

## 26. Notes on Quasi-polarized Varieties

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0. Let  $V$  be a variety, which means, an irreducible reduced projective scheme over an algebraically closed field  $\mathbb{R}$  of any characteristic. A line bundle  $L$  on  $V$  is said to be *nef* if  $LC \geq 0$  for any curve  $C$  in  $V$ . It is said to be *big* if  $\kappa(L) = n = \dim V$ . In case  $L$  is nef, it is big if and only if  $L^n > 0$  (cf. [2; (6.5)]). When  $L$  is nef and big, the pair  $(V, L)$  will be called a *quasi-polarized variety*. In this note we report several generalizations of results on polarized manifolds. For details see [4].

1. We have  $\chi(V, tL) = \sum_{j=0}^n \chi_j t^{[j]} / j!$  for some integers  $\chi_0, \chi_1, \dots, \chi_n$  where  $t^{[j]} = t(t+1) \cdots (t+j-1)$  and  $t^{[0]} = 1$ . By the Riemann-Roch theorem we have  $\chi_n = L^n$ . Moreover, if  $V$  is normal, we have

$$-2\chi_{n-1} = (\omega + (n-1)L)L^{n-1}$$

for the canonical divisor  $\omega$  of  $V$ . We set  $g(V, L) = 1 - \chi_{n-1}$ , which is called the *sectional genus* of  $(V, L)$ . We set  $\Delta(V, L) = n + L^n - h^0(V, L)$ , which is called the  $\Delta$ -genus of  $(V, L)$ . We conjecture:

*Both the  $\Delta$ -genus and the sectional genus are non-negative for any quasi-polarized variety. Moreover,  $\Delta = 0$  if and only if  $g = 0$ .*

We expect further that we can classify somehow  $(V, L)$ 's with small  $\Delta$  and  $g$ .

2. First of all we have the following

**Theorem.**  $\Delta(V, L) \geq 0$  for any quasi-polarized variety. Moreover, if  $\Delta = 0$ , there are a polarized variety  $(W, H)$  and a birational morphism  $f: V \rightarrow W$  such that  $L = f^*H$  and  $\Delta(W, H) = 0$ .

We have a complete classification of polarized varieties of  $\Delta$ -genus zero (cf. [1]). In particular  $g(W, H) = 0$  and  $H$  is very ample. Hence  $g(V, L) = 0$  and  $\text{Bs}|L| = \emptyset$  if  $\Delta(V, L) = 0$ .

3. From now on, we assume  $\text{char}(\mathbb{R}) = 0$ , since we need vanishing theorems of Kodaira-Kawamata-Viehweg type. Using the above theorem we obtain the following

**Theorem.** Let  $(V, L)$  be a normal quasi-polarized variety with  $\dim V = n$ . Suppose that  $h^n(V, -tL) = 0$  for any  $t$  such that  $0 < t \leq n$ . Then there is a birational morphism  $f: V \rightarrow \mathbb{P}^n$  such that  $L = f^*\mathcal{O}(1)$ .

4. Next we improve results in [3]. An element of  $\text{Pic}(V) \otimes \mathbb{Q}$  is called a  $\mathbb{Q}$ -bundle on  $V$ . We define  $\mathbb{Q}$ -valued intersection numbers of  $\mathbb{Q}$ -bundles and the nefness of them in the natural way.

Let  $\pi: M \rightarrow V$  be a desingularization of a normal variety  $V$  and set  $S = \{x \in V \mid \dim \pi^{-1}(x) > 0\}$  and  $E = \pi^{-1}(S)$ . Then  $\pi$  is said to be nice if  $E$  is a

divisor having no singularity other than simple normal crossings. Thus  $E = \sum E_i$  and each prime component  $E_i$  is smooth.

$V$  is said to have only *log-terminal* singularities if it is normal and there is a nice desingularization  $\pi: M \rightarrow V$  such that  $K = \pi^*\omega + \sum a_i E_i$  for some  $\mathbf{Q}$ -bundle  $\omega$  on  $V$  and some rational numbers  $a_i$  with  $a_i > -1$ , where  $K$  is the canonical bundle of  $M$ . Since  $E_i$ 's are  $\pi$ -exceptional, this implies that  $\omega$  corresponds to the canonical sheaf of  $V$  and hence  $V$  is  $\mathbf{Q}$ -Gorenstein, which means, some positive multiple of a canonical Weil divisor of  $V$  is Cartier. If furthermore  $a_i \geq 0$  (resp.  $a_i > 0$ ) for every  $i$ , then  $V$  has only *canonical* (resp. *terminal*) singularities. Note that log-terminal singularities are rational (cf. [5; § 1-3]).

We say that  $V$  is Gorenstein in codimension  $k$  if there is a subset  $X$  of  $V$  such that  $\text{codim } X > k$  and  $V - X$  has only Gorenstein singularities.

**Theorem** (compare [3; Theorem 2]). *Let  $(V, L)$  be a polarized variety of dimension  $n$  having only log-terminal singularities. Suppose further that  $V$  is Gorenstein in codimension 2. Then  $\omega + (n-1)L$  is nef unless  $(V, L) \simeq (\mathbf{P}^n, \mathcal{O}(1))$ ,  $(\mathbf{P}^2, \mathcal{O}(2))$ , a scroll over a smooth curve, or  $V$  is a (possibly singular) hyperquadric in  $\mathbf{P}^{n+1}$  with  $L = \mathcal{O}(1)$ .*

Here,  $(V, L)$  is said to be a *scroll* over  $C$  if there is a vector bundle  $\mathcal{E}$  on  $C$  such that  $V \simeq \mathbf{P}_C(\mathcal{E})$  with  $L = \mathcal{O}(1)$ . Note that  $V$  is Gorenstein in codimension 2 if it has only canonical singularities.

**Corollary.** *Let  $(V, L)$  be as in the theorem. Then  $g(V, L) \geq 0$ . Moreover  $g = 0$  implies  $\Delta(V, L) = 0$ .*

**Corollary.** *Let  $(V, L)$  be as in the theorem and suppose  $g(V, L) = 1$ . Then  $\omega = (1-n)L$  unless  $(V, L)$  is a scroll over a smooth elliptic curve.*

5. When  $\text{Bs}|L| = \emptyset$ , the nefness of  $\omega + tL$  for  $t > 0$  can be proved occasionally by induction on  $n$ . This approach was used by Sommese effectively in various papers. The theorem below improves upon a result in [7; (2.1)] and will be useful in this method.

**Theorem.** *Let  $A$  be an irreducible reduced ample Cartier divisor on a normal  $\mathbf{Q}$ -Gorenstein variety  $V$ . Suppose that  $V - X$  has only log-terminal singularities for some finite set  $X$ , the double dual of  $\omega^{\otimes m}$  is invertible in a neighborhood of  $A$  for some positive integer  $m$  and that  $(\omega + tA)_A$  is nef for some  $t \geq 2 - m^{-1}$ . Then  $\omega + tA$  is nef on  $V$  unless  $(V, \mathcal{O}(A))$  is a scroll over a smooth curve with  $\dim V = 2$ .*

6. Here we assume  $n = \dim V \leq 3$ , since we need Mori's flip theorem in dimension 3 (cf. [6]).

We say that quasi-polarized varieties  $(V_1, L_1)$  and  $(V_2, L_2)$  are birationally equivalent if there is a variety  $X$  together with birational morphisms  $f_i: X \rightarrow V_i$  such that  $f_1^*L_1 = f_2^*L_2$ .

**Theorem.** *Let  $(V, L)$  be a quasi-polarized variety with  $n = \dim V \leq 3$ . Then there is a quasi-polarized variety  $(V', L')$  which is birationally equivalent to  $(V, L)$ , has only  $\mathbf{Q}$ -factorial terminal singularities, and further satisfies one of the following conditions:*

- 1)  $\omega' + (n-1)L'$  is nef for the canonical sheaf  $\omega'$  of  $V'$ .
- 2)  $\Delta(V', L') = 0$ .
- 3)  $(V', L')$  is a scroll over a smooth curve.

Here  $\mathbf{Q}$ -factorial means that every Weil divisor on  $V'$  is a rational multiple of a Cartier divisor.

**Corollary.**  $g(V, L) \geq 0$  for any quasi-polarized variety of dimension  $\leq 3$ . Moreover,  $g=0$  implies  $\Delta(V, L) = 0$  if  $V$  is normal.

**Corollary.** Suppose further that  $g(V, L) = 1$  and  $V$  is normal. Then  $\omega' = (1-n)L'$  or  $(V', L')$  is a scroll over an elliptic curve, where  $(V', L')$  is as in the theorem.

These results will follow from the Flip conjecture in higher dimension too.

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