

7. Iwasawa Theoretical Residue Formulas for Algebraic Tori^{*)}

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Introduction. In his paper [2], J. Coates gave an Iwasawa theoretical analogue of the analytic class number formula. The aim of this note is to give a residue formula for an algebraic torus, which generalizes Coates' formula under a certain condition and can also be regarded as an Iwasawa theoretical analogue of the analytic class number formula for an algebraic torus introduced by T. Ono and J.-M. Shyr ([4], [10]). We must mention that Iwasawa theory for algebraic tori was developed by P. Schneider, and both our result and proof were suggested by his papers ([6], [7]).

Finally I would like to express my sincere gratitude to Prof. Takashi Ono for his warmhearted encouragement and dedicate this paper to him.

§ 1. Iwasawa L -function for an algebraic torus. In this section, we shall prepare the notations and assumptions which will be necessary below and define an Iwasawa L -function associated to the character module of an algebraic torus.

p : an odd prime number. μ_{p^n} : the group of p^n -th roots of unity. $\mu_{p^\infty} := \bigcup_{n \geq 1} \mu_{p^n}$. F : a totally real finite algebraic number field. T : an algebraic torus defined over F . \hat{T} : the group of rational characters of T . K : the minimal Galois splitting field of T . In the following, we assume the next condition (a).

(a) K is also totally real and p is unramified in K/F .

S : the set of primes of F which lie over p , ∞ , or ramify in K/F . F_S : the maximal extension over F unramified outside S . For simplicity, we put $\widehat{T(p)} := \hat{T} \otimes_{\mathbb{Z}} \mathbb{Q}_p / \mathbb{Z}_p$ and denote by H_S and G the Galois groups $G(F_S/F(\mu_{p^\infty}))$ and $G(F(\mu_{p^\infty})/F)$ respectively. We shall use standard notations in Galois cohomology theory (e.g. [8]). Now, the Galois group G acts continuously on the Galois cohomology groups $H^i(H_S, \widehat{T(p)})$ ($i \geq 0$). For the Galois group G , we have the canonical decomposition $G = \Delta \times \Gamma$, $\Delta := G(F(\mu_p)/F)$, $\Gamma := G(F(\mu_{p^\infty})/F(\mu_p)) \cong \mathbb{Z}_p$. If we denote by $H_i(T)$ the Δ -invariants of the Pontrjagin duals of $H^i(H_S, \widehat{T(p)})$, $H_i(T)$ are compact modules over completed group ring $\mathbb{Z}_p[[\Gamma]]$. Concerning the structures of $\mathbb{Z}_p[[\Gamma]]$ -modules $H_i(T)$, we can prove the following.

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(0) $H_0(T)$ is a finitely generated Z_p -module of rank $\rho_T := \text{rank}_Z \hat{T}(F)$, $\hat{T}(F) :=$ the group of rational characters of T defined over F , and Γ acts trivially on $H_0(T)$.

(1) $H_1(T)$ is a finitely generated torsion $Z_p[[\Gamma]]$ -module.

(2) $H_i(T) = 0$ if $i \geq 2$.

It is easy to see (0) by the definition. To prove (1), we use the well known fact from Iwasawa theory (e.g. [3], p. 94) and a little cohomological consideration to reduce to the ‘ G_m -case’. Since the cohomological p -dimension $\text{cd}_p(H_S) \leq 2$, $H^i(H_S, \widehat{T(p)}) = 0$ for $i > 2$ and $H^2(H_S, \widehat{T(p)})$ is divisible. Since $H^2(F_S/K(\mu_{p^\infty}), \mathbf{Q}_p/Z_p) = 0$ (‘Weak Leopoldt’s conjecture’ e.g. [11], p. 348), (2) follows from the spectral sequence: $H^i(K(\mu_{p^\infty})/F(\mu_{p^\infty}), H^j(F_S/K(\mu_{p^\infty}), \widehat{T(p)})) \Rightarrow H^{i+j}(H_S, \widehat{T(p)})$.

By the above, the following definition makes sense.

$$f_i(x) := p^{\mu_i(T)} \det(x - (\gamma - 1) | H_i(T) \otimes_{Z_p} \mathbf{Q}_p) \in \mathbf{Q}_p[x] \quad (i \geq 0)$$

where $\gamma := \kappa^{-1}(1 + p^e)$ for the p -cyclotomic character $\kappa: \Gamma \xrightarrow{\sim} 1 + p^e Z_p$ and $\mu_i(T) :=$ the Iwasawa μ -invariant of $Z_p[[\Gamma]]$ -module $H_i(T)$.

Definition of Iwasawa L -function for T .

$$L(T; s) := \prod_{i \geq 0} f_i((1 + p^e)^{1-s} - 1)^{(-1)^i} \quad (s \in Z_p)$$

Remark. If $T = G_{m/F}$, the above L -function is nothing but the Iwasawa zeta function of F in Coates’ formula up to a p -adic unit.

Let \mathfrak{p} be any prime of F over p , $F_\mathfrak{p}$ be the \mathfrak{p} -adic completion of F and $O_\mathfrak{p}$ be the ring of integers in $F_\mathfrak{p}$. Let $T(O_\mathfrak{p})$ be the maximal compact subgroup of $T(F_\mathfrak{p})$, the group of $F_\mathfrak{p}$ -rational points in T . Then we assume also the following.

(b) The \mathfrak{p} -adic logarithm $\log_\mathfrak{p}$ on the \mathfrak{p} -adic Lie group $T(O_\mathfrak{p})$ ([1], III 7.6) induces the isomorphism: $p^{-1} \log_\mathfrak{p}: T(O_\mathfrak{p})/\text{torsion} \xrightarrow{\sim} O_\mathfrak{p}^g$, $g := \dim T$.

Remark. Under the assumption (a) (p is unramified in K/\mathbf{Q}), the above assumption (b) is satisfied for $T = R_{E/F}(G_m)$, where E is any intermediate field of K/F . Thus there are many examples of tori which satisfy (a), (b) and whose Tamagawa numbers are not zero.

§ 2. Iwasawa theoretical residue formula for an algebraic torus. Besides the assumptions (a), (b) in § 1, we assume that the Leopoldt conjecture for (K, p) holds. Our formula is the following.

Theorem. Under the assumptions as above, $L(T; s)$ has a pole of order $\rho_T := \text{rank}_Z \hat{T}(F)$ at $s = 1$, and its residue has the same p -adic valuation as

$$\frac{h_T R_p(T)}{\tau(T) c(T)} \prod_{\mathfrak{p} \in S \setminus \{\infty\}} \#(T(\mathfrak{p})(F_\mathfrak{p})) \prod_{\mathfrak{p} | p} \frac{\#(T^{(\mathfrak{p})}(\kappa_\mathfrak{p}))}{(N\mathfrak{p})^g}.$$

We must explain the notations in the above theorem.

$\#$: the cardinality. By p -adic valuation, we mean the normalized p -adic absolute value of $C_\mathfrak{p}$ ($:=$ the p -adic completion of the fixed algebraic closure of \mathbf{Q}_p). h_T the class number of T . $T(\mathfrak{p})$: the group of all p -power

torsion points of T . $T(p)(F_p) := T(p) \cap T(F_p)$. κ_p : the residue field of F at p . $T^{(p)}$: the reduction of T at p . $T^{(p)}(\kappa_p)$: the group of κ_p -rational points in $T^{(p)}$. N : the absolute norm. Next, let us define $R_p(T)$, the p -adic regulator of T . Let p_1, \dots, p_r be the primes of F over p . We denote by $\log_{i,j}$ ($1 \leq j \leq g$) the j -th component of \log_{p_i} in § 1. For $p_i | p$, let $\phi_i^1, \dots, \phi_i^{d_i}: F_{p_i} \xrightarrow{\subseteq} C_p$ be all embeddings of F_{p_i} into C_p over \mathbf{Q}_p , where $d_i := [F_{p_i} : \mathbf{Q}_p]$. We put $\log_{i,j}^k := \phi_i^k \circ \log_{i,j}$. Since the unit group $T(O_F)$ is a finitely generated abelian group of rank $t := g[F : \mathbf{Q}] - \rho_T$ according to Shyr [9], we denote by $\varepsilon_1, \dots, \varepsilon_t$ the basis of $T(O_F)/\text{torsion}$ and define $R(\varepsilon_1, \dots, \varepsilon_t)$ by the following $t \times g[F : \mathbf{Q}]$ -matrix.

$$R(\varepsilon_1, \dots, \varepsilon_t) := \begin{pmatrix} \log_{1,1}^1(\varepsilon_1), \dots, \log_{1,1}^{d_1}(\varepsilon_1), \log_{1,2}^1(\varepsilon_1), & \dots, \log_{r,1}^1(\varepsilon_1), \dots, \log_{r,g}^{d_r}(\varepsilon_1) \\ \dots & \dots & \dots & \dots & \dots & \dots \\ \log_{1,1}^1(\varepsilon_t), \dots, \log_{1,1}^{d_1}(\varepsilon_t), \log_{1,2}^1(\varepsilon_t), & \dots, \log_{r,1}^1(\varepsilon_t), \dots, \log_{r,g}^{d_r}(\varepsilon_t) \end{pmatrix}.$$

As we can prove under Leopoldt's conjecture that the rank of the matrix $R(\varepsilon_1, \dots, \varepsilon_t)$ is equal to t , we define a p -adic regulator $R_p(T)$ of T as follows.

$R_p(T) := \min \{ |\det R|_p^{-1} \mid R = r \times r\text{-submatrix of } R(\varepsilon_1, \dots, \varepsilon_t) \text{ and } \det R \neq 0 \}$, where $|\cdot|_p :=$ the p -adic absolute value. ($|p|_p^{-1} = p$).

We remark that $R_p(T)$ is independent of the choice of $\{\varepsilon_i\}$ and coincides with the Leopoldt's p -adic regulator of F if $T = G_{m/F}$.

Finally let us define $c(T)$. We always denote by $A(p)$ the p -power torsion part of an abelian group A . If we put $\text{Ker}(F, T(p)) := \text{Ker}(H^1(F, T(p)) \rightarrow \prod_{v:\text{all}} H^1(F_v, T(p)))$ and $\underline{\underline{\text{III}}}_F(T) := \text{Ker}(H^1(F, T) \rightarrow \prod_{v:\text{all}} H^1(F_v, T))$, we have the natural homomorphism $\varphi: \text{Ker}(F, T(p)) \rightarrow \underline{\underline{\text{III}}}_F(T)(p)$. We put $c_1(T) := \# \text{coker}(\varphi)$. ($\underline{\underline{\text{III}}}_F(T)$ is finite. [5]). Let $T(A_F)$ and $\text{Cl}_F(T)$ be the adèle group and the class group of T respectively. Then we have the natural homomorphism $\psi: (T(A_F)/T(F) \prod_{v|\infty} \overline{T(F_v)} \prod_{v \notin S} \overline{T(O_v)})(p) \rightarrow \text{Cl}_F(T)(p)$, where $\overline{}$ means the topological closure with respect to the adèle topology. We put $c_2(T) := \# \text{coker}(\psi)$. ($\text{Cl}_F(T)$ is finite. [4]). Let us give another description of $c_2(T)$. If we put $\text{Ker}_F(T) := \text{Ker}(T(F) \otimes_{\mathbf{Z}} \mathbf{Q}_p / \mathbf{Z}_p \rightarrow \prod_{v:\text{all}} T(F_v) \otimes_{\mathbf{Z}} \mathbf{Q}_p / \mathbf{Z}_p)$ we have the natural homomorphism as follows. For $x \otimes p^{-i}$ in $\text{Ker}_F(T)$, there exists x_p in $T(F_p)$ such that $x \equiv x_p^{p^i} \pmod{T(O_p)}$ for any $p \neq \infty$. We can define a homomorphism $\psi': \text{Ker}_F(T) \rightarrow \text{Cl}_F(T)(p)$ by $\psi'(x \otimes p^{-i}) :=$ the class of $(x_p)_p$ in $\text{Cl}_F(T)$. Then we can show $\text{image}(\psi) = \text{image}(\psi')$. We define $c(T) := c_1(T)c_2(T)$.

Remark. (1) Concerning $c_2(T)$, by using class field theory, we can prove the following under our assumptions.

If $T = R_{E/F}(G_m)$, where E is any intermediate field of K/F , ψ is surjective, i.e., $c_2(T) = 1$.

(2) If $T = G_{m/F}$, we have $c_1(T) = c_2(T) = 1$. Therefore our formula is reduced to Coates' formula under the assumption that p is unramified in F/\mathbf{Q} .

§ 3. Outline of the proof of Theorem. We shall use the notations introduced in § 1 and § 2.

By (0) in § 1, $f_0((1+p^e)^{1-s}-1) = ((1+p^e)^{1-s}-1)^{e_T}$. This implies that $L(T; s)$ has a pole of order ρ_T at $s=1$ since $f_1(0) \neq 0$ as we shall see below. First, we have $H^0(\Gamma, H_1(T)) = H^1(G, H^1(H_s, \widehat{T(p)}))^* = 0$ (* means the Pontrjagin dual) by considering the spectral sequence $H^i(G, H^j(H_s, \widehat{T(p)})) \Rightarrow H^{i+j}(F_s/F, \widehat{T(p)})$, $\text{cd}_p(G) = 1$ and $H^2(F_s/F, \widehat{T(p)}) = 0$. Here $H^2(F_s/F, \widehat{T(p)}) = 0$ follows from the Leopoldt conjecture for (K, p) . Therefore we have $|f_1(0)|_p = \#(H^1(\Gamma, H_1(T)))^{-1}$ by the definition of $f_1(x)$ and moreover we can easily see $\#(H^1(\Gamma, H_1(T))) = \#(H^0(G, H^1(H_s, \widehat{T(p)}))) = \#(H^1(F_s/F, \widehat{T(p)})_{\text{div}}) \#(H^1(F, \widehat{T(p)}))^{-1}$, where A_{div} means the quotient of A by the maximal divisible subgroup. By Tate-Poitou exact sequence, we have $\#(H^1(F_s/F, \widehat{T(p)})_{\text{div}}) = \#(\text{Ker}(F, T(p))) \prod_{v \in S \setminus \{\infty\}} \#(T(p)(F_v))$. The finiteness of $\text{Ker}(F, T(p))$ also follows from the Leopoldt conjecture for (K, p) . If we put $\text{Ker}_{O_F}(T) := \text{Ker}(T(O_F) \otimes_{\mathbb{Z}} \mathbb{Q}_p / \mathbb{Z}_p \rightarrow \prod_{v|p} T(O_v) \otimes_{\mathbb{Z}} \mathbb{Q}_p / \mathbb{Z}_p)$, we can see the kernels of φ and ψ' are $\text{Ker}_F(T)$ and $\text{Ker}_{O_F}(T)$ respectively. Here we remark that the Tamagawa number $\tau(T)$ is equal to $\#(H^1(F, \widehat{T})) \#(\prod_{F'}(T))^{-1}$ by [5]. Therefore, if we can calculate $\#(\text{Ker}_{O_F}(T))$, all is done. However, we can see $\#(\text{Ker}_{O_F}(T)) = \#((\prod_{v|p} T(O_v) / \text{torsion}) / (T(O_F) / \text{torsion}))(p)$. So, taking p -adic logarithm, this index can be calculated as $p^{-t} R_p(T)$ by elementary divisor theory.

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