

71. A Note on the Universal Power Series for Jacobi Sums

By Humio ICHIMURA

Department of Mathematics, Yokohama City University

(Communicated by Shokichi IYANAGA, M. J. A., Sept. 12, 1989)

§ 1. Introduction. This note is a supplement of our previous work [5], and we use the same notation as in [5].

Let l be a fixed odd prime number. Ihara [7] constructed for each element ρ of $\text{Gal}(\bar{\mathbf{Q}}/\mathbf{Q}(\mu_{l^\infty}))$ an l -adic two variable power series $F_\rho(u, v)$ by using a tower of Fermat curves. Some properties of $F_\rho(u, v)$ were studied by [7], Anderson [1], Coleman [3], Ihara-Kaneko-Yukinari [8], etc. In particular, it is proved that the power series $F_\rho(u, v)$ is universal for Jacobi sums and “hence” can be written as a product of three copies of a certain one variable power series. We denote by $g_\rho(t)$ the “twisted log” of the one variable power series, which is known to be an element of $\mathbf{Z}_l[[t]]$ (cf. [8]).

The purpose of this note is to describe the difference (if any) between the “expected” image of the homomorphism

$$\tilde{g}: \text{Gal}(\bar{\mathbf{Q}}/\mathbf{Q}(\mu_{l^\infty})) \ni \rho \longrightarrow g_\rho(t) \bmod l \in F_l[[t]]$$

and its actual image by means of Iwasawa invariants of the l -cyclotomic field $\mathbf{Q}(\mu_{l^\infty})$.

To be more precise, denote by $\mathcal{C}\bar{\mathcal{V}}^-$ the additive group consisting of all the power series $g(t)$ in $F_l[[t]]$ satisfying

$$D^{l-1}g = g \quad \text{and} \quad g((1+t)^{-1} - 1) = -g(t).$$

Here, $D = (1+t)d/dt$ is a differential operator on $F_l[[t]]$. Then, this module $\mathcal{C}\bar{\mathcal{V}}^-$ is the “expected” image in the following sense:

Theorem 1 ([5, Th. 3']). *$\text{Im } \tilde{g} \subset \mathcal{C}\bar{\mathcal{V}}^-$, and both sides coincide if and only if the Vandiver conjecture is valid.*

Let λ be Iwasawa’s λ -invariant of the cyclotomic \mathbf{Z}_l -extension of the real cyclotomic field $\mathbf{Q}(\cos(2\pi/l))$. In § 2, we define an invariant ε of a certain Galois group over $\mathbf{Q}(\mu_{l^\infty})$, which is very similar to its ν -invariant. Our result is

Theorem 2. *The cardinality of the quotient $\mathcal{C}\bar{\mathcal{V}}^- / (\text{Im } \tilde{g})$ is finite and is equal to $l^{\lambda+\varepsilon}$.*

On the other hand, Coleman [3] proved that the power series $g_\rho(t)$ satisfies some non obvious functional equations and that these functional equations characterize the image of the homomorphism

$$\mathbf{g}: \text{Gal}(\bar{\mathbf{Q}}/\mathbf{Q}(\mu_{l^\infty})) \ni \rho \longrightarrow g_\rho(t) \in \mathbf{Z}_l[[t]]$$

if and only if the Vandiver conjecture is valid. In [5, Th. 2], we described the difference between the “expected” image of \mathbf{g} and its actual image by means of Iwasawa type invariant of $\mathbf{Q}(\mu_{l^\infty})$. Theorems 1 and 2 are modulo l version of these results.

§ 2. Definition of ε . In this section, we define the invariant ε and give a simple remark on the invariant λ mentioned in § 1.

Let $\Omega_{\bar{l}}$ be the “odd part” of the maximum pro- l abelian extension over $\mathbf{Q}(\mu_{l^\infty})$ unramified outside l , and put $\mathfrak{G} = \text{Gal}(\Omega_{\bar{l}}/\mathbf{Q}(\mu_{l^\infty}))$. We denote by A and A_1 the completed group rings $Z_l[[Z_l^\times]]$ and $Z_l[[1+lZ_l]]$ respectively. We identify A_1 with the power series ring $Z_l[[t]]$ by $1+l \leftrightarrow 1+t$. The Galois group \mathfrak{G} admits a A -module structure and also a $Z_l[A]$ -module structure in the usual way, here $A = \text{Gal}(\mathbf{Q}(\mu_l)/\mathbf{Q})$. For a $Z_l[A]$ -module M and an integer j , we denote by $M^{(j)}$ the ω^j -eigenspace of M , ω being the Teichmüller character of A . In what follows, i denotes an odd integer with $1 \leq i \leq l-2$. It is known that the free part $\mathfrak{F}^{(i)} = \mathfrak{G}^{(i)} / (\text{Tor}_{A_1} \mathfrak{G}^{(i)})$, $\text{Tor}_{A_1} \mathfrak{G}^{(i)}$ being the A_1 -torsion part of $\mathfrak{G}^{(i)}$, is pseudo-isomorphic to A_1 . Hence there exists an injective A -homomorphism $\iota: \mathfrak{F}^{(i)} \rightarrow A_1$ with a finite cokernel. As is easily seen, the image \mathfrak{A}_i of ι depends only on $\mathfrak{F}^{(i)}$ and not on the choice of ι . We define

$$\varepsilon_i = \text{Min} \{ \deg g \mid \text{all distinguished polynomials } g \text{ in } \mathfrak{A}_i \}, \quad \varepsilon = \sum_i \varepsilon_i.$$

Here, we regard the constant power series $1 (\in A_1)$ as a distinguished polynomial of degree zero. This invariant ε_i is a kind of Iwasawa’s ν -invariant of $\mathfrak{F}^{(i)}$.

As for the invariant λ mentioned in § 1, we see that it is equal to Iwasawa’s λ -invariant of the torsion A_1 -submodule $\text{Tor}_{A_1} \mathfrak{G}$ of \mathfrak{G} by using the “Spiegelung Satz” (cf. [5, § 3.1]). Further, we denote by λ_i Iwasawa’s λ -invariant of $\text{Tor}_{A_1} \mathfrak{G}^{(i)}$. Then we have $\lambda = \sum_i \lambda_i$.

§ 3. Proof of Theorem 2. It is known that the homomorphisms \mathbf{g} and $\tilde{\mathbf{g}}$ factor through \mathfrak{G} (cf. [7]), and we denote the induced homomorphisms $\mathfrak{G} \rightarrow Z_l[[t]]$ and $\mathfrak{G} \rightarrow F_l[[t]]$ also by \mathbf{g} and $\tilde{\mathbf{g}}$ respectively. We put $\mathbf{g}^{(i)} = \mathbf{g}|_{\mathfrak{G}^{(i)}}$ and $\tilde{\mathbf{g}}^{(i)} = \tilde{\mathbf{g}}|_{\mathfrak{G}^{(i)}}$. Since the induced homomorphisms \mathbf{g} and $\tilde{\mathbf{g}}$ are compatible with the action of A (cf. [7]), we see from Theorem 1 that $\text{Im } \tilde{\mathbf{g}}^{(i)} \subset C\tilde{\mathcal{V}}^{(i)}$. To prove Theorem 2, it suffices to prove the following A -decomposed version:

Theorem 2’. *The cardinality of the quotient $C\tilde{\mathcal{V}}^{(i)} / (\text{Im } \tilde{\mathbf{g}}^{(i)})$ is finite and is equal to $l^{i+\varepsilon_i}$.*

For the convenience of readers, we state here the theorem of Coleman referred to in § 1. Let $C\mathcal{V}^{(i)}$ be the ω^i -eigenspace of the A -module

$$C\mathcal{V} = \{ g \in Z_l[[t]] \mid \sum_{\zeta^{l=1}} g(\zeta(1+t)-1) = 0 \}.$$

Theorem C ([3]). *$\text{Im } \mathbf{g}^{(i)} \subset C\mathcal{V}^{(i)}$, and both sides coincide if and only if the Vandiver conjecture for $(l-i)$ -part is valid, i.e., the ω^{l-i} -eigenspace of the l -class group of $\mathbf{Q}(\cos(2\pi/l))$ is trivial.*

Let g_i be a characteristic power series of $\text{Tor}_{A_1} \mathfrak{G}^{(i)}$. The following is essential in the proof of Theorem 2’.

Proposition 1. *$\text{Im } \mathbf{g}^{(i)} \subset g_i \cdot C\mathcal{V}^{(i)}$ and $(g_i \cdot C\mathcal{V}^{(i)}) / (\text{Im } \mathbf{g}^{(i)})$ is finite.*

Remark. This is a quantitative version of Theorem C. An assertion a little weaker than Prop. 1 is given in [5, Prop. 3].

Proof of Prop. 1. First we deal with the case $i=1$. By Theorem C and the Stickelberger theorem (see e.g. [9, Prop. 6.16]), we see that $\text{Im } \mathbf{g}^{(1)} =$

$C\mathcal{V}^{(i)}$. By using the Stickelberger theorem again, we obtain $g_i=1$. So, Prop. 1 is valid when $i=1$. Next, assume $i \neq 1$.

Let \mathfrak{X} be the inertia group of an extension of l in $\Omega_l^-/\mathbf{Q}(\mu_{l^\infty})$, and let f_i be a characteristic power series of the torsion A_1 -module $\mathfrak{G}^{(i)}/\mathfrak{X}^{(i)}$. In [5, Prop. 5(1)], we have given a relation between the homomorphism $\mathbf{g}^{(i)}$ from $\mathfrak{G}^{(i)}$ to $C\mathcal{V}^{(i)}$ and the Coleman's isomorphism $\lambda \circ [\text{Col}]$ from $\mathfrak{X}^{(i)}$ onto $C\mathcal{V}^{(i)}$ as follows. For the definition of $\lambda \circ [\text{Col}]$, see [2] or [5, § 3].

$$f_i \cdot \mathfrak{G}^{(i)} \subset \mathfrak{X}^{(i)} \quad \text{and for } \rho \in \mathfrak{G}^{(i)}, \mathbf{g}^{(i)}(\rho) = \lambda \circ [\text{Col}](f_i \cdot \rho).$$

As before, $\mathfrak{S}^{(i)}$ denotes the free part $\mathfrak{G}^{(i)}/(\text{Tor}_{A_1} \mathfrak{G}^{(i)})$ of $\mathfrak{G}^{(i)}$. Since $\text{Ker } \mathbf{g}^{(i)} = \text{Tor}_{A_1} \mathfrak{G}^{(i)}$ (cf. [5, § 3.1]), $\mathbf{g}^{(i)}$ induces an injective homomorphism from $\mathfrak{S}^{(i)}$ to $C\mathcal{V}^{(i)}$, which we denote by $\bar{\mathbf{g}}^{(i)}$. Let $\bar{\mathfrak{X}}^{(i)}$ be the subgroup of $\mathfrak{S}^{(i)}$ defined by $\bar{\mathfrak{X}}^{(i)} = \mathfrak{X}^{(i)}$ modulo $\text{Tor}_{A_1} \mathfrak{G}^{(i)}$, which is canonically isomorphic to $\mathfrak{X}^{(i)}$ (cf. [6, Prop. 2]). Hence, $\lambda \circ [\text{Col}]$ induces an isomorphism from $\bar{\mathfrak{X}}^{(i)}$ onto $C\mathcal{V}^{(i)}$, which we denote by $\overline{\lambda \circ [\text{Col}]}$. From [5, Prop. 5(1)] recalled above, it follows that

$$f_i \cdot \mathfrak{S}^{(i)} \subset \bar{\mathfrak{X}}^{(i)} \quad \text{and for } \rho \in \mathfrak{S}^{(i)}, \bar{\mathbf{g}}^{(i)}(\rho) = \overline{\lambda \circ [\text{Col}]}(f_i \cdot \rho).$$

As is easily seen from [6, Prop. 2], the power series f_i is divisible by g_i and f_i/g_i is a characteristic power series of the torsion A_1 -module $\mathfrak{S}^{(i)}/\bar{\mathfrak{X}}^{(i)}$. Let ι be (as in § 2) an embedding of $\mathfrak{S}^{(i)}$ into A_1 with a finite cokernel. Since $\mathfrak{X}^{(i)}$ is isomorphic to A_1 (see e.g. [2]), we see that $\iota(\bar{\mathfrak{X}}^{(i)}) = (f_i/g_i) \cdot A_1$. Hence, $f_i \cdot \mathfrak{S}^{(i)} \subset g_i \cdot \bar{\mathfrak{X}}^{(i)}$ and $(g_i \cdot \bar{\mathfrak{X}}^{(i)})/(f_i \cdot \mathfrak{S}^{(i)})$ is finite. Now, the assertion of Prop. 1 for $i \neq 1$ follows from the above relation between $\bar{\mathbf{g}}^{(i)}$ and $\overline{\lambda \circ [\text{Col}]}$.

Proof of Theorem 2'. Recall that $C\mathcal{V}^{(i)} \bmod l = C\bar{\mathcal{V}}^{(i)}$ (cf. [5]). Hence, by Prop. 1, we get $\text{Im } \bar{\mathbf{g}}^{(i)} \subset g_i \cdot C\bar{\mathcal{V}}^{(i)}$ and $(g_i \cdot C\bar{\mathcal{V}}^{(i)})/(\text{Im } \bar{\mathbf{g}}^{(i)})$ is finite. Since Iwasawa's μ -invariant of $\text{Tor}_{A_1} \mathfrak{G}^{(i)}$ is zero (cf. Ferrero-Washington [4]), we may assume that g_i is a distinguished polynomial of degree λ_i . Therefore, since $C\mathcal{V}^{(i)}$ is isomorphic to $A_1 = \mathbf{Z}_l[[t]]$ (cf. [2]), the quotient $C\bar{\mathcal{V}}^{(i)}/g_i \cdot C\bar{\mathcal{V}}^{(i)}$ is finite and its cardinality is l^{λ_i} . Since $C\mathcal{V}^{(i)} \simeq A_1$, we may identify $g_i \cdot C\mathcal{V}^{(i)}$ with A_1 . Then, by Prop. 1, the homomorphism $\bar{\mathbf{g}}^{(i)}$ gives an injective homomorphism from $\mathfrak{S}^{(i)}$ to A_1 with a finite cokernel. Therefore, by the very definition of ε_i and that $\mu=0$, we see that the cardinality of the quotient $(g_i \cdot C\bar{\mathcal{V}}^{(i)})/(\text{Im } \bar{\mathbf{g}}^{(i)})$ is l^{ε_i} . This completes the proof.

§ 4. The Galois group \mathfrak{G} and the Vandiver conjecture. In this section, we give an alternative proof of the following well known fact by using the homomorphism $\mathbf{g}^{(i)}$.

Proposition 2. *The following conditions are equivalent:*

- (i) *The Vandiver conjecture for $(l-i)$ -part is valid.*
- (ii) *$\mathfrak{G}^{(i)}$ is torsion free and cyclic over A_1*
- (iii) *$\mathfrak{G}^{(i)}$ is cyclic over A_1 .*

(i) \Rightarrow (ii): Under the Vandiver conjecture for $(l-i)$ -part, $\text{Im } \mathbf{g}^{(i)} = C\mathcal{V}^{(i)}$ by Theorem C, and $\mathbf{g}^{(i)}$ is injective by [6, § 3.2 Corollary]. Hence, $\mathfrak{G}^{(i)} \simeq C\mathcal{V}^{(i)}$. Therefore, since $C\mathcal{V}^{(i)}$ is free and cyclic over A_1 , so is $\mathfrak{G}^{(i)}$.

(ii) \Rightarrow (i): Since $\text{Ker } \mathbf{g}^{(i)} = \text{Tor}_{A_1} \mathfrak{G}^{(i)}$ (cf. [5, § 3.1]), we see from the

assumption that $\mathbf{g}^{(i)}$ is injective and $g_i=1$. So, by Prop. 1, $C\mathcal{V}^{(i)}/(\text{Im } \mathbf{g}^{(i)})$ is finite. Since $\mathfrak{G}^{(i)}$ is cyclic, $\text{Im } \mathbf{g}^{(i)} = \alpha \cdot C\mathcal{V}^{(i)}$ for some $\alpha \in A_1$. By the finiteness of $C\mathcal{V}^{(i)}/(\text{Im } \mathbf{g}^{(i)})$, we see that α is a unit of A_1 . Therefore, $C\mathcal{V}^{(i)} = \text{Im } \mathbf{g}^{(i)}$. Hence, by Theorem C, the Vandiver conjecture for $(l-i)$ -part is valid.

(ii) \Rightarrow (iii): Obvious.

(iii) \Rightarrow (ii): Assume that $\mathfrak{G}^{(i)}$ is cyclic over A_1 . Then, since the free part $\mathfrak{F}^{(i)}$ of $\mathfrak{G}^{(i)}$ is pseudo-isomorphic to A_1 , $\mathfrak{G}^{(i)}$ must be isomorphic to A_1 .

References

- [1] G. W. Anderson: The hyperadelic gamma function. *Inv. Math.*, **95**, 63–131 (1989).
- [2] R. Coleman: Local units modulo circular units. *Proc. AMS.*, **89**, 1–7 (1983).
- [3] —: Anderson-Ihara theory, Gauss sums and circular units. *Adv. St. in Pure Math.*, **17**, 55–72 (1989).
- [4] B. Ferrero and L. C. Washington: The Iwasawa invariant μ_p vanishes for abelian number fields. *Ann. of Math.*, **109**, 377–395 (1979).
- [5] H. Ichimura and M. Kaneko: On the universal power series for Jacobi sums and the Vandiver conjecture. *J. Number Theory*, **31**, 312–334 (1989).
- [6] H. Ichimura and K. Sakaguchi: The non-vanishing of a certain Kummer character χ_m (after Soulé), and some related topics. *Adv. St. in Pure Math.*, **12**, 53–64 (1987).
- [7] Y. Ihara: Profinite braid groups, Galois representations and complex multiplications. *Ann. of Math.*, **123**, 43–106 (1986).
- [8] Y. Ihara, M. Kaneko, and A. Yukinari: On some properties of the universal power series for Jacobi sums. *Adv. St. in Pure Math.*, **12**, 65–86 (1987).
- [9] L. C. Washington: *Introduction to Cyclotomic Fields*. Springer-Verlag, New York (1982).