

### 63. On the Unitarizability of Principal Series Representations of $p$ -adic Chevalley Groups

By Hiroyuki YOSHIDA

Department of Mathematics, Kyoto University

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1. In this note, we shall determine the unitarizability of unramified principal series representations of  $p$ -adic Chevalley groups of classical types. Detailed proofs of all the results stated here are given in [7].

2. Let  $k$  be a non-archimedean local field,  $\mathfrak{D}$  be the maximal compact subring and  $\varpi$  be a prime element of  $k$ . Set  $q = |\mathfrak{D}/\varpi\mathfrak{D}|$ . The following theorem is our main tool in this research.

**Theorem 1.** *Let  $N$  be the group of  $k$ -rational points of a unipotent algebraic group defined over  $k$ . Let  $T$  be a distribution of positive type on  $N$ . Then, for any  $\alpha \in C_c^\infty(N)$ , the convolution  $T * \alpha$  is a bounded function on  $N$ .*

3. Let  $G$  be a universal Chevalley group defined over  $k$  in the sense of Steinberg [6]. Let  $T$  be a maximal  $k$ -split torus and  $B$  be a Borel subgroup defined over  $k$  which contains  $T$ . Let  $N$  be the unipotent radical of  $B$ . Let  $G, T, B$  and  $N$  stand for the groups of  $k$ -rational points of  $G, T, B$  and  $N$  respectively. Let  $\Sigma$  be the root system and  $\Delta = \{\alpha_1, \alpha_2, \dots, \alpha_\ell\}$  be the set of simple roots determined by  $(G, B, T)$ , where  $\ell$  is the rank of  $G$ . Let  $\Sigma^+$  be the set of positive roots and  $W$  be the Weyl group. For  $w \in W$ , set  $\Psi_w^+ = \{\alpha \in \Sigma^+ \mid w\alpha < 0\}$ . We have  $B = TN = NT$  and  $T$  (resp.  $N$ ) is generated by  $h_\alpha(t)$  (resp.  $x_\alpha(t)$ ) for  $\alpha \in \Sigma^+$ ,  $t \in k^\times$  (resp.  $t \in k$ ) in the notation of [6]. If  $\alpha \in \Sigma$ , let  $\check{\alpha} \in \text{Hom}(G_m, T)$  be the co-root of  $\alpha$  and set  $a_\alpha = \check{\alpha}(\varpi) = h_\alpha(\varpi) \in T$ . For  $\alpha, \beta \in \Sigma$ , we set  $\langle \alpha, \beta \rangle = \langle \alpha, \check{\beta} \rangle_1$  with the canonical pairing  $\langle \cdot, \cdot \rangle_1$  of a root with a co-root. Let  $\delta_B$  denote the modular function of  $B$ . For a quasi-character  $\chi$  of  $T$ , let  $PS(\chi)$  denote the space of all locally constant functions  $\varphi$  on  $G$  which satisfy

$$\varphi(tng) = \delta_B(t)^{1/2} \chi(t) \varphi(g) \quad \text{for any } t \in T, n \in N, g \in G.$$

Let  $\pi(\chi)$  denote the admissible representation of  $G$  realized on  $PS(\chi)$  by right translations.

Let  $K$  be the subgroup of  $G$  generated by  $x_\alpha(t)$ ,  $\alpha \in \Sigma$ ,  $t \in \mathfrak{D}$ . Then  $K$  is a maximal compact subgroup of  $G$  and we have the Iwasawa decomposition  $G = BK$ . We call  $\chi$  *unramified* if  $\chi$  is trivial on  $T \cap K$ , the group generated by  $h_\alpha(t)$ ,  $\alpha \in \Sigma^+$ ,  $t \in \mathfrak{D}^\times$ . Let  $X$  be the group of all unramified quasi-characters of  $T$ . The map  $\chi \rightarrow (\chi(a_{\alpha_1}), \chi(a_{\alpha_2}), \dots, \chi(a_{\alpha_\ell}))$  defines an isomorphism  $X \cong (C^\times)^\ell$  and we consider  $X$  as a complex Lie group. We call  $\chi$  *regular* if  $w\chi \neq \chi$  for any  $w \in W$ ,  $w \neq 1$ . Let  $X^r$  (resp.  $X^i$ ) denote the set of all  $\chi \in X$  which are regular (resp. regular and  $\pi(\chi)$  is irreducible). Let

$w \in W$ . We set  $X_w = \{\chi \in X \mid w\chi = \bar{\chi}^{-1}\}$ ,  $X_w^r = X_w \cap X^r$ ,  $X_w^i = X_w \cap X^i$ . Taking  $x_w \in K$  which represents  $w$ , we define an intertwining operator  $T_w$  from  $PS(\chi)$  to  $PS(w\chi)$  by

$$(T_w(\varphi))(g) = \int_{wNw^{-1} \cap N \setminus N} \varphi(x_w^{-1}ng)dn, \quad \varphi \in PS(\chi), \quad g \in G,$$

with the invariant measure  $dn$  suitably normalized. This integral is absolutely convergent if  $|\chi(a_\alpha)| < 1$  for any  $\alpha \in \Psi_w^+$  and can be meromorphically continued to the whole  $X$ ;  $T_w$  is holomorphic at  $\chi$  if  $\chi(a_\alpha) \neq 1$  for any  $\alpha \in \Psi_w^+$ . In particular,  $T_w$  is holomorphic on  $X^r$ .

4. We assume  $\chi \in X^i$  until the end of 5. If  $\pi(\chi)$  is hermitian, there exists a unique  $w \in W$  such that  $\chi \in X_w^i$ ,  $w^2 = 1$ . Then  $\pi(\chi)$  is unitarizable if and only if the Hermitian form

$$(1) \quad (\varphi_1, \varphi_2) = c \int_{B \setminus G} (T_w(\varphi_1))(g) \overline{\varphi_2(g)} dg, \quad \varphi_1, \varphi_2 \in PS(\chi)$$

is positive definite with  $c = \pm 1$ . Let  $w_0$  be the longest element of  $W$  and  $\omega_0$  be an element of  $K$  which represents  $w_0$ . Since  $Bw_0N$  is the big cell, we see easily that for every  $\Phi \in C_c^\infty(N)$ , there exists a unique  $\varphi \in PS(\chi)$  such that  $\Phi(n) = \varphi(\omega_0 n)$ ,  $n \in N$ . We put  $\varphi = \iota_\chi(\Phi)$ . Then

$$(2) \quad T_\chi(\Phi) = T_w(\iota_\chi(\Phi))(\omega_0), \quad \Phi \in C_c^\infty(N)$$

defines a distribution on  $N$ . By (1), we have

$$(\varphi_1, \varphi_2) = c \int_N (T_w(\varphi_1))(\omega_0 n) \overline{\varphi_2(\omega_0 n)} dn, \quad \varphi_1, \varphi_2 \in PS(\chi),$$

and this formula shows that  $cT_\chi$  is of positive type if  $\pi(\chi)$  is unitarizable.

For a subset  $J$  of  $\Delta$ , let  $W_J$  denote the group generated by the reflexions obtained from  $J$  and let  $w_J$  be the longest element of  $W_J$ . It is known (cf. [2], p. 225) that any element of order 2 of  $W$  is conjugate to  $w_J$  for some  $J \subseteq \Delta$ . Since  $\pi(w_1\chi) \cong \pi(\chi)$  for any  $w_1 \in W$ , we may assume  $\chi \in X_{w_J}^i$  for some  $J \subseteq \Delta$  without losing any generality. Let  $\Sigma_J$  be the root system generated by  $J$  and set

$$\Sigma_J^+ = \Sigma_J \cap \Sigma^+, \quad n_J(\alpha) = \sum_{\beta \in \Sigma_J^+} \langle \beta, \alpha \rangle \quad \text{for } \alpha \in \Sigma_J.$$

By Theorem 1, we see that  $T_\chi * f$  is bounded on  $N$  for any  $f \in C_c^\infty(N)$  if  $\pi(\chi)$  is unitarizable. We choose  $f$  as the characteristic function of  $U_1^+$ , the subgroup of  $N \cap K$  generated by  $x_\alpha(t)$ ,  $\alpha \in \Sigma^+$ ,  $t \in \mathfrak{w}\mathfrak{D}$ . Then we obtain

**Theorem 2.** *Let  $\chi \in X_{w_J}^i$  and assume that  $\pi(\chi)$  is unitarizable. Then we have*

$$q^{-n_J(\alpha)/2} < |\chi(a_\alpha)| < q^{n_J(\alpha)/2} \quad \text{for any } \alpha \in \Sigma_J^+.$$

**Corollary 3.** *If  $w_J$  acts as the multiplication by  $-1$  on  $J$ , then we have*

$$(3) \quad q^{-1} < |\chi(a_\alpha)| < q \quad \text{for any } \alpha \in \Sigma_J.$$

If  $\chi \in X^r$ , then  $\pi(\chi)$  has the unique irreducible quotient (cf. [1], p. 304), which we denote by  $\pi_\chi$ . In the similar way as above, we obtain

**Proposition 4.** *If  $\chi \in X_{w_J}^r$  and  $\pi_\chi$  is unitarizable, then we have*

$$q^{-n_J(\alpha)/2} \leq |\chi(a_\alpha)| \leq q^{n_J(\alpha)/2} \quad \text{for any } \alpha \in \Sigma_J^+.$$

5. We combine Corollary 3 with certain deformation arguments on representations.

**Proposition 5.** *Let  $w, w_1, w_2 \in W$  be elements of order 2 such that  $w = w_1 w_2$ ,  $l(w) = l(w_1) + l(w_2)$ , where  $l$  denotes the length. Let  $p: [0, 1] \rightarrow X_w$  and  $p_1: [0, 1] \rightarrow X_{w_1}$  be continuous maps. Put  $\chi_t = p(t)$ ,  $\chi_t^1 = p_1(t)$  for  $0 \leq t \leq 1$ . We assume the following conditions.*

- (i)  $\chi_0 = \chi_0^1$ .
- (ii)  $p(0, 1] \subseteq X_w^i$  and  $p_1(0, 1] \subseteq X_{w_1}^i$ .
- (iii) For any  $\alpha \in \Psi_{w_1}^+$ ,  $\chi_0(a_\alpha) \neq 1$ ,  $q$ .
- (iv) For any  $\alpha \in \Psi_{w_2}^+$ ,  $\chi_0(a_\alpha) = 1$ .

*Then  $\pi(\chi_{t_0}^1)$  (resp.  $\pi(\chi_{t_0})$ ) is unitarizable for some  $t_0 \in (0, 1]$  if and only if  $\pi(\chi_t)$  (resp.  $\pi(\chi_t^1)$ ) is unitarizable for  $0 < t \leq 1$ .*

We consider the cases of types  $B, C$  and  $D$  separately (we omit the discussion for type  $A$ ). We realize  $\Sigma$  as in ‘‘Planches’’ of Bourbaki [2]. Without losing any generality, we may normalize  $J$  in the following forms. If  $\Sigma$  is of type  $B_\ell$  or  $C_\ell$ ,  $J = \{\alpha_1, \alpha_3, \dots, \alpha_{2m-1}, \alpha_n, \alpha_{n+1}, \dots, \alpha_{\ell-1}, \alpha_\ell\}$ ,  $2m < n$ . We put  $n = \ell + 1$  if  $\alpha_\ell \notin J$ . If  $\Sigma$  is of type  $D_\ell$ ,  $J = \{\alpha_1, \alpha_3, \dots, \alpha_{2m-1}\} \cup J_1$ , where  $J_1 = \{\alpha_n, \alpha_{n+1}, \dots, \alpha_{\ell-1}, \alpha_\ell\}$ ,  $2m < n$ ,  $|J_1| \geq 4$  and even, or  $J_1 = \emptyset$ ,  $2m \leq \ell - 1$  or  $J_1 \subseteq \{\alpha_{\ell-1}, \alpha_\ell\}$ ,  $2m < \ell - 1$ .

Under these normalizations,  $w_j$  acts as  $-1$  on  $J$ . Hence (3) is a necessary condition for the unitarizability.

**Theorem 6.** *Assume  $G$  is of type  $Y_\ell$  and let  $\chi \in X_{w_j}^i$ , where  $Y = B, C$  or  $D$ . Then  $\pi(\chi)$  is unitarizable if and only if the conditions (3) and (Y) are satisfied. Here*

- (B)  $\chi(a_{\alpha_\ell}) > 0$  if  $\alpha_\ell \in J$ ,  $\chi(a_{\alpha_{2m-1}}) > 0$  if  $\alpha_\ell \notin J$ .
- (C) The number of indices  $i$  such that  $\chi(a_{\alpha_{2\epsilon_i}}) < 0$ ,  $n \leq i \leq \ell$ , is even.
- (D)  $\chi(a_\alpha) > 0$  for any  $\alpha \in J_1$ .

We can prove this theorem by induction on  $|J|$  applying Proposition 5 and its variants.

6. Let  $\chi \in X$ . Then  $\pi(\chi)$  is of finite length and has a unique spherical constituent  $\pi_\chi^1$  (cf. [3]). Let  $P$  be the set of all  $\chi \in X$  such that  $\pi_\chi^1$  is unitarizable. Then  $P$  is a compact subset of  $X$  which is stable under  $W$ .

**Theorem 7.** *Assume  $G$  is of classical type and let  $\chi \in X$ . If  $\pi(\chi)$  is irreducible and unitarizable, then  $\chi$  belongs to the closure of  $P \cap X^i$ .*

Since we have determined  $P \cap X^i$  explicitly by Theorem 6, this completes the determination of unitarizability of  $\pi(\chi)$ ,  $\chi \in X$ , when  $\pi(\chi)$  is irreducible.

### References

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