eta$ with continuous coefficients. We approximate $\tilde{A}_{\varepsilon,p}^\eta$ by $\tilde{A}_{\varepsilon,\gamma,p}^\eta = \varphi_\gamma * \tilde{A}_{\varepsilon,p}^\eta$ ($\gamma > 0$) with C^∞ -coefficients. From Lemma 2 it follows that there exist $C'_{11} > 0$, $C'_{12} > 0$ and $0 < \gamma_0 < 1$ such that

$$\|v\|_{2m,p} + |\lambda| \|v\|_{0,p} \leq C'_{11} \|(\tilde{A}_{\varepsilon,\gamma,p}^\eta - \lambda)v\|_{0,p} \quad \text{for } v \in D(\tilde{A}_{\varepsilon,\gamma,p}^\eta),$$

when $0 < \gamma < \gamma_0$, $0 < \varepsilon < \varepsilon_0$, $|\lambda| \geq C'_{12} |\eta|^{2m} \geq C'_{12} \varepsilon^{-2m}$ and $|\arg(-\lambda)| \leq 3\pi/4$. Further for the formally adjoint operator $(\tilde{A}_{\varepsilon,\gamma,p}^\eta)^*$ and q with $p^{-1} + q^{-1} = 1$ there exists $C_7 > 0$, which may depend on γ , ε and η , such that

$$\|v\|_{2m,q} + |\lambda| \|v\|_{0,q} \leq 2C'_{11} \|((\tilde{A}_{\varepsilon,\gamma,p}^\eta)^* - \lambda)v\|_{0,q} \quad \text{for } v \in W_q^{2m}(\tilde{\Omega}) \cap W_{q,0}^m(\tilde{\Omega}),$$

when $0 < \gamma < \gamma_0$, $0 < \varepsilon < \varepsilon_0$, $|\lambda| \geq C_7$ and $|\arg(-\lambda)| \leq 3\pi/4$. Then applying Schechter's result we see that $\lambda \in \rho(\tilde{A}_{\varepsilon,\gamma,p}^\eta)$ for $|\lambda| \geq \max\{C'_{12} |\eta|^{2m}, C_7\}$. Since $\lambda \in \rho(\tilde{A}_{\varepsilon,\gamma,p}^\eta)$ and $|\mu - \lambda| \|(\tilde{A}_{\varepsilon,\gamma,p}^\eta - \lambda)^{-1}\| < 1$ imply $\mu \in \rho(\tilde{A}_{\varepsilon,\gamma,p}^\eta)$, it follows that $\lambda \in \rho(\tilde{A}_{\varepsilon,\gamma,p}^\eta)$ if $|\lambda| \geq C'_{12} |\eta|^{2m} \geq C'_{12} \varepsilon^{-2m}$. From this we conclude that $A_{\varepsilon,p}^\eta - \lambda$ is onto. Hence the lemma follows. Q.E.D.

From the embedding theorem, the integral kernel theorem and Lemma 3 it follows that there exist an integer k and a_j , $0 < a_j < 1$ ($j = 1, 2, \dots, k$), determined by n and m , such that $\sum_{j=1}^k a_j = n/2m$ and that $\prod_{j=1}^k (A_{\varepsilon,2}^\eta - \lambda_j)^{-1}$ has a continuous kernel satisfying

$$(3) \quad \left| \mathcal{K} \left[\prod_{j=1}^k (A_{\varepsilon,2}^\eta - \lambda_j)^{-1} \right] (x, y) \right| \leq C_1 \prod_{j=1}^k |\lambda_j|^{a_j-1},$$

when $0 < \varepsilon < \varepsilon_0$, $|\lambda_j| \geq C_2 |\eta|^{2m} \geq C_2 \varepsilon^{-2m}$ and $|\arg(-\lambda_j)| \leq 3\pi/4$ ($1 \leq j \leq k$) (the detail discussion is found in [7]). Here and in the following we denote by $\mathcal{K}[T](x, y)$ the kernel of an integral operator T . Combining (3) with

$$\mathcal{K} \left[\prod_{j=1}^k (A_\varepsilon - \lambda_j)^{-1} \right] (x, y) = e^{(x-y)\eta} \mathcal{K} \left[\prod_{j=1}^k (A_{\varepsilon,2}^\eta - \lambda_j)^{-1} \right] (x, y),$$

and substituting $\eta = -(x-y)(C_2^{-1} \min\{|\lambda_1|, \dots, |\lambda_k|\})^{1/2m} / |x-y|$, we obtain

$$\left| \mathcal{K} \left[\prod_{j=1}^k (A_\varepsilon - \lambda_j)^{-1} \right] (x, y) \right| \leq C_3 \sum_{h=1}^k \exp(-C_4 |\lambda_h|^{1/2m} |x-y|) \cdot \prod_{j=1}^k |\lambda_j|^{a_j-1},$$

when $0 < \varepsilon < \varepsilon_0$, $|\lambda_j| \geq C_2 \varepsilon^{-2m}$ and $|\arg(-\lambda_j)| \leq 3\pi/4$ ($1 \leq j \leq k$), from which it follows that

$$(4) \quad \left| \mathcal{K} \left[\prod_{j=1}^k (A_\varepsilon + C_5 \varepsilon^{-2m} - \lambda_j)^{-1} \right] (x, y) \right| \leq C_6 \sum_{h=1}^k \exp(-C_7 |\lambda_h|^{1/2m} |x-y|) \cdot \prod_{j=1}^k |\lambda_j|^{a_j-1},$$

when $0 < \varepsilon < \varepsilon_0$ and $|\arg(-\lambda_j)| \leq 3\pi/4$ ($1 \leq j \leq k$) if we take $C_5 = 2C_2$. Note that the conditions $|\lambda_j| \geq C_2 \varepsilon^{-2m}$ ($1 \leq j \leq k$) have been eliminated.

The calculation in [7, pp. 275–281] leads us from (4) to the following estimate for the kernel of $(A_\varepsilon + C_5 \varepsilon^{-2m} - \lambda)^{-1}$ through the estimate for the kernel of $\exp(-t(A_\varepsilon + C_5 \varepsilon^{-2m}))$ for $t > 0$.

Lemma 4. *There exist $C_8 > 0$, $C_9 > 0$ and $0 < \varepsilon_0 < 1$ such that*

$$\begin{aligned} & \left| \mathcal{K}[(A_\varepsilon + C_5 \varepsilon^{-2m} - \lambda)^{-1}](x, y) \right| \\ & \leq \begin{cases} C_8 |\lambda|^{n/2m-1} \exp(-C_9 |\lambda|^{1/2m} |x-y|) & (2m > n) \\ C_8 \{1 + \log^+(|\lambda|^{1/2m} |x-y|)^{-1}\} \exp(-C_9 |\lambda|^{1/2m} |x-y|) & (2m = n) \\ C_8 |x-y|^{2m-n} \exp(-C_9 |\lambda|^{1/2m} |x-y|) & (2m < n) \end{cases} \end{aligned}$$

for $0 < \varepsilon < \varepsilon_0$, $x, y \in \Omega$ and $\lambda < 0$.

§ 3. Proof of Theorem. Now that we have attained Lemma 4, we can apply Tsujimoto's theorem ([9], see also [5, Remark of Theorem 4]) to a family of operators $\{A_\varepsilon + C_5 \varepsilon^{-2m}\}_{0 < \varepsilon < \varepsilon_0}$ and get for $t > 1$

$$\begin{aligned} |e_\varepsilon(t - C_5 \varepsilon^{-2m}; x, x) - \mu_{A_\varepsilon}(x) t^{n/2m}| &\leq C(\varepsilon \wedge \delta(x))^{-1} t^{(n-1)/2m}, \\ 0 \leq e_\varepsilon(t - C_5 \varepsilon^{-2m}; x, x) &\leq C t^{n/2m}, \end{aligned}$$

where $e_\varepsilon(t; x, y)$ is the spectral function of A_ε , $\delta(x) = \text{dist}(x, \partial\Omega)$ and $\varepsilon \wedge \delta(x) = \min\{\varepsilon, \delta(x)\}$. Then it follows that

$$\begin{aligned} (5) \quad |N(t; A_\varepsilon + C_5 \varepsilon^{-2m}) - \mu_{A_\varepsilon}(\Omega) t^{n/2m}| &\leq \int_{\Omega} |e_\varepsilon(t - C_5 \varepsilon^{-2m}; x, x) - \mu_{A_\varepsilon}(x) t^{n/2m}| dx \\ &\leq \int_{\Omega \setminus \Gamma_\varepsilon} C \varepsilon^{-1} t^{(n-1)/2m} dx + \int_{\Gamma_\varepsilon} C t^{n/2m} dx \\ &\leq C \varepsilon^{-1} t^{(n-1)/2m} + C \varepsilon t^{n/2m}, \end{aligned}$$

where $\Gamma_\varepsilon = \{x \in \Omega; \text{dist}(x, \partial\Omega) < \varepsilon\}$. Using

$$|B_\varepsilon[u, u] + C_5 \varepsilon^{-2m} \|u\|_0^2 - B[u, u]| \leq C_{10} \varepsilon^\tau B[u, u] + C_{11} \varepsilon^{-2m} \|u\|_0^2,$$

and the properties of $N(t; A)$ or $N(t; B, H_0^m(\Omega), L_2(\Omega))$ ([4]), we have

$$(6) \quad N(t; A) \leq N((1 + C_{10} \varepsilon^\tau) t + C_{11} \varepsilon^{-2m}; A_\varepsilon + C_5 \varepsilon^{-2m}).$$

Combining (5) and (6), and putting $\varepsilon = t^{-1/(2m(\tau+1))}$, we get

$$N(t; A) - \mu_A(\Omega) t^{n/2m} \leq C \varepsilon^\tau t^{n/2m} + C \varepsilon^{-1} t^{(n-1)/2m} \leq C t^{(n-\theta)/2m}$$

with $\theta = \tau/(\tau+1)$ for sufficiently large t . In the same way we get the estimate from below. Hence Theorem follows.

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