

## 28. Universal R-matrices for Quantum Groups Associated to Simple Lie Superalgebras

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**Introduction.** Let  $H$  be a Hopf algebra with coproduct  $\Delta: H \rightarrow H \otimes H$ . Let  $\mathcal{R} = \sum_i a_i \otimes b_i \in H \otimes H$  be an invertible element. The triple  $(H, \Delta, \mathcal{R})$  is called a *quasi-triangular Hopf algebra* if  $\mathcal{R}$  satisfies the following properties (see [1]):

$$(0.1) \quad \begin{aligned} \bar{\Delta}(x) &= \mathcal{R}\Delta(x)\mathcal{R}^{-1} & (x \in H), \\ (\Delta \otimes id)(\mathcal{R}) &= \mathcal{R}_{13}\mathcal{R}_{23}, & (id \otimes \Delta)(\mathcal{R}) &= \mathcal{R}_{13}\mathcal{R}_{12} \end{aligned}$$

where  $\bar{\Delta} = \tau \circ \Delta$ ,  $\tau(x \otimes y) = y \otimes x$  and  $\mathcal{R}_{12} = \sum_i a_i \otimes b_i \otimes 1$ ,  $\mathcal{R}_{13} = \sum_i a_i \otimes 1 \otimes b_i$ ,  $\mathcal{R}_{23} = \sum_i 1 \otimes a_i \otimes b_i$ . The  $\mathcal{R}$  is called the *universal R-matrix*. From this definition, it follows that  $\mathcal{R}$  satisfies the Yang-Baxter equation:

$$(0.2) \quad \mathcal{R}_{12}\mathcal{R}_{13}\mathcal{R}_{23} = \mathcal{R}_{23}\mathcal{R}_{13}\mathcal{R}_{12}.$$

Let  $\mathcal{G}$  be a complex simple Lie algebra and  $U(\mathcal{G})$  the universal enveloping algebra of  $\mathcal{G}$ . In 1985, Drinfeld [1] and Jimbo [2] associated to each  $\mathcal{G}$ , the  $\hbar$ -adic topologically free  $\mathbb{C}[[\hbar]]$ -Hopf algebra  $(U_\hbar(\mathcal{G}), \Delta)$  such that  $U_\hbar(\mathcal{G})/\hbar U_\hbar(\mathcal{G}) = U(\mathcal{G})$ , which is now called the *quantum group* or the *quantized enveloping algebra*. Moreover Drinfeld [1] gave a method of constructing an element  $\mathcal{R} = U_\hbar(\mathcal{G}) \hat{\otimes} U_\hbar(\mathcal{G})$  such that  $(U_\hbar(\mathcal{G}), \Delta, \mathcal{R})$  is a quasi-triangular Hopf algebra. His method is called the *quantum double construction*. By using this method, Rosso [9] gave an explicit formula of  $\mathcal{R}$  for  $\mathcal{G} = sl_n(\mathbb{C})$ , and Kirillov-Reshetikhin [6], Levendorskii-Soibelman [8] gave such a formula for any  $\mathcal{G}$ .

Let  $\tilde{\mathcal{G}} = \tilde{\mathcal{G}}_0 \oplus \tilde{\mathcal{G}}_1$  be a complex simple Lie superalgebra of types  $A-G$  and  $U(\tilde{\mathcal{G}})$  the universal enveloping superalgebra of  $\tilde{\mathcal{G}}$ . In this note, we associate to each  $\tilde{\mathcal{G}}$ , an  $\hbar$ -adic topological  $\mathbb{C}[[\hbar]]$ -Hopf superalgebra  $(U_\hbar(\tilde{\mathcal{G}}), \Delta^s)$  such that  $U_\hbar(\tilde{\mathcal{G}})/\hbar U_\hbar(\tilde{\mathcal{G}}) = U(\tilde{\mathcal{G}})$ . In fact, the definition of  $U_\hbar(\tilde{\mathcal{G}})$  depends on a choice of the Cartan matrix and the parities of the simple roots of  $\tilde{\mathcal{G}}$ . (For the terminologies *Lie superalgebra* and *Hopf superalgebra*, see [4, 6].) We also introduce an  $\hbar$ -adic topological Hopf algebra  $(U_\hbar^s(\tilde{\mathcal{G}}), \Delta^s)$ . The  $U_\hbar^s(\tilde{\mathcal{G}})$  contains  $U_\hbar(\tilde{\mathcal{G}})$  as a subalgebra and the Hopf algebra structure of  $(U_\hbar^s(\tilde{\mathcal{G}}), \Delta^s)$  arises naturally from the Hopf superalgebra structure of  $(U_\hbar(\tilde{\mathcal{G}}), \Delta^s)$ . In this note, by using the quantum double construction, we construct an element  $\mathcal{R} \in U_\hbar^s(\tilde{\mathcal{G}}) \otimes U_\hbar^s(\tilde{\mathcal{G}})$  explicitly so that  $(U_\hbar^s(\tilde{\mathcal{G}}), \Delta^s, \mathcal{R})$  is a quasi-triangular Hopf algebra. In the process of constructing  $\mathcal{R}$ , we can also show that  $U_\hbar^s(\tilde{\mathcal{G}})$  and  $U_\hbar(\tilde{\mathcal{G}})$  are topologically free.

Details omitted here will be published elsewhere.

After I finished this work, Professor E. Date informed me about the

existence of the preprint [5] of Khoroskin and Tolstoy, whose results seem to have some overlap with the ones in the present paper.

**§ 1. Preliminaries.** Let  $\tilde{\mathcal{G}} = \tilde{\mathcal{G}}_0 \oplus \tilde{\mathcal{G}}_1$  be a simple Lie superalgebra of types  $A-G$  and  $U(\tilde{\mathcal{G}}) = U(\tilde{\mathcal{G}})_0 \oplus U(\tilde{\mathcal{G}})_1$ , the universal enveloping superalgebra of  $\tilde{\mathcal{G}}$ . Let  $\Pi = \{\alpha_1, \dots, \alpha_n\}$  be the set of simple roots and  $p: \Pi \rightarrow \{0, 1\}$  the parity function. Let  $A$  be a Cartan matrix related to  $\Pi$ . We assume that  $A$  is of distinguished type when  $\tilde{\mathcal{G}} \neq sl(n|m)$  or  $osp(n|m)$ . In this note, we define, for such  $A$  an  $h$ -adic topologically free  $C[[h]]$ -Hopf superalgebra  $(U_h(\tilde{\mathcal{G}}) = U_h(\tilde{\mathcal{G}}, A, p) = U_h(\tilde{\mathcal{G}})_0 \oplus U_h(\tilde{\mathcal{G}})_1, \Delta^s)$  such that  $U_h(\tilde{\mathcal{G}})/hU_h(\tilde{\mathcal{G}}) = U(\tilde{\mathcal{G}})$ . (The Hopf superalgebra  $U_h(\tilde{\mathcal{G}})$  corresponding to  $osp(2|1)$  has already been introduced by Kulish and Reshetikhin [7].) Let  $\mathbf{Z}/2\mathbf{Z} = \langle \sigma \rangle$  act on  $U_h(\tilde{\mathcal{G}})$  by  $\sigma \cdot x = (-1)^i x$  for  $x \in U_h(\tilde{\mathcal{G}})_i$ . Define an  $h$ -adic  $C[[h]]$ -Hopf algebra as follows:

(i)  $U_h^s(\tilde{\mathcal{G}}) = U_h(\tilde{\mathcal{G}}) \hat{\otimes} C[[h]]\langle \sigma \rangle$  as  $h$ -adic  $C[[h]]$ -modules. We denote the element  $x \otimes \sigma^c$  ( $x \in U_h(\tilde{\mathcal{G}})$ ,  $c \in \mathbf{Z}$ ) of  $U_h^s(\tilde{\mathcal{G}})$  by  $x\sigma^c$ .

(ii) The product of  $U_h(\tilde{\mathcal{G}})$  is defined by  $x\sigma^c \cdot x'\sigma^{c'} = (-1)^{ic} x x' \sigma^{c+c'}$  for  $x \in U_h(\tilde{\mathcal{G}})$  and  $x' \in U_h(\tilde{\mathcal{G}})_i$ .

(iii) The coproduct  $\Delta^s$  is defined by putting  $\Delta^s(x\sigma^c) = \sum a_i \sigma^{p_i+c} \otimes b_i \sigma^c$  for  $x \in U_h(\tilde{\mathcal{G}})$ ,  $\Delta^s(x) = \sum a_i \otimes b_i$  and  $b_i \in U_h(\tilde{\mathcal{G}})_{p_i}$ . (The Hopf algebra  $U_h^s(\tilde{\mathcal{G}})$  corresponding to  $sl(1|1)$  has already been introduced by Jing, Ge and Wu [3].)

**§ 2. Dynkin diagrams.** Let  $A$  be a Cartan matrix of rank  $n$  satisfying the condition of § 1. Let  $(\Phi, \Pi)$  ( $\Pi = (\alpha_1, \dots, \alpha_n)$ ) be the root system of  $A$  where  $\Phi$  and  $\Pi$  denote the set of roots and the set of simple roots. Put  $N = n + 1$  if  $A$  is of type  $A$ , and  $N = n$  otherwise. We assume that  $(\Phi, \Pi)$  is embedded in an  $N$ -dimensional complex linear space  $S$  with a non-degenerate symmetric bilinear form  $(,)$ . Let  $D = \text{diag}(d_1, \dots, d_n)$  be the diagonal matrix described below. They satisfy  $DA = [(\alpha_i, \alpha_j)]$ .

(i) *Types A, B, C, D.* Let  $\{\bar{\varepsilon}_i | 1 \leq i \leq N\}$  be a basis of  $S$  such that  $(\bar{\varepsilon}_i, \bar{\varepsilon}_j) = \pm 1$ . We can arbitrarily choose the sign of  $(\bar{\varepsilon}_i, \bar{\varepsilon}_i)$ . In the diagram below, the element under the  $i$ -th vertex denotes the simple root  $\alpha_i$ .

$$(A) \quad \begin{array}{ccccccc} & 1 & & 2 & & \dots & & N-1 \\ & \times & \text{---} & \times & \text{---} & \dots & \text{---} & \times \\ \bar{\varepsilon}_1 - \bar{\varepsilon}_2 & & & \bar{\varepsilon}_2 - \bar{\varepsilon}_3 & & & & \bar{\varepsilon}_{N-1} - \bar{\varepsilon}_N \end{array}, \quad D = \text{diag}(1, \dots, 1).$$

$$(B) \quad \begin{array}{ccccccc} & 1 & & 2 & & \dots & & N-1 & & N \\ & \times & \text{---} & \times & \text{---} & \dots & \text{---} & \times & \implies & \circ \\ \bar{\varepsilon}_1 - \bar{\varepsilon}_2 & & & \bar{\varepsilon}_2 - \bar{\varepsilon}_3 & & & & \bar{\varepsilon}_{N-1} - \bar{\varepsilon}_N & & \bar{\varepsilon}_N \end{array} \quad \text{or} \quad \begin{array}{ccccccc} & 1 & & 2 & & \dots & & N-1 & & N \\ & \times & \text{---} & \times & \text{---} & \dots & \text{---} & \times & \implies & \bullet \\ \bar{\varepsilon}_1 - \bar{\varepsilon}_2 & & & \bar{\varepsilon}_2 - \bar{\varepsilon}_3 & & & & \bar{\varepsilon}_{N-1} - \bar{\varepsilon}_N & & \bar{\varepsilon}_N \end{array},$$

$$D = \text{diag}(1, \dots, 1, \frac{1}{2}).$$

$$(C) \quad \begin{array}{ccccccc} & 1 & & 2 & & \dots & & N-1 & & N \\ & \times & \text{---} & \times & \text{---} & \dots & \text{---} & \times & \longleftarrow & \circ \\ \bar{\varepsilon}_1 - \bar{\varepsilon}_2 & & & \bar{\varepsilon}_2 - \bar{\varepsilon}_3 & & & & \bar{\varepsilon}_{N-1} - \bar{\varepsilon}_N & & 2\bar{\varepsilon}_N \end{array}, \quad D = \text{diag}(1, \dots, 1, 2).$$

$$(D) \quad \begin{array}{ccccccc} & 1 & & 2 & & \dots & & N-2 & & N-1 \\ & \times & \text{---} & \times & \text{---} & \dots & \text{---} & \times & \begin{array}{l} \diagup \circ \\ \diagdown \circ \end{array} & \begin{array}{l} \bar{\varepsilon}_{N-1} - \bar{\varepsilon}_N \\ N \\ \bar{\varepsilon}_{N-1} + \bar{\varepsilon}_N \end{array} \\ \bar{\varepsilon}_1 - \bar{\varepsilon}_2 & & & \bar{\varepsilon}_2 - \bar{\varepsilon}_3 & & & & \bar{\varepsilon}_{N-2} - \bar{\varepsilon}_{N-1} & & \end{array} \quad \text{if } (\bar{\varepsilon}_{N-1}, \bar{\varepsilon}_{N-1}) = (\bar{\varepsilon}_N, \bar{\varepsilon}_N),$$



$$\text{(resp. } \otimes \text{---} \otimes \longleftarrow \circ \text{ or } \times \text{---} \circ \text{---} \otimes \longleftarrow \circ \text{)}$$

where the elements  $E_{\varepsilon_{N-1}+\varepsilon_N}$ ,  $E_{2\varepsilon_{N-2}}$  and  $E_{\varepsilon_{N-3}+\varepsilon_{N-2}}$  will be defined in the following section.

(3.5) the relations (3.4) with  $E_i$ 's replaced by  $F_i$ 's.

A Hopf superalgebraic structure of  $U_h(\tilde{\mathcal{G}})$  is given by a coproduct  $\Delta^s$  defined by

$$\begin{aligned} \Delta^s(H) &= H \otimes 1 + 1 \otimes H \quad \text{for } H \in \mathcal{H}, \\ \Delta^s(E_i) &= E_i \otimes 1 + \exp\left(\frac{\hbar}{2} H_{\alpha_i}\right) \otimes E_i \quad \text{for } 1 \leq i \leq n, \\ \Delta^s(F_i) &= F_i \otimes \exp\left(-\frac{\hbar}{2} H_{\alpha_i}\right) + 1 \otimes F_i \quad \text{for } 1 \leq i \leq n. \end{aligned}$$

**Lemma 3.1.** Put  $\mathcal{C} = \{H \in \mathcal{H} \mid \alpha_i(H) = 0 \ (1 \leq i \leq n)\}$  and  $U_h(\tilde{\mathcal{G}})' = U_h(\tilde{\mathcal{G}}) / \overline{U_h(\tilde{\mathcal{G}})\mathcal{C}}$ . As a  $\mathcal{C}$ -Hopf superalgebra,  $U_h(\tilde{\mathcal{G}})' / \hbar U_h(\tilde{\mathcal{G}})'$  is isomorphic to  $U(\tilde{\mathcal{G}})$ .

By using the quantum double construction and Tanisaki's argument in [10] for  $U_h^*(\tilde{\mathcal{G}})$ , we can show the following theorem:

**Theorem 3.2.**  $U_h(\tilde{\mathcal{G}})$  is topologically free as an  $\hbar$ -adic  $\mathcal{C}[[\hbar]]$ -module.

§ 4. Root vectors of  $U_h(\tilde{\mathcal{G}})^+$  and  $U_h(\tilde{\mathcal{G}})^-$ . Let  $\Phi_+$  be the set of positive roots related to  $\Pi$  and let  $\Phi'_+ = \{\beta \in \Phi_+ \mid \beta/2 \notin \Phi\}$ . For  $\beta = c_1\alpha_1 + \cdots + c_n\alpha_n \in \Phi'_+$ , we put  $l(\beta) = c_1 + \cdots + c_n$ ,  $c_i^\beta = c_i \ (1 \leq i \leq n)$ ,  $g(\beta) = \min\{i \mid c_i \neq 0\}$  and  $l'(\beta) = c_{g(\beta)}^{-1} l(\beta) \in \frac{1}{2}\mathbf{Z}$ . We define a total order on  $\Phi'_+$  as follows. If  $\alpha, \beta \in \Phi'_+$ , we say that  $\alpha \leq \beta$  if  $g(\alpha) \leq g(\beta)$ ,  $l'(\alpha) \leq l'(\beta)$ ,  $c_{n-1}^\alpha \leq c_{n-1}^\beta$  and  $c_n^\alpha \leq c_n^\beta$ . Let  $U_h(\tilde{\mathcal{G}})^+$  (resp.  $U_h(\tilde{\mathcal{G}})^-$ ) be the unital subalgebra of  $U_h(\tilde{\mathcal{G}})$  generated by  $E_i$ 's (resp.  $F_i$ 's).

**Definition 4.1.** For  $\beta \in \Phi'_+$ , we define the elements  $E_\beta \in U_h(\tilde{\mathcal{G}})^+$  and  $F_\beta \in U_h(\tilde{\mathcal{G}})^-$  as follows. For type  $F_4$  (resp.  $G_3$ ),  $E_{abcd}$  and  $E'_{abcd}$  (resp.  $E_{abc}$  and  $E'_{abc}$ ) denote  $E_{a\alpha_1+b\alpha_4+c\alpha_3+d\alpha_2}$  and  $E'_{a\alpha_1+b\alpha_4+c\alpha_3+d\alpha_2}$  (resp.  $E_{a\alpha_1+b\alpha_3+c\alpha_2}$  and  $E'_{a\alpha_1+b\alpha_3+c\alpha_2}$ ).

(i) We put  $E_{\alpha_i} = E_i \ (1 \leq i \leq n)$ .

(ii) For  $\alpha \in \Phi'_+$  such that  $g(\alpha) < i$  and  $\alpha + \alpha_i \in \Phi$ , we put  $E'_{\alpha+\alpha_i} = [E_\alpha, E_{\alpha_i}]_{q^{-(\alpha, \alpha_i)}}$ . If  $A$  is of type B,  $i = N$  and  $\alpha = \varepsilon_j \ (1 \leq j \leq N-1)$ , let  $E_{\alpha+\alpha_N} = (q^{1/2} + q^{-1/2})^{-1} E'_{\alpha+\alpha_N}$ . If  $A$  is of type D,  $i = N$  and  $\alpha = \alpha_{N-1}$ , let  $E_{\alpha+\alpha_N} = (q + q^{-1})^{-1} E'_{\alpha+\alpha_N}$ . If  $A$  is of type  $F_4$ , let  $E_{1120} = (q + q^{-1})^{-1} E'_{1120}$  and  $E_{1232} = (q^2 + 1 + q^{-2})^{-1} E'_{1232}$ . If  $A$  is of type  $G_3$ , let  $E_{121} = (q + q^{-1})^{-1} E'_{121}$  and  $E_{031} = (q^2 + 1 + q^{-2})^{-1} E'_{031}$ . Otherwise, put  $E_\alpha = E'_\alpha$ .

(iii) For  $\alpha, \beta \in \Phi'_+$  such that  $g(\alpha) = g(\beta)$ ,  $\alpha < \beta$ ,  $l'(\beta) - l'(\alpha) \leq 1$  and  $\alpha + \beta \in \Phi'_+$ , we put  $E'_{\alpha+\beta} = [E_\alpha, E_\beta]_{q^{-(\alpha, \beta)}}$ . If  $A$  is of type C (resp. D,  $F_4$  of  $G_3$ ), then  $E_{\alpha+\beta}$  is defined by  $(q + q^{-1})^{-1} E'_{\alpha+\beta}$  (resp.  $(q + q^{-1})^{-1} E'_{\alpha+\beta}$ ,  $(q^2 + q^{-2})^{-1} E'_{\alpha+\beta}$  or  $(q^2 + 1 + q^{-2})^{-1} E'_{\alpha+\beta}$ ).

(iv)  $F_\alpha \in U_h(\tilde{\mathcal{G}})^-$  ( $\alpha \in \Phi'_+$ ) is also defined in the same manner.

§ 5. The main result. For  $\alpha \in \Phi'_+$ , we define an integer  $d_\alpha$  as follows. If  $(\alpha, \alpha) = 0$ , we put  $d_\alpha = 1$ . If  $A$  is of type  $G_3$  and  $\alpha = \alpha_1 + 2\alpha_3 + \alpha_2$ , we put  $d_\alpha = 2$ . Otherwise, put  $d_\alpha = |(\alpha, \alpha)|/2$ .

**Definition 5.1.** Assume that  $A$  is of type A, B, C or D. For  $\alpha = c_1\alpha_1 + \dots + c_n\alpha_n \in \Phi'_+$ , let  $p_\alpha = \sum_{p(i)=1}^n c_i$  where  $p(i)$  denotes  $p(\alpha_i)$ . For  $\alpha = \varepsilon_i + n_j\varepsilon_j \in \Phi'_+$  ( $1 \leq i \leq j \leq N$ ,  $-1 \leq n_j \leq 1$ ), put  $V_\alpha = (\prod_{i < l \leq N} (\varepsilon_l, \varepsilon_i)v_l) (\prod_{j < u \leq N} (\varepsilon_u, \varepsilon_j)v_u)^{n_j}$ . For  $\alpha \in \Phi'_+$ , we define the element  $X_\alpha \in C[[\hbar]]^\times$  as follows. For  $A$  of type B (resp. C or D) and  $\alpha = \varepsilon_i + \varepsilon_j$  ( $1 \leq i < j \leq N$ ), we put  $X_\alpha = (-1)^{p(N)(\varepsilon_N, \varepsilon_N)}$  (resp.  $v_N$  or  $(\varepsilon_{N+1}, \varepsilon_{N+1})v_{N+1}^{-1}$ ). For  $A$  of type D and  $\alpha = 2\varepsilon_i$  ( $1 \leq i \leq N$ ,  $p(\varepsilon_i - \varepsilon_N) = 1$ ), we put  $X_\alpha = (\varepsilon_{N+1}, \varepsilon_{N+1})$ . Otherwise, put  $X_\alpha = 1$ . We let  $M_\alpha = (-1)^{\lfloor p_\alpha(p_\alpha - 1)/2 \rfloor} X_\alpha \cdot V_\alpha$ .

**Remark.** In Definition 5.1, we assumed, for simplicity,  $A$  is of type A, B, C or D.  $M_\alpha$  can also be defined in the  $F_4$ - and  $G_3$ -cases so that Theorem 5.2 below is also true in those cases. In all cases,  $M_\alpha = (-1)^{a}q^b$  for some integers  $a$  and  $b$ .

Let  $U_\hbar^q(\tilde{\mathcal{G}})$  be the  $\hbar$ -adic  $C[[\hbar]]$ -Hopf algebra defined in §1. For this  $U_\hbar^q(\tilde{\mathcal{G}})$ , we construct the universal  $R$ -matrix by using the quantum double construction. Let  $\Phi_n(t) = \prod_{i=1}^n ((1-t^i)/(1-t))$  and let  $e(u; t) = \sum_n (u^n / \Phi_n(t))$  be the formal power series called the  $q$ -exponential. We note that  $E_\alpha^2 = 0$  if  $(\alpha, \alpha) = 0$ .

**Theorem 5.2.** Let  $\mathcal{R}$  be an element of  $U_\hbar^q(\tilde{\mathcal{G}}) \hat{\otimes} U_\hbar^q(\tilde{\mathcal{G}})$  defined by

$$\begin{aligned} \mathcal{R} = & \left\{ \prod_{\alpha \in \Phi_+} e((q^{d_\alpha} - q^{-d_\alpha})M_\alpha^{-1}E_\alpha \otimes F_\alpha \sigma^{p(\alpha)}; (-1)^{p(\alpha)}q^{(\alpha, \alpha)}) \right\} \\ & \times \left\{ \frac{1}{2} \sum_{c, c' \in \{0, 1\}} (-1)^{c c'} \sigma^c \otimes \sigma^{c'} \right\} \cdot \exp\left(\frac{\hbar}{2} t_0\right) \end{aligned}$$

where  $t_0 = \sum_{i=1}^N H_i \otimes H_i$  and  $H_i$ 's are basis elements of  $\mathcal{H}$  such that  $(H_i, H_j) = \delta_{ij}$ . (The product over  $\alpha$  is taken with respect to the total order  $<$  defined in §4.) Then  $(U_\hbar^q(\tilde{\mathcal{G}}), \Delta^\sigma, \mathcal{R})$  is a quasi-triangular Hopf algebra.

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