

23. On Traces of Hecke Operators on the Spaces of Cusp Forms of Half-integral Weight

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The space of cusp forms of half-integral weight, besides the space of those of integral weight, and Hecke operators on these spaces are well-known objects of arithmetical study of automorphic forms. After S. Niwa [2] had given some explicit relations between traces of these operators, W. Kohnen [1] gave further relation of similar type. In this paper, we shall give more general relations including these results. Details will appear in [3].

Preliminaries.

(a) **General notations.** Let k be a positive integer. If $z \in \mathbf{C}$ and $x \in \mathbf{C}$, we put $z^x = \exp(x \cdot \log(z))$ with $\log(z) = \log(|z|) + \sqrt{-1} \arg(z)$, $\arg(z)$ being determined by $-\pi < \arg(z) \leq \pi$. For $z \in \mathbf{C}$, we put

$$e(z) = \exp(2\pi\sqrt{-1}z).$$

Let \mathfrak{H} be the complex upper half plane. For a complex-valued function $f(z)$ on \mathfrak{H} , $\alpha = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in GL_2^+(\mathbf{R})$, $\gamma = \begin{pmatrix} u & v \\ w & x \end{pmatrix} \in \Gamma_0(4)$ and $z \in \mathfrak{H}$, we define functions $J(\alpha, z)$, $j(\gamma, z)$ and $f|[\alpha]_k(z)$ on \mathfrak{H} by: $J(\alpha, z) = cz + d$, $j(\gamma, z) = \left(\frac{-1}{x}\right)^{-1/2} \times \left(\frac{w}{x}\right)(wz + x)^{1/2}$ and $f|[\alpha]_k(z) = (\det \alpha)^{k/2} J(\alpha, z)^{-k} f(\alpha z)$.

(b) **Modular forms of integral weight.** Let N be a positive integer. By $S(2k, N)$, we denote the space of all holomorphic cusp forms of weight $2k$ with the trivial character on the group $\Gamma = \Gamma_0(N)$.

Let $\alpha \in GL_2^+(\mathbf{R})$. If Γ and $\alpha^{-1}\Gamma\alpha$ are commensurable, we define a linear operator $[\Gamma\alpha\Gamma]_{2k}$ on $S(2k, N)$ by:

$$f|[\Gamma\alpha\Gamma]_{2k} = (\det \alpha)^{k-1} \sum_{\alpha_i} f|[\alpha_i]_{2k},$$

where α_i runs over a system of representatives for $\Gamma \backslash \Gamma\alpha\Gamma$.

For a natural number n with $(n, N) = 1$, we put

$$T_{2k, N}(n) = \sum_{ad=n} \left[\Gamma \begin{pmatrix} a & 0 \\ 0 & d \end{pmatrix} \Gamma \right]_{2k},$$

where the sum is extended over all pairs of integers (a, d) such that $a, d > 0$, $a|d$ and $ad = n$. Moreover, let L_0 be a positive divisor of N such that $(L_0, N/L_0) = 1$ and that $L_0 \neq 1$. Take any element $\gamma(L_0) \in SL_2(\mathbf{Z})$ which satisfies the conditions:

$$\gamma(L_0) \equiv \begin{cases} \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix} & (\text{mod } L_0); \\ \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} & (\text{mod } N/L_0). \end{cases}$$

Put $W(L_0) = \gamma(L_0) \begin{pmatrix} L_0 & 0 \\ 0 & 1 \end{pmatrix}$. Then, $W(L_0)$ is a normalizer of Γ and $[W(L_0)]_{2k}$ induces a \mathbf{C} -linear automorphism of order 2 on $S(2k, N)$.

(c) **Modular forms of half-integral weight.** Let N be a positive integer divisible by 4 and χ an even character modulo N such that $\chi^2 = 1$. Put $\mu = \text{ord}_2(N)$, $M = 2^{-\mu}N$ and $\Gamma = \Gamma_0(N)$.

Let $G(k + (1/2))$ be the group consisting of pairs (α, φ) , where $\alpha = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in GL_2^+(\mathbf{R})$ and φ is a holomorphic function on \mathfrak{H} satisfying

$$\varphi(z) = t(\det \alpha)^{-k/2 - 1/4} J(\alpha, z)^{k+1/2}$$

with $t \in \mathbf{C}$ and $|t| = 1$. The group law is defined by:

$$(\alpha, \varphi(z)) \cdot (\beta, \psi(z)) = (\alpha\beta, \varphi(\beta z)\psi(z)).$$

For a complex-valued function f on \mathfrak{H} and $(\alpha, \varphi) \in G(k + (1/2))$, we define a function $f|(\alpha, \varphi)$ on \mathfrak{H} by: $f|(\alpha, \varphi)(z) = \varphi(z)^{-1} f(\alpha z)$. By $\Delta = \Delta_0(N, \chi)_{k+1/2}$, we denote a subgroup of $G(k + (1/2))$ consisting of all pairs (γ, φ) , where $\begin{pmatrix} a & b \\ c & d \end{pmatrix} = \gamma \in \Gamma$ and $\varphi(z) = \chi(d)j(\gamma, z)^{2k+1}$. We denote by $S(k + (1/2), N, \chi)$ the space of all complex-valued holomorphic functions f on \mathfrak{H} which satisfies $f|_\xi = f$ for all $\xi \in \Delta$ and which is holomorphic and vanishes at all cusps of Γ . When $\mu = 2$, we define the Kohnen subspace $S(k + (1/2), N, \chi)_K$ by:

$$S\left(k + \frac{1}{2}, N, \chi\right)_K = \left\{ S\left(k + \frac{1}{2}, N, \chi\right) \ni f(z) = \sum_{n=1}^{\infty} a(n)e(nz); \begin{matrix} a(n) = 0 \text{ for } \varepsilon(-1)^k n \equiv 2, 3 \pmod{4} \end{matrix} \right\}.$$

Here, the constant ε is defined as follows: From the assumptions $\mu = 2$ and $\chi^2 = 1$, we can express $\chi = \begin{pmatrix} M_0 \\ -1 \\ M_0 \end{pmatrix}$. Then, we put $\varepsilon = \begin{pmatrix} -1 \\ M_0 \end{pmatrix}$.

Let $\xi \in G(k + (1/2))$. If Δ and $\xi^{-1}\Delta\xi$ are commensurable, we define a linear operator $[\Delta\xi\Delta]_{k+1/2}$ on $S(k + (1/2), N, \chi)$ by:

$$f|[\Delta\xi\Delta]_{k+1/2} = \sum_{\eta} f|\eta,$$

where η runs over a system of representatives for $\Delta \backslash \Delta\xi\Delta$. Then, for a natural number n with $(n, N) = 1$, we put

$$\tilde{T}_{k+1/2, N, \chi}(n^2) = n^{k-3/2} \sum_{a|d=n} a \left[\Delta \left(\begin{pmatrix} a^2 & 0 \\ 0 & d^2 \end{pmatrix}, (d/a)^{k+1/2} \Delta \right) \right]_{k+1/2},$$

where the sum is extended over all pairs of integers (a, d) such that $a, d > 0$, $a|d$ and $ad = n$. Then, the Kohnen subspace $S(k + (1/2), N, \chi)_K$ is invariant under the action of $\tilde{T}_{k+1/2, N, \chi}(n^2)$. Hence, we can consider the trace of $\tilde{T}_{k+1/2, N, \chi}(n^2)$ on $S(k + (1/2), N, \chi)_K$.

Statement of results. Under the above notations, we have the following relations between traces.

Theorem. *Let N be a positive integer such that $2 \leq \text{ord}_2(N) = \mu \leq 4$, and put $M = 2^{-\mu}N$. Let χ be an even character modulo N such that $\chi^2 = 1$ and suppose that the conductor of χ is divisible by 8 if $\mu = 4$. Then, we have the following relations (1.1)–(1.2):*

(1.1) *Suppose $k \geq 2$, then, for positive integers n with $(n, N) = 1$, we have:*

$$\begin{aligned} & \text{trace}(\tilde{T}_{k+1/2, N, \chi}(n^2) | S(k + (1/2), N, \chi)) \\ &= \text{trace}(T_{2k, N/2}(n) | S(2k, N/2)) \\ & \quad + \sum_1 A(n, L_0) \text{trace}([W(L_0)]_{2k} T_{2k, 2^{\mu-1}L_0L_1}(n) | S(2k, 2^{\mu-1}L_0L_1)). \end{aligned}$$

(1.2) *Let k and n be the same as in (1.1) and suppose $\mu = 2$. Then, we have:*

$$\begin{aligned} & \text{trace}(\tilde{T}_{k+1/2, N, \chi}(n^2) | S(k + (1/2), N, \chi)_K) \\ &= \text{trace}(T_{2k, M}(n) | S(2k, M)) \\ & \quad + \sum_1 A(n, L_0) \text{trace}([W(L_0)]_{2k} T_{2k, L_0L_1}(n) | S(2k, L_0L_1)). \end{aligned}$$

Here, L_0 in the sum \sum_1 runs over all square integers such that $1 < L_0 | M$. Put $L_1 = M \prod_{p|L_0} p^{-\text{ord}_p(M)}$. The constant $A(n, L_0)$ is defined as follows:

$$A(n, L_0) = \prod_{p|M} \lambda(p, n; (\text{ord}_p(L_0))/2)$$

with

$$\lambda(p, n; a) = \begin{cases} 1, & \text{if } a = 0; \\ 1 + \left(\frac{-n}{p}\right), & \text{if } 1 \leq a \leq [(\nu - 1)/2]; \\ \chi_p(-n), & \text{if } \nu \text{ is even and } a = \nu/2; \end{cases}$$

where $\nu = \text{ord}_p(N)$ and χ_p is the p -component of χ .

Remark. For the case $k = 1$, we have also some similar relations (cf. [3] § 3 Theorem).

Supplementary remarks. When N and χ are the same as in the Preliminaries (c), the explicit formulas for traces of the operator $\tilde{T}_{k+1/2, N, \chi}(n^2)$ on $S(k + (1/2), N, \chi)$ and $S(k + (1/2), N, \chi)_K$ are given in [3] § 1 and § 2. However, for the other cases than the above Theorem, no relation is found yet. Finally, by using the above Theorem, we can give the decompositions to the eigen subspaces of $S(k + (1/2), N, \chi)$ and $S(k + (1/2), N, \chi)_K$ in several cases.

References

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- [2] S. Niwa: On Shimura’s trace formula. *Nagoya Math. J.*, **66**, 183–202 (1977).
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