

## 114. Some Dirichlet Series with Coefficients Related to Periods of Automorphic Eigenforms<sup>\*)</sup>

By Dennis A. HEJHAL

Department of Mathematics, University of Minnesota

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**§ 1.** In this note we construct some Dirichlet series which *generalize* those found in [9, p. 311] and [11, p. 42]. Our basic procedure is to extend the ideas in [9]. Applications will be discussed in a later note.

**§ 2.** Let  $m$  be any nonnegative integer divisible by 4. Take  $R=m/2$ . Let  $q$  and  $r$  be relatively prime, squarefree positive integers. Suppose that:

$$(2.1) \quad y_0^2 - ry_1^2 - qy_2^2 + qry_3^2 \neq 0 \quad \text{for } (y_0, y_1, y_2, y_3) \in \mathbf{Z}^4 - \{0\}.$$

Cf. [4, pp. 115–116]. Define:

$$S = \begin{pmatrix} 1 & 0 & 0 \\ 0 & -q & 0 \\ 0 & 0 & -r \end{pmatrix} \quad S_1 = \begin{pmatrix} 1 & 0 & 0 \\ 0 & q & 0 \\ 0 & 0 & r \end{pmatrix} \quad S[X] = X^t S X$$

$$n(x) = \begin{pmatrix} 1 & x \\ 0 & 1 \end{pmatrix} \quad a(w) = \begin{pmatrix} w & 0 \\ 0 & w^{-1} \end{pmatrix} \quad k(\theta) = \begin{pmatrix} \cos \theta & -\sin \theta \\ \sin \theta & \cos \theta \end{pmatrix} \quad X = \begin{pmatrix} x_1 \\ x_2 \\ x_3 \end{pmatrix}$$

$$\mathcal{M}_z = n(x)a(\sqrt{y}) \quad \text{for } z = x+iy, \quad x \in R, \quad y > 0$$

$$\mathcal{W}\left[\begin{pmatrix} a & b \\ c & d \end{pmatrix}\right] = \begin{cases} \frac{a^2+b^2+c^2+d^2}{2} & \sqrt{q}(ab+cd) & \sqrt{r}\left(\frac{a^2-b^2+c^2-d^2}{2}\right) \\ \frac{ac+bd}{\sqrt{q}} & ad+bc & \sqrt{r}\left(\frac{ac-bd}{\sqrt{q}}\right) \\ \frac{a^2+b^2-c^2-d^2}{2\sqrt{r}} & \sqrt{q}\left(\frac{ab-cd}{\sqrt{r}}\right) & \frac{a^2-b^2-c^2+d^2}{2} \end{cases}$$

for  $\begin{pmatrix} a & b \\ c & d \end{pmatrix} \in SL(2, R)$

$$\mathcal{CV}\left[\begin{pmatrix} a & b \\ c & d \end{pmatrix}\right] = \text{the analogous matrix for } S^{-1}$$

$$X_* = \begin{pmatrix} 1 \\ 0 \\ 0 \end{pmatrix} \quad X_{**} = \begin{pmatrix} 0 \\ 1 \\ 0 \end{pmatrix} \quad E = k\left(\frac{\pi}{2}\right) \quad \mathcal{D} = \mathcal{W}(E) = \begin{pmatrix} 1 & 0 & 0 \\ 0 & -1 & 0 \\ 0 & 0 & -1 \end{pmatrix}$$

$$j_Q(z; m) = \frac{(cz+d)^m}{|cz+d|^m} \quad \text{for } Q = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in SL(2, R) \quad \text{cf. [5, p. 357].}$$

It is easily seen that  $\mathcal{W}$  and  $\mathcal{CV}$  are *homomorphisms* from  $SL(2, R)$

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into  $SL(3, R)$ . In addition [for  $Q \in SL(2, R)$ ]:

$$(2.2) \quad \mathcal{W}(Q)^t S \mathcal{W}(Q) = S;$$

$$(2.3) \quad \begin{cases} \mathcal{W}(Q)X_* = X_* & \text{iff } Q = k(\theta) \\ \mathcal{W}(Q)X_{**} = X_{**} & \text{iff } Q = a(w) \end{cases};$$

$$(2.4) \quad \mathcal{CV}(Q) = \mathcal{D}\mathcal{W}(Q^{-1})^t\mathcal{D};$$

$$(2.5) \quad \mathcal{M}_{qz} = Q \mathcal{M}_z k(\alpha) \quad \text{where } e^{i\alpha} = \frac{|cz+d|}{cz+d}.$$

Let  $\mathcal{G}_{qr}$  be the group  $\{T \in PSL(2, R) : \mathcal{W}(T) \in SL(3, Z)\}$ . Cf. [3, p. 501ff]. Because of (2.1), we know that  $\mathcal{G}_{qr}$  is a Fuchsian group with compact quotient space. Cf. [1], [3, pp. 507, 518], and [4, p. 117].

Let  $\mathcal{S}$  be Schwartz space on  $R^3$ . Cf. [14, p. 146]. Consider functions in  $\mathcal{S}$  which satisfy  $f[\mathcal{W}(k_\theta)X] \equiv e^{im\theta} f(X)$  and  $h[\mathcal{CV}(k_\theta)X] \equiv e^{im\theta} h(X)$ . Set:

$$K_f(z) = \sum_{n \in Z^3} f[\mathcal{W}(\mathcal{M}_z^{-1})n] \quad \text{and} \quad \mathcal{K}_h(z) = \sum_{n \in Z^3} h[\mathcal{CV}(\mathcal{M}_z^{-1})n].$$

By applying (2.5), we quickly establish that

$$K_f(Tz) = K_f(z)j_T(z; m) \quad \text{and} \quad \mathcal{K}_h(Tz) = \mathcal{K}_h(z)j_T(z; m) \quad \text{for } T \in \mathcal{G}_{qr}.$$

The Poisson summation formula shows that

$$(2.7) \quad K_f(z) \equiv \mathcal{K}_f(z),$$

where  $\tilde{f}$  means the Fourier transform of  $f$ .

§ 3. Let  $\Gamma$  be any subgroup of  $\mathcal{G}_{qr}$  having finite index. Cf. [3, p. 539] with  $p=1$ . The equation  $n_1 = \mathcal{W}(T)n_2$  ( $T \in \Gamma$ ) induces an obvious equivalence relation on  $Z^3$ . Let  $\{\mathcal{n}_0\}$  be the corresponding set of equivalence classes. Write  $\Gamma_{n_0} = \{T \in \Gamma : \mathcal{W}(T)n_0 = n_0\}$ .

Introduce  $L_2(\Gamma \backslash H, m)$  and  $C^k(\Gamma \backslash H, m)$  as in [5, pp. 358–9] and let  $\phi \in C^2(\Gamma \backslash H, m)$  be any [fixed] eigenform. Take:

$$(3.1) \quad \begin{cases} \Delta_m \phi + s(1-s)\phi = 0 & \text{with } s \in [1-b_m, b_m] \cup [1/2+iR] \\ \dots \\ \Delta_m u = y^2(u_{xx} + u_{yy}) - imy u_x \quad \text{and} \quad b_m = \max(1, R) \end{cases}.$$

Cf. [5, p. 373] and [6, p. 370]. A formal manipulation shows that

$$(3.2) \quad \int_{\mathcal{F}} \phi(z) \overline{K_f(z)} d\mu(z) = \sum_{\{n_0\}} \int_{FR(\Gamma_{n_0})} \phi(z) \overline{f[\mathcal{W}(\mathcal{M}_z^{-1})n_0]} d\mu(z)$$

where  $FR(\Gamma_{n_0})$  denotes a fundamental region for  $\Gamma_{n_0}$ . A similar computation is possible with  $\phi(z) \overline{\mathcal{K}_h(z)}$ .

We propose to consider

$$f(X) = (\sqrt{q}x_2 - i\sqrt{r}x_3)^R e^{\pi i X t[uS + ivS_1]X}$$

$$h(x) = \left( \frac{1}{\sqrt{q}}x_2 - \frac{i}{\sqrt{r}}x_3 \right)^R e^{\pi i X t[uS - 1 + ivS_1^{-1}]X} \quad \text{for } \tau = u + iv \in H.$$

The corresponding functions  $K_f(z)$  and  $\mathcal{K}_h(z)$  will be denoted by  $\theta_m(z; \tau; S)$  and  $\theta_m(z; \tau; S^{-1})$ . Compare [9, p. 287(1)] and [13, pp. 86, 108]. Equation (2.7) shows that:

$$(3.3) \quad \theta_m(z; \tau; S) \equiv \frac{(-1)^{m/4}}{\sqrt{qr}} [-iE(\tau)]^{1/2} [i\overline{E(\tau)}]^{R+1} \theta_m(z; E\tau; S^{-1}).$$

Let  $t=S[n_0]$ . When  $t$  is positive, we can write  $n_0$  in the form  $A\mathcal{W}(Q)X_*$  with  $Q \in SL(2, \mathbf{R})$  and  $A \neq 0$ . It follows that  $\Gamma_{n_0}$  is a cyclic group of order  $W[n_0] < \infty$ .\*\*)

Take  $z_0=Q(i)$  and  $w=(z-z_0)/(z-\bar{z}_0)$  as in [6, p. 342]. Thus:

$$(3.4) \quad \phi(z) = \left( \frac{1-w}{1-\bar{w}} \right)^R \sum_{n \in \mathbf{Z}} c_n r^{|n|} (1-r^2)^s F(s+|n|+mH_n, s-mH_n;$$

$$1+|n|; r^2) e^{in\theta}$$

where  $w=re^{i\theta}$  and  $H_n=(1/2)\operatorname{sgn}(n+1/2)$ . We'll denote  $c_{-R}$  by the special symbol  $E[n_0]$ .

When  $t < 0$ , we write  $n_0$  in the form  $\beta\mathcal{W}(Q)X_*$  with  $\beta > 0$ . A trivial analysis of  $\int_{\mathcal{F}} \theta_0(z; i; S) d\mu(z)$  shows that  $\Gamma_{n_0} \neq I$ . Cf. (3.2). It follows that  $\Gamma_{n_0} = [Qa(k)Q^{-1}]$  for a uniquely determined  $k > 1$ .

Take  $\psi(z)=\phi(Qz)j_q(z; m)^{-1}$  and define:

$$(3.5) \quad I(\theta) = \int_1^{k^2} \psi(re^{i\theta}) \frac{dr}{r}.$$

We'll denote  $I(\pi/2)$  by the special symbol  $I[n_0]$ . Compare [7, p. 274].

The  $\mathcal{CV}$ -analogs of these symbols will be denoted by  $E[m_0]$  and  $I[m_0]$ .

Let  $\Psi(a; c; z)$  be the usual confluent hypergeometric function [2, p. 255]. The following theorem is (now) obtained by careful computation.

**Theorem 1.** *We have:*

$$\begin{aligned} \int_{\mathcal{F}} \phi(z) \theta_m(z; \tau; S) d\mu(z) &= \delta_{m0} \int_{\mathcal{F}} \phi(z) d\mu(z) \\ &+ \sum_{\substack{\{n_0\} \\ S[n_0] > 0}} (-1)^{m/4} \pi \frac{E[n_0]}{W[n_0]} \Gamma(R+1) 2^{-R} t^{R/2} (2\pi v t)^{(s-R-1)/2} \\ &\quad \times \Psi \left[ \frac{s+R+1}{2}; s+\frac{1}{2}; 2\pi v t \right] e^{-\pi i \tau |t|} \\ &+ \sum_{\substack{\{n_0\} \\ S[n_0] < 0}} \sqrt{\pi} I[n_0] |t|^{R/2} (2\pi v |t|)^{(s-R-1)/2} \\ &\quad \times \Psi \left[ \frac{s-R}{2}; s+\frac{1}{2}; 2\pi v |t| \right] e^{\pi i \tau |t|}. \end{aligned}$$

A similar expansion holds for  $\int_{\mathcal{F}} \phi(z) \overline{\theta_m(z; \tau; S^{-1})} d\mu(z)$ .

**§ 4.** It is very tempting to combine Theorem 1 with equation (3.3). By analyzing the (special) case  $m=0$ ,  $\phi=1$ ,  $\tau=iv$  we quickly establish that:

$$(4.1) \quad \sum_{\substack{\{n_0\} \\ 0 < S[n_0] \leq x}} 1 = O(x^{3/2}), \quad \sum_{\substack{\{n_0\} \\ -x \leq S[n_0] < 0}} \ln k = O(x^{3/2}).$$

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\*\*) The generator is  $Qk(\pi/W)Q^{-1}$  where  $W \equiv W[n_0]$ .

These (crude) estimates are very useful for convergence considerations.

Return to the case of arbitrary  $\phi$  and take  $u \approx 0$ . By expanding everything in powers of  $u$  and comparing the terms of degree 0 and 1, we arrive at two basic identities (which involve *only*  $v$ ). We can now pass to the Mellin transforms as in [9, pp. 310–311]. After some careful manipulation of hypergeometric functions, we ultimately arrive at the following proposition.

**Theorem 2.** *Let:*

$$\begin{aligned} F_a(\xi; S) &= \left( \frac{1}{\sqrt{8\pi}} \right)^R \sum_{\substack{\{n_0\} \\ S[n_0] > 0}} \pi \frac{E[n_0]}{W[n_0]} \Gamma(R+1)(2\pi t)^{-\xi} \\ F_b(\xi; S) &= \left( \frac{1}{\sqrt{2\pi}} \right)^R \sum_{\substack{\{n_0\} \\ S[n_0] < 0}} \sqrt{\pi} I[n_0] (2\pi|t|)^{-\xi}. \end{aligned}$$

Define  $F_\mu(\xi; S^{-1})$  similarly. Take  $\omega = \delta_{n_0} \int_{\mathcal{F}} \phi(z) d\mu(z)$ . Then:

- (i)  $F_a$  and  $F_b$  are absolutely convergent for  $\operatorname{Re}(\xi) > 3/2$ ;
- (ii)  $F_a(\xi; S) = (2^{-3/2}\pi^{-1/2}[\det(S)]^{-1/2}/(\xi - 3/2))\omega + \text{an entire function}$ ;
- (iii)  $F_b(\xi; S) = (2^{-3/2}[\det(S)]^{-1/2}/(\xi - 3/2))\omega + \text{an entire function}$ ;
- (iv) the same equations hold when  $S$  is replaced by  $S^{-1}$ ;
- (v) we have

$$\begin{aligned} \left( \frac{F_a(3/2-\xi; S)}{F_b(3/2-\xi; S)} \right) &= \frac{1}{\pi\sqrt{qr}} 2^{2\xi-3/2} \Gamma\left(\xi - \frac{s}{2}\right) \Gamma\left(\xi + \frac{s-1}{2}\right) \\ &\cdot \begin{bmatrix} \cos \pi\xi & \frac{\pi}{\Gamma((s-R)/2)\Gamma((1-s-R)/2)} \\ \frac{\pi}{\Gamma((1+s+R)/2)\Gamma((2-s+R)/2)} & \sin \pi\xi \end{bmatrix} \\ &\cdot \left( \frac{F_a(\xi; S^{-1})}{F_b(\xi; S^{-1})} \right). \end{aligned}$$

§5. The following *additional facts* should also be noted.

In the first place  $E[n_0] \equiv 0$  whenever  $s > 1$ . To check this: we integrate  $\phi(z)((1-\bar{w})/(1-w))^R$  and remember that

$$\phi = O(1), \quad r^R (1-r^2)^s F(s, s+R; 1+R; r^2) \sim (\text{constant})(1-r^2)^{1-s} \quad \text{for } r \rightarrow 1.$$

Cf. (3.4) and [2, p. 107(33)].

When  $s=R \geq 2$ , the function  $\phi$  factors into  $y^R F(z)$ , where  $F$  is a classical holomorphic  $R^{\text{th}}$  order differential on  $\Gamma \backslash H$ . Cf. [5, pp. 407–408]. In this case:

$$I[n_0] = (-1)^{m/4} \frac{1}{(k^{-1}-k)^{R-1}} \int_{z_1}^{Pz_1} F(z) [cz^2 + (d-a)z - b]^{R-1} dz,$$

where  $P = Qa(k)Q^{-1} = \begin{pmatrix} a & b \\ c & d \end{pmatrix}$  and  $z_1$  is *any* point in  $H$ . Cf. [8, p. 359(73)].

Cf. also [10], [12].

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