

36. Linear Extensions and Order Polynomials of Finite Partially Ordered Sets

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Any partially ordered set (*poset* for short) to be considered is finite. The cardinality of a finite set X is denoted by $\#(X)$. Let N be the set of non-negative integers and Z the set of integers.

Introduction. Let P be a poset with elements x_1, x_2, \dots, x_p labeled so that if $x_i < x_j$ in P then $i < j$ in Z . Given an integer i , $0 \leq i < p$, write $w_i = w_i(P)$ for the number of permutations $\pi = \begin{pmatrix} 1 & 2 & \cdots & p \\ a_1 & a_2 & \cdots & a_p \end{pmatrix}$ such that (a) if $x_{a_r} < x_{a_s}$ in P , then $r < s$ (i.e., π is a *linear extension* of P) and (b) $\#\{r; a_r > a_{r+1}\}$, the number of *descents* of π , is equal to i . Let $s = \max\{i; w_i \neq 0\}$. We say that the vector $w(P) = (w_0, w_1, \dots, w_s)$ is the *w-vector* of P .

On the other hand, for any $n \in N$ we write $\Omega(P, n)$ for the number of maps σ from P to N such that (a) if $x_i < x_j$ in P then $\sigma(x_i) \geq \sigma(x_j)$ and (b) $\max\{\sigma(x_i); 1 \leq i \leq p\} \leq n$. It is known that $\Omega(P, n)$ is a polynomial, called the *order polynomial* of P , for n sufficiently large and the degree of this polynomial is p . A fundamental relation between $\Omega(P, n)$ and $w(P)$ is the equality

$$(1-\lambda)^{p+1} \sum_{n=0}^{\infty} \Omega(P, n) \lambda^n = w_0 + w_1 \lambda + \cdots + w_s \lambda^s.$$

Consult [5, Chapter 4, Section 5] for further information.

A big open question in enumerative combinatorics is to characterize the *w-vectors* of posets. Recently, Stanley obtained the linear inequalities

$$w_0 + w_1 + \cdots + w_i \leq w_s + w_{s-1} + \cdots + w_{s-i}, \quad 0 \leq i \leq [s/2]$$

for the *w-vector* $w(P) = (w_0, w_1, \dots, w_s)$ of an arbitrary poset P . We can go on to ask, what more can be said about the *w-vector* of a poset? In what follows, after summarizing notation and terminology, we give new inequalities for the *w-vector* of a poset which satisfies a certain chain condition. Systematic study of *w-vectors*, including detailed proofs of our results, will be found in [2].

Notation and terminology. A *chain* is a poset in which any two elements are comparable. The *length* of a chain C is defined by $\ell(C) := \#(C) - 1$. The *rank* of a poset P , denoted by $\text{rank}(P)$, is the supremum of lengths of chains contained in P . If $\alpha \leq \beta$ in P , then we write $\ell(\alpha, \beta)$ for the rank of the subposet $P_\alpha^\beta := \{x \in P; \alpha \leq x \leq \beta\}$ of P . A poset P is called *pure* if every maximal chain of P has the same length. We say that P satisfies the $\delta^{(n)}$ -*chain condition*, $n \in N$, if (a) for any $\xi \in P$, the subposet $P_\xi := \{y \in P; y \geq \xi\}$

of P is pure and (b) $\text{rank}(P) - \min \{\ell(C) ; C \text{ is a maximal chain of } P\} = n$. Thus P satisfies the $\delta^{(0)}$ -chain condition if and only if P is pure.

Given a poset P , we write P^\wedge for the poset obtained by adjoining a new pair of elements, 0^\wedge and 1^\wedge , to P such that $0^\wedge < x < 1^\wedge$ for any $x \in P$. A sequence $\mathcal{A} = (\alpha_0, \beta_0, \alpha_1, \beta_1, \dots, \alpha_t, \beta_t)$, which consists of elements of P^\wedge , is called *rhythmical* if (a) $\alpha_0 = 0^\wedge, \beta_t = 1^\wedge$, (b) $\alpha_i < \beta_i$ for and $i, 0 \leq i \leq t$, (c) $\alpha_{i+1} < \beta_i$ for any $i, 0 \leq i < t$ and (d) $\alpha_{i+2} \not< \beta_i$ for any $i, 0 \leq i \leq t-2$. Let $\ell(\mathcal{A}) := \sum_{0 \leq i \leq t} \ell(\alpha_i, \beta_i) - \sum_{0 \leq i \leq t-1} \ell(\alpha_{i+1}, \beta_i)$. We say that P satisfies the Δ -chain condition if $\ell(\mathcal{A}) \leq \text{rank}(P^\wedge)$ for any rhythmical sequence \mathcal{A} of P^\wedge . We easily see that, for any $n \in \mathbb{N}$, the $\delta^{(n)}$ -chain condition implies the Δ -chain condition.

Results. First, we state non-linear inequalities for the w -vector of a poset which satisfies the Δ -chain condition.

Theorem. *Assume that a poset P with $w(P) = (w_0, w_1, \dots, w_s)$ satisfies the Δ -chain condition. If i and j are non-negative integers with $i+j \leq s$, then $w_i \leq w_j w_{i+j}$.*

Secondly, if a poset P satisfies the $\delta^{(n)}$ -chain condition, then certain linear inequalities hold for the w -vector $w(P)$, that is to say,

Theorem. *Let $w(P) = (w_0, w_1, \dots, w_s)$ be the w -vector of a poset P satisfying the $\delta^{(n)}$ -chain condition. Then we have the inequality*

$$w_s + w_{s-1} + \dots + w_{s-i} \leq w_0 + w_1 + \dots + w_i + \dots + w_{i+n}$$

for any $i, 0 \leq i \leq [(s-n)/2]$.

Our technique [2], which originated in [1], is heavily based on commutative algebra, especially the theory of canonical modules [4] of invariant subrings of tori [3].

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