

## 9. Simple Singularities and Infinitesimally Symmetric Spaces

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**§0. Introduction.** Let  $\mathfrak{g}_0$  be a real semisimple Lie algebra and let  $\mathfrak{g}_0 = \mathfrak{k}_0 + \mathfrak{p}_0$  be a Cartan decomposition of  $\mathfrak{g}_0$ . We complexify  $\mathfrak{g}_0$ ,  $\mathfrak{k}_0$  and  $\mathfrak{p}_0$  and denote them by  $\mathfrak{g}$ ,  $\mathfrak{k}$  and  $\mathfrak{p}$ , respectively. Let  $G$  be the adjoint group of  $\mathfrak{g}$  and let  $K$  be the analytic subgroup of  $G$  corresponding to  $\mathfrak{k}$ . Since  $[\mathfrak{k}, \mathfrak{p}]$  is contained in  $\mathfrak{p}$ ,  $K$  naturally acts on  $\mathfrak{p}$  and it follows from the theorem of Chevalley (see Helgason [6]) that the quotient space  $\mathfrak{p}/K$  of  $\mathfrak{p}$  by the action of  $K$  is isomorphic to an affine space  $C^n$  with a certain integer  $n$ . The nilpotent subvariety  $N(\mathfrak{p})$  of  $\mathfrak{p}$  is the totality of nilpotent elements of  $\mathfrak{g}$  contained in  $\mathfrak{p}$  and is also the fibre of  $\pi(0)$ , where  $\pi: \mathfrak{p} \rightarrow \mathfrak{p}/K$  is the natural quotient map (see Kostant-Rallis [9]). For any element  $X$  of  $N(\mathfrak{p})$  there is a linear subspace  $U_X$  of  $\mathfrak{p}$  such that  $S_X = X + U_X$  is transversal to the  $K$ -orbit of  $X$  at  $X$ . Then there appear singularities in the intersection of  $S_X$  with  $N(\mathfrak{p})$ .

The most typical example of the singularities appeared in this manner is a rational double point (or it is also called a two-dimensional simple singularity). We now explain this shortly. In this case, we take  $\mathfrak{g}_0$  as a complex simple Lie algebra as a real one. Then  $\mathfrak{k}_0$  is a compact real form of  $\mathfrak{g}_0$  and  $\mathfrak{k}$  is isomorphic to  $\mathfrak{p}$  and the action of  $K$  on  $\mathfrak{p} \cong \mathfrak{k}$  is nothing but the adjoint action. Under the situation the results of Brieskorn [4] and Slodowy [10] assure that if we take  $X$  as a subregular nilpotent element of  $N(\mathfrak{p})$  then the variety  $S_X \cap N(\mathfrak{p})$  becomes a surface and the singularity of the surface is a rational double point. In particular, if the root system of  $\mathfrak{k}$  is homogeneous, that is, the type of  $\mathfrak{k}$  is one of  $A_l, D_l, E_6, E_7$  or  $E_8$ , then the singularity of  $S_X \cap N(\mathfrak{p})$  is a rational double point of the corresponding type:

$$(A_l) \quad x^{l+1} + y^2 + z^2 = 0 \quad (l \geq 1),$$

$$(D_l) \quad x^{l-1} + xy^2 + z^2 = 0 \quad (l \geq 4),$$

$$(E_6) \quad x^4 + y^3 + z^2 = 0,$$

$$(E_7) \quad x^3y + y^3 + z^2 = 0,$$

$$(E_8) \quad x^5 + y^3 + z^2 = 0,$$

and moreover the restriction  $\delta: S_X \rightarrow \mathfrak{p}/K$  of  $\pi$  to  $S_X$  is a semiuniversal deformation of the rational double point.

In the present note, we treat the case when  $\mathfrak{g}_0$  is a normal real form of a complex simple Lie algebra and examine the singularity of

$S_X \cap N(\mathfrak{p})$  corresponding to a "subregular" nilpotent element  $X$  of  $N(\mathfrak{p})$ . The main results are stated in Theorems 3 and 4, which explain a connection between the symmetries of a rational double point and Cartan involutions.

**§ 1. Subregular nilpotent elements of an infinitesimally symmetric space.** Let  $\mathfrak{g}$  be a complex simple Lie algebra,  $\mathfrak{g}_0$  a real form of  $\mathfrak{g}$  and  $\theta$  a Cartan involution of  $\mathfrak{g}_0$  (see Helgason [6]). We extend  $\theta$  to  $\mathfrak{g}$  as a complex linear automorphism. Set  $\mathfrak{k} = \{X \in \mathfrak{g}; \theta(X) = X\}$  and  $\mathfrak{p} = \{X \in \mathfrak{g}; \theta(X) = -X\}$ . Then we obtain the complexified Cartan decomposition  $\mathfrak{g} = \mathfrak{k} + \mathfrak{p}$ . We call  $\mathfrak{p}$  an infinitesimally symmetric space in this note. For later convenience, we say that  $\mathfrak{p}$  is of the normal type if the corresponding  $\mathfrak{g}_0$  is a normal real form of  $\mathfrak{g}$  (see Helgason [6]). Let  $G$  be the adjoint group of  $\mathfrak{g}$  and let  $K$  be the analytic subgroup of  $G$  corresponding to  $\mathfrak{k}$ . For any element  $k$  of  $K$ ,  $\text{Ad}(k)|_{\mathfrak{p}}$  is an automorphism of  $\mathfrak{p}$  and in this way  $K$  acts on  $\mathfrak{p}$ .

The  $G$ -orbits of nilpotent elements of  $\mathfrak{g}$  are already classified by Dynkin (see Bala-Carter [3] and Steinberg [11]). Among all the nilpotent orbits, we pay attention to two kinds of orbits. A nilpotent element  $X$  is called regular (or subregular) if  $\dim Z_{\mathfrak{g}}(X) = l$  (or  $\dim Z_{\mathfrak{g}}(X) = l + 2$ ), where  $Z_{\mathfrak{g}}(X)$  is the centralizer of  $X$  in  $\mathfrak{g}$  and  $l$  is the rank of  $\mathfrak{g}$ . Then we infer that the set of regular (or subregular) nilpotent elements of  $\mathfrak{g}$  is a single  $G$ -orbit and we denote it by  $N_r(\mathfrak{g})$  (or  $N_{s.r.}(\mathfrak{g})$ ). An element of  $\mathfrak{p}$  is called nilpotent if it is nilpotent as an element of  $\mathfrak{g}$ . We now discuss the  $K$ -orbit structure of nilpotent elements of  $\mathfrak{p}$ . Vinberg [12] already classified the  $K$ -orbits of them (see also Kostant-Rallis [9]). But when  $\mathfrak{p}$  is of the normal type, we obtain more detailed information on  $K$ -orbits of arbitrary elements in  $\mathfrak{p}$ .

**Theorem 1.** *Let  $\mathfrak{g} = \mathfrak{k} + \mathfrak{p}$  be a complexified Cartan decomposition. Assume that  $\mathfrak{p}$  is of the normal type. Then for any  $G$ -orbit  $\mathcal{O}$  of  $\mathfrak{g}$ , we have  $\mathcal{O} \cap \mathfrak{p} \neq \emptyset$ .*

**Corollary.** *If  $\mathfrak{p}$  is of the normal type, then we have  $N_r(\mathfrak{g}) \cap \mathfrak{p} \neq \emptyset$  and  $N_{s.r.}(\mathfrak{g}) \cap \mathfrak{p} \neq \emptyset$ .*

**Remark.** (1) The claim of the theorem does not hold in general. In particular, there is an infinitesimally symmetric space  $\mathfrak{p}$  which is not of the normal type and such that  $N_r(\mathfrak{g}) \cap \mathfrak{p} = \emptyset$  and  $N_{s.r.}(\mathfrak{g}) \cap \mathfrak{p} = \emptyset$ .

(2) In contrast to  $G$ -orbits of  $N_r(\mathfrak{g})$  and  $N_{s.r.}(\mathfrak{g})$ ,  $N_r(\mathfrak{g}) \cap \mathfrak{p}$  is not a single  $K$ -orbit and so is  $N_{s.r.}(\mathfrak{g}) \cap \mathfrak{p}$ .

In the sequel we always assume that  $\mathfrak{p}$  is of the normal type. Under the assumption, the following holds.

**Lemma 1.** (1) *For any element  $X$  of  $N_r(\mathfrak{g}) \cap \mathfrak{p}$ , we have*  

$$\dim Z_{\mathfrak{g}}(X) \cap \mathfrak{p} = l \quad \text{and} \quad \dim Z_{\mathfrak{g}}(X) \cap \mathfrak{k} = 0.$$

(2) *For any element  $X$  of  $N_{s.r.}(\mathfrak{g}) \cap \mathfrak{p}$ , we have  $\dim Z_{\mathfrak{g}}(X) \cap \mathfrak{p} = l + 1$  and  $\dim Z_{\mathfrak{g}}(X) \cap \mathfrak{k} = 1$ .*

Let  $X$  be any element of  $\mathfrak{g}$ . We denote by  $G \cdot X$  the  $G$ -orbit through  $X$ . Let  $T_X(G \cdot X)$  be the tangent space to  $G \cdot X$  at  $X$ . We identify  $T_X(G \cdot X)$  with a subspace of  $\mathfrak{g}$  and take a linear complement  $U_X$  of  $T_X(G \cdot X)$  in  $\mathfrak{g}$ , that is,  $\mathfrak{g} = T_X(G \cdot X) \oplus U_X$ . The affine subspace  $S_X = X + U_X$  is transversal to  $G \cdot X$  at  $X$  in  $\mathfrak{g}$  and by this reason we call  $S_X$  a transversal slice of  $G \cdot X$  at  $X$ . In this terminology we have the following lemma which is essential to proving the main theorems.

**Lemma 2.** *Let  $X$  be an element of  $N_{s.r.}(\mathfrak{g}) \cap \mathfrak{p}$ . Then there exists a  $(-\theta)$ -stable transversal slice  $S_X = X + U_X$  of  $G \cdot X$  at  $X$  in  $\mathfrak{g}$  such that  $\dim(U_X \cap \mathfrak{k}) = \text{codim}_{S_X}(S_X \cap \mathfrak{p}) = 1$  and that  $S_X \cap \mathfrak{p}$  is also transversal to the  $K$ -orbit of  $X$  at  $X$  in  $\mathfrak{p}$ .*

Due to this lemma we choose a coordinate system  $(\lambda_1, \dots, \lambda_{l+1}, \mu)$  of  $S_X$  such that the restriction  $(-\theta)|_{S_X}$  acts on  $S_X$  in the following manner:  $(\lambda_1, \dots, \lambda_{l+1}, \mu) \mapsto (\lambda_1, \dots, \lambda_{l+1}, -\mu)$ . We call this system a good coordinate system.

**§ 2. Subregular nilpotent elements and simple singularities.** In this section situations and notations are the same as above.

Let  $\mathfrak{h}$  be a Cartan subalgebra of  $\mathfrak{g}$  and  $W$  the Weyl group of  $\mathfrak{g}$  with respect to  $\mathfrak{h}$ . For convenience we assume that  $\mathfrak{h}$  is contained in  $\mathfrak{p}$ . This is actually possible, because  $\mathfrak{p}$  is of the normal type (see Helgason [6]). Let  $\gamma$  be the adjoint quotient of  $\mathfrak{g}$  onto  $\mathfrak{h}/W$  (see Slodowy [10, p. 37]). We now mention a result of Brieskorn-Slodowy. Let  $X$  be an element of  $N_{s.r.}(\mathfrak{g})$  and let  $S_X$  be a transversal slice of  $G \cdot X$  at  $X$  in  $\mathfrak{g}$ .

**Theorem 2** (Brieskorn [4], Slodowy [10, p. 136]). *There is a polynomial  $F_\xi(x, y, z)$  of three variables  $x, y, z$  and with parameters  $\xi$  in  $\mathfrak{h}/W$  such that  $\gamma^{-1}(\xi) \cap S_X$  is locally biholomorphic to  $\{(x, y, z) \in \mathbb{C}^3; F_\xi(x, y, z) = 0\}$  for any element  $\xi$  of  $\mathfrak{h}/W$ . Corresponding to the type of  $\mathfrak{g}$ ,  $F_\xi(x, y, z)$  is given by the following table:*

$$\begin{aligned} A_l: & \quad x^{l+1} + y^2 + z^2 + \xi_2 x^{l-1} + \xi_3 x^{l-2} + \dots + \xi_l x + \xi_{l+1} = 0 & (l \geq 1), \\ B_l: & \quad x^{2l} + y^2 + z^2 + \xi_2 x^{2l-2} + \xi_4 x^{2l-4} + \dots + \xi_{2l-2} x^2 + \xi_{2l} = 0 & (l \geq 2), \\ C_l: & \quad x^l + xy^2 + z^2 + \xi_2 x^{l-1} + \xi_4 x^{l-2} + \dots + \xi_{2l-2} x + \xi_{2l} = 0 & (l \geq 3), \\ D_l: & \quad x^{l-1} + xy^2 + z^2 + \xi_2 x^{l-2} + \xi_4 x^{l-3} + \dots + \xi_{2l-4} x + \xi_{2l-2} + \xi'_l y = 0 & (l \geq 4), \end{aligned}$$

$$\begin{aligned} E_6: & \quad x^4 + y^3 + z^2 + \xi_2 x^2 y + \xi_5 xy + \xi_6 x^2 + \xi_8 y + \xi_9 x + \xi_{12} = 0, \\ E_7: & \quad x^3 y + y^3 + z^2 + \xi_2 x^4 + \xi_6 x^3 + \xi_8 xy + \xi_{10} x^2 + \xi_{12} y + \xi_{14} x + \xi_{18} = 0, \\ E_8: & \quad x^5 + y^3 + z^2 + \xi_2 x^3 y + \xi_8 x^2 y + \xi_{12} x^3 + \xi_{14} xy + \xi_{18} x^2 + \xi_{20} y + \xi_{24} x + \xi_{30} = 0, \\ F_4: & \quad x^4 + y^3 + z^2 + \xi_2 x^2 y + \xi_6 x^2 + \xi_8 y + \xi_{12} = 0, \\ G_2: & \quad x^3 + y^3 + z^2 + \xi_2 xy + \xi_6 = 0. \end{aligned}$$

(In the table the indices of the parameters  $\xi_2, \dots$  (and  $\xi'_l$  in the case  $D_l$ ) denote the weights of them.)

The surface singularity  $F_0(x, y, z) = 0$  is called a rational double point or a (two-dimensional) simple singularity by Arnol'd (see [1], [2]) and its deformation family  $\{F_\xi(x, y, z) = 0; \xi \in \mathfrak{h}/W\}$  is a semiuniversal

deformation of  $F_0(x, y, z) = 0$ .

Now we observe the fact that  $F_\varepsilon(x, y, z) = 0$  has the following symmetries:  $z \mapsto -z$  (in all the cases),  $x \mapsto -x$  (in the cases  $B_l, F_4$ ),  $y \mapsto -y$  (in the case  $C_l$ ),  $x \leftrightarrow y$  (in the case  $G_2$ ). We give an interpretation of these symmetries in the following main theorems.

**Theorem 3.** *There is a subregular nilpotent element  $X$  of  $N_{s.r.}(\mathfrak{g}) \cap \mathfrak{p}$  such that if we choose a transversal slice  $S_X$  as in Lemma 2 and a good coordinate system  $(\lambda_1, \dots, \lambda_{l+1}, \mu)$  of  $S_X$ , the involution*

$$(-\theta)|_{S_X}: (\lambda_1, \dots, \lambda_{l+1}, \mu) \mapsto (\lambda_1, \dots, \lambda_{l+1}, -\mu)$$

*induces the symmetry  $z \mapsto -z$  on the surface  $\gamma^{-1}(\xi) \cap S_X$  for any element  $\xi$  of  $\mathfrak{h}/W$ .*

**Theorem 4.** *Assume that the root system of  $\mathfrak{g}$  is inhomogeneous, that is, the type of  $\mathfrak{g}$  is one of  $B_l, C_l, F_4$  and  $G_2$ . Then there is another subregular nilpotent element  $Y$  of  $N_{s.r.}(\mathfrak{g}) \cap \mathfrak{p}$  not  $K$ -conjugate to  $X$  in Theorem 3 such that if we choose a transversal slice  $S_Y$  as in Lemma 2 and a good coordinate system  $(\lambda_1, \dots, \lambda_{l+1}, \mu)$ , the involution*

$$(-\theta)|_{S_Y}: (\lambda_1, \dots, \lambda_{l+1}, \mu) \mapsto (\lambda_1, \dots, \lambda_{l+1}, -\mu)$$

*induces the symmetry  $x \mapsto -x$  (in the cases  $B_l, F_4$ ),  $y \mapsto -y$  (in the case  $C_l$ ),  $x \leftrightarrow y$  (in the case  $G_2$ ) on the surface  $\gamma^{-1}(\xi) \cap S_Y$  for any element  $\xi$  of  $\mathfrak{h}/W$ .*

The set of the fixed points of  $\gamma^{-1}(\xi) \cap S_X$  (or  $\gamma^{-1}(\xi) \cap S_Y$ ) by the involution  $(-\theta)|_{S_X}$  (or  $(-\theta)|_{S_Y}$ ) is a deformation of a one-dimensional simple singularity in the sense of Arnol'd (see [1]). In particular we obtain the following

**Corollary (to Theorem 3).** *If the root system of  $\mathfrak{g}$  is homogeneous, that is, the type of  $\mathfrak{g}$  is one of  $A_l, D_l, E_6, E_7$  and  $E_8$ , then the restriction  $\delta: S_X \cap \mathfrak{p} \rightarrow \mathfrak{h}/W$  of  $\gamma$  to the intersection  $S_X \cap \mathfrak{p}$  is a semiuniversal deformation of the one-dimensional simple singularity of the corresponding type:*

$$\begin{aligned} (A_l) \quad & x^{l+1} + y^2 = 0 & (l \geq 1), \\ (D_l) \quad & x^{l-1} + xy^2 = 0 & (l \geq 4), \\ (E_6) \quad & x^4 + y^3 = 0, \\ (E_7) \quad & x^3y + y^3 = 0, \\ (E_8) \quad & x^5 + y^3 = 0. \end{aligned}$$

The proofs of Theorems 3 and 4 need the results of Slodowy [10], Bala-Carter [3] and Elkington [5].

An extended version of this note and detailed proofs will be published elsewhere.

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