

## 60. Galois Type Correspondence for Non-separable Normal Extensions of Fields

By Angel POPESCU

Department of Mathematics, Civil Engineering Institute,  
and Institute of Mathematics, University of Bucharest, Romania

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In this paper we try to extend the classical Galois-Krull theory for separable and normal extensions of fields, and the Jacobson theory for finite purely inseparable extensions of exponent 1, to general normal extensions of exponent 1 (i.e., to those extensions whose maximal pure subextensions have exponent 1).

**A. Definition 1.** An algebraic extension of fields  $K/k$  will be called *distinguished* if it is possible to find a purely inseparable subextension  $L/k \subset K/k$  with  $K/L$  separable.

**Proposition 1.** Let  $K/k$  be a distinguished extension of fields,  $k_K/k$  the maximal separable subextension of  $K/k$ , and  $K_0/k$  the maximal pure subextension of  $K/k$ . In this case  $K=K_0 \cdot k_K$ , and  $K/K_0$  is separable. If  $N/k$  is pure and  $M/k$  is separable, the compositum  $N \cdot M/k$  is distinguished.

**Corollary 1.** A separable, purely inseparable, or normal extension  $K/k$  is distinguished.

**Proposition 2.** Every algebraic extension  $K/k$  contains a maximal distinguished subextension  $K_d/k$ .

**B.** Let  $K/k$  be a normal extension of fields of characteristic  $p \neq 0$ , of exponent 1 (i.e., such that  $K_0/k$  has exponent 1). In the following we conserve the notations from Proposition 1. We denote by  $\mathcal{D}_{K/k}$ , the  $K$ -linear space of all  $k$ -derivations of  $K$ , and by  $S$  the group  $\text{Aut}(K/k)$ . It is clear that  $K_0=K^S=\{x \in K, \sigma(x)=x, \text{ for every } \sigma \in S\}$ . For a  $K$ -subspace  $\mathcal{A}$  of  $\mathcal{D}_{K/k}$ , denote by  $N(\mathcal{A})$  and the annihilator  $\bigcap_{D \in \mathcal{A}} \text{Ker } D$  of  $\mathcal{A}$ , and for a subextension  $L/k \subset K/k$  denote by  $\mathcal{A}(L)$  the  $K$ -subspace  $\{D \in \mathcal{D}_{K/k}, D(x)=0 \text{ for all } x \in L\}$  of  $\mathcal{D}_{K/k}$ .

**Definition 2.** A  $K$ -subspace  $\mathcal{A}$  of  $\mathcal{D}_{K/k}$ , will be called *arithmetically maximal* (*A-maximal*) if for any other  $K$ -subspace  $\mathcal{B}$  of  $\mathcal{D}_{K/k}$  with  $N(\mathcal{B})=N(\mathcal{A})$  and  $\mathcal{B} \supset \mathcal{A}$ , we have  $\mathcal{B}=\mathcal{A}$ .

**Corollary 2.**  $\mathcal{A}$  is an A-maximal  $K$ -subspace of  $\mathcal{D}_{K/k}$  if and only if  $\mathcal{A}(N(\mathcal{A}))=\mathcal{A}$ .

For a derivation  $D \in \mathcal{D}_{K_0/k}$  we denote by  $D^*$  the unique derivation in  $\mathcal{D}_{K/k}$  which extends  $D$  ([3], Chapter. X, Theorem 7 and conseq.). Note that the application  $D \rightarrow D^*$  is  $K_0$ -linear and we can view  $\mathcal{D}_{K_0/k}$  as a  $K_0$ -subspace in  $\mathcal{D}_{K/k}$ .

**Definition 3.** The set  $G(K/k)=S \times \mathcal{D}_{K/k}$  becomes a group with the

natural componentwise group operation ( $\mathcal{D}_{K/k}$  is considered as an additive group). It is called *the Galois group of rank 2 associated with  $K/k$* . We put now  $G_0(K/k) = S \times \mathcal{D}_{K_0/k}$  and call it *the dual Galois group of rank 2 associated with  $K/k$* . It is clear that  $G_0(K/k)$  is a subgroup in  $G(K/k)$ .

**Lemma 1.** *For any  $\sigma \in S$  and  $D \in \mathcal{D}_{K_0/k}$ , we have  $\sigma D^* = D^* \sigma$ .*

**Definition 4.** A subgroup  $M = (H, \mathcal{A})$  in  $G_0(K/k)$  is said to be *closed*, if  $H$  is closed in the Krull topology on  $S = \text{Aut}(k_K/k)$ , and  $\mathcal{A}$  is an  $A$ -maximal  $K$ -subspace of  $\mathcal{D}_{K_0/k}$ . Note that  $S = \text{Aut}(K/k)$ .

For a subextension  $L/k \subset K/k$ , put  $\mathcal{A}_0(L) = \{D \in \mathcal{D}_{K_0/k}, D^*(x) = 0 \text{ for all } x \in L\}$ ,  $\psi(L) = M_L = (H_L, \mathcal{A}_L)$ , where  $H_L = \{\sigma \in S, \sigma(x) = x \text{ for all } x \in L\}$ ,  $\mathcal{A}_L = \mathcal{A}_0(L \cap K_0)$ , and  $\varphi(M) = L_M = (\text{Fix } H \cap k_K) N_0(\mathcal{A})$  for  $M = (H, \mathcal{A}) \subset G_0(K/k)$ , and  $N_0(\mathcal{A}) = \{x \in K_0, D(x) = 0 \text{ for all } D \in \mathcal{A} \subset \mathcal{D}_{K_0/k}\}$ .

**Theorem 1.** *Let  $K/k$  be a normal algebraic extension of exponent 1. With the above notations, the maps  $\psi$  and  $\varphi$  establish a one-to-one correspondence between the distinguished subextensions of  $K/k$  and the closed subgroups of  $G_0(K/k)$ .*

**Definition 5.** A subgroup  $M = (H, \mathcal{A})$  is called *admissible* if  $H$  is closed in the Krull topology on  $S$ ,  $\mathcal{A}$  is an  $A$ -maximal  $K$ -subspace in  $\mathcal{D}_{K/k}$ , and if we can find a  $p$ -base  $\{c_i\}$  of  $N(\mathcal{A})$  over  $k_K$  such that  $c_i \in \text{Fix } H$ , for all  $i$ .

**Theorem 2.** *Let  $K/k$  be a normal extension of exponent 1. The maps  $\bar{\psi}(L) = (H_L, \mathcal{A}_L) \subset G(K/k)$  with  $H_L = \{\sigma \in S, \sigma(x) = x \text{ for all } x \in L\}$ ,  $\mathcal{A}_L = \{D \in \mathcal{D}_{K/k}, D(x) = 0 \text{ for } x \in L\}$ , and  $\bar{\varphi}(H, \mathcal{A}) = \text{Fix } H \cap N(\mathcal{A})$ , establish a one-to-one correspondence between the arbitrary subextensions  $L/k \subset K/k$  and the admissible subgroups  $(H, \mathcal{A})$  in  $G(K/k)$ .*

**Remark.** For  $K/k$  purely inseparable, finite and of exponent 1, the  $A$ -maximal  $K$ -subspaces in  $\mathcal{D}_{K/k}$  are exactly the *restricted Lie algebras* of Jacobson [1]. When  $K/k$  is infinite, purely inseparable, and of exponent 1, the  $A$ -maximal  $K$ -subspaces in  $\mathcal{D}_{K/k}$  are exactly the closed (in the finite topology on  $\mathcal{D}_{K/k}$ )  $K$ -subspaces are closed for taking  $p$ -powers ([4], [5], [6]). We have proved the same result, independently and with other tools. It is not difficult to prove that when  $K/k$  is normal with  $K_0/k$  of exponent 1, the  $A$ -maximal subspaces are exactly the  $K$ -subspaces, closed in the finite topology on  $\mathcal{D}_{K/k}$ , and closed for taking  $p$ -powers.

The point in order to establish this assertion is the following result:

**Lemma 2.** *Let  $K/k$  be a purely inseparable (finite or not) extension of exponent 1, of characteristic  $p$ , and  $K \supset L \supset k$ . Then there exists a derivation  $D$  of  $K/k$  such that  $\text{Ker } D = L$ .*

*Proof.* Following an idea of Gerstenhaber [5] we define, for a fixed  $p$ -base  $B$  of  $K/L$ ,  $D(c_i) = c_i^{p+1}$ , where  $c_i$  runs in  $B$  and  $D$  is 0 on  $L$ . Let now  $C$  be a linear combination over  $L$  consisting of monomials of the form  $M = c_1^{i_1} \cdots c_t^{i_t}$ , where  $c_i \in B$ , and  $0 \leq i_1, \dots, i_t \leq p-1$ . Suppose  $D(C) = 0$ . But we can consider that the monomials  $M$  from  $C$  are  $L$ -independent. In  $D(C)$  all the monomials  $M$  appear with the coefficient  $i_1 c_1^p + \cdots + i_t c_t^p$ , and we may

conclude that  $i_1c_1^p + \cdots + i_rc_r^p = 0$  for a monomial  $M \neq 1$ , if  $C$  is not trivial. This is now a contradiction because  $c_1^p, \cdots, c_r^p$  are independent over the prime field of  $L$ . So we have  $\text{Ker } D = L$ .

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