

## 20. Minimal Currents and Relaxation of Variational Integrals on Mappings of Bounded Variation

By Patricio AVILES<sup>\*)</sup> and Yoshikazu GIGA<sup>\*\*)</sup>

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**1. Introduction and main results.** Let  $T$  be a 1-dimensional current of locally finite mass on  $\mathbf{R}^m$ . By the Riesz representation theorem  $T$  is identified with a  $\mathbf{R}^m$ -valued Radon measure  $T=(T^1, \dots, T^m)$  on  $\mathbf{R}^m$  (see e.g., [5,12]). If  $F=F(y, \eta)$  is a nonnegative continuous function on  $\mathbf{R}^m \times \mathbf{R}^m$  and is positively homogeneous of degree one in  $\eta$ , a new measure  $F(y, T)$  is associated with  $T$  (cf. [10]). We consider a functional

$$(1) \quad I_F(T) = \int_{\mathbf{R}^m} F(y, T).$$

Here  $F$  is assumed to be convex in  $\eta$  and satisfy a growth condition

$$(2) \quad k|\eta| \leq F(y, \eta) \leq K|\eta|$$

with  $K \geq k > 0$  independent of  $y$  and  $\eta$ . If  $T$  is a current representing an oriented  $C^1$  curve  $C$ ,  $I_F(T)$  is the length of the curve  $C$  with metric density  $F$ , so  $I_F(T)$  agrees with the standard length of  $C$  in  $\mathbf{R}^m$  when  $F(y, \eta) = |\eta|$ .

We call  $S$  a *minimal current* from  $a \in \mathbf{R}^m$  to  $b \in \mathbf{R}^m$  if

$$I_F(S) = \inf \{ I_F(T) ; T \in \mathcal{M}_1 \text{ and } \partial T = \delta_b - \delta_a \}.$$

Here  $\delta_a$  denotes the Dirac measure supported at  $a$  and  $\partial T$  denotes the boundary of  $T$ , i.e.  $\partial T = \text{div } T$ . The space  $\mathcal{M}_1$  represents the set of all 1-currents of locally finite mass in  $\mathbf{R}^m$ . Our main result on minimal currents asserts that a shortest curve is a minimal current.

**Theorem 1.** *There exists a current representing a simple Lipschitz curve from  $a$  to  $b$  which is a minimal current. In particular,*

$$(3) \quad \inf_{\substack{\partial T = \delta_b - \delta_a \\ T \in \mathcal{M}_1}} I_F(T) = \inf \left\{ \int_0^1 F(\gamma(t), \dot{\gamma}(t)) dt ; \gamma : [0, 1] \rightarrow \mathbf{R}^m \right. \\ \left. \text{is Lipschitz and } \gamma(0) = a, \gamma(1) = b \right\} \quad (\dot{\gamma} = d\gamma/dt).$$

If  $F(y, \eta)$  is independent of  $y$ , we have proved in [2, Lemma 8.3] that the straight line from  $a$  to  $b$  is a minimal current. Theorem 1 has important applications in relaxations of variational integrals on  $BV(\Omega, \mathbf{R}^m)$ , the set of mapping  $u: \Omega \rightarrow \mathbf{R}^m$  of bounded variation, where  $\Omega$  is an open set in  $\mathbf{R}^n$ .

We consider a functional  $\mathcal{F}$  of  $C^1$  mapping  $u: \Omega \rightarrow \mathbf{R}^m$

$$\mathcal{F}(u) = \int_{\Omega} f(x, u(x), \nabla u(x)) dx.$$

The density function  $f=f(x, y, \xi)$  we discuss here is a nonnegative continuous function in  $\Omega \times \mathbf{R}^m \times \mathbf{R}^{nm}$  and convex in  $\xi$ . Here the Jacobi matrix  $\nabla u(x)$  of  $u$  at  $x$  is identified with an element of  $\mathbf{R}^{nm}$ . We do not assume homoge-

<sup>\*)</sup> Department of Mathematics, University of Illinois, Urbana, USA.

<sup>\*\*)</sup> Department of Mathematics, Hokkaido University.

nuity but a growth condition

$$k|\xi| \leq f(x, y, \xi) \leq K(|\xi| + 1).$$

Under these conditions it is well-known that the recession function

$$f_\infty(x, y, \xi) = \lim_{t \rightarrow \infty} f(x, y, \xi/t)$$

exists and has the homogeneity in  $\xi$  as well as all other properties of  $f$ . For technical reasons we further assume the following equicontinuity. For every  $(x_0, y_0) \in \Omega \times \mathbf{R}^m$  and  $\varepsilon > 0$  there is  $\delta > 0$  such that  $|x - x_0|, |y - y_0| < \delta$  implies

$$|f(x, y, \xi) - f(x_0, y_0, \xi)| \leq \varepsilon(1 + |\xi|).$$

Let  $\bar{\mathcal{F}}$  be the lower semicontinuous  $L^1_{loc}$  relaxation<sup>\*\*\*)</sup> of  $\mathcal{F}$  on  $BV(\Omega, \mathbf{R}^m)$ , that is

$$\bar{\mathcal{F}}(u) = \inf \{ \lim_{i \rightarrow \infty} \mathcal{F}(u_i); u_i \rightarrow u \text{ in } L^1_{loc}(\Omega, \mathbf{R}^m) \text{ and } u_i \text{ is } C^1 \}.$$

Our problem is to find an explicit representation of  $\bar{\mathcal{F}}$  for  $u \in BV(\Omega, \mathbf{R}^m)$ . This question is posed by De Giorgi [4]. When  $f$  does not depend on  $y$  this problem is solved by [6, 8, 10]. If  $f$  depends on  $y$ , so far only the cases  $m=1$  and  $n=1$  were settled by [3] and [11], respectively.

We shall answer to this problem for arbitrary  $n, m \geq 1$  assuming that  $f$  satisfies an isotropy condition

$$(4) \quad f(x, y, (\xi^i_j)) \geq f\left(x, y, \left(\sum_{h=1}^n q_h \xi^i_h q_i\right)\right),$$

where  $q = (q_1, \dots, q_n) \in \mathbf{R}^n$  and  $\xi = (\xi^i_j) \in \mathbf{R}^{nm}$ ,  $1 \leq i \leq n, 1 \leq j \leq m$ . For  $u \in BV(\Omega, \mathbf{R}^m)$  it is well-known [5, 7, 12] that  $\nabla u$  is a (matrix) Radon measure decomposed as

$$\nabla u = \nabla u \llcorner \Omega_0 + \nabla u \llcorner (\Omega - \Omega_0 - \Sigma) + \nu \otimes (u^+ - u^-) \mathcal{H}^{n-1} \llcorner \Sigma.$$

Here  $\Sigma$  denotes the set of jump discontinuities of  $u$  and  $\nu$  represents a unit normal to  $\Sigma$ . The functions  $u^\pm$  are the trace of  $u$  on  $\Sigma$  defined by  $u^\pm(x) = \lim_{\varepsilon \rightarrow 0} u(x \pm \varepsilon \nu(x))$  and  $\mathcal{H}^{n-1}$  denotes the  $n-1$  dimensional Hausdorff measure. By  $\mu \llcorner A$  we mean a measure on  $\Omega$  defined by  $(\mu \llcorner A)(B) = \mu(A \cap B)$  for  $B \subset \Omega$ , where  $\mu$  is a measure. For  $a, b \in \mathbf{R}^m$  and  $q \in \mathbf{R}^n$  we introduce a distance like function:

$$(5) \quad D_x(a, b, q) = \inf \left\{ \int_0^1 f_\infty(x, \gamma(t), q \otimes \dot{\gamma}(t)) dt; \right. \\ \left. \gamma: [0, 1] \rightarrow \mathbf{R}^m \text{ is Lipschitz and } \gamma(0) = a, \gamma(1) = b \right\}$$

A combination of Theorem 1 and results in [2] yield our main result for relaxation of  $\mathcal{F}$  when  $f$  satisfies all above assumptions. By  $|\mu|$  we mean the total variation measure of  $\mu$  and  $d\mu/d|\mu|$  denotes the Radon-Nikodym derivative.

**Theorem 2.** For  $u \in BV(\Omega, \mathbf{R}^m)$  it holds

$$(6) \quad \bar{\mathcal{F}}(u) = \int_{\Omega_0} f(x, u(x), \nabla u(x)) dx + \int_{\Omega - \Omega_0 - \Sigma} f_\infty\left(x, u(x), \frac{d\nabla u}{d|\nabla u|}(x)\right) |\nabla u| \\ + \int_\Sigma D_x(u^-(x), u^+(x), \nu(x)) d\mathcal{H}^{n-1}(x).$$

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<sup>\*\*\*)</sup> This terminology is due to De Giorgi [4]. It is also called the lower semicontinuous envelope.

In this note we just give a brief sketch of proofs; the details will be published elsewhere.

After this work is completed, we are informed of a recent work of Ambrosio, Mortola and Tortorelli [1] which proves only “ $\geq$ ” in (6) of Theorem 2 without (4). Moreover, they show that equality in (6) does not necessarily hold without assuming (4).

**2. Discretization and networks.** We approximate a current connecting  $a$  and  $b$  by *real polyhedral chain* (see [5] for the definition).

**Lemma 3.** *Suppose that  $T \in \mathcal{M}_1$  satisfies  $\partial T = \delta_b - \delta_a$ ,  $a, b \in \mathbb{R}^m$  and that its total mass  $M(T)$  is finite. There is a sequence of real polyhedral chain  $T_\varepsilon \in \mathcal{M}_1$  with  $\partial T_\varepsilon = \delta_b - \delta_a$  such that  $T_\varepsilon$  converges weakly to  $T$  and that  $M(T_\varepsilon) \rightarrow M(T)$  as  $\varepsilon \rightarrow 0$ .*

*Sketch of the proof.* We take  $L \in \mathcal{M}_1$  representing a piecewise linear curve from  $a$  to  $b$  such that  $M(T) = M(L) + M(R)$  with  $R = T - L$ . We may assume that  $R$  is smooth by a standard mollification. Since  $\partial R = 0$ , Poincaré’s lemma implies that there is a smooth 2-current  $\Phi$  such that  $R = \partial \Phi$ . We next approximate  $\Phi$  by a piecewise linear  $\Psi$  with compact support associated with a simplicial decomposition of a large cube. For simplicity we only discuss the case  $m = 2$  so that  $\Psi$  is a scalar function. We approximate  $\Psi$  by a piecewise constant function

$$\Psi_\varepsilon(x) = k\varepsilon \text{ if } \theta + k\varepsilon \leq \Psi(x) < (k+1)\varepsilon + \theta, \quad k: \text{ integer}$$

so that  $\Psi_\varepsilon \rightarrow \Psi$  and  $M(\partial \Psi_\varepsilon) \rightarrow M(\partial \Psi)$  as  $\varepsilon \rightarrow 0$ . We take  $\theta \in \mathbb{R}$  such that

$$M(L + \partial \Psi_\varepsilon) = M(L) + M(\partial \Psi_\varepsilon).$$

We thus find a desired approximation  $T_\varepsilon = L + \partial \Psi_\varepsilon$ .

*Sketch of the proof of Theorem 1.* Let  $\{T_j\}$  be a minimizing sequence of (7)

$$\inf \{I_F(T); T \in \mathcal{M}_1, \partial T = \delta_b - \delta_a\}.$$

By Lemma 3 we approximate  $T_j$  by a real polyhedral chain  $T_{j,\varepsilon}$ . Let  $P$  denote the support of  $T_{j,\varepsilon}$ . Since  $P$  is regarded as a network, applying the theory of minimal flow problem (see e.g. [9]) to

$$\inf \{I_F(T); T \text{ is real polyhedral chain supported in } P \text{ and } \partial T = \delta_b - \delta_a\}$$

we see the infimum is attained at multiplicity one current  $S_{j,\varepsilon}$  representing a Lipschitz curve from  $a$  to  $b$ . By Reshetnyak’s continuity theorem [10]  $M(T_{j,\varepsilon}) \rightarrow M(T_j)$  with (2) implies  $I_F(T_{j,\varepsilon}) \rightarrow I_F(T_j)$  as  $\varepsilon \rightarrow 0$ . We now observe that  $S_{j,\varepsilon}$  is a minimizing sequence of (7) by taking a subsequence  $\varepsilon = \varepsilon_j \rightarrow 0$  since  $I_F(S_{j,\varepsilon}) \leq I_F(T_{j,\varepsilon})$ . This proves (3). By a standard compactness argument and (2) we see the infimum of the right hand side of (3) is attained at a simple Lipschitz curve from  $a$  to  $b$ .

**3. Sketch of the proof of Theorem 2.** We shall prove “ $\geq$ ” in (6). Let  $\tilde{D}_x$  denote

$$\tilde{D}_x(a, b, \nu) = \inf \left\{ \int_{\mathbb{R}^m} f_\infty(x, y, (S_i^j)); \partial S_i = \nu_i(\delta_b - \delta_a), S_i \in \mathcal{M}_1, 1 \leq i \leq n \right\}.$$

From main results in [2, Theorems 5.1 and 8.1] it follows that

$$\bar{\mathcal{F}}(u) = \int_{a_0} f(x, u, \nabla u) dx + \int_{a-a_0-x} f_\infty\left(x, u, \frac{d\nabla u}{d|\nabla u|}\right) |\nabla u| + \int_x \theta(x) d\mathcal{H}^{n-1}(x)$$

with some  $\theta$  satisfying  $\theta(x) \geq \tilde{D}_x(u^-(x), u^+(x), \nu(x))$ .

Applying (4) and Theorem 1 with  $F(y, \eta) = f_\infty(x, y, \nu(x) \otimes \eta)$  in (1) yields  $\tilde{D}_x(a, b, \nu) \geq D_x(a, b, \nu)$ , where  $D_x$  is defined in (5). We thus prove “ $\geq$ ” in (6).

The converse inequality is proved by approximating  $u$  by piecewise constant functions. We note that this part is independently proved by [1].

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