

## 95. *Expansive Homeomorphisms of Compact Surfaces are Pseudo-Anosov*

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Let  $(X, d)$  be a compact metric space and  $f: X \rightarrow X$  be a homeomorphism. We recall that  $f$  is *expansive* (with expansive constant  $c > 0$ ) if to each pair  $(x, y)$  of distinct points in  $X$  there is an integer  $n \in \mathbb{Z}$  such that  $d(f^n(x), f^n(y)) > c$ . All the examples of expansive homeomorphisms on compact surfaces known so far are pseudo-Anosov diffeomorphisms which are introduced by W. Thurston [4] (cf. [1], [2]). The problem of whether new expansive homeomorphisms exist on compact surfaces is important in topological dynamics. The purpose of this paper is to announce the following result.

**Theorem 1.** *Every expansive homeomorphism of a compact surface is pseudo-Anosov.*

If this theorem was established, then by using Euler-Poincaré's formula and Kneser's Theorem, we can give a partial answer for the problem of existence of expansive homeomorphisms as follows (cf. [3], [5]).

**Theorem 2.** *There are no expansive homeomorphisms on the 2-sphere, the projective plane and the Klein bottle.*

A homeomorphism  $f$  of a compact surface  $M$  is *pseudo-Anosov* if there is a pair  $(\mathcal{F}^s, \mu^s)$  and  $(\mathcal{F}^u, \mu^u)$  of transverse measured foliations with (the same) singularities such that  $f(\mathcal{F}^s, \mu^s) = (\mathcal{F}^s, \lambda^{-1}\mu^s)$  and  $f(\mathcal{F}^u, \mu^u) = (\mathcal{F}^u, \lambda\mu^u)$  where  $\lambda > 1$ . This means that  $f$  preserves the two singular foliations  $\mathcal{F}^s$  and  $\mathcal{F}^u$ ; it contracts the leaves of  $\mathcal{F}^s$  by  $\lambda^{-1}$  and it expands the leaves of  $\mathcal{F}^u$  by  $\lambda$ .

Let  $x \in X$  and define the *stable* and *unstable sets*  $W^s(x)$ ,  $W^u(x)$  as

$$\begin{aligned} W^s(x) &= \{y \in X : d(f^n(x), f^n(y)) \rightarrow 0 \text{ as } n \rightarrow \infty\}, \\ W^u(x) &= \{y \in X : d(f^n(x), f^n(y)) \rightarrow 0 \text{ as } n \rightarrow -\infty\} \end{aligned}$$

and put

$$\mathcal{F}^\sigma(X, f) = \{W^\sigma(x) : x \in X\} \quad (\sigma = s, u).$$

Then  $\mathcal{F}^\sigma(X, f)$  is a decomposition of  $X$  and preserved under  $f$ . If  $X$  is a compact surface and  $f$  is pseudo-Anosov, then it is easily checked that  $\mathcal{F}^\sigma = \mathcal{F}^\sigma(X, f)$  ( $\sigma = s, u$ ).

In order to obtain Theorem 1 we must prove the following

**Proposition A.** *Let  $f: M \rightarrow M$  be an expansive homeomorphism. Then the families  $\mathcal{F}^\sigma(M, f)$  ( $\sigma = s, u$ ) have the following properties;*

- (1)  $\mathcal{F}^\sigma(M, f)$  is a singular foliation on  $M$ ,
- (2) every leaf  $W^\sigma(x) \in \mathcal{F}^\sigma(M, f)$  is homeomorphic to  $L_p = \{z \in \mathbb{C} : \text{Im}(z^{p/2}) = 0\}$

$=0\}$  for some  $p \geq 2$ ,

(3)  $\mathcal{F}^\sigma(M, f)$  is minimal,

(4)  $\mathcal{F}^\sigma(M, f)$  is transverse to  $\mathcal{F}^u(M, f)$ .

If Proposition A holds, then the transverse invariant measures  $\mu^\sigma$  for  $\mathcal{F}^\sigma(M, f)$  ( $\sigma = s, u$ ) and the stretching factor  $\lambda$  of  $f$  are obtained by using the idea in A. J. Casson [1].

For  $x \in X$  and  $\mathcal{E} > 0$ , define the local stable set  $W_\mathcal{E}^s(x)$  and the local unstable set  $W_\mathcal{E}^u(x)$  by

$$W_\mathcal{E}^s(x) = \{y \in X : d(f^n(x), f^n(y)) \leq \mathcal{E}, n \geq 0\},$$

$$W_\mathcal{E}^u(x) = \{y \in X : d(f^n(x), f^n(y)) \leq \mathcal{E}, n \leq 0\}$$

and denote by  $C_\mathcal{E}^\sigma(x)$  the connected component of  $x$  in  $W_\mathcal{E}^\sigma(x)$  ( $\sigma = s, u$ ). The following proposition will play an important role in the proof of Proposition A.

**Proposition B.** *Let  $f: X \rightarrow X$  be an expansive homeomorphism. If  $X$  is non-trivial, connected and locally connected, then for every  $\mathcal{E} > 0$  there is  $\delta > 0$  such that for all  $x \in X$*

$$S_\delta(x) \cap C_\mathcal{E}^\sigma(x) \neq \emptyset \quad (\sigma = s, u)$$

where  $S_\delta(x) = \{y \in X : d(x, y) = \delta\}$ .

The details of this paper will appear elsewhere.

## References

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