

79. Negativity and Vanishing of Microfunction Solution Sheaves at the Boundary

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Introduction. Let M be a real analytic manifold with a complexification X . Let V be a \mathbb{C}^\times -conic involutive submanifold of $\hat{T}^*X (= T^*X \setminus X)$, and let \mathfrak{M} be a coherent \mathcal{E}_X -module with constant multiplicity along V . Moreover let Ω be an open subset of M with real analytic boundary $N = \partial\Omega$. The aim of this note is to give vanishing theorems for the cohomology groups of the complex $\mathbf{R} \underline{\mathrm{Hom}}_{\mathcal{E}_X}(\mathfrak{M}, \mathcal{C}_{\partial|X})$ where $\mathcal{C}_{\partial|X}$ is the complex of microfunctions at the boundary introduced by P. Schapira [8] (see § 1.1 for the definition).

The vanishing of the complex $\mathbf{R} \underline{\mathrm{Hom}}_{\mathcal{E}_X}(\mathfrak{M}, \mathcal{C}_M)$ has been studied by M. Sato *et al.* [6], M. Kashiwara [3] and Kashiwara-Schapira [5], and we study in this note an analogous problem at the boundary.

1. Preliminary and a lemma. 1.1. Let M be a real analytic manifold of dimension n with a complexification X , and let Ω be an open subset of M with real analytic boundary $N = \partial\Omega$.

The cotangent bundle T^*X of X is endowed with the sheaf \mathcal{E}_X of microdifferential operators of finite order. Refer to M. Sato *et al.* [6] and P. Schapira [7] for detailed account of \mathcal{E}_X . Let T_∂^*X denote the micro-support of \mathcal{Z}_∂ due to [4], and let $\mathcal{C}_{\partial|X}$ be the complex of microfunctions along T_∂^*X introduced by P. Schapira [8]. With the bifunctor $\mu \mathrm{hom}(\cdot, \cdot)$ constructed by Kashiwara-Schapira [4], the complex $\mathcal{C}_{\partial|X}$ is explicitly given by

$$\mathcal{C}_{\partial|X} = \mu \mathrm{hom}(\mathcal{Z}_\partial, \mathcal{O}_X) \otimes \mathrm{or}_M[n]$$

where or_M denotes the orientation sheaf on M .

1.2. We follow the notation in § 1.1. Let V be a \mathbb{C}^\times -conic involutive submanifold of \hat{T}^*X . We recall the Levi form $\mathcal{L}_\lambda(V)(p)$ of V along $\lambda = T_M^*X$ at $p \in \lambda \cap V$. Take a system of functions (f_1, \dots, f_l) so that $V = \{q \in \hat{T}^*X; f_1(q) = \dots = f_l(q) = 0\}$ locally in a neighborhood p . Then $\mathcal{L}_\lambda(V)(p)$ denotes the Hermitian form given by the matrix $(\{f_i, f_j^c\})_{1 \leq i, j \leq l}$. Here f_j^c is the complex conjugate of f_j and $\{\cdot, \cdot\}$ is the Poisson bracket. We remark that the signature of $\mathcal{L}_\lambda(V)(p)$ is independent of the choice of (f_1, \dots, f_l) . Refer to M. Sato *et al.* [6] and Kashiwara-Schapira [5].

1.3. Let X be a C^∞ manifold. Then $D^b(X)$ denotes the derived category of the category of bounded complexes of sheaves on X . For $F \in \mathrm{Ob}(D^b(X))$, $\mathrm{SS}(F)$ is its micro-support. Let Z_1 and Z_2 be two subsets in X . Then $C(Z_1, Z_2)$ is the tangent cone for the pair (Z_1, Z_2) . Refer to Kashiwara-Schapira [4] for all in this § 1.3.

1.4. Now we give a lemma about the micro-support of $\mathcal{C}_{\mathcal{Q}|X}$ -solution complex to a system of microdifferential equations with constant multiplicity. Let M, X, \mathcal{Q} and N as in §1.1, and V be as in §1.2. Let \mathfrak{M} be a coherent \mathcal{E}_X -module defined in a neighborhood of $p \in V$. We assume that \mathfrak{M} is with constant multiplicity along V . Then we have

Lemma. $SS(\mathbf{R} \underline{\mathrm{Hom}}_{\mathcal{E}_X}(\mathfrak{M}, \mathcal{C}_{\mathcal{Q}|X})) \subset C(\mathrm{Char}(\mathfrak{M}), T_p^*X)$.

Proof. In view of [6; Th. 5.3.7, Chap. II], we may assume that \mathfrak{M} is simple characteristic along V . Then on account of [6; Th. 5.1.2, Chap. II], we can find a quantized contact transformation (φ, Φ) , through which we have an isomorphism

$$\varphi_* \mathfrak{M} \simeq \mathcal{E}_X / (\mathcal{E}_X D_1 + \cdots + \mathcal{E}_X D_l) \quad (= \mathcal{E}_X \otimes_{\pi_X^{-1} \mathcal{D}_X} \mathfrak{M}_0).$$

Here π_X is the natural projection $\pi_X : T^*X \rightarrow X$, and \mathfrak{M}_0 is a coherent \mathcal{D}_X -module $\mathcal{D}_X / (\mathcal{D}_X D_1 + \cdots + \mathcal{D}_X D_l)$. Moreover by the theory of [4; Chap. 11] (cf. also [11]), there exist $F_{A_0} \in \mathrm{Ob}(D^b(X))$ ($A_0 = \varphi(T_p^*X)$) and an isomorphism

$$\varphi_* \mathcal{C}_{\mathcal{Q}|X} \simeq \mu \mathrm{hom}(F_{A_0}, \mathcal{O}_X)$$

in a neighborhood of $\varphi(p)$. Hence we have

$$\begin{aligned} SS(\mathbf{R} \underline{\mathrm{Hom}}_{\mathcal{E}_X}(\mathfrak{M}, \mathcal{C}_{\mathcal{Q}|X})) &= \varphi^{-1} SS(\mathbf{R} \underline{\mathrm{Hom}}_{\mathcal{D}_X}(\mathfrak{M}_0, \mu \mathrm{hom}(F_{A_0}, \mathcal{O}_X))) \\ &\subset \varphi^{-1} C(\mathrm{Char}(\mathfrak{M}_0), A_0) = C(\mathrm{Char}(\mathfrak{M}), T_p^*X). \end{aligned}$$

Remark that $SS(\cdot)$ for the solution complex to the \mathcal{D}_X -module \mathfrak{M}_0 can be easily estimated as above (see [4]). Q.E.D.

2. Main theorems. Let M, X, \mathcal{Q} and N be as in §1.1. Then we give

Theorem 1. *Let $p \in \mathring{T}_M^*X$ with $\pi_X(p) \in N$, and let $V = \{q \in \mathring{T}^*X; f(q) = 0\}$ be given by a homogeneous holomorphic function f satisfying the condition*

$$(1) \quad \{f, f^c\}(p) < 0.$$

Assume that there exists a homogeneous holomorphic function ψ for which the following conditions (2), (3), (4), (5) are satisfied.

$$(2) \quad d\psi \wedge \omega_X \neq 0 \text{ at } p. \quad (\omega_X \text{ is the canonical 1-form of } T^*X.)$$

$$(3) \quad V \cap \bar{V} \subset \{\psi = 0\}.$$

$$(4) \quad \mathrm{Im} \psi|_{T_M^*X} = 0.$$

$$(5) \quad \pi_X^{-1}(\mathcal{Q}) \cap T_M^*X \subset \{\psi > 0\} \text{ in a neighborhood of } p.$$

Let \mathfrak{M} be coherent \mathcal{E}_X -module with constant multiplicity along V defined in a neighborhood of p . Then we have

$$H^0 \mathbf{R} \underline{\mathrm{Hom}}_{\mathcal{E}_X}(\mathfrak{M}, \mathcal{C}_{\mathcal{Q}|X})_p = 0.$$

Proof. First we give a geometric argument in S_M^*X and in $(S_M^*X)^c$. We take symplectic coordinates of S_M^*X as $(x_1, \dots, x_n; p_1, \dots, p_{n-1})$. We set in $(S_M^*X)^c$

$$Y = \{\psi = 0\}.$$

Then we may assume

$$f + f^c = 0 \quad \text{on } Y.$$

By [6; Lemma 2.3.3, Chap. III], we can find a holomorphic function $\phi (\neq 0)$ real valued on S_M^*X such that $\{\phi f, \phi^c f^c\} = -1$. Thus we may assume from the beginning that

$$\{f, f^c\} = -1 \text{ on } S_M^*X, \text{ and } f + f^c = 0 \text{ on } Y.$$

Moreover making a change of symplectic coordinates of S_M^*X , we may write f and Y as

$$f = p_1 + \sqrt{-1} x_1 \quad Y = \{z_1 = 0\}$$

with the complexified coordinate z_1 of x_1 . (See [6; Lemma 2.3.9, Chap. III].)

Thus by finding a real quantized contact transformation, we may assume

$$f = \zeta_1 + \sqrt{-1} z_1 \zeta_n, \quad \psi = z_1, \quad p = (0; \sqrt{-1} dx_n).$$

Here we take a system of coordinates of T^*X as $(z; \zeta \cdot dz)$ and that of T_M^*X as $(x; \sqrt{-1} \xi \cdot dx)$. In this situation, a direct calculation gives

$$(6) \quad -d\psi \notin C(\{f=0\}, \{\psi \geq 0\} \cap T_M^*X).$$

Thus the fact (6) holds also in the general case.

Now we take a real analytic function φ such that $\Omega = \{\varphi > 0\}$ in a neighborhood of $\pi_X(p)$, and $d\varphi \neq 0$ on N . Since $\pi_X^{-1}(\Omega) \cap T_M^*X \subset \{\psi > 0\} \cap T_M^*X$ in a neighborhood of p and

$$-d\varphi(p) = -d\psi(p) \quad \text{mod } (T_{T_M^*X}^* T^*X)_p (\simeq T_p T_M^*X),$$

we get

$$(7) \quad -d\varphi \notin C(\{f=0\}, \overline{\pi_X^{-1}(\Omega)} \cap T_M^*X).$$

This implies (cf. [10])

$$(8) \quad -d\varphi \notin C(\{f=0\}, T_p^*X).$$

Thus on account of the lemma in § 1.4, we deduce

$$R\Gamma_{\{\varphi \leq 0\}} R\text{Hom}_{\mathcal{E}_X}(\mathfrak{M}, C_{\mathcal{O}(X)})_p = 0.$$

Hence we obtain the isomorphism

$$R\text{Hom}_{\mathcal{E}_X}(\mathfrak{M}, C_{\mathcal{O}(X)})_p \xleftarrow{\sim} Rj_* j^{-1} R\text{Hom}_{\mathcal{E}_X}(\mathfrak{M}, C_M)_p \quad (j: \pi_X^{-1}(\Omega) \cap T_M^*X \hookrightarrow T_M^*X).$$

On the other hand, by [6; Th. 2.3.10, Chap. III] (cf. [5]), we have $\text{Hom}_{\mathcal{E}_X}(\mathfrak{M}, C_M) = 0$. Hence we conclude

$$H^0 R\text{Hom}_{\mathcal{E}_X}(\mathfrak{M}, C_{\mathcal{O}(X)})_p \xleftarrow{\sim} j_* j^{-1} \text{Hom}_{\mathcal{E}_X}(\mathfrak{M}, C_M)_p = 0. \quad \text{Q.E.D.}$$

Theorem 2. *Let p, V, Ω be as in Theorem 1. Let $W (\subset V)$ be a \mathbb{C}^\times -conic involutive variety in \mathring{T}^*X through p with $q (\geq 1)$ negative eigenvalues of $\mathcal{L}_A(W)(p)$. Let \mathfrak{M} be a coherent \mathcal{E}_X -module with constant multiplicity along W defined in a neighborhood of p . Then we have*

$$H^j R\text{Hom}_{\mathcal{E}_X}(\mathfrak{M}, C_{\mathcal{O}(X)})_p = 0 \quad (j < q).$$

Moreover if $\mathcal{L}_A(W)(p)$ is non-degenerate, then we have the vanishing of the left-hand side for $j \neq q$.

Proof. This theorem can be proved in the same way as Theorem 1 if we remark

$$\text{Ext}_{\mathcal{E}_X}^j(\mathfrak{M}, C_M) = 0 \quad (j < q) \text{ in a neighborhood of } p.$$

(See [6; Th. 2.3.10, Chap. III].) Moreover if $\mathcal{L}_A(W)(p)$ is non-degenerate, M. Sato *et al.* [6; Th. 2.3.6, Chap. III] have shown that in a neighborhood of p , $\text{Ext}_{\mathcal{E}_X}^j(\mathfrak{M}, C_M) = 0$ ($j \neq q$) and $\text{Ext}_{\mathcal{E}_X}^q(\mathfrak{M}, C_M)$ is conically flabby. Hence the proof of Theorem 1 gives

$$H^i R\text{Hom}_{\mathcal{E}_X}(\mathfrak{M}, C_{\mathcal{O}(X)})_p \simeq R^{i-q} j_* j^{-1} \text{Ext}_{\mathcal{E}_X}^q(\mathfrak{M}, C_M)_p = 0 \quad (i \neq q). \quad \text{Q.E.D.}$$

Next we give a generalization of Theorem 1.

Theorem 3. *Let $p \in \mathring{T}_M^*X$ with $\pi_X(p) \in N$, and let W be a \mathbb{C}^\times -conic involutive variety of codimension d with $p \in W$ and $\mathcal{L}_A(W)(p) < 0$. Assume that there exists a homogeneous holomorphic function ψ with the proper-*

ties;

$$(9) \quad d\psi \wedge \omega_x \neq 0 \quad \text{at } p,$$

$$(10) \quad \text{Im } \psi|_{T_M^* X} = 0,$$

$$(11) \quad W \cap \overline{W} \subset \{\psi = 0\},$$

$$(12) \quad \pi_X^{-1}(\Omega) \cap T_M^* X \subset \{\psi > 0\} \text{ in a neighborhood of } p.$$

Let \mathfrak{M} be a coherent \mathcal{E}_X -module with constant multiplicity along W . Then we have

$$H^j R \underline{\text{Hom}}_{\mathcal{E}_X}(\mathfrak{M}, \mathcal{C}_{a(x)})_p = 0 \quad (j \neq d).$$

Proof. We write W as $W = \{f_1 = \cdots = f_a = 0\}$. Since $\mathcal{L}_A(W)(p)$ is non-degenerate, we have $df_1 \wedge \cdots \wedge df_a \wedge df_1^c \wedge \cdots \wedge df_a^c \wedge \omega_x \neq 0$. (This shows in particular that W is non-singular.) Next we remark that ψ is real simple. Then taking into account of the assumption (11), we can find homogeneous holomorphic functions $\{a_j\}_{1 \leq j \leq a}$ with $a_j \neq 0$ for some j which satisfy

$$\psi = \sum_{j=1}^a (a_j f_j + a_j^c f_j^c).$$

In this situation, put $V = \left\{ f := \sum_{j=1}^a a_j f_j = 0 \right\}$. Then we have

$$\{f, f^c\} = \sum_{1 \leq j, k \leq a} a_j a_k^c \{f_j, f_k^c\} < 0,$$

which makes it possible to apply Theorem 2.

Q.E.D.

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